

## Carotenoid Levels in Ocular Disease and Systemic Health

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Forum on Optometry  
Mystic, CT



1

### Disclosures- Greg Caldwell, OD, FAAO

All relevant relationships have been mitigated

- The content of this activity was prepared independently by me - Dr. Caldwell
- Lectured for: Alcon, Allergan, Aerie, BioTissue, Kala, Maculogix, Optovue, RVL, Heru
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2

## Thank You for This Opportunity



3

## Agenda

Thanks for the Opportunity

- My ocular disease focus to prevention
- Ocular diseases from oxidative stress
- Evidence based medicine proves antioxidant intervention
- Measuring carotenoids
- Phospholipid bilayer membrane – damage and protection
- Partial versus total supplementation
  - \* Inner and outer retina
  - \* Ocular supplements or full body

6

## Ocular Disease Career

- OCT - Spectral domain
- OCT Angiography
- Visual Fields
- AMD, glaucoma, retinal degenerations, diabetic retinopathy
- Dark Adaptation
- Focusing on structure and function loss or damage
- Patients asking what about supplements
  - \* Reading about it on internet
- Promised I would do my due diligence
- Ocular disease optometrist to an Integrative Optometrist



7

## Patients Are Expecting

- Early detection
- Wellness
- Prevention

8

## Question?

Who in here would consider themselves as an integrative optometrist?

Who has done or recommended?

- \* Supplements, vitamins, AREDS2
- \* Omegas, EPA, DHA
- \* Vital tears – ASED
- \* Regener-Eyes
- \* Amniotic membranes
- \* CBD
- \* Probiotics

9

## Allopathic vs Integrative Medicine

- “Allopathic medicine” is a term used for modern or mainstream medicine
  - \* Conventional medicine, mainstream medicine, Western medicine, biomedicine
  - \* Treating conditions and symptoms with its “opposite”
  - \* Health system in which medical doctors, nurses, pharmacists, and other healthcare professionals are licensed to practice and treat symptoms and diseases
  - \* Using medication, surgery, radiation, therapies, and procedures
- Complementary and integrative medicine are commonly used along with mainstream medicine
  - \* Homeopathy, naturopathy, chiropractic care, Chinese medicine
- Allopathic or modern medical schools have recently added more study and information on how food and nutrition can help prevent and treat disease
  - \* More education is being offered on integrative approaches and potential interactions with mainstream medicine

10

## Medical Practices

- Allopathic medicine
  - \* Western medicine
- Alternative “homeopathic”
- Functional
  - \* Medicine of why, treat the cause
- Integrative medicine
  - \* Complementary medicine - Eastern complementing Western

What is integrative medicine?

The practice of integrative medicine refers to the blending of conventional and evidence-based natural and complementary medicines and/or therapies with lifestyle interventions to deliver holistic, patient-centred care.

11

## Chronic and Low-Grade Inflammation

Science has proven that chronic, low-grade inflammation can turn into a silent killer that contributes to cardiovascular disease, cancer, type 2 diabetes, diabetic retinopathy, cataracts, macular degeneration, and many other conditions



12

## Chronic and Low-Grade Inflammation

Like cancers and other slow-burn diseases, identifying these conditions early can make the difference between full recovery or a dramatically reduced quality of life or even death (vision loss or blindness)



13

## “Choose Your Parents Wisely”

- This just isn’t as true as it’s used to be
- Lifetime health
  - \* 8% genetics “Picking your parents wisely”
    - DNA in our nucleus
    - Can’t be influenced
  - \* 92% epigenetics
    - Lifestyle choices = we can influence
    - Turn on/off gene expression

14

## Biomarker

- ☞ **Test that has meaning**
- ☞ Biological molecule found in blood, other body fluids, or tissues that is a sign of a normal or abnormal process, or of a condition or disease.
- ☞ A biomarker may be used to see how well the body responds to a treatment for a disease or condition
- ☞ Blood pressure, blood work, heart rate, genetic testing, IOP

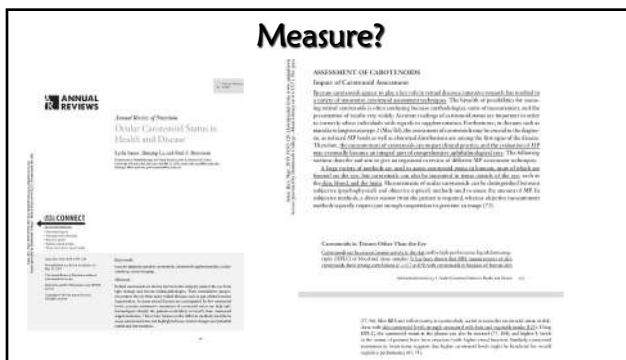
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## Predictive Biomarker

- ☞ Used to identify individuals who are more likely to respond to exposure to a particular medical product or environmental agent
- ☞ The response could be a symptomatic benefit, improved survival, or an adverse effect
- ☞ A value that we can guide therapy around
  - \* HbA1c
  - \* C-Reactive Protein
  - \* Plasma Homocysteine
  - \* Vitamin D (25-HydroxyD)
  - \* Omega 3 index
  - \* Carotenoid

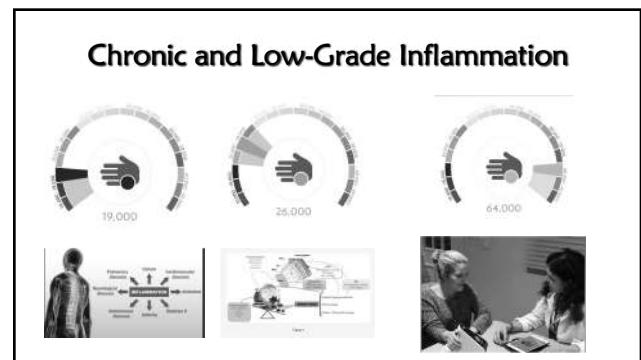
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## Measure?



