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REPORTER'S RECORD VOLUME 10 OF 53 VOLUMES

TRIAL COURT CAUSE NO. 380-80047-01

THE STATE OF TEXAS

VS.

IVAN ABNER CANTU

IN THE DISTRICT COURT

COLLIN COUNTY, TEXAS

380TH JUDICIAL DISTRICT

DISTRICT CLERK'S COPY

JURY VOIR DIRE

COPY



On the 28th day of August, 2001, the following proceedings came on to be heard in the above-entitled and -numbered cause before the Honorable Charles F. Sandoval, Judge Presiding, held in McKinney, Collin County, Texas:

Proceedings reported by Computerized Machine Shorthand.



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focus really on the death-related issues. That doesn't mean that we won't talk about some other things like burden of proof, because that relates to the death penalty issue.

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As I get into discussing these questions with you and probably truly as the defense also does the same thing, many times without us saying it, the questions we're going to ask you assume, without us saying it, that the defendant has been found guilty of capital murder at the first part of the trial. And that doesn't in any way mean that the State is overlooking its burden to prove he's guilty beyond a reasonable doubt of capital murder. Because if we don't prove that, we don't worry about punishment anyway.

And without speaking for the defense, that doesn't mean they are somehow conceding that he's going to be found guilty. But we move into the penalty phase questions because that's really what this individual part is designed for.

I have a couple of questions. And I'm remiss because I didn't really introduce myself to you. My name is Bill Schultz. I'm an assistant district attorney representing the State of Texas, along with my two co-counsel, Ms. Gail Falco to my left, and Ms. Jamie Lowry to her left.

You recall the defendant who is seated at the defense table in the white shirt. Next to him are two fine Plano attorneys, Mr. Don High and Mr. Matt Goeller.

A. Hi.

- Q. My recollection, Mr. Penhollow, is that you don't recall ever meeting any of us, as I recall; is that correct?
 - A. That's correct.
- Q. And if it turns out that you did, it couldn't be -- it certainly would not be of any great significance because none of us remember that. What I told you all as a group is true about the juror that I got caught at the gas pumps with, and he showed up on the panel. And 20 years later, that's still my recollection of the horror story that happened. But even he, for all of that, even he was a sport and told me who he was.

When qualified, I'm sure you listened to the disqualifications for -- I guess I should say the exemptions that might have been available to you. And you probably actually have one because of your age, if you had chosen to use it. Did you remember -- did you understand when the Judge talked about it?

A. Yes.

Q. You know, I'm curious about that because, you know, I'm not -- when I started this business, I was a lot farther away than I am from your age now, and I'm curious why you wouldn't have taken such an exemption when given the opportunity?

THE COURT: Say, the exemption is 70. VENIREPERSON: It's 70.

MR. SCHULTZ: Has it gone up?

THE COURT: Yeah. Inflation, I think.

VENIREPERSON: Just like age is.

MR SCHULTZ: But if it had been

MR. SCHULTZ: But if it had been 65, you would have taken it, if you had one.

VENIREPERSON: I don't know. I probably would have.

MR. SCHULTZ: When did it change?
THE COURT: I think it was about three
years ago, I think, but it may have been less than that.
MR. SCHULTZ: Well, you can tell I'm in

court everyday.

VENIREPERSON: Well, between then and now, I passed one more marker, so a good thing.

 $\label{thm:court} \mbox{THE COURT: You are getting closer. I} \\ \mbox{hope I make mine. All right.}$

Q. (BY MR. SCHULTZ) Same question, a different way. If given the opportunity to not be on this jury,

would you take that? If the Judge gave you that choice, if you want to be here, you can. If you don't -- what would you do?

A. I would probably not be here. I view that kind of like the Army. I see it as a service that I really am obligated to perform, but I'm not going to stand up and volunteer.

Q. I think most jurors would feel that way. And I'll kind of give you the State's, I'll give you my view, and I don't know if all prosecutors would agree. I would be concerned about an individual. Even though it would seem to favor the State in a death penalty case, I would be very concerned about somebody that said this is the thrill of my life. And the opportunity to perhaps vote in favor of death is something that I consider rewarding or exciting or somehow good.

And I'm not saying -- I'm not saying that those people are bad or insensitive. I'm just saying that's a little different because I think the prevailing view of most of the people that sit in that chair where you do is I think it's awfully unfortunate that we have things in our society that require a death penalty. And this is not work that any of us look forward to, even though we may believe in the death penalty.

It's almost like what you said with the

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Army. We may believe in a strong defense or fighting when our national interests are challenged, but I don't bet there are many people in our society that are just really thrilled at the idea of combat, war, and killing and that sort of thing.

And I have a sense -- I have a sense that you are probably that way and so are most of those other 200 people that we have as a full panel in this case. And I note that you have indicated that you do believe in the death penalty, and you favor it.

And your explanation for that is: If the accused is proved to be guilty of capital murder beyond a reasonable doubt, then I believe he or she should be executed in accordance with Texas law.

And I want to talk about that answer with you a little bit because when we do these questionnaires, Mr. Penhollow, the way we do them is before any of the lawyers get a chance to explain the law to you and, although the Judge gives a brief explanation, it's really not of the same level as -- as might be done later on when everybody wants to make sure you understand exactly what the procedure is.

And I'll bet most of those 200 people come into this court -- come to court thinking that, well, capital murder means a death penalty occurs because a

consider both options in this situation. Does that make sense to you?

- A. Yes. My answer reflected ignorance of the finer points of the law.
- Q. I wouldn't call it ignorance. I think it reflected logic, frankly.
- A. I didn't understand. I was not separating between --
 - Q. I know that.
 - A. The crime and --
- And I guess here's the way the legislative thinking works on that: I guess what they think is we will identify a certain class of crimes that we think are so bad that a person should be risking his life to commit such a crime. And that's kind of thinking that there is a class of crimes that's so bad that the death penalty should be possible punishment for them. And after that, it becomes up to the jury whether that -his specific circumstances and how he did it and all the overview of it, all is one that really warrants death in his particular case.

And so being convicted of capital murder means you are looking at the death penalty, and you are also looking at a life sentence. Does that make sense?

A. Yes.

person has been convicted of capital murder. And I wish we had a different term for that. I wish we would call it something like aggravated murder or murder plus or multiple murder. I wish we had a term instead of capital murder for the crime itself because I don't

think the confusion would then exist.

Why I say there is confusion is because, and I know you know this now from earlier discussions, in a capital murder case, once found guilty, there is still a punishment range. It may be life or it may be death, and that's really the range. But there's no automatic, either one, in capital murder.

The only automatic sentence in capital murder that I know of, the only automatic sentence is if a person is a juvenile at the time that the crime is committed and gets certified as an adult. That is an automatic life sentence if you are found guilty because, under our law, you can't give the death penalty for a person of that age. That's the only automatics.

I mean, it could be Timothy McVeigh. We could be trying him here in Texas for what he did, and everybody would know what's coming in that case. Everybody would know, you could try it a hundred times, you are going to get a hundred death penalties. It still wouldn't be automatic. The jury would be free to

Okay. And the idea of a juror in a capital murder case really isn't one of somebody being right down the middle. If we were to say, well, Mr. Penhollow, as you sit there right now, where are you? Are you leaning one way or the other, in the sense of a death penalty? And your answer being, no, I'm right down the middle. I mean, that's okay, but that's not all there is to be being qualified.

You could say, I believe we don't do it enough. And you belong in the eligible pool for people to be considered as jurors. And you can say I think --I think we are barbaric in doing that, and you can still belong in that pool of qualified jurors as long as you express a genuine ability to consider voting in a way that would result either according to the evidence. Does it make sense to you?

- A. Yes.
- Q. You know, and if, for example, if I -- if Iwere to as a lawyer perceive you to be an extremely reluctant juror in a capital -- to actually cause a death sentence to occur, but you told me you could do it, but I think Mr. Penhollow is an individual who probably is going to require a lot more convincing than the average juror, if I felt that way.

We have peremptory challenges. That means

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each side gets to say, Judge, I would request Mr. Penhollow not be on this jury. I could do that, and you know, nothing personal. And, of course, you're telling me you are not going to be aghast anyway if you don't have to be up here.

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And the same with the defense, they might perceive that you are somebody who is going to be a little more or a lot more harsh than the average person for the death penalty. And they do the same thing. You are still qualified. Then we get to use our -- our -- our challenges.

There's another kind of challenge that occurs, and that is if a juror comes in and absolutely says, I can't follow some part of the law that the Judge will give me. It's not that I'm unAmerican. It's not that I'm disrespectful of the Judge. But I've got such tremendous feelings about some part of the law that -- that I could, that I could never, no matter what, vote in a certain way. And those individuals would be challenged, what's called, for cause. That means we don't have to use our discretionary challenges.

It would be a challenge for cause because the law says both sides have the right to be examining only those jurors that could follow all the applicable law that might happen in the case. And I can't think of Could you fairly consider it in a proper case that says five years' probation? And some jurors say: No, there's no way. I would like to tell you I could, but I couldn't because I think that's true.

And other people say, well, I can't think of a case like that right now, but the legislature must have known there are such cases or they wouldn't have given that option. And I can fairly look for those cases, and if I found such a case, I would impose it.

And -- and what they are meaning is, I can't imagine how I'd ever do that, but there must be a case where I would, and I'm open to it. But if they say: No. I could never give probation no matter what. There is nothing you could ever tell me, then they are not qualified. Does that make sense to you?

A. Yes.

Q. Now, that really happens a lot in death penalty cases. And it happens where people have moral objections to the death penalty as a concept. Asking for somebody to come in and vote for an execution that believes that it's against God's law is asking more of most people than they are willing or able to give. And so they just say: No. There is no way I'll ever give a death sentence.

And then the Judge hears that. The Judge

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other examples other than the death penalty.

I think, for example, if we're prosecuting parents for not letting their children have medical treatment, and their position is, the reason we don't do that is we belong to a faith that believes that prayer substitutes conventional medical treatment. It might be that we would get a juror on, as a potential, that would be from that same faith.

And, you know, asking that juror to prosecute one of their own people as a juror for doing what they believe also might be more than they would be willing to do. It's kind of that idea. And where it usually arises, it arises in a couple of contexts in our business. One, is sometimes asking jurors: Could you consider probation for a certain kind of crime? And sometimes jurors say, no, I could never consider it.

And perhaps those people would end up disqualified, depending on how strong they were. Like rape of a child. That's probably about as awful a crime as I could even mention to anybody. And sometimes you get a juror and you say, you know under our law, rape of a child or aggravated sexual assault, as it is more properly called, aggravated sexual assault has a possible punishment of five years' probation, assuming the jury finds certain things. It finds eligibility.

hears what they say they mean. And then the Judge rules, and normally those people don't serve because they are against a portion of the law the State has a right to rely on.

Certainly you are not in that category of people that have a moral opposition to the death penalty. And I also don't get the impression from talking with you that you are a person who has made up your mind that, since the law required an open view of life or death at the punishment phase, you have not made up your mind one way or the other what you are going to do if you get that far as a juror. You are not that way, are you?

A. No.

Q. And I ask you that because, again and this -- and I disagree with your term ignorant. I think you are logical and that just means that you are not familiar with some of the legal procedures. Your best argument in favor of the death penalty is to make clear to society in general that capital murder, if proved in court, will result in the death -- in death to the accused. And that's a good argument in favor of the death penalty.

In fact, that's an argument that I probably will make. If the defendant is found guilty of

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capital murder, and we introduced our punishment evidence, and we are finished with the trial, and we get to the punishment argument, that's probably the argument I would make.

I would say, ladies and gentlemen of the jury, it is absolutely necessary in our society to send a message by strong verdicts to people contemplating such awful crimes, that when you take a life, you are risking your own. And there's nothing wrong with that. And that's proper argument, even in a death penalty case. But still you have to answer the special questions that don't take into account just the deterrence aspect.

From what you are telling me, you think deterrence is the best argument for a death penalty. And while you are free to have that view, it doesn't -- the deterrence aspect doesn't have a strong -- it doesn't have a direct place in answering those questions. When we talk about the questions, you'll really not see much in the question that talks about deterrence. Am I making sense?

