Client Checklist

We are excited for your upcoming meeting! Typically, people wonder what type of information they should bring with them to their first meeting, and so we have created a list of documents that would be beneficial to you and the adviser. This list is not an exhaustive list but gives you a good idea of the information our advisers are looking for to establish your financial goals and objectives and to support our analysis and financial plan. Also, some of these documents may not pertain to you and that is okay, we will talk through what is most important for your situation.

- Legal Documents
  - Will, Trust, Power of Attorney, Health Care Power of Attorney, Living Will
- Recent Pay Statements
- Recent Checking and Savings Statements
- Recent Tax Returns
- Social Security Statements
- Investment Statements
  - 401k
  - SEP IRA and/or Simple IRA
  - Pension Plan and/or 403b
  - Traditional IRA and/or Roth IRA
  - Annuity
  - College Plan (529), Minor Accounts (UTMA and CD)
- Insurance Policies
  - Life Insurance Policies and current statement
  - Health Insurance
  - Medicare Card, Medicare Supplement Card, and Part D Insurance Card
  - Long Term Care Policy and current statement
  - Disability Insurance Policy
- Liabilities
  - Lender, Rate, Term, Date started, Principal, and Interest
- Any questions you may have about finances or retirement 😊

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