17

## Chronic and Low-Grade Inflammation



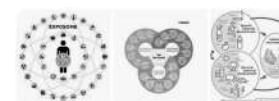
18

## DNA Sciences

- ☞ **Genomics** = all of our genes
- ☞ **Genetics** = individual genes
- ☞ **Epigenetics** – the study of how our cells control gene activity without changing the DNA
  - \* Internal and external environments

19

## Exposome



The exposome can be defined as the measure of all the exposures of an individual in a lifetime and how those exposures relate to health. An individual's exposure begins before birth and includes insults from environmental and occupational sources. Understanding how exposures from our environment, diet, lifestyle, etc.

<https://www.cdc.gov/niosh/topics>

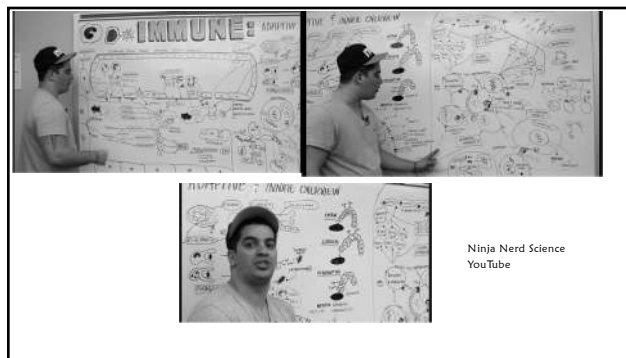
Exposome and Exposomics - NIOSH Workplace Safety and Health Topic - CDC

Google Search Definition

20



21

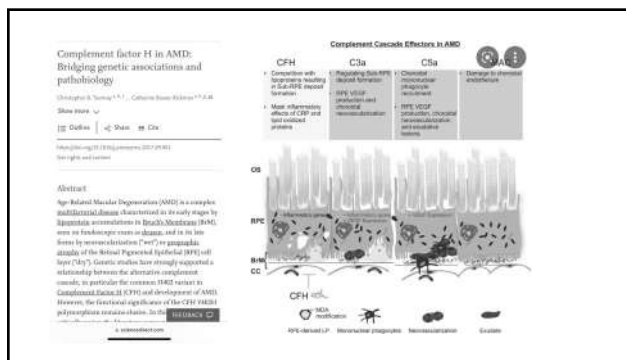


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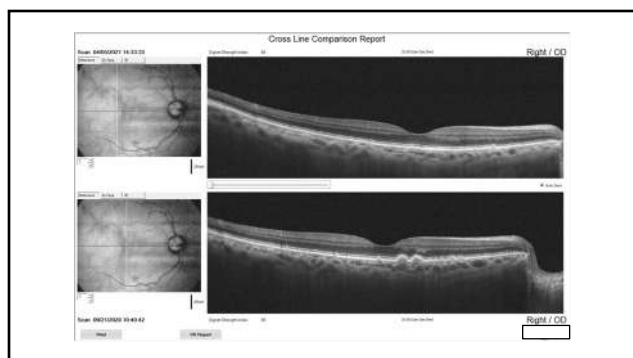
Ninja Nerd Science  
YouTube



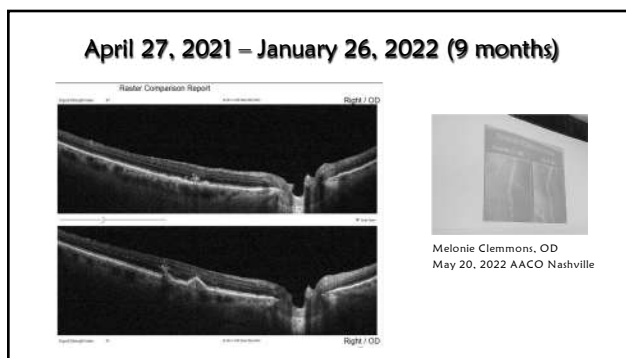
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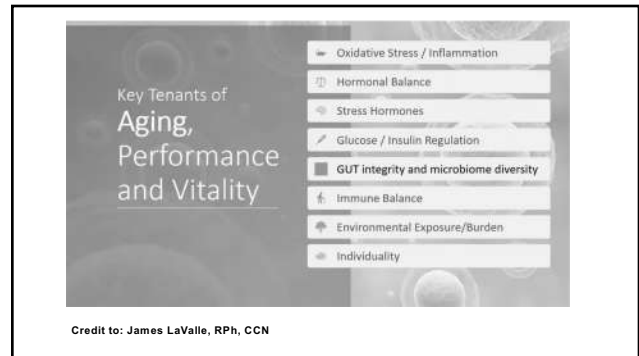


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Melanie Clemmons, OD  
May 20, 2022 AAOO Nashville



27



Credit to: James LaValle, RPH, CCN

28

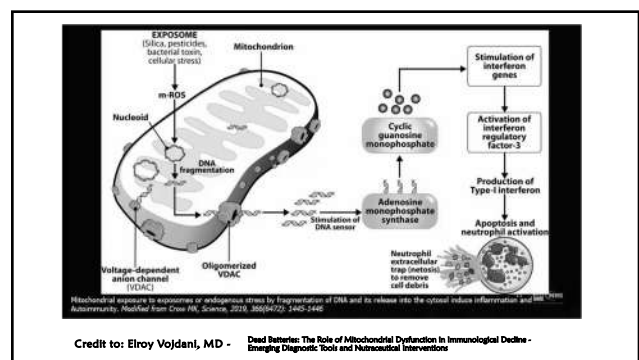
### Inflamm-aging

One of the consequences of failing mitochondria due to aging, beyond mtROS, is the release of mtDNA. Plasma levels of mtDNA increase gradually after the fifth decade of life, correlating with elevated levels of pro-inflammatory cytokines (i.e., TNF- $\alpha$ , IL-6, RANTES, and IL-1 $\alpha$ ).