A. Yes.

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Q. You think the best argument in opposition of the death penalty is that people that commit murder may still serve some purpose by living in prison the rest of committing murder. Murder in the course of robbery -that means murder in the course of forcibly taking
property from someone and then -- and then after that, a
murder ensued.

That happens most often probably at 7-Eleven, robbery murders or a convenience store, fast food robberies, and that sort of thing. But it can also happen in a residential setting. If I break into your house tonight and get into it with you and kill you and then take your property, all part of that same transaction, all interconnected, then we'd probably have capital murder. And also we also have capital murder because of the burglary. Does that make sense to you?

- A. Yes.
- Q. And then, thirdly, the other type of capital murder alleged in the indictment is the murder of two people in the course of the same criminal episode. And what I said before, the legislature has decided those are classes of cases that, if you do them, you are risking your life by doing them.

And I ask you: Do they seem like the kind of crimes, does that seem like the kind of murder plus that ought to potentially carry the death penalty in your mind?

A. Yes.

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their lives and may serve a greater punishment thereby. And a couple quick observations on that, and again, it's just -- there's no reason you would know that. We don't have anything in Texas called life without parole.

So, even though the jury will ultimately be instructed not to speculate on how or when a defendant might get out on a life sentence, you will be instructed in certain form that there is no such thing as a life sentence. There's a -- what we have right now is a minimum 40 years you have to do on a life sentence before being eligible for parole. And then thereafter, that's up to somebody else, not you, me, or the Judge. It's up to the Texas Board of Pardons and Paroles.

And then after telling you all that, you get told not to consider that in this case, which never made any sense to me, but they didn't ask me when they did that. So when you put in there the best argument against the death penalty is that people have to stay for the rest of their lives, that would be an argument for us to have such a thing as life without parole. I'm just saying we don't have such a thing now.

The kinds of capital murder that are alleged in this case are that a person was murdered in the course of committing burglary. That means entering a habitation without consent, and then once inside,

Q. We will perhaps give the jury options on those cases. And it might be, for example, if you find the defendant murdered in the course of burglary, murdered in the course of robbery or murdered two people in the same criminal episode, if you find any of those three or even all of those three, your verdict would be guilty of capital murder. And if you don't so find any of them, then maybe you could consider something lesser. Does that make sense to you?

A. Yes.

Q. And it's not like -- sometimes as prosecutors we worry that the jury will think, well, they don't know what the proof's going to be because they have all these different varieties. The simplest and most honest way I can explain it to you, it's insurance.

If we have different varieties of the same crime that might work. I hate to think that we limit ourselves to submitting burglary, and then have you have some question about the issue of consent on the first entry or something like that. So we have these alternatives because if it's a capital murder, we are entitled to have all these different varieties.

So you are not going to hold that against us, the fact that we have different theories that some of which might not work as long as something works. And

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if none of them work, then you find the defendant guilty -- I mean, not guilty; is that right?

- A. That's right. As long as it's proven.
- Q. Exactly. And we have to do that beyond a reasonable doubt which may not be defined, but still juries for centuries have been using that term and getting through it just fine. And most of us think that the criminal justice system works okay once we get to trial.

So you got to find him guilty of capital murder beyond a reasonable doubt or else he's not guilty. And you don't have any problem calling it like you see it that way. Assuming that he's been found guilty of capital murder, we move to the punishment phase. Can you see this the way it's turned now?

A. Yes.

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Q. This is the first question, and really we should have added to that above it: Do you find beyond a reasonable doubt, instead of whether this out of the statute. But it should be worded: Do you find beyond a reasonable doubt that there is a probability that the defendant would commit criminal acts of violence that would constitute a continuing threat to society?

Now, there are certainly many open-ended questions in that because we don't give you any

be that she requires hospitalization. It may be that she's bruised, and the bruises would heal, for example. And that still is an act of violence, and it's also criminal. Do you agree with that?

A. Yes.

Q. And there are probably some criminal acts that we would all agree are not acts of violence. I mean, let's say, income tax evasion, criminal act. I mean, but it -- you would have to do some real thinking in your head to figure how that could be, how that could be an act of violence. I mean, unless you -- unless you sent a bomb to the IRS along with your return or something like that. Most of the time it would never be an act of violence.

And yet, if you stop and think about it, if your purpose is deciding, is this person going to be a continuing threat to society by probably going to commit criminal acts of violence, it might be that tax evasion would be something that you could at least consider on that issue. Because you'd say to yourself, well, I mean, I probably got -- you and I probably know some people that we like that probably have done a little bit of that.

If we stop and think about it, I bet we all can think of somebody that maybe hasn't always paid

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definitions of any of those words. Even beyond a reasonable doubt, we don't even give you a definition of that anymore.

And yet, juries have been doing just fine with that because that's exactly the same question every juror in the State of Texas gets asked in every death penalty case you've ever seen about on TV or heard about. It's exactly that same question. And although it's vague, it's -- it's fine, and the juries are able to work on it just fine.

You notice the term in there, criminal acts of violence? Everybody knows, we'd all agree on what certain acts of violence are. For example, I think we all agree that shooting somebody is an act of violence. Beating them up with an instrument is an act of violence. Setting them on fire. All those kinds of things would certainly be acts of violence. And they don't even necessarily have to be acts of serious violence.

For example, let's talk about domestic violence. Wife beating, let's say.

- A. Uh-huh.
- Q. Do you consider that to be an act of violence?
- A. Yes.
 - Q. Okay. And it may only be, I mean, it may not

all the taxes he or she should have paid on all the money they made. But maybe somebody doing that -- I'm not talking about this defendant -- I'm just using this as an example. But maybe somebody do that that would give you some clue into their character or personality that would help you answer that question one way or the other. Does that make sense to you?

- A. Yeah, it could. It might.
- Q. It might be small. And it might be that stealing, for example, shoplifting is probably never going to be a crime of violence. Because if you have violence along with it, it probably becomes a robbery or something like that. But somebody that does shoplifting, that might give you some clue into their character that would help you answer that question.

You might say, well, if a person steals, that shows a certain lawless character or an unwillingness to follow the law. And that's some evidence of a breakdown of their self-control that might result in violence later on. And then we get some other questions. We get some other crimes that are really borderline.

For example, what about -- what about dope dealing? What about delivery of controlled substances? When we first stop and think about it, that doesn't

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sound like an act of violence, right?

A. Right.

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Q. And yet, if you look at it a little more broadly, number one, knowing how bad drugs are, anyone that delivers drugs, knowing how bad they are, it might almost be a delayed act of violence. Because if your definition of an act of violence is to do harm to another human being, to do physical harm to another human being, if -- if I sell you narcotics, maybe the act, maybe the transaction itself is not an act of violence, but what it's going to do to you when you take them may be.

I'm not saying that you agree, but I'm saying that becomes a more fuzzy issue whether that's an act of violence.

- A. That's very fuzzy.
- Q. And absolutely. And furthermore, I think we all understand that when you and I get involved in this business and me selling you drugs or me selling you a lot of drugs and you go sell to some other smaller people, a lot of drugs and do all that, that violence results -- violence is all interlaced with that whole business we're in. It's a truly violent business. Somebody is going to try to rob us for our drugs and some shooting might start. And you got guns, and we got

A. I think there's some -- as you point out, there's some troubling words in there as to how you would interpret it.

- Q. Uh-huh.
- A. What is really probability? And does it really constitute violence, or is it propensity to violence, all of that?
- Q. And absolutely. And when we talk about it, at first it sounds like it's going to be confusing and difficult. I like to look at it as liberating. I like to look at it as inviting the jury to actually consider the entire circumstances of the crime and of the defendant and really come back with a verdict that's enlightened and reasonable and a verdict that they would -- they would, like everybody else in that same situation would be returning. That's kind of like society's view of evidence and in that statute.

Another thing that's not defined, you are right about probability. Some people say it means a possibility. I mean, if you and I have a coin, and we are flipping it, and I say let's flip it a hundred times. And my question to you is: What's the probability that it's going to come up, you know, 99 times heads and one time tail? And I guess the probability is probably like a hundred to one, or

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guns because that's how we do our business.

Somebody is going to get sick or overdose for the drugs we sell. You and I probably aren't chemists, and so we don't know if our drugs are even as safe as they are supposed to be. They might even have some bad stuff in them that will kill folks, and we probably don't care. We want our money and we want to do the transaction, and that's somebody else's problem down the line.

Maybe, maybe somebody takes those drugs and that makes them violent, and they go out and do some really violent crimes because drugs by their nature create -- create violence and encourage violence in people. So, I mean, the whole idea of being involved in a drug -- in the drug business is permeated with violence, even if the individual act isn't violent itself. Do you know what I mean?

- A. Yes. I know what you are talking about.
- Q. So that might give some clue into a person's propensity of violence in the future. Now, as you sit there, where you are now, does that look like a question, if given evidence, you could answer one way or the other, according to how you view that evidence?
 - A. Yes, I think I could.
 - Q. Okay.

something, but that's still a probability that that would happen, but it's just remote.

And so some people would say that probability means merely a possibility. I like to think it has to be more than theoretical. I mean, I like to think -- I use the example of me making the Olympics. I mean, you don't know how fast I am. I may be the world's fastest guy. But the probability of that, as we all sit here, is pretty light. It's probably really, really, really low. But I guess there is still that probability that I could somehow make the Olympics, if I had a really good race or something one time.

Weathermen, weather people, weather forecasters often use the term probability rain. 20 percent probability of showers today. And that's a probability. It's less than 50-50. Some people say probability means more likely than not.

If I say: "Are you going to the office party Friday night?" And you tell me "probably." I'm hearing you saying you more likely than not will be at the office party. So, you know, you are free to define it as you want. And your definition may be different from somebody else's.

Society is another tough one, because I tell you why that's a little bit tough. You might think

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to yourself, well, he's going to one of two places. I never get to that question unless he's been convicted of capital murder. So he's going to prison either way. The only question is: Does he go to the life side of the prison or the death row side of the prison? That's the only different outcome.

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And it is fair, therefore, to consider prison society in answering that question because it may not be yours or my society. But prison society is -- is a society. They've got bathrooms. They have prayer services for those who want them. They have television. They have reading material. They can go to school. There are things like that available. So it's a society. And they got rules, and they've got hierarchies. And they've got -- you know, they got electricity and heat and all the things that make up a society.

It's just a very special sort of society. So you can consider prison society. You can also consider the outside society. You can look, because that question doesn't say to you: Do you find that the defendant can safely be held in prison?

First of all, it doesn't say that because that's not what the words are. And, secondly, if that's -- if that's the narrow focus of what that

also -- you begin to think about, well, commit an act or foster the commission of a criminal act. And then things get -- that's when it starts to get a little bit less black and white.

Q. And you bring up a good point because many times in our society a person may only direct or have other people commit crimes.

A. Yes.

Q. And I look at Adolf Hitler and I say to myself: How many murders did he commit? And I guess the answer, depending on how you read it, maybe none. I know of no evidence that he ever killed anybody himself. And yet, you are absolutely right. You may, in fact, be fairly responsible for 6 million murders, even though you didn't pull the levers yourself or do the machine gunning yourself kind of thing. Does that --

A. Yes. But in that case that you just cited, it's less difficult for people to conclude that he was certainly involved in a criminal way.

Q. Absolutely. And if you were on trial for capital murder, and he might offer evidence, well, I never killed anybody. I'm not a violent man. I was just running the country kind of thing. But you look at the whole character, and you say: Well, how did that all work? And how did he -- did he knowingly get

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question involves, there's no way that could -- that could ever be said. Because we know any jury in this state looking at that question, is looking at a defendant that's heading down to the penitentiary.

You can't go anywhere else. You can't do that. But that question can also be fairly read to say, is that person presently the kind of person that we could say right in this point in time is probably going to be a violent person in the future? and that includes our society out here.

That includes at the ice cream store or at the mall, and that includes being our next-door neighbor. That includes going to baseball games or, you know, being in the barrooms or wherever anybody else might be. You can say, is that person likely to constitute a continuing threat to our society in that society? You can also read it that way. Does that make sense to you?