These data indicate that mtDNA may promote the production of pro-inflammatory cytokines in aging. Because cell stress, senescence and death are a part of the pathophysiology of aging designing new therapeutic strategies against circulating mtDNA, or other mtDAMPs, or their cognate receptors (e.g., TLRs or FPR1) may be a viable strategy to approaching IA and its associated conditions.

Credit to: Elroy Vojdani, MD - Dead Batteries: The Role of Mitochondrial Dysfunction in Immunological Decline - Emerging Diagnostic Tools and Nutritional Interventions

29



30

### Fun Facts I Have Learned About the Mitochondria

- ~ Mitochondria produce energy from organic matter
- ~ Live about 100 days
- ~ They produce 90% of energy in the body
- ~ In return they produce 90% of the free radicals
- ~ When they become dysfunction when get many clinical consequences
- ~ Mitochondria are very sensitive to reactive oxygen and need antioxidant support
- ~ Mitochondria are one of cellular organelles
  - \* Electron transport chain - uses co-enzyme 10, and many other micronutrients
  - \* Brain cell has 1-2 million/single neuron
  - \* Heart cell has 5,000/cell
  - \* Liver cell has 1000-2000/cell
  - \* Photoreceptors 498/cell
  - \* RPE cells >700/cell

The ellipsoid contains a densely-packed array of mostly elongated mitochondria arranged broadly parallel to the long axis of the photoreceptor. The cell contained **498 individual mitochondria**

Credit to: Elroy Vojdani, MD - Dead Batteries: The Role of Mitochondrial Dysfunction in Immunological Decline - Emerging Diagnostic Tools and Nutritional Interventions

31

### Question

~ Do you agree that free radical formation is a progressive process that leads to cell damage or death?

\* Yes  
\* No

32

### Free Radicals and Antioxidants

**How antioxidants reduce free radicals**

ANTIOXIDANT: chemically reactive unpaired electron + electron donation: stable electron pair is formed, free radical is neutralized

FREE RADICAL: unpaired electron

HEALTHY CELL: STABLE MOLECULE

UNPAIRED MOLECULE: Unstable molecule

33

### Oxidative Stress

- Small percentage of oxygen is not completely reduced
- Accumulation of free radicals
- Oxidative damage
- Oxidative stress
- Considered the starting of several diseases
- Responsible for epigenetic alterations
- Mitochondria – vulnerable
- Not going to make this apple new again
  - Prevention is the one of the best medicines

34

### Free Radicals

- During metabolism the  $O_2$  molecule splits and energy is released
  - Endogenous free radical formation
- Regain stability the free single oxygen atom (oxygen free radical) seeks and steals electrons from other molecules
  - Superoxide anion – will accept one electron
  - Peroxide – will accept two electrons
- These molecules can be proteins, lipids, and DNA
  - Proteins (enzymes) – kinases, phosphatases, and transcription factors

Reactive oxygen species (+ unpaired electrons)				
Oxygen $O_2$	Superoxide anion $O_2^{\cdot -}$	Peroxide $O_2^{\cdot \cdot}$	Hydroxyl radical $\cdot OH$	Hydroxyl anion $OH^-$

35

### Endogenous and Exogenous Free Radical Formation

36

### Oh no

- Increasing exogenous free radicals
- Less antioxidant protection in our diet
- More bad and less good

Is an orange of the 1950's equivalent to 21 of today's oranges?

An orange from the 1950's was full of vitamin A, precious for our sight and our immune defenses. To attain the same amounts today, you would have to consume 21 of them. Onions and potatoes no longer contain any trace of it. The iron content in meat? Divided by 2. Calcium in broccoli? Divided by 4. To ingest the vitamin C contained in an apple from yesteryear, you would have to eat 100 today.

37

### The Equalizer

38

October 23, 2021



39



October 23, 2021

40

M Lounge



41

## Nutritional Antioxidants

- ☞ Exogenous antioxidants
  - \* Tocopherols (E), ascorbic acid (C), carotenoids, ubiquinone, and polyphenols
- ☞ Well know antioxidants
  - \* Vitamin C, E, Beta-carotene, lutein, zeaxanthin, selenium, quercetin, and resveratrol
- ☞ Mechanisms of action:
  - \* Neutralize free radicals
  - \* Repair oxidized membranes
  - \* Decrease reactive oxygen species
  - \* Neutral reactive oxygen species

42

## Endogenous and Exogenous Antioxidants



Figure 1: Subdivides between endogenous and exogenous antioxidants.

www.oncotarget.com

17186

Oncotarget

43

## Carotenoids

- ☞ Why do hear so much about carotenoids
- ☞ Melonie Clemmons, OD May 20, 2022 AACO Nashville



44

## Carotenoids

- ~ Organic pigments produced by plants, algae, and bacteria
- ~ Cannot be synthesized by the human body
  - \* Hydrophobic compounds
    - Important for the phospholipid bilayer
- ~ 600 in nature – 50 human food chain – 15-20 human blood stream
- ~ Macular carotenoids (L and Z) – highest concentration found in the human body
  - \* Diet derived
  - \* Henle fibers – between the inner and outer plexiform layers
  - \* Sequester or absorb blue light

45

## Question

~ Do you measure carotenoid levels in your office?

- \* Yes
- \* No

46

## Measure?



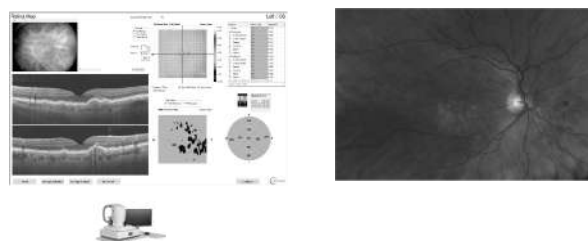
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## Significance of Carotenoids



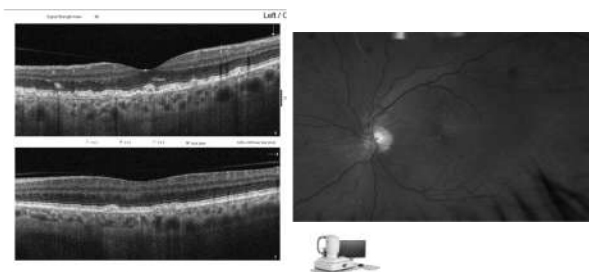
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## Oxidative Stress with Your OCT



49

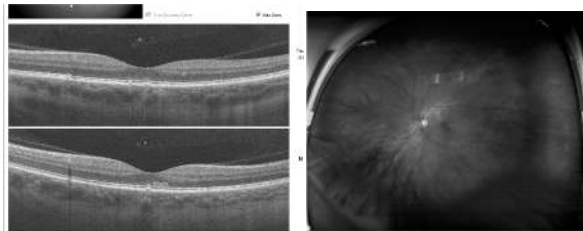
## Oxidative Stress with Your OCT



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### Oxidative Stress with Your OCT



51

### Healthy choriocapillaris, Bruch's, RPE, and Photoreceptors



52

### Cholesterol barrier deposited along Bruch's and RPE



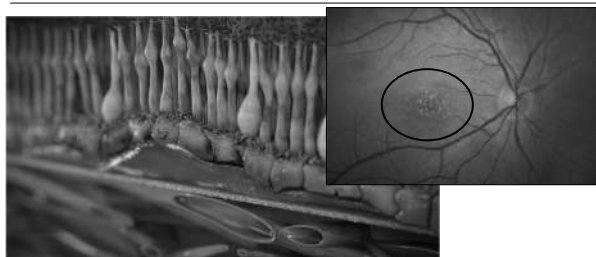
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### RPE Secretes even more cholesterol and degenerates



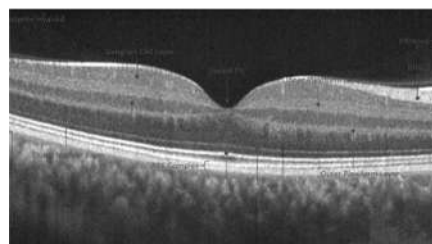
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### Finally, visibly evident drusen on fundus evaluation

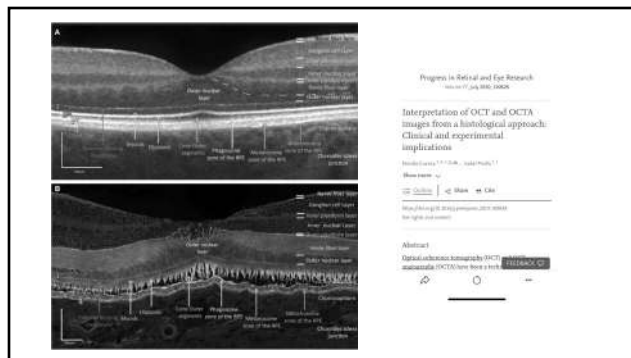


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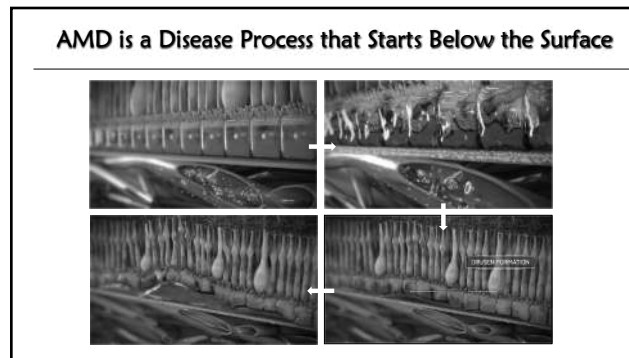
The ellipsoid zone (EZ) is considered to be formed mainly by mitochondria within the ellipsoid layer of the outer portion of the inner segments of the photoreceptors. However, it was previously known as the junction between the photoreceptor IS/OS).



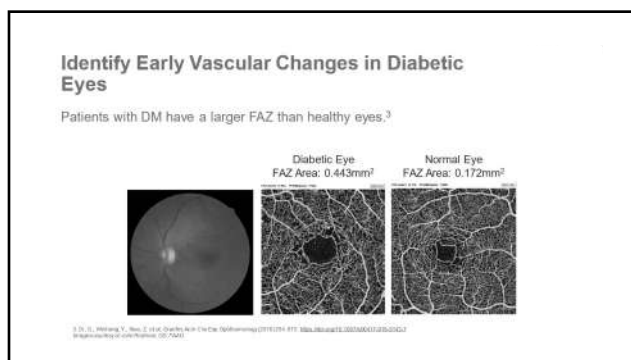
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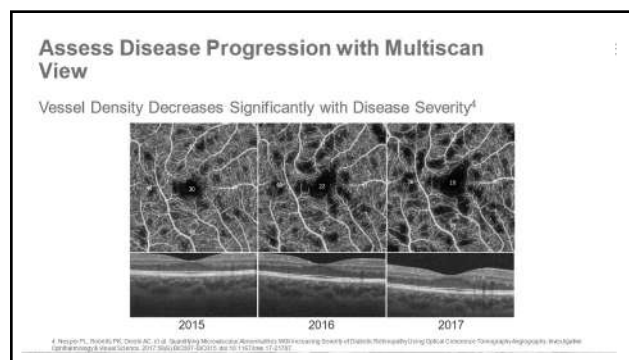
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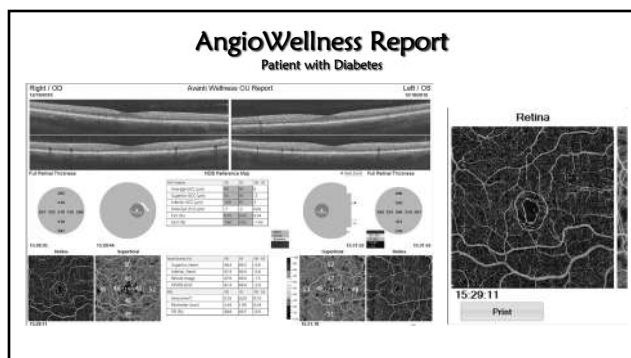
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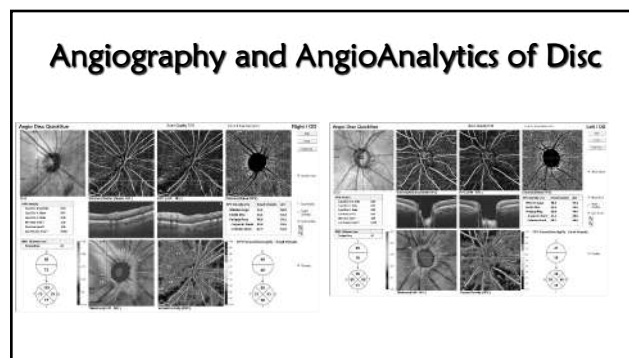
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60