A. Yes, it does. I think the word commit and how that chain of criminality plays out is a key issue in this. I tend to read a sentence like that thinking of the word commit is commit in the first person. That is, the person committed the act, whatever violent act this was.

But as you were pointing out, it can

involved with all of these people? And did he -- did he encourage them and sponsor them and that sort of thing? So that question can be answered according to the evidence.

It doesn't require you to -- it doesn't require you to certainly know what's going to happen in the future. I mean, you and I don't know that the sun's going to be shining tomorrow. We assume it is because it always has. But we don't even know that for certain, but we figure it does. It requires you to find that he probably will.

And that term, continuing threat to society. Continuing threat really isn't defined either because it -- what does that mean? Does that mean he has to do it everyday? Does it mean once a year's enough? It's just another one of those, you'll know it when you see it.

And I believe juries will know the fair answer to that question if they are open-minded when they see it. And there are cases -- you seem like the kind of man to me that can say, this is a one time only deal. He's -- a defendant has learned his lesson. It was a combination of circumstances that got him into this. And for any of a number of reasons he'll never do it again. I mean, you can envision cases like that,

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- A. Right.
- Q. And the one I like to always use: What if he's had a stroke since the crime? He's paralyzed from the scalp down. Well, he can't be dangerous if he's in that condition, you know. I mean, that's one example. But there can be others.
 - A. There's a lot of examples, I think.
- Q. Yeah. I mean, that example I used about the father of the child that was murdered, and the killers get out of court on a technicality. And they are guilty, and they are almost laughing about it. If he goes and gets a gun and kills both of them, that's capital murder.

He's intentionally caused the death of two people. And in the same criminal aspect, he's a capital murderer. And I'll bet if you looked at his whole life and said, yeah, this is a great guy that loved his kids and wanted to dance at his child's wedding some day. And he just did this because he felt it was the right thing to do. You easily could say that is not a dangerous man. That's a broken man. He's not a dangerous man.

And that's kind of the idea. And that question even encompasses other things. It would

being fair to the State and being fair to the defendant is no. Do you know what the result of that no answer

- A. As I understand it, it would be that he would be given a sentence that would involve a life in prison.
- Q. That's exactly right. And a no answer to that question, that's the end of the trial, and we all go home except the defendant. He goes down to Texas with his life sentence. He goes down to the TDC or the TDCJ with his life sentence.

And that's not an automatic life sentence, in one sense, because you have to look at the evidence and fairly consider it. But it is -- once that question is answered, the job is done. You come back and say, Judge, we're finished, and that's where we are.

If the answer to that question is, yes, we have proved to you beyond a reasonable doubt that the defendant is probably going to be a continuing threat to society by his acts of violence, then there's one more question for you. And I like to call that question the last-look question or the humanitarian question or the -- are we sure that it's the right thing question? And all of which, I mean, is positive and not in any way negative. So read to it to me or, I mean, read it to yourself, Mr. Penhollow.

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encompass a legitimate change of heart on the part of somebody who had done a crime like that. I mean, do you believe that it's possible for someone to do a horrible, terrible, terrible capital murder and have some change of heart or belief or something like that that's really going to be -- that that can be done by people?

- A. I think it can be done. I think it's hard to climb over that event.
- Q. For what one thing you might be skeptical. You might say, well, isn't it convenient that this conversion has happened after you got arrested, and you are looking at a death sentence? You could do that. You could also say, where was God back when you were doing all that? You could do all that.
 - A. Yeah.
- But at the same time you recognize such a thing is possible?
- A. Yeah. I think it's possible, but it's difficult.
- Q. Let's assume that you answered this question, no. Let's assume when you are hearing the evidence you say, you know, there's not a probability. I can't say he's a continuing threat to society, either ours or prison society and any intermediate society that there might be between them. And my answer to that question,

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Once again, it's a little bit fuzzy, but it seems to me -- you'll be the one that would decide it -- but it seems to me that question is saying there are cases of capital murder, even involving a dangerous person where, if you look at all of the evidence, look at the person's life as a whole, look at the circumstances of the killing and his motives and his reasons for what he did, look at the provocations, look at how his life is now, look at everything about this whole case, that question tells me that the legislature says there are cases where a life sentence would be appropriate, even with a dangerous person.

And that means, sorry, to do this to you, prison. Here's a dangerous person, but we, the jury, have decided that you need to be taken care of, the dangerous person, for the rest of his life because of what we found in the evidence. And so the legislature is telling us that it's okay to vote yes on that question. Yes, there is mitigation because they are giving it to us.

- A. Well, I think that's a -- a good safety feature in one sense.
 - Q. Uh-huh.
- But in my own case, I think I would have probably crossed this bridge when I was considering

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whether this person would be a $\operatorname{--}$ a threat to society in the first question.

- Q. Well, and that may be. And depending on exactly what you mean by that, that really could happen because, what this question here, what this mitigation question actually asks you to consider is the background of the defendant.
 - A. Yes. I realize it.
- Q. And you already, and I'm serious, but you would have considered the background of the defendant in answering that first question.
 - A. Right.

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- Q. If he's been a saint all his life, and we have this one capital murder that pops up, you would have considered his background in the light of that first special issue, right?
 - A. Right.
- Q. I mean, you would say, okay, I got to find out whether he's dangerous or not. Let me see what he's been like. Let's look at his background. That means you might consider his growing up stuff. You might consider how he grew up, and was he good in high school and got along with everybody and then just turned bad? Was he a war hero, for example? Was he in the navy? And did he get the Navy Cross for distinguished Navy

it and have been able to get through that -- get through that just fine.

And then it asks you to look at the person's moral culpability. The personal moral culpability of the defendant. And I don't know what that means and neither does anybody else in this room. No disrespect to the Judge or the lawyers. Nobody knows what that means. We ask ourselves, well, if you intentionally cause somebody's death, how could you not be morally responsible? Something I think of, and it may just be me. For example, I think of Dr. Kevorkian. Everybody knows who he was.

Depending on how you look at that, if he killed two people at the same time, under our law that could be capital murder because there's no special exception in Texas law, for example, for what we call assisted suicides. That's just plain murder, and yet if you stop and think about that, there are probably a lot of people in our society that would say, well, that's not immoral what he did. It may be illegal, but he just -- he may be ahead of the curb in terms of our societal morality. Do you follow what I'm saying?

- A. Yes, I follow.
- Q. That's an example where a jury could say, you know, Dr. Kevorkian's a capital murderer because he

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service? Did he -- you know, those kinds of things you might have considered, and so that's fair.

That's fair for you to say that many of this stuff might have already, in your mind, been answered when you dealt with that first question. The defendant's character and background. I can't imagine anything more useful to somebody looking at that first question about his future danger than his character. Because that's -- that's what we're talking about. Predicting somebody's future activities is almost a search for their character.

- A. Uh-huh.
- Q. You know, you meet somebody when you are young and you want to marry them. And she says, will you always love me? Well, it may well be that it's her character that's going to tend to answer that question or maybe yours. Predicting the future about what's going to happen or probably always going to happen involves a look at people's character, don't you think?
- A. It does. It's risky, obviously. It always is when you try to predict and look forward as opposed to looking at the history because it can go both ways.
- Q. Absolutely. And -- and yet, once again, however many people we have on death row. Good people of goodwill and conscious like you have been able to do

killed two people, even though they wanted to die. He still killed them. And yet it's hard for me to say that he's morally culpable personally because he's doing what they wanted, and the law ought to be different. Does that make sense to you?

- A. Yes. I think there's a difference.
- Q. And there could be others. And I know the defense attorneys might have other ideas. But we're just, I mean, we're just -- that's just a phrase that you are supposed to consider, do the best you can with, because none of us can come up with exactly what that means. It can't be an accident or mistake because those would never be capital murders.

You are not morally responsible if you have a tire blowout, and you run over a couple people and kill them. You are probably not morally responsible for that, but that wouldn't be capital murder. So we wouldn't get that question anyway, unless you knew your tire was bad and you didn't fix it, then maybe so. I don't know.

- A. Yeah, right.
- Q. It asks you to consider all of this mitigating evidence and look for, if there is any, and then decide if that mitigating evidence is sufficient to warrant a life sentence. And I'm going to tell you that there is

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no such thing as a criminal capital murder case that wouldn't have some quote mitigating evidence in it.

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I guarantee you, if we brought Adolf Hitler over here, and he were still alive, and we were prosecuting him for 6 million crimes of homicide, there could be some mitigating evidence presented for him.

I don't know much about Hitler, because I'm not much of a historian kind of person, but I have a sense that he didn't have a great childhood growing up. I have a sense that he didn't have much of a dad, and I have a sense that he was such an unwanted child that there was a real contemplation of abortion because I heard Paul Harvey talk about that.

The trouble with abortion is, you never know if it's a right or wrong thing to do. You never know how it works out until, down the road and his observation was, you know, as bad as maybe I think abortion is, maybe, maybe in Hitler's case it would have been better if his mom had gone through with it because who is to say all of that.

And so, but Hitler had some mitigating evidence. I'm sure he went to bed at night as a little boy crying. I'm sure probably he got teased as a child. I'm sure he cried because he couldn't go to the -- the soccer games with his dad because his dad wasn't around.

of character that I'm real impressed with. And then you got to look at his background.

And you say, well, here's a guy that had some brains, and he had some capabilities. He had some great oratory skills. He could have motivated people for good. He could have created the "We Love Germany Society" to go out and help people because he was a great orator. Instead, he took and twisted that talent around into something -- something very very malignant.

Then you could say the personal moral culpability of the defendant. And it's pretty hard to say he didn't have any personal moral culpability. And then you say, okay, but I'm considering all that other stuff, like his military background and his crying at night and not having a dad.

Is that sufficient mitigating circumstance that he ought to get a life sentence, even though I already found he's a dangerous man? I think for most of us, I think we probably -- I don't know, I'm just guessing -- I think we'd probably say that all that sobstory stuff isn't nearly sufficient enough to overcome what he's done. Do you agree with me on that?

A. I agree.

Q. Okay. And so when you say you probably have already gotten to this point of answering that question

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I'm sure he was probably not as good in sports as somebody else was.

I don't know if he took to drinking. I know apparently he did some drinking back in 1923 at the beer hall. But other than that, I don't know. But maybe he got into some of that German beer and got his judgment clouded. There would be mitigating evidence in his case. Maybe if he was waiting around for a trial for a while and he was in the jailhouse, maybe he'd get himself religious. He had been in the military. And apparently as a little Austrian corporal, he distinguished himself somewhat in World War I.

I mean, all of us can be extremely impressed by distinguished military service. And so that's all stuff that could be mitigating. And yet, you get to answering that question involving Hitler and you say, well, I got to take into consideration all the evidence, including the circumstances of the offense, and you see those people being lined up and moved into the gas chambers and exterminated like rodents.

And then you say, okay, I've also got to take into consideration the defendant's character, and you get the *Mein Kopf* book out and you start reading what he was thinking and what was leading up to all of that. And you've got to say, wow, that's not the kind

by answering that first question about future danger, it seems to me you are right on with that because the things that you need to answer the future danger question have been things that you had to take into consideration. Just like this background, circumstances of the crime and his character and background.

So you -- the analysis in many ways will be the same, but the -- how you see the evidence will be exactly the same. It's your purpose that you are looking at the evidence that might be different on that second question. Because what the law contemplates by that question is that there not be an automatic death sentence resulting from that first question.

Q. In other words, the law contemplates that, even though you have found a capital murderer is probably going to be a continuing threat to society, the law contemplates that you actually look at that second question, fairly test the evidence against what that question is asking you, and give a true answer. And it may be, you know, I don't know -- I don't know, statistically speaking, how frequently that question ever gets answered yes, like across Texas, my suspicion is it's rare. But it does happen.

And whether I'm right or wrong about that,

A. Right. Q. In oth

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doesn't really matter anyway because we're not interested in what some other jury does with that question. We're only concerned about what it does with Mr. Cantu, the defendant in this case. Are you with me on that?