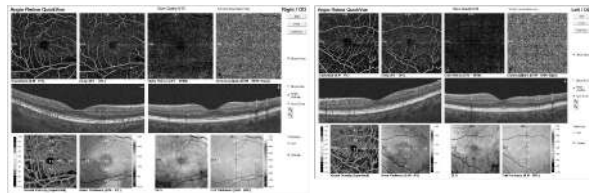


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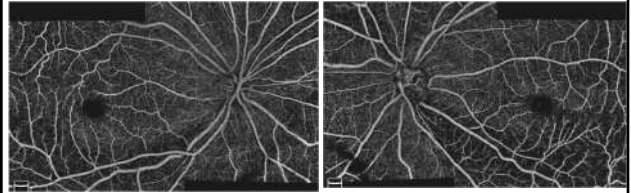
62

## Angiography and AngioAnalytics of Retina

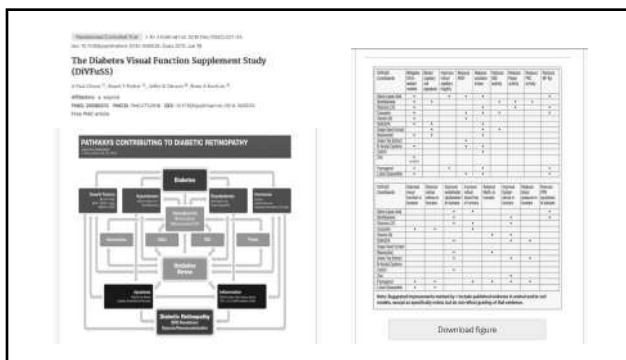


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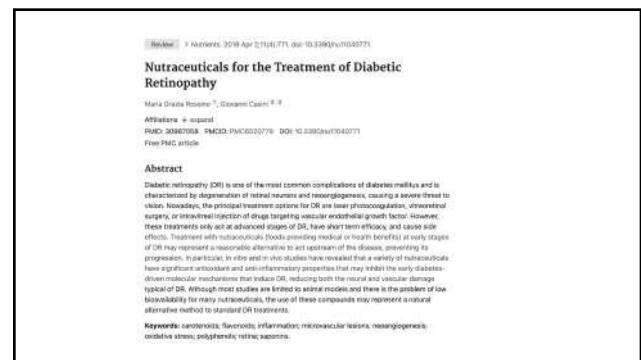
## Montage OU



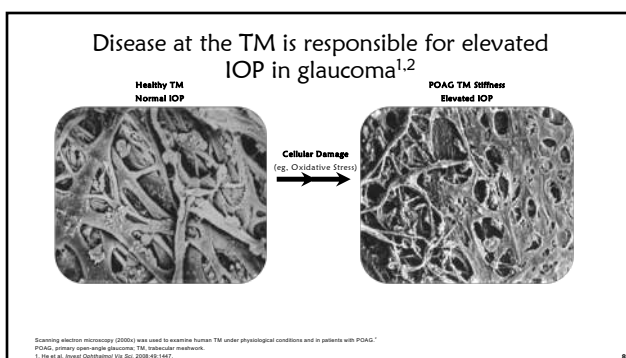
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67

## Glaucoma

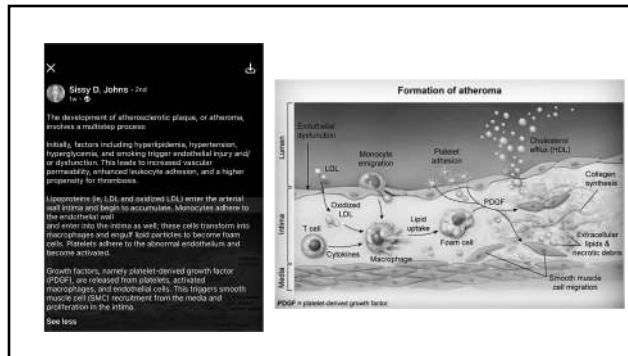
## PERSPECTIVES ON GLAUCOMA

## Antioxidants enhance ocular perfusion in Open Angle Glaucoma

Harris A, et al. *Acta Ophthalmol.* 2018;doi:10.1111/aos.13530.

"In agreement with previous findings, our results indicate that the supplementation of certain antioxidants may increase blood supply to the orbit and within retinal capillary beds following 4 weeks administration," the authors wrote. "Our data suggest oral antioxidant supplementation may decrease vascular resistance over a longer period of time than previous trials investigated."

68



69

## Treatments for AMD

Early detection and meaningful treatments with significant value, do not cure, but have been shown to slow or halt progression. Not limited to early stages but all stages of AMD

- ★ Prescribe smoking cessation programs
  - Smoking and AMD
    - Depletes serum antioxidants
    - Decreases pigmentary density
    - Increases risk to advanced AMD
- ★ Lifestyle changes
  - Diet
  - Exercise
- ★ Systemic disease management
  - Cardiovascular disease, DM, obesity, high cholesterol

70

## Treatment for AMD

Nutritional supplements

- ★ Sub-clinical/sub-structural or early disease
  - Controversy flourishes
    - No definitive guideline exists
    - Despite consensus evidence suggests using supplements
- ★ Intermediate - advance disease
  - No controversy on advocating for supplements
- ★ AREDS 1
  - Contains Beta-carotene and no lutein or zeaxanthin, no longer recommended
  - Investigated early AMD, no statistically significant benefit
- ★ AREDS 2
  - Recommended for intermediate and advanced AMD, study protocol
- ★ The Practical Guide for the Treatment of AMD - 3 primary options
  - Macular pigment supplement
    - Carotenoids: lutein, zeaxanthin, meso-zeaxanthin
  - Carotenoids, antioxidants, zinc, and vitamins C & E
    - AREDS 2
  - Carotenoid macular supplement in subclinical and early AMD. Carotenoid and antioxidant is intermediate and AMD that is progressing

71

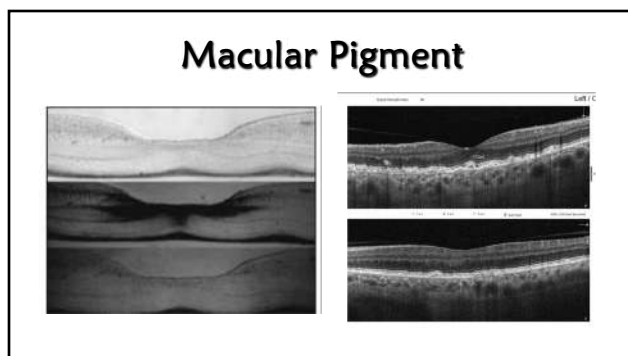
## Measuring Macular Pigment

Retina macula biopsy

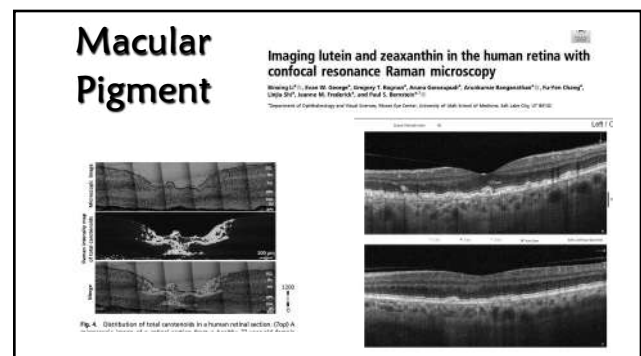
Clinical Imaging

- ★ Subjective
  - ZeaVision MPSII
  - Guardian Mapcat SF
- ★ Clinical
  - ZeaVision MPR
  - Zeiss Visucam 200
  - Spectralis HRA+OCT
  - Spectralis MP OV

72



73

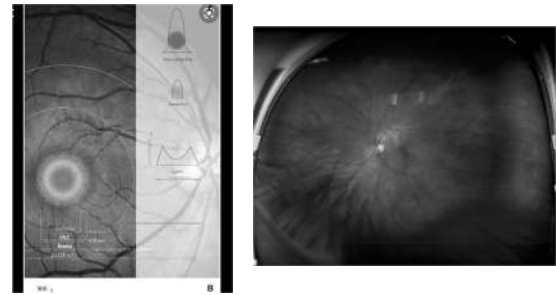


74

## Macular Pigment and the Retina

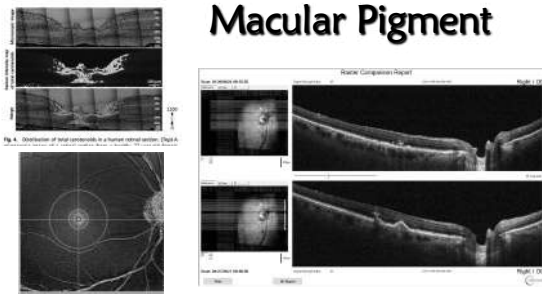


75



76

## Macular Pigment



77

## When it cold outside what are good for your feet and head?



78

## Question

Do you recommend resveratrol and quercetin antioxidants when treating ocular/retinal conditions?

- \* Yes
- \* No

79

## Why Are We Only Treating Half the Retina?



80

## Carotenoids and Polyphenols

**Resveratrol** can be implied in anti-aging actions by influencing the mitochondrial environment and metabolic processes, by regulating the levels of some inflammatory mediators and cytokines and by modulating lipoproteins [125, 152, 153]. Mitochondrial dysfunction has been proved to be associated with aging and disease development [154], and it was seen

Furthermore, resveratrol maintains the vascular fitness through its antioxidant and antithrombotic activities, and on the other hand is relevant in blocking the formation of new blood vessels, in inhibiting the VEGF release and attenuating Hypoxia-Inducible Factor (HIF-1α) in different tumor cells [163].

**ASSESSMENT OF CAROTENOIDS**

Because carotenoids are not directly measurable in the blood, the assessment of carotenoid status is a challenge. The available methods are generally based on the measurement of carotenoids in the blood, and the measurement of carotenoids in the blood is not a reliable method to assess carotenoid status. The measurement of carotenoids in the blood is not a reliable method to assess carotenoid status. The measurement of carotenoids in the blood is not a reliable method to assess carotenoid status.