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- Q. It may be that how a person became a dangerous capital murderer may not even be his fault. It may be that realistically we hold him responsible for his acts and for his danger, but it is very possible that there could be cases where it's not his fault that he did what he did. Does that make sense to you that there could be such cases?
- A. I'm sure there are probably such cases. I think that's a very critical issue.
- Q. Absolutely. Let me give you an example. Let's assume a person develops a brain tumor, a pretty severe one that's inoperable.
 - A. Uh-huh.
- Q. And that's what they said about that guy down at the tower, down at UT. Do you remember that Whitman guy?
 - A. Yes.
- Q. And let's say they were right. Let's say the reason he did that was because he had a brain tumor that

Maybe we have to hold him criminally responsible. We can't have him out there doing that all the time. We don't have to put up with that. But maybe he's not morally responsible if there's a brain tumor up there because he didn't put it there. Do you know what I'm saying?

And the point is: You might consider that to be sufficient mitigating circumstances. You got to admit that's awful sad. If somebody has a brain tumor that has distorted him as a human, that's a sad thing. Don't you agree?

- A. I agree that is a very sad circumstance. And in that case I think this particular question probably gives the jurors more of an out and maybe more for them than it does for the defendant.
- Q. I absolutely believe that question is for the jury. I think it would be horrible for a jury to go out of court saying, you know, we had to vote the evidence, and so he gets a death sentence. And 12 of you look at each other and say, what have we done? I think -- I think that's for the jury. I don't think that's for the defendant. It may benefit the defendant, but that's not -- that's for the jury and the peace of mind of the good people in our society doing this very difficult work. And if the defendant benefits from that, well,

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was pressing on the aggressive part of his brain. And it was inoperable. And he's dead so we never dealt with it. So it might be the fair answer to that question would be, yeah, he's going to be dangerous and because of this inoperable brain tumor. And you may look at his life and say, he used to be an okay guy, and he didn't do anything to put that tumor in there.

He had bad luck. And, in fact, he used to do some good things before that tumor started growing, and he was a good fellow. And maybe the doctors all came in and say, you know, I wish it weren't so, but he's never going to be anything but mean because of that brain tumor.

And it's a tragedy because he didn't have to have that brain tumor, but he does. Well, he's a dangerous capital murderer. We know he is. You've heard evidence from doctors to that effect. It might be that you'd say in that case, yeah, I considered all this on the issue of future danger. And I conclude he's going to be a future danger, but this background means a whole lot to me. And the background was, until he got that tumor up there, he was an okay guy.

And maybe a guy like me, and maybe if I had gotten that tumor I would have been up there. You might say, maybe that's a personal moral culpability.

that's fine. The jury -- the jury's worth benefitting a capital defendant.

- A. I think that's true.
- Q. I want to make clear with what you are saying that you are not telling me that, if you answer that first question, that second question is automatically answered by you. You are not telling us that?
 - A. No.
- Q. And I -- I don't have any disagreement with you saying that two kind of work in many ways. They are on parallel tracks in terms of how you analyze it all, but there could be circumstances in which a person's background is enough to spare his life but not enough to make you wonder if he's dangerous, you know?
- A. Well, and as you pointed out earlier, and I will say again, you'd have to look at both halves of that. You've got to look at the circumstances of the offense and what the enormity or the lack of the enormity or the cruelty or whatever may have accompanied that, along with the issue of character and background and moral culpability issues. I'm not quite sure I know how to digest that one either.
- Q. And probably the worst crime, the more mitigation would be required to be sufficient. I mean, I'll bet there would never be any mitigation in the

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Hitler prosecution, for example. It just couldn't be done. The crime is just too enormous. Nothing would matter in a case like that.

- A. There's also a question of how far back in time these events occur, and how vivid the memory is and, you know.
 - Q. Sure, sure.

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- How much it weighs on society as a -- as a crime that is worthy of us.
- Q. Okay. The notion is juries that will be fair and -- I get a sense from you -- and I might be wrong --I get a sense from you that you might have a higher standard of sufficient mitigation than somebody else on the jury might have, but maybe it's lower, too. Nobody knows. You have never done this before.

Do you think you could be fair to the State and fairly require us to prove his guilt beyond a reasonable doubt before we even move to that punishment phase?

- A. Yes, I think so.
- And are you the kind of man that, if the State fails in proving his guilt beyond a reasonable doubt that could vote not guilty and go out of here and know that you've done the right thing?
 - A. Yes, I could do that, too.

- Q. And are you the kind of man that could prove he's guilty beyond a reasonable doubt you would -- you would vote that way, even though that moves us into the more difficult part of this trial perhaps, and that's deciding punishment? You can still do that and just call it like you see it?
 - A. Yes.
- Q. And the same thing with those questions. Are you the kind of man that, having heard this evidence, would say to yourself: That crime looks like he ought to get death for it? But you could still answer those questions in a way that wouldn't result in death, if that's what the evidence required?
 - A. Yes. If that's what the evidence required.
 - Q. And that gets tricky because --
 - A. It is tricky.
- Q. When I ask people -- when I ask people that are opposed to the death penalty: Are you able to vote in a way that would cause what you are opposed to? That's -that's asking of them a -- a lot to be mechanical in answering questions that almost seems like it's doing

 - Q. And yet that's what we have to have from

enough and honorable enough to do what they, in their own minds, if making choices wouldn't like or wouldn't exactly think is just quite right.

- A. That is a very tough choice, but --
- Q. You mentioned the Army. Were you in the military service?
 - A. Yes.
 - What branch?
- Corps of Engineers.
 - Did you ever -- did you ever get into any type of combat or combat theater?
 - A. Fortunately not.
- Q. Well, you certainly know in the military that it is -- everything supports the combat arms when you get right down to it. I mean, ultimately your job was to be able to provide ways to cross terrain and ways to move heavy equipment for combat purposes.
 - A. Right.
- Q. That was your job. And just because you are in the military and follow orders doesn't mean that you agree with everything that your unit is doing.
 - A. No.
- Especially if you guys sit out on patrol, for example. You may not like that idea very much at all. You might think the other way or the other direction,

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right?

- A. Right.
- Q. At the same time, if the system collectively works, you got to have a willingness to do a duty and sometimes follow orders, follow directions, follow Judge's instructions that you kind of wish you weren't having to do?
 - A. I think that's just part of the service.
- Q. And although jury service is different, you only wear badges. You don't wear uniforms. You don't get marched around. You do get shepherded around by the bailiff, but it's not the same thing. Still, you got to sometimes, for the collective good of all of us, do things that are just not simple for you. Do you feel you are that kind of man?
 - A. I think so. I try to be that kind of man.
- The law requires you to presume the defendant innocent until we prove otherwise. Any problem with that?
 - No problem with that. A.
- Q. In other words, if we don't offer any evidence or we goof, or we go on strike and walk off the job or something like that, the only result you could come back with would be a not guilty?
 - A. Not guilty.

the wrong thing to them. A. You can't.

jurors. We have to have jurors who will be professional

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Because he's presumed innocent, right?

A. Right.

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We have to do all of the proving. The only burden at the first part of this trial is on the State of Texas. We have to prove beyond a reasonable doubt that he's guilty, or he goes free. That's the law. He doesn't have to do anything, and his lawyers don't have to do a single thing.

If they want to ask no questions, they got that right. If they want to bring newspapers, well, not newspapers, and they want to bring in books and be reading instead of listening to testimony, that's their right. If they don't want to ask any questions, or don't want to look at any witnesses, if they want to just sit there orderly, they've got that right. All they got to do is be here. And that's not any evidence for the -- or against the defendant. That's still always our burden. Any problem?

- A. No problem. Just as long as they don't fall asleep, I quess.
- Q. If that happens, if that happens, somebody will wake them up. And they are very good lawyers, and I'm not -- I'm only illustrating. I've got more respect for them than you can imagine. So that's not a problem.

The defendant doesn't have to testify. He

him guilty of what he's guilty of and not something more than that? Any problem?

- A. No. I think one has to look at the law.
- Q. What that might mean is, you might be hearing that evidence and saying, well, this fellow needs to die for what he's done. You might be feeling that in your heart, but you know if you come back with a simple murder verdict, he can't die for that because that has a punishment range. Simple murder becomes noncapital after that. And you could still do that, and you could weigh the evidence. And if it's not there, let the result be what the result from that is going to be. Any problem?
 - A. No problem.
- And if that's the case, then we get into a punishment range. And that range is, in a regular murder case, from 5 years to 99 years or life. And there's not anybody on this earth that knows the difference in life and 99 years. Don't let anybody tell you that because none of us do. Life might sound longer, but then 99 might sound longer too, you know. I don't know.

And then there's a fine that I don't want to talk about. But 5 years to 99 years and, in some cases -- and in some cases I told you before, defendants

may if he wishes, but he doesn't have to, and that's not a circumstance against him. You can't be saying, why, he must be hiding something. He didn't testify.

First of all, that might not be why he doesn't testify. And secondly, if none of us can even speculate into that. That's his Constitutional right, and that's not evidence.

A. Right.

Q. And not evidence for him either. It's not -- I mean, we can't say, gee, I bet he had great things to say if only he told us. We can't speculate about any of that, but it's okay. Lesser included offenses, it's possible that the State would prove to you that a murder occurred, but that you would have a reasonable doubt that the murder occurred in the course of a burglary or a robbery.

And if that were the case, there might be instructions to the jury that, if you believe that a murder occurred, an intentional murder, but you had a reasonable doubt whether it was in the course of a burglary or robbery for some reason, that your job would be to return a verdict of simple murder. That means not a capital murder.

Any problem philosophically in your mind with doing that? If we failed to prove a burglary, find

56 are eligible for probation. That means even -- even in, it may never happen. But like Timothy McVeigh, suppose he had been tried down here in Texas, if he were convicted of simple murder for some reason like we couldn't prove it. I don't know, he would probably be eligible for probation because the law says, if you have never before been convicted of a felony offense in this state or any other state, and he hadn't been apparently, then he'd be eligible for probation.

Then that gets hard because the question becomes: Could you, as a juror, not in this case or not in McVeigh's case, but in a hypothetical murder case, could you fairly consider the entire range of punishment that the legislature's said juries should be able to consider? Could you consider life for murder? Could you consider 5 years for murder? Could you consider 5 years for probation, for murder?

And it's kind of like I said before, when you first hear that question, you say to yourself, wow, if I found somebody guilty of murder, how could I say that -- how could there ever be a punishment as low as 5 years' probation? How could that be? Some people would say that. I can't imagine such a circumstance.

Then other people say, well, I can't think of an example of that right now in my mind. I'm sitting

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up here, and I'm -- I think, I think it would be rare that I would ever vote 5 years' probation for murder.

But maybe a Dr. Kevorkian situation.

Maybe that's -- maybe that's a -- a probation case. And maybe not. Maybe I think he's going to do it again if we give him probation. We need to put him somewhere where he can't have patients. Maybe that's it. Maybe that parent murdering his child's killer used to be a good person. Maybe that's probation. You know, who knows? Who knows what that might all be? And do you see yourself being able to consider the entire range of punishment?

- A. I think looking at the lesser sentence will be very difficult. I don't put it out of the realm of possibility, but I can't think of circumstances where I would go for a light sentence.
- Q. Well, absolutely. And that's like saying I couldn't consider -- this charge for murdering 25 people, let's say on the indictment like that, you might say, I can't imagine a case of mitigation for 25 murders. You know, you might say that to yourself. The idea is: Do you have the kind of personality that's open to consider anything the law requires you to consider?

A. Yes.

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A. Oh, I think I thought a lot more about what capital murder really is and the punishment of it. I had not been schooled in the finer points, as I said earlier, of what this involved. But I have not been troubled in any way by what I heard or what I learned. It's just -- just a level of understanding that I didn't have before that I think I feel -- I feel like I know more about it now.

- Q. Yeah. We seem to have three types of people. We've had some people that have told us they've lost sleep. They dread this experience. We've had other people that say, I never gave it a second thought. You know, I just was worried about having to come back again. And then folks, such as yourselves, that have thought about it perhaps, but it hasn't caused you any great consternation or concern.
- A. I met all three types out there in the jury room.
- Q. And it was a pretty shocking experience, I imagine, coming up here and not knowing whether you are going to sit on a speeding ticket case, a DWI, a shoplifting, or a capital murder case?
 - A. Yeah. I missed the word special when I --
 - Q. What do you think about the death penalty?
 - A. Well, as I said in the answers to my questions,

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MR. SCHULTZ: A moment please, Judge. THE COURT: Yes.