19 Oncotarget

81

## Measuring Carotenoids and the Macular Pigment

Biophotonic Scanner

- Measures carotenoids
- Based on an optical method known as Resonant Raman Spectroscopy (RSS)
  - Used for many years in research laboratories
- Skin RRS measurements
  - Noninvasive
  - Objective
  - Reliable methods to assess carotenoid levels
    - Ocular
    - Systemic



82


## Carotenoid Levels

Biomarker of health for diet and lifestyle

- Yale University
- Phospholipid bi-layer
- Carotenoids, flavonoids, and polyphenols

Correlations Between Macular, Skin, and Serum Carotenoids

Correlations between macular, skin, and serum carotenoids were assessed in a cross-sectional study of 100 healthy individuals. The study found that macular carotenoid levels were significantly correlated with serum carotenoid levels (r = 0.75, p < 0.001). Skin carotenoid levels were also significantly correlated with serum carotenoid levels (r = 0.65, p < 0.001). These findings suggest that carotenoid levels in the macula and skin can be used as biomarkers of overall carotenoid status.



83

## The New Standard

NIH National Institutes of Health

Quick Test (approx. 30 sec)

Portable

Cost Effective

Remeasure in 60 days

Reassurance to you and patient

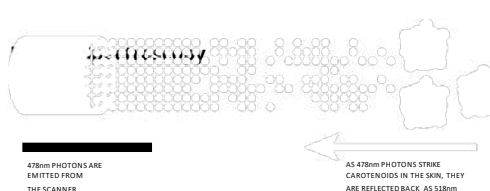


84

## Raman Spectroscopy

478nm PHOTONS ARE EMITTED FROM THE SCANNER

AS 478nm PHOTONS STRIKE CAROTENOIDS IN THE SKIN, THEY ARE REFLECTED BACK AS 518nm PHOTONS



85

## Resonance Raman spectroscopic evaluation of skin carotenoids as a biomarker of carotenoid status for human studies

Susan T. Mayne<sup>1,2</sup>, Brenda Cartmel<sup>3</sup>, Stephanie Scarmo<sup>4,5</sup>, Lisa Johns<sup>5</sup>, Igor V. Ermakov<sup>6</sup>, Werner Gellermann<sup>6</sup>

**90 STUDIES**

ARTICLE INFO

Article history: Available online xxx

Keywords: Carotenoids; Skin; Resonance Raman spectroscopy; Beta-carotene; Biomarker

Abstract: Resonance Raman spectroscopy is a non-invasive method that has been developed to assess carotenoid status in human skin. This method has been suggested as a promising biomarker for human studies. This method is non-invasive, easy to use in field settings, and factors that affect the measurement of carotenoid status are well understood. Recent studies have evaluated the response of carotenoid status to dietary interventions, both supplement-based and dietary (e.g., providing a diet rich in carotenoids and vegetables (FV)-enriched diet), demonstrating consistent responses to these interventions. The growing body of evidence supports the use of skin carotenoid status as an objective biomarker of carotenoid status, although in the cross-sectional setting, diet explains only some of the variation in this biomarker. However, this limitation is also a strength in that skin carotenoids may effectively serve as an integrated biomarker of health, with higher status reflecting greater FV intake, lack of smoking, and lack of adiposity. Thus, this biomarker holds promise as both a health biomarker and an objective indicator of FV intake, supporting its further development and utilization for medical and public health purposes.

<sup>1</sup> Yale School of Public Health and Yale Cancer Center, 333 Cedar Street, New Haven, CT 06510, USA

<sup>2</sup> Center for Science in the Public Interest, 1230 L Street, NW, Washington, DC 20004, USA

<sup>3</sup> ODS/NIDDK Grand Forks Human Nutrition Research Center, 100 N. 1st Ave., Grand Forks, ND 58203, USA

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<sup>5</sup> Department of Nutrition, University of North Dakota, Grand Forks, ND 58202, USA

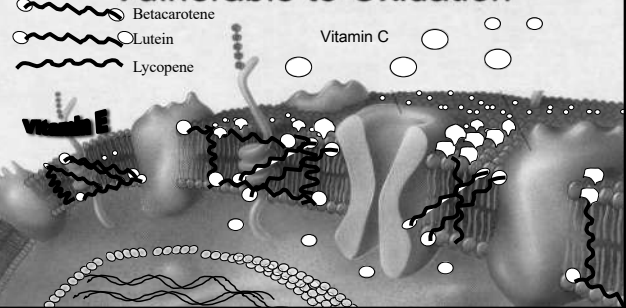
<sup>6</sup> Department of Nutrition, University of North Dakota, Grand Forks, ND 58202, USA

\* Arch Biochem Biophys. PMC 2014 Nov 15.

86

The objective hand scanner is better than the subjective Macuscope, QuantifEYE, and Densitometer for estimating macula pigment.

87



88

## Are you taking a supplement?

89



90

[illegible][illegible]

Other Ingredients: DENTIS, Gelatin, Microcrystalline Cellulose, Croscarmellose Sodium, Stearic Acid, Magnesium Stearate, Silicon Dioxide, Titanium Dioxide.

CONTAINS: Ten (10) Coel, Polaris, Haddock, Hake, Cusk, Redfish, Sole, Flounder

Bovine Spleen 2.5 mg/kg		Bovine Fat Carrier 0.6 g	
Amount	Per Serving	Amount	Per Serving
Total Carotenoids	13		
Total Fat	1.6	g	(%)
Saturated Fat	0.9	g	(%)
<b>Trace Fat</b>			
Wheat Germ Oil (oxidation-free)	12.5 mg/1005 Kcal	0.7%	
Vitamin E (as tocopherols)	20 mg	0.1%	
Lipoic acid 100% concentrate	1000 mg		
Isolated beta-carotene	1000 mg		
3-Hydroxyisovaleric acid	1000 mg		
3-Hydroxyisovaleric acid	100 mg		
Citric acid	100 mg		
Isolated beta-carotene	1000 mg		
Purple corn (20 mg Lipoic acid extract)	56.67 mg		
Isolated beta-carotene	1000 mg		
Alpha-Lipoic Acid	98 mg		
Isolated beta-carotene (20 mg Lipoic acid extract)	23.33 mg		
D-Limonene (from Citrus sinensis peel)	23.33 mg		
Boswellia (Boswellia officinalis L.) extract	16.75 mg		
Isolated beta-carotene	1000 mg		
Resveratrol (from Polygonum cuspidatum root)	13 mg		
Isolated beta-carotene	1000 mg		
Lipoic acid	2.5 mg		
Lipoic acid (from Resveratrol extract)	2 mg		

\* Percent Daily Values are based on a diet of other people's research.