Do you have any questions of me, Mr. Penhollow?

A. No. I don't believe I have any. Thank you.
MR. SCHULTZ: We'll pass the juror, Judge.
THE COURT: All right. Mr. Goeller?
MR. GOELLER: Thank you, Your Honor.

VOIR DIRE EXAMINATION

BY MR. GOELLER:

- Q. Good morning, Mr. Penhollow. Do you want to stand up for a second?
 - A. Yes. As a matter of fact, I would like to.
 - Q. Do you want to work it out a minute?

 MR. SCHULTZ: Some aerobics going on here?

 VENIREPERSON: Something like that.
 - A. Thank you.
- Q. You bet. Mr. Penhollow, you probably remember, I guess it was a week ago where we all gathered up?
 - A. Oh, yes.
- Q. What have you thought about since then, about crime and punishment and the criminal justice system? I just want to kind of hear your thoughts. I don't have real specific questions for you right now. But just tell me what's been going through Mr. Penhollow's mind the last seven days.

I believe there is a -- there is a place for it. I don't relish the idea that you put somebody to death over for a crime. But I am not yet, in my mind, convinced that there isn't some deterrence associated with that penalty.

And I also believe there's some criminals, there are some people that do that sort of thing, that I think it's justified. It's justified based on the evidence. I don't feel that it ought to be done with impunity. I think that's -- I'm not one of these people that believes that just because a murder occurred automatically that person is put to death. I think that's -- that's far, far, far too simple. And there are too many cases, as I think we've just been through, lots of circumstances that can arise.

On the other hand, I'm not in favor of saying, hey, we ought to do away with it. I know there are people that are -- do have those feelings. And it's been my observation from the -- my age which I hate to admit anymore, but there seems to be a growing tendency to feel more and more that way in the population as a whole, that there seems to be a tendency toward wanting to move away from the death penalty.

I don't happen to be in that category.

Maybe I could be persuaded someday, but I'm not likely

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to be in the next few weeks or months. That's just the way I've seen it. But, again, I don't feel that it's something that's just (snaps fingers), it's not automatic.

Q. You are -- I hate to be the one to remind you -- I think you are 66, right?

A. Well, as a matter of fact, I'm now 67 officially.

Q. When was your birthday?

A. The 26th.

Q. Of --

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10:24 13 Q. Yesterday?

10:24 14 A. Or the day before yesterday.

10:24 15 Q. Well, happy birthday.

10:24 16 A. Yeah, thanks.

10:24 17 Q. 67. That's still young.

10:24 18 A. Talk to me about 99 years or life, I think I'll 10:24 19 take 99.

Q. Why do you think people, say, 55 or over are moving away from -- the general population -- why do you think that folks 55 and over are moving away from the death penalty?

A. I don't know if the ones 55 and older are. You may be right, I don't know.

think that you got to literally execute somebody are near enough to the everyday lives of many of the people. And as a consequence, I think there's a tendency to say, well, you know, it's more of an accident. It was more a happenstance. It was maybe a consequence of a person's upbringing, of their environment, lack of education. All of the above.

And somehow or other I think that sort of -- that sort of thinking has more of a ring to it. I think we have far more people today who listen to psychiatrists, psychologists, sociologists, the government. There is a more of a liberal trend in the thought process. And some people will take that, and they take it to heart more than perhaps others do.

I think -- I may be wrong about that, but I sense that that's what's happening. And even though, as I say, I'm not on that, of that persuasion. No one has convinced me completely yet that that should be the way it is, but I see it happening.

Q. You are a double E, aren't you?

10:28 21 A. Yes.

10:28 22 Q. My dad was a double E. According to my father, 10:28 23 the most intelligent people that walk this earth are 10:28 24 double Es.

THE COURT: And according to you, they are

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Q. No, no, I may have misread you.

A. No, no, no. I'm sort of the belief that they are -- the majority of the population, that if you take the, let's say the people who are of voting age that there is an increasing number of those people, as time passes, that would probably be in favor of eliminating the death penalty. I don't have a statistic. That's just my sense of what's going on, even though I don't happen to be of that persuasion.

Q. Right.

A. But I have the sense that that's happening.

Q. Why do you think that is? Why do you think people are moving away?

A. Oh, that's a very good question. Maybe because the number of people who are -- who have been close to or who have -- who have known somebody that was either killed and murdered in some way or because they felt that it -- it's just not a humane thing to do. I mean, we're not having -- we don't have -- the wars are receding more and more into the past. Even Vietnam is fading in a lot of people's memories.

The idea of somebody like a Hitler, even though, try as we will, Sadam Hussein was -- there was an attempt to paint him in that frame. I just don't think that those sorts of events that would make one

10:28 1 lawyers.

A. I don't think that's necessarily true.

Q. I struggle.

A. Or the double Es, either.

THE COURT: They are both overrated.

VENIREPERSON: They are both overrated.

Q. (BY MR. GOELLER) Well, my father used to say if you are a double E, you are never ever having to look for work.

A. Well --

Q. Work comes and finds you.

A. That's probably true.

Q. Where did you go to school, Mr. Penhollow?

A. Well, University of Iowa first four years.
University of Illinois is where I got my doctorate,
which would really be called computer science today.

Q. Urbana or Champaign?

A. Urbana.

Q. Where are you originally from?

A. Iowa.

Q. Iowa. They say the most educated population in the country. Iowa state of minds, right?

A. Maybe. They have moved out all over the United States to make a living. I spent most of my life in New York City or near to it.

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Q. In the questionnaire, Mr. Penhollow, I think the way this questionnaire was designed, to kind of cut to the chase with the first question. You favor the death penalty? Your response was "yes." Explain. "If the accused is proved to be guilty of capital murder beyond a reasonable doubt, then I believe he/she should be executed in accordance with the law."

I know that states the obvious, but you probably understand what my role is, at least in this part of the trial?

A. Of course.

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- Q. I've got to -- I've got to protect that young man down there. I've got to seat a jury or attempt to seat a jury where he has a fair shot at, you know, maybe the ultimate issue in this case life or death.
 - A. Yes, I understand that.
- Q. And I respect Mr. Schultz and Ms. Falco and Ms. Lowry. They told you that -- or Mr. Schultz certainly told you back last Tuesday exactly what he'd like to do.
 - A. Yes.
- Q. You know, there's a couple D.A.'s around the state like the new guy in Houston, you know. He says, well, we're just going to leave it up to a jury. Okay? He doesn't want to come out and say they are seeking the

you are dealing with physical laws.

Q. Right.

A. I realize that. And -- but if, if I were in your chair and thinking about it, there may well be people that you are going to interview that will be, shall we say, less certain of their position with regard to the death penalty.

Q. Right.

A. And if so, I would -- if I were in your shoes and playing your role, I'm sure that I would favor those over me.

- Q. Right. You make an interesting point. Under Texas law, and I guess U.S. Supreme Court law as well, the State has a right to have every juror in this case, all 12, be able to, in a proper case, vote death.
 - A. Uh-huh.
- Q. They have that right. And those folks fall into one or two categories. Either they are for the death penalty, they are proponents of the death penalty, such as yourself. Well, you were there Tuesday. You saw the hands that went up. The vast majority of the people in this county of voting age, I guess voting and driver's license and water bills, and whatever they use to poll jurors. And a lot of people fit into that first category, prodeath penalty and, obviously, could give

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death penalty. I think he's playing a little song and dance. Of course it's up to a jury.

We're not going to allow one local elected official to make that decision, but, you know, you know as you sit there right now, they would like to execute that young man, and we want to save his life, if it comes down, if he is found guilty of capital murder.

- A. Yes.
- Q. We don't know that. And this is a kind of an odd question and a tough question, but a good question. If you were sitting right here.
 - A. Uh-huh.
- Q. If you were in my chair and you were asking questions of you, would you, would the Mr. Penhollow sitting at this chair want Mr. Penhollow on this jury?
- A. Well, I don't know who -- I don't know that much about the other people that you've got as a choice to sit on this jury but -- as you do, but I think I could be on this jury and be a very fair member of the jury.
 - Q. Tell me why. Tell me why you say that.
- A. Well, because I think I have been trained, and I have tried all my life to listen to the evidence and the facts of the case and make judgments accordingly. Now, admittedly, they are not as black and white as when

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it.

- A. Right.
- Q. The other type of person is either an opponent of the death penalty, in other words, they don't really believe in it, but they could give it.
 - A. Uh-huh.
 - Q. In the proper case.
 - A. Uh-huh.
- Q. And I guess the third set of folks can never get on this jury. And those are folks that don't believe in it and could never, ever, ever give it, I suppose. And they are just disqualified. So, and if you look at the numbers statistically, the jury will be made up of prodeath penalty folks, the majority of them.
 - A. Yeah.
- Q. You can see that by the show of hands that went up. So it's tough stuff. Now, Mr. Schultz makes -- gave you a lot of examples in his personal opinion of people who fit into many facets of the law and how he thinks those special issues played out. And one of his common things is, as you well know, is Adolf Hitler, Nazi Germany and genocide, you know, the extermination of a race, really, is what we're talking about.
 - A. Uh-huh.
 - Q. That makes it difficult for me, you know, and I

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can't say he's wrong. I can't tell the Judge, you know, that's not right. You know, he's allowed to make his examples like I'm allowed to make my examples. But you know, there are jurors that we run the risk--or at least I run the risk--of jurors who listen to Mr. Schultz's analogies by way of Hitler and, you know, all the -- all the Nazi atrocities and somehow try to parallel that to this case. Do you see the point I'm trying to make?

A. Oh, yes. I see it. Unfortunately, very often, and you probably do it yourself in maybe the opposite sense, to make certain points, you often go to the extreme so that there's less likelihood of misunderstanding, and it's a lot clearer. Unfortunately, for all of us, life is not quite that black and white. Things always end up falling in the middle.

Q. Right.

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A. And if you were to stand up and make a series of examples, I suspect you'd come up with a different set. And you might come up with a set that I would find easy to say, well, yeah, I think I could see that. But, in all likelihood, this case is not about either end of that.

Q. Yeah. I could, if my client -- if my client were a female.

because you can -- you can draw the distinction and understand why Mr. Schultz will use Adolf Hitler and Nazi Germany. And maybe why somebody like me would be using Mother Teresa and Helen Keller and, you know, people like that.

In your comment -- Mr. Schultz touched on this a bit with you -- when the questionnaire asked you for, give a pro and give a con, both ways. And some people may serve some purpose in living in prison for the rest of their lives and may suffer an even greater punishment thereby.

And before I get into the specifics of that with you, I think Mr. Schultz has told you that, if someone were given a life sentence for capital murder, it's 40 years minimum. I mean, no parole. You got to do 40. How old -- how old do you think Ivan there is, just looking at him?

- A. In his 20s, mid-20s?
- Q. Mid to late 20s. I think you would be right.
 - A. Yeah.
- Q. We're probably talking, if somebody like him would get a life sentence, would be about 70 when he got out.
- 10:39 24 A. He would be about my age.
 - Q. He would be about your age. Now, the thing

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- A. Yes.
- Q. And I guess I could make all my analogies to Mother Teresa.
 - A. Sure.
- Q. Or Helen Keller or -- but somebody of your education is going to sit there and go, if she was Mother Teresa or Helen Keller, like, we probably wouldn't be here in the first place.
 - A. That's right. That's right.
- Q. You can probably look at them and figure out he's not a war hero, just based on his age.
- A. I -- well, okay, based on his age. Nothing more. You can't really say anything else, I don't think.
- Q. But you certainly have the education and the wherewithal, because you are a little bit different. You are highly educated. I don't think we are going to interview any other Ph.D.'s, you know, people who have not only an engineering, but an electrical engineering background. But post work, post-graduate work even after that. So you are very unique in the fact that, you are probably the most educated person out of the 200 that showed up last Tuesday, or very close to it, I can tell you that right now.

And I think you are -- you are fortunate

about it is, and Mr. Schultz is right, after the 40 we don't know what happens. First of all, most of us in this courtroom will probably, won't be around to know. I don't think you'll be around in 40 years, I may or may not be around in 40. Mr. Schultz may or may not be around in 40. Some of the younger folks in here. Ivan may. We don't know if somebody can live 40 years in the penitentiary, so...

- A. Right.
- Q. It's a relatively recent -- I guess Governor Bush in his first term enacted that law or signed off on that law, so we don't know what can -- we don't know what will happen after 40. Like Mr. Schultz said, it will be the governor 40 years from now and his or her pardon and parole board but --
 - A. That's a long time.
- Q. With that in mind, does that cause you a problem? I'm sorry, you were going to say something.
 - A. I say, that's a long time.
 - Q. It is a long time.
- A. I mean, I'm looking back on it, and I can tell you, it's a long time.
- Q. Sure. I mean, these days, people are born, they go to school, maybe they go to college, maybe they get married once or twice. I mean, I know grandparents,

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and I know great-grandparents that are in their 40s.

A. Yeah.

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- Q. I don't think it used to be that way years ago. Well, many years ago when it was common to get married, I suppose.
 - A. Yes.
- Q. 14, 15, 16 years old, in the Old West, I suppose. It is a long time. Does that cause you, as a juror who could, who may ultimately have to decide between life and death, does the fact that somebody does 40, instead of an actual life without parole, does that cause you any trouble?
- A. Oh, no. Again, it obviously depends on what the case and what the circumstances are that we're talking about.
 - Q. Right.
- A. But, I mean, as opposed to a death penalty, I mean, in my view, it's better than the alternative.
- Q. Okay. There may be some people who, after hearing the facts and the evidence and looking at all the background and history and the offense and the relationships and motives and all that kind of thing, maybe that kind of juror would say, you know, in this case life confinement is appropriate. And I think that will be the right and proper thing to do. But, it's not

deter others from acting in the way they did. And they are obviously not going to do that if they are dead. I'm not sure that would happen. That's why I said "might."

- Q. Have you ever heard of that guy or read any of his books or articles? There's a guy on -- that got, I guess he got the death penalty in Louisiana. He was at Angola, their big maximum unit down there. And somehow he got committed to life, but he's a Pulitzer Prize winner now.
- A. I have not read anything of his, but I think I recall of the case.
- Q. Do you think that makes an argument that people, although they do life, may be somewhat productive?
 - A. I think that --
 - Q. At least as far as prison goes?
- A. I think that's certainly one way. And perhaps one of the -- for somebody that's serving a 40-year sentence or a very extended sentence, that may be the most effective way, if they have the knowledge and the gifts and the industry that it takes to produce a work like that.
- 10:45 24 Q. Yeah.
 - A. I mean, you are probably not going to be

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- life. I can't be guaranteed that he will die in Huntsville, Texas, and be buried in the prison pauper cemetery out back. So I'm going to have to vote for death because I think he might get out after 40 years.
 - A. No, no, I don't think.
 - Q. You don't go that way?
- A. No.
- Q. All right.
- A. I figure after 40 years, in my opinion, that in all likelihood, he's either not alive or he's changed to a point that he's not going to be a threat to society.
 - Q. Did you see The Shawshank Redemption?
 - A. No. No. I didn't.
- Q. When you wrote down they still may serve some purpose for living in prison the rest of their lives, what did you mean by that?
 - A. What purpose?
 - Q. Yeah.

A. Well, I was thinking in terms of -- I guess I was thinking more in terms of their family or if they had children or, you know, they might, they might actually be useful as counselors. I know that sounds strange, or they might be able to say things to their children or other relatives or other people that might do what I think is very important, and that is to try to

interviewed by 60 Minutes. So, if you are going to get the word out, you are probably going to have to write it in a book.

- Q. Right. One of -- in the just general comment section, the questionnaire asks you to just kind of shoot from the hip on about six or seven different topics. The biggest problem in the criminal justice system is. And I think you wrote, "The slowness by which it operates."
 - A. Yeah.
- Q. Also there's too great a tendency to rely on expert testimony concerning the impact of the accused, background and circumstances that may or may not lead to the crime in question. Okay? Talk about the first -- the first part of your answer. Slowness, does that cause you some concern?
- A. Yeah. Yeah, I think there have been too many instances, perhaps more now in the past than in the more recent future, but certainly cases where I think it's taken far too long to bring an accused to trial and resolve it, and one way or the other.

I don't think it serves the accused or the State when that happens. And I don't know all of the reasons as to why that happens. I think in many cases it's probably because in the past the court systems were

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totally overloaded. And there were probably not enough judges, probably not enough facilities, and that's probably why that happened.

I think that that has bothered me in the past. I'm not suggesting that they commit a crime yesterday, and they haul them into court tomorrow morning and with whatever evidence can be mustered, and they are either convicted or not. But when things can drag on for years or certainly many many months, if not years, sometimes I think that's -- that's too long.

As far as, I guess, the second part of that, tell me again what I said. I did shoot from the hip.

- Q. I think you have, your comment speaks of too much reliance on quote unquote experts.
- A. Oh, yeah. I know the words here that say that, environment, the childhood, how they were raised, this that and the other. And I think, I think that's appropriate. But I also sometimes get the feeling that there are cases where that part of it is over -overworked. And a great parade of experts are brought in with an attempt to prove that the individual was -maybe they are trying to show that they were insane at the time.

you know, take into account the past of the accused, the

And I accept the fact that insanity is a reason why this crime might have been a crime, might have been committed. But I think when they overdo that to the point where they try to, shall we say, bull dog the -- bulldoze the jury into thinking that, I mean, this is the main thing to consider.

I mean, suddenly instead of the crime that they are being tried for being at the center, it's their life history and how they were mistreated as a child. I mean, the whole thing begins to take an inverted appearance.

- Q. Right.
- A. And I think sometimes the jurors -- some jurors, can be very very easily swayed by that. Particularly if they've ever had a case like this or have known people that they felt were mistreated as children. And that's not to say that that doesn't have some affect, but I think it gets -- gets the whole process out of focus.
- Q. I think most people would agree with you that, well, that would just agree about the use of experts. Certainly maybe in the first part of a trial what we call the guilt-innocence phase. Unless somebody is claiming insanity, and by that very nature you probably -- most people would say, I maybe would like to

hear from a psychiatrist or a psychologist. And then maybe you do get into the battle of the experts; but, generally speaking, in most criminal trials, experts don't play much of a role in whether a person is guilty or not guilty in Texas. And you're right --

- A. In Texas.
- Q. A lot of other states --
- That's not true.
- You are right.
- And I have been in a lot of other states, and it's not true.
- Q. We don't -- and insanity defenses, I can't even tell you the last time it was used in Collin County. I can't even think of a case, where somebody was found not quilty by reason of insanity. It's so rare in this state.
- A. I think you require expert -- some sort of expert advice on such things as insanity. I mean, sometimes, I suppose, it's obvious upon just by everybody looking or knowing the individual, but sometimes it's not quite so obvious.
- Q. Right. In the second part of a trial, though, in a capital murder case like this one where life or death is on the line, it's very common to have mental health experts try to assist the jury. And the good

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thing under Texas law is a judge will normally tell a juror, you can believe everything a witness says, none of what a witness says.

In other words, how you perceive and take that evidence from a witness is expert or otherwise. It's totally up to you as an individual and then maybe collectively as a jury, but certainly individual first as a juror.

How would you receive expert psychiatric testimony? Not on whether somebody did it, so to speak, but whether they are guilty of capital murder. But on those special issues, the probability of future acts and that mitigation special issue?

A. Oh, I would have to say that I would listen to it and listen to it seriously. I mean, I don't believe that I or anyone else has the special ability to peer into the future, if you are talking about commit future acts and say with certainty.

I also don't think the expert can say that either. I think you would weigh that opinion, along with your own opinion, and whatever else may have been presented in the trial.

- Q. Right. I wanted to talk to you about this one, about criminal defense attorneys.
 - A. Uh-huh. I knew you would get to that.

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Q. Okay.

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(Laughter.)

MR. SCHULTZ: So stipulated.

- Q. (BY MR. GOELLER) Mr. High and I are court appointed.
 - A. I see, okay.
 - Q. I don't know --
 - A. Well, that does put you in a special category.
- Q. I don't know of a capital murder defendant in Texas that has the money to hire his own lawyer or her own lawyer. But I -- would you just trust me when I tell you we're not making a lot of money.
 - A. Okay. I'll trust you.
- Q. Okay.
- A. I could ask you why you don't practice in another state. But with your persuasion, this is a tough state.
 - Q. Yeah, it is.
 - A. I think it's --
- Q. Maybe I should see some mental health experts. Okay. "What makes a person dangerous?" "A person who holds the law of society content is willing to take great risk to change the system or remedy a situation that prevents him or her from achieving the same objective."

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I read that as your thinking along the lines of a McVeigh?

A. Yes, or somebody who maybe commits murder in the process of robbing a bank or -- or something where they -- their objective is to acquire funds, maybe for drugs, maybe for something else. And, you know, somebody gets in their way, and they decide they'll eliminate the obstacle. And they -- they are willing to take that risk.

I mean, certainly here in Texas, I think they -- most of them, I would think, no, that there is a great risk in associating with doing something like that. I don't know the circumstances of this case, obviously, but that's what I'm thinking about --

Q. Yeah.

- A. -- when I answered that. And it could be a McVeigh. It could be for political reasons, or it could be for, I guess, quasi-political reasons. I'm not real sure I know why McVeigh did it. I'm not even sure he knows exactly why he did it.
- Q. I think you are right. I'm not even sure he knows. There's something about wanting to get back at the government for Waco?
- A. Yeah, yes. I mean, that's what's been stated. I mean --

- Q. You, as a juror, would you be interested -- would it be important to you that you know the why as to the offense? Why did somebody end up --
- A. Well, I think that could be very, very useful information. I mean, if you really knew why. If there was enough evidence to show why this was done, that it was not concocted in some sense.
- Q. Do you think drug dealers -- that drug dealers who end up dead, either they are murdered or a drug deal goes bad or something of that nature, do you think people who traffic in those type of substances and narcotics kind of know the risks going in or should be aware of the risks that something could go bad? I deal drugs. It's dangerous. I deal to people that may make them dangerous. We may get into disagreements over maybe large sums of money.

I guess what I'm asking: Do you think that, as far as people that end up dying, maybe they are deceased. Maybe they are quote unquote a murder victim, even though they are a drug dealer.

Do you think there is a certain amount of, you know, if you lay down with dogs, you are going to end up with fleas?

A. Well, I dislike drugs. I dislike all of the effects of drugs, and I think it's a terrible scourge on

society. And I do think if you sleep with dogs, you are likely of getting fleas on you. But could you rephrase it? Exactly what it is you want me to respond to because I'm not absolutely sure I know what it is you want -- you are trying to get to here.

- Q. I'm not either. I see your comment in here about, you know, people who take great risks to change the system or remedy a situation. That, by definition, is probably anybody that's been found guilty of capital murder. Wouldn't you agree?
- A. I would hope that that would be true of anybody that was found guilty of capital murder.
- Q. And assume -- assume for a moment that you are a juror in a case that you found somebody guilty of capital murder, somebody that's killed two people or killed in the course of a burglary or a robbery. Because of the fact that you found them guilty of an intentional killing in the course of one of those other things or two people, are you able to look at that first special issue, that probability of criminal acts of violence? Because when I read your comment, I, in the back of my mind, I'm thinking, this may be automatic for you, you know.

And I know Mr. Schultz used the example of the guy that had the stroke, the defendant, from his

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scalp, Mr. Schultz, or eyes, I can't recall. That's a pretty extreme example. I'm trying to ascertain, based on your comment here, great risks. Do you really see yourself ever answering that question?

A. Well, as I said earlier, I think there's nothing in this world other than death and taxes that's certain. So, you know, it's the issue of probability that one has to weigh here. I think that, once an individual has committed a criminal act of violence, which in itself, I think if it's truly a criminal act of violence is, if committed again, would be a threat to society, whether it's prison society or society at large. The question is: Having done it once, you have to admit there is some probability that it could be done again.