**OTHER INGREDIENTS:** Gelatin, Glycerin, Beeswax, Sunflower Lecithin

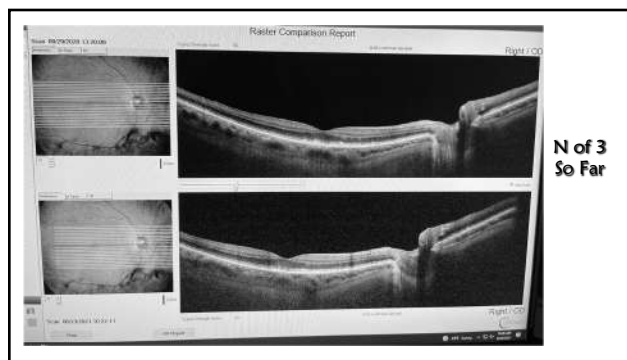
**CONTAINS:** Fish (anchovies, sardines, mackerel).

91

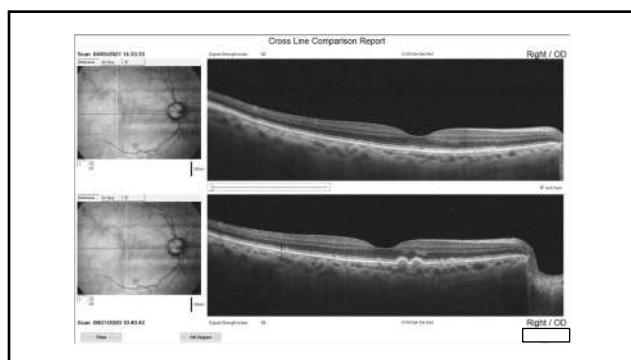
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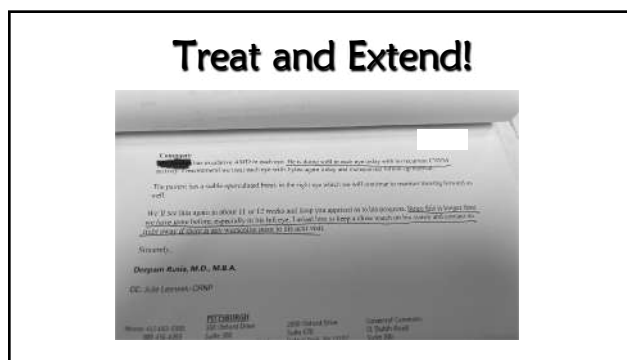
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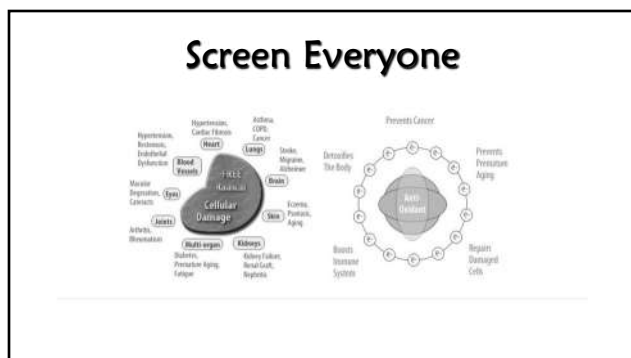
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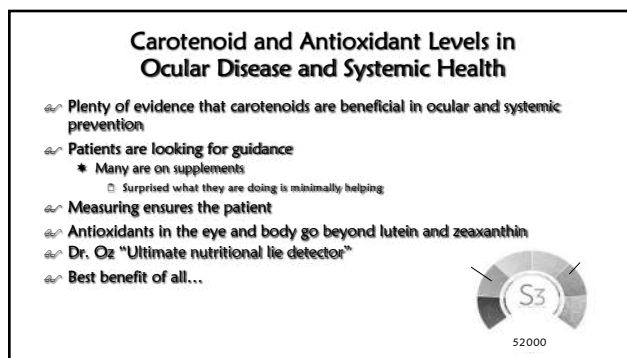
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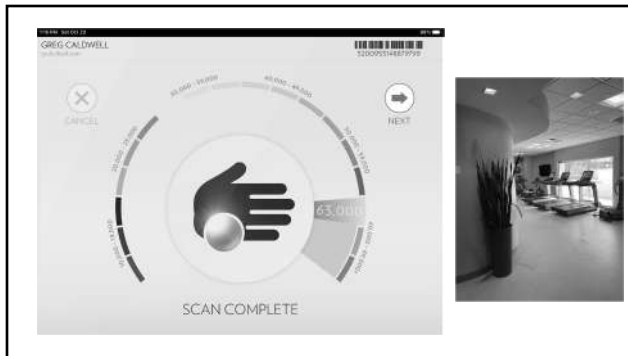


97



98





99

### Thank You for This Opportunity

Do it for:

- \*Yourself
- \*Your family
- \*Your staff
- \*Your patients

100

### Questions and Thank You!

### Carotenoid Levels in Ocular Disease and Systemic Health

**Greg Caldwell, OD, FAAO**  
September 10, 2022  
Forum on Optometry  
Mystic, CT

101