Q. Right.

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- A. The question is: How big a probability is that? And that's going to have to be weighed. I mean, that takes into account background. It takes into account the propensity to take risks in order to do this sort of thing. There's a whole host of issues here that would need to be considered in deciding whether or not that probability, in my mind. Okay?
- Q. Once -- and you are exactly on point -- once you considered all those things --

about the word probability and having really a mathematical background that you do, engineering background, the most logical attachment to that word is possibility greater than zero, a possibility greater than zero. Because if it was zero, then we know the answer would have to be no.

A. Yeah. And I'm saying that I think it could be no without it being zero because I'm not sure that there's anyone on this earth that could guarantee that whether this individual were put in prison for 40 years that there wouldn't be some opportunity in all of those years or certainly maybe in the first half of those years that something could be done that would allow them to either escape, which would be a real bad case or -- or simply do violence to other people within the prison system. Which, if you consider it, is a subset of a society as a whole.

So I think the chances that you'd -- you would say that it's zero, I just don't see it. I think the question is, at what is the threshold? And unfortunately, everybody's going to see that slightly differently. There may be people that would treat that as zero as the only. I don't, in answer to your question.

Q. You treat it as possibility?

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A. Yes.

Q. Is probability more in the line of, I think Mr. Schultz used the example of possibility?

A. Oh, I think it would be possible. I mean, to my way of thinking, possibility, hey, it's possible. We've got the famous case on trial right now here in Dallas about the breakout from prison. I mean, it's possible.

Q. Right.

- A. It's really a question -- I think the word that was chosen by the legislature was right, probability, what the probability. And there, if you are asking me, could I ever conceive that the probability would be so low that I could answer that -- that no, that I think they wouldn't be a threat, the probability. I agree, I think I could think of cases where maybe that belief or probability was low enough. Not zero.
- Q. Right. And you would agree that it doesn't mean zero?
 - A. No, it's not zero.
- Q. But it could be -- and you are taking, when you look back at the Texas Seven and Mr. Rivas and those fellows --
 - A. Right.

Q. -- you believe the legislature, when they talk

- A. Well, I treat it as a -- I treat it as probability. I agree, there is always, there is always the possibility that the defendant could commit a criminal act of violence. That's always possible, but I think the probability of that happening, which is, you know, somewhere between 0 and 100 percent, has got to be somewhere down near the lower end of that range.
 - Q. Really meaning possibility?
 - A. Yeah, well.
- Q. I mean, what you're -- what you're telling me logically, probability means possibility, the way you view that question?
 - A. No. I don't really mean that. I mean --
- Q. Possibility --
 - A. -- I understand the word probability. I'm telling you that I think it's always possible.
 - Q. Okay.
 - A. But it may not be very probable.
 - Q. I lost you.
 - A. I'm saying --
 - Q. You said for you that threshold is very low?
 - A. Low probability. I'm saying that I would expect that it would be a very low probability before I could answer that question as --
 - Q. Okay. I got you. But I think one of the

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questions in the questionnaire talked about voluntary intoxication as another defense.

A. Yeah.

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- Q. Okay? If I get drunk, drunk driving, intoxicated, that's -- because I consumed the alcohol, I can't claim intoxication defense. And generally under, in Texas law, in the guilt-innocence phase, the first phase of the trial, the fact that a person may have been on drugs or alcohol will not figure into whether they are guilty or not guilty.
 - A. All right.
- Q. But in the second phase of the trial, do you see yourself as the kind of person that -- that would listen to evidence regarding a person's state of mind due to substance abuse or being high on drugs or something like that?
- A. I think the person's state of mind is -- is important, but I think only in the sense of perhaps making this decision between death and -- and some long term in prison. I mean, I think that, you can't -- well, I'll leave it at that. I mean, I think that that's the only -- only way that that would come into play in my mind.
- Q. And I think you'd be right. I mean, it can't -- it shouldn't come into play probably as to

because of what they said or what they did because they maybe had too much, maybe they had a snoutful?

- A. Oh, maybe once or twice in my life I can think of an instance or two. I wasn't sure sometimes how much of a snoutful they had. I have been called a lot of things.
- Q. Once a little -- some time passed and that person who may have offended you or said something to you that you didn't appreciate, but they either sobered up or -- and I'll throw it all, with you, I'm sure, the only people that you would ever have been associated with, as far as substance abuse, may have been alcohol. But in today's day and age --
 - A. Oh, yeah, I understand.
 - Q. Drugs, speed, pot, all that kind of thing.
 - A. Right.
- Q. Once those type of people either sobered up or you saw them again at work or the next day, after a couple days after an office Christmas party or something like that, what did you think about what they had said with a snoutful but now seem okay?
 - A. Well --
- Q. As far as human nature, the way human beings go?
 - A. As far as I'm recalling the few instances, I

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whether or not a person is guilty or not guilty?

- A. Right.
- Q. But you could see it as, you -- you would keep an open mind as to whether that evidence might be mitigating?
- A. Yeah. I think that's the word that you would -- or the right word.
 - Q. Do you drink?
 - A. Very little.
- Q. Okay. Have you been pretty consistent that way throughout your life?
 - A. Yes, yes, very consistent.
- Q. Have you ever known any friends or relatives or associates that drank more than they should?
 - A. Yes, I have.
- Q. Do you see that or recognize that people do things and say things while maybe in some type of state of intoxication?
 - A. Unfortunately.
 - Q. And I think with you --
 - A. Unfortunately, yes.
- Q. That you would know. And we're probably limiting it to alcohol.
- 11:07 24 A. Yes, as far as I know they were.
- 11:07 25 Q. Yeah. Have you ever been angry with somebody

guess most of the -- I think in the cases I'm remembering, I figured they said what they really believed and might not have said otherwise.

THE COURT: In vino veritas.

VENIREPERSON: I really wasn't. I wasn't overly shocked, nor did I really think much differently about them because I suspected they might have thought some of that anyway.

THE COURT: Oh, by the way, that means "in wine there is truth." So when you get a little, you say what you think.

- Q. (BY MR. GOELLER) Sometimes, sometimes alcohol and drugs, they really lower our -- lower our inhibitions. Would you agree with me?
 - A. Oh, yes.
- Q. I mean, sometimes saying things because they are truthful is not the right thing to do.
 - A. That's true. That's right.
- Q. But you get a snoutful, and you say things you wish you hadn't said sometimes. But they certainly alter our thought process and our mind, would you agree, alcohol, drugs?
- A. Well, they do something, I think. I don't know about drugs. I certainly have not -- that's why I don't drink very much because I recognize that that sort of

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thing can happen, and I've seen it in others.

- Q. Have you ever known any alcoholics?
- A. Oh, yes. Yes, I have.
- Q. Were they -- if you took the liquor and the booze away, would they be decent folks, you think?
 - A. I would say.

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- Q. I'm not saying an alcoholic is not decent.
- A. No, no, no, I know that. But, yes, I think in general, the cases I've known, I would say, yes. In some cases very much so. But yes, I'll just leave it at that. They would be. They just -- they couldn't stay away from it, that's all.
- Q. Why do you think that is? Why do you think some people can't put the bottle down or --
 - A. Well --
 - Q. -- when they know it hurts them?
- A. I don't know for sure, but from what I understand it's a chemical. There's a certain chemistry involved. And for some people it's like an addiction, other kinds of addiction, and they just crave it to the point where they can't -- they can't stand it. And they'll do sometimes fairly stupid things in the process of --
- Q. Yeah.
 - A. -- trying to get to it. But, I don't --

unfortunately, it can lead them to do things that are really, really, pretty bad.

- Q. Yeah. People that do really bad things while maybe they are drunk or they are high, somehow they are under the influence of some type of controlled substance. It won't excuse their conduct under our law, you know, that voluntary intoxication.
 - A. Uh-huh.
- Q. You may be addicted. You may can't put the bottle down. You may can't stop taking amphetamine or cocaine or whatever, but under our law, and I think most people would agree, as far as being guilty or not guilty of the crime, that's just -- that's tough. You cannot use that as a defense. But would you be receptive or open to those very types of things in a case involving the punishment of that crime, as far as, you know, maybe in this case life or death?
 - A. Yeah. I think one has to be receptive to it.
- Q. We've had some jurors up to this point that have talked about, when I get to this topic, they say, well, there's no ex -- that's not going to be an excuse. You can't excuse that. I'm not going to look at that and excuse that. We shouldn't excuse that.

And I've tried to maybe get them, maybe not so artfully, but I've tried to get them to

understand that, before we get to these special issues, that type of evidence, if there were some, is not an excuse. To get to those questions, you have already found somebody quilty of capital murder.

A. Uh-huh.

Q. We know, and I would say the way the system in Texas is set up, it's -- it's really an automatic life sentence for the punishment, for capital murder because to get death, other things have to happen. So I think, logically, we have a system that sets up life, and then extra steps need to be taken to get to death.

And in dealing with those jurors that say, that's not an excuse, I'm not going to look at an excuse. We're not going to excuse those kind of things when we get to that first special issue. And we talked about continuing threat to society. We know before that question is answered, if it's not answered unanimously yes, we know a life sentence, at least 40 calendar years in the penitentiary is going to take place.

Do you think that -- do you think that people that maybe commit very bad acts due to some type of substance abuse, mind-altering drugs or, you know, I guess there's two schools of thought: You don't do it because of those. The drugs don't make you pull the trigger, grab the knife or something like that, but

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certainly you recognize they figure into a person's mental state.

Do you think there's any -- any merit in the argument that, if you take the dope away -- well, let me ask you this: Sometimes we have to put drunk drivers in jail. Some judges say, and I've heard them say, Mr. So and So, I know you don't want this, but you haven't learned your lesson. I ordered you not to drink; you drank. I got to make sure you don't drink because you might hurt somebody when you cross the center stripe. I'm sending you to the penitentiary.

And then that might be in a case where nobody has actually been hurt. There was no accident. Just an officer pulled somebody over on suspicion of drunk driving. But you see the merit of that judge's decision, where a judge would say, I've got to put you somewhere where you can't get Budweiser.

Do you think that's a good argument or that's a good thing for a judge to do if it gets to the point where you've got to keep the substance away from the person?

A. Well, as a short-term fix, I can see the merit in it. Unfortunately, in the case of some kinds of addiction or the kinds we're talking about, you know, I guess maybe if you wanted to really protect society, it

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would be an awfully harsh thing to do, I suppose, for somebody that was just drunk and went over the center line once. But I suppose you would almost have to put them away for the rest of their life, unless there was some treatment that can be given that will more or less ensure that this individual is not going to have that kind of urge in the future or that it would not lead to that act of violence.

I mean, drunk driving is relatively simple compared with acts of violence that might be committed in other instances where there's something that's either in the background, the environment or the circumstances of that individual's life that would again maybe with just a little bit of alcohol or just a little bit of whatever the drug was that would allow the inhibition that would prevent him or her from picking up a gun or a knife or doing something and doing somebody in. I mean, it's -- you don't know what -- what kind of medication or treatment could be given --

Q. Right.

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A. -- that would prevent that. Indeed, I would say that if it were -- if one were assured that such treatment or medication were available and could be given in a way that it was always taken or that it was done once and then never had to be done again like a

mean, it's a very expensive way for the State. It puts a tremendous burden on the State, not to mention what it does to the individual.

- Q. Yeah. I mean, in the context of capital murder.
 - A. What?
 - Q. Where you found somebody guilty of --
- A. All right. Capital murder, yes. I'm not -- you are not talking about just a drunk driver.
- Q. No. Not just a run-of-the-mill drunk driver. God, I hope we wouldn't put somebody away for 40 years on --
- A. I hope not either. But, I mean, long periods of incarceration are, you know, you reserve that for the situation such as capital murder and cases where you are really concerned there is no way to prevent this individual. Yet, for some reason you don't feel that execution is the appropriate thing to do.
- Q. In regards to that special issue there, probability of future acts of violence, could you see where the argument might be made that if -- if most of the trouble a person buys themselves has to do with them being on drugs and being intoxicated or high, if you take that out of the equation and they are sent to the penitentiary and they would probably die or if they

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vaccine, then you might look at this whole thing differently.

Q. Right.

A. I think society has evolved to this point for the simple reason that they don't have any such treatment. I mean, you can't give somebody such a treatment and be assured that it's not going to happen.

Q. Right. Do you think that in the context of a capital murder case where life is at least 40 calendar years, do you think life, the argument could be made that life could be a good alternative to keep people away from drugs and the things they do while either on drugs or while connected with the drug business?

Do you think you might be receptive or keep an open mind to that that a life sentence might, you know, kind of like -- you are right, a short-order fix for an alcoholic? The Judge says, well, I got to put you in the penitentiary. It might not -- it might not be the ultimate fix. It may be a short-term fix. But in terms of 40 years, do you think that might be

A. Well, you know, I mean, a -- yes, I think I could be receptive. In answer to your question to that as a fix, is 40 years -- do I think that this, as a fix, is an efficient reasonable way to do it? Not really. I

could live 40 years, do you see how that might -- the argument might be made that the answer to that question might be no?

If you know they got to do life anyhow, no matter what, on a conviction of capital murder and you keep them away from cocaine, alcohol, pot, do you see how the argument could be made that that -- the answer to that question might be no?

- A. I can see how it might be no.
- Q. Tell me why you can see that.
- A. Well, I mean, if I understand you correctly, it -- what, in my words I would say that I can see the -- I can see the possible -- I use the word possibility, not to be confused with probability -- the possibility that the probability that such a commission of a violent -- a criminal act would be sufficiently low that that's where it could go. Not to have a death penalty, but to have a life -- or 40 years in prison.
- Q. Okay. I think you put in your questionnaire you attend religious services at a Methodist church here in McKinney?
 - A. Yes.
 - Q. How strong a Methodist are you or --
- A. Not --

Q. How religiously active are you?

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A. Not very at this point. I have been more so in the past. But I haven't been since I moved here, I don't know, because we haven't. I often go to another church because we go visit our grandchildren over in South Lake, and I sometimes go there.
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- Q. And would that be a Methodist church, as well?
- A. Yes.

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- Q. Have you ever attended a sermon? I know you put in here, you are not aware of the position, if there is one, within the Methodist church.
 - A. No. I'm not aware of one.
- 11:23 12 Q. Okay. Do you know if there is one that you are 11:23 13 not aware of?
 - A. No.

THE COURT: I believe that's what he said.

- Q. What a silly question.
- 11:24 17 A. Yes.

THE COURT: But what a good answer.

- Q. (BY MR. GOELLER) Had you been aware of one, but -- no.
- 11:24 21 A. Jeez.
 - Q. How does capital funishment -- capital punishment -- how do you think that fits in with religion, if you are a Christian? I know I'm asking the questions that, you could live 200 years and lawyers are

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- A. I must have skipped over it.
- Q. Could you --
- A. Let me look at it. Just a minute.
- Q. Yeah.
- A. I'll answer it for you.

THE COURT: Which one is it, Mr. Goeller?

- Q. (BY MR. GOELLER) It's --
- A. I think I must have -- probably left it and was going to come back.
- Q. Page 9.
- A. All these, well, the first one where you say: Were you the foreperson on any of these juries? Of course I wasn't on a jury, so that's why I didn't answer that.
 - Q. Right. I understand that.
- 11:26 17 A. And it says, regarding your jury service, it 11:26 18 was a little confusing.
 - Q. These are two separate questions. I don't even need to know the answer to that one.
 - A. When I make up my mind -- well, if it's with regarding my jury service, I guess the reason I didn't answer those because I never really sat on a jury.
 - Q. Right.
 - A. So since I didn't ever participate in a jury, I

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always going to ask you, but --

- A. I'm -- well, on one hand, I guess you can look at it and say that it -- it's not something that you should ever partake in in any form. But on the other hand, I mean, you can find other spots in the Bible, not necessarily in the Methodist religion which would say, which I don't necessarily say is right in black and white either, an eye for an eye.
 - Q. Kind of Old Testament kind of stuff?
- A. Right. Old Testament stuff. And so I think in some ways the Bible is a little ambivalent on that point.
 - Q. Your favorite movie is The Sound of Music?
 - A. Well, that's -- I don't see many movies.
 - Q. Why does that one stick out?
- A. Oh, I just like -- I just like the music mostly. And I was shooting from the hip at that point. It's a long questionnaire.
 - Q. We could make it longer.
- A. Yes, I'm sure you could. It would get pretty personal if you made it another ten pages.
- Q. There was one question, Mr. Penhollow, that a lot of people didn't answer for two different reasons. And I was wondering if you just skipped this one over or it asked you to put yourself in one of these four

just didn't answer them. If you want answers to those questions sort of, whether I was in jury service or not, if that's what you are asking --

- Q. No. It's kind of independent of that. But you make a good point. And next time we use this questionnaire, we'll probably have to put that somewhere else so that they don't link it.
- A. You ought to put it someplace else because you see it says: Regarding your jury service.
 - Q. Yeah.
- A. And I didn't have any jury service, so I didn't answer the question.
- Q. Right.
- A. I'm happy to respond if you want -- if you want to ask those questions, and I'll respond.
 - Q. I think that may mean, if you are on a jury.
- 11:27 17 A. All right. If I --
 - Q. If you are on a jury.
 - A. Well, can I tell pretty easily when a person is telling a lie? Well, I wouldn't want to hold up my hand and say, "yes." I've met some pretty good liars in my lifetime.
 - Q. Okay.
 - A. "When I make up my mind, I rarely change it."
 Well, I don't think the word rarely would apply. I do

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change my mind if I'm presented with enough evidence. I'm not so hardheaded that I can't change it. Although, I have pretty strong opinions on some things where -- where I do hold to a position. "I can frequently be influenced by the opinion of others." Uh, I can be influenced. I wouldn't say frequently.

Q. Right.

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A. But, again, it has to be based on something that I -- it has to be a good argument. Maybe something I haven't thought about. Maybe some evidence I didn't know or facts I didn't know. If I believe they are facts, and they are not being -- concocted in some way.

"I always follow my own ideas rather than do what others expect of me." No. I mean, that's not necessarily true. I mean, I do follow my own ideas a lot. But I often do things that others or in this case the State might expect of me, regardless of whether I might want to do it or not.

Q. Right.

A. I have a lot of my own ideas. And very clearly
 I'm -- I've always been that way.

Q. Okay.

A. For what it's worth, I was a manager for like 30 some years. I was a consultant. I've done a lot of things, including work with a lot of attorneys in the

A. Oh, I don't know. Some people might say luck, but --

Q. You don't believe that, though?

A. No. I think we -- my wife and I tried to instill in them the right -- right things. They were certainly exposed to a lot of things. Although, they had a childhood that was a little unusual. So perhaps they were shielded from some of the influences. I worked overseas for four and a half years.

Q. You have been married 42 years?

A. Right.

Q. Congratulations. There aren't many people that can say that anymore.

A. Right.

Q. Do you think that was an important part of the equation that Steven and Cheryl turned out pretty good? Solid home, solid values?

A. Well, I like to think so, yes. I think so.

Q. I imagine, just from what you've said here today, in the couple hours we have had you here, you've -- you seem like the kind of man, regarding his children, that would have led by example.

A. I definitely tried to do that.

Q. I think you worked hard. You didn't smoke pot, and you tried to -- you must have considered that --

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Securities and Exchange Commission for five and a half years, which taught me a lot about the legal profession that I didn't know. In that it says, you got this one other question, I guess I answered that. Anyway, I have my own ideas about a lot of things, but I do follow.

Q. You've got two children, Steven and Cheryl?

A. Yes.

Q. How would you say they turned out?

A. I think pretty well.

11:30 10 Q. One is a custom home builder?

11:30 11 A. Right.

Q. Do you have any grandchildren?

A. Yes; eight.

Q. How many?

A. Eight.

Q. Some by Steven and some by Cheryl?

A. Two by Steven, six by Cheryl. The youngest being about two -- one month. The oldest being about 13.

Q. I assume they are not the kind of kids that have ever been in the penitentiary?

A. No.

Q. Or been in serious trouble?

11:30 24 A. No, no.

11:31 25 Q. How do you think they turned out so well?

some people do it because that's just what they are. I guess a lot of parents don't get up everyday and say, I'm going to show my children, by example today, what a man ought to be. I don't think it's that conscious, but probably subconsciously, it's just a part of you. Here's how a man acts around his children and his wife. And here is how you conduct yourself. And you get up and you go to work and you do the best you can do for your wife and your children.

Do you think that, in the overall equation of how Steven and Cheryl turned out to be, turned out to be good and the fact that you are very proud of them, do you think that you and -- you and Yvonne had a lot to do with it?

A. Oh, I think we had a lot to do with it. I think they -- we were -- we were always conscious of -- of that all the while they were being raised. Always conscious of the example, and the company we kept. The people we knew, the friends they had. Although, you cannot control all of that.

Q. No guarantees.

A. There's no guarantees. We have friends where it didn't work so well. We have friends where it worked well, and they were not necessarily as conscious. So, I don't -- I'm not -- I don't have a strong absolute

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certainty that our influence did it all, but it
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          certainly did a lot of it, I think.
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             Q. But you wouldn't chance it, though. Somebody
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          like you wouldn't have chanced it?
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             A. I would not want to chance it.
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                  Right.
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          It can happen even if you don't do those things.
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          that probability --
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          for you. I can't.
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Q. If you could, you would be a multitrillionaire.

Right.

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11:35 22 And it was in computers?

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> THE COURT: But anyway, it was a pleasure listening to your questions and the answers. And I want

(Venireperson Penhollow excused.)

THE COURT: We're going to take 45 minutes for lunch. And we'll be back at 12:30 to take up the next juror, and that's going to be -- oh, there's one left over from yesterday. Let's see, Mr. McKinney,

change in that area, I don't even like to talk about it. I can't believe it, but I won't go off on that. But yeah, it was '62.

MR. GOELLER: Judge, I have no further questions at this time, and I'd ask for a sub rosa hearing.

THE COURT: I'm going to ask you to step down for a moment, please.

VENIREPERSON: Thank you.

THE COURT: Thank you, sir.

(Venireperson Penhollow not present.)

THE COURT: All right. The juror is

outside.

MR. GOELLER: If we could just converse

here, Judge.

THE COURT: All right.

MR. GOELLER: May I address the Court,

11:40 18 Your Honor?

THE COURT: Yes.

MR. GOELLER: We move to challenge this juror, Mr. Penhollow, for cause and -- we had to have, I'm not trying to waste the Court's time. We had to have our discussion first. But I understand the State has not had a chance to rehabilitate yet, if they choose to. But for now, I'd like to challenge, not for now, I

A. I certainly wouldn't want to chance doing it

another way. But absolutely not, because I -- I know that there's a good chance it could go some other way.

Q. Right. But certainly, I guess, getting back to

A. I think the probability of them going astray was far lower, closer to zero, by doing it the way we did than if we hadn't. I wish I could draw the curve

Q.

Yeah, computer science. It was actually still

still back in the Neanderthal age. I've seen so much

do challenge this juror for cause in accordance with Hughes v. State, 878, S.W.2d 142 Texas Court of Criminal Appeals in 1993. And what that case stands for, Your Honor, the trial court abuses its discretion in denying the defendant's challenge for cause to a venireperson who believed that probability meant no more than possibility.

I think that's exactly where Mr. Penhollow falls in. His probability is possibly. If the Court takes his voir dire as a whole, he's only willing to assign probability as something greater than zero, and he said it's very low for me. Others, it may be higher. But that's very low for me, and that's a possibility. And, therefore, he's biased against that phase of the law. And under Hughes v. State I move to strike him.

THE COURT: What says the State? MR. SCHULTZ: I'm sorry, did you rule on

that?

THE COURT: Yes. Denied.

MR. SCHULTZ: He's acceptable to the

State.

MR. GOELLER: We'd exercise our third peremptory strike.

THE COURT: All right.

THE COURT: Would you ask Mr. Penhollow

112 just to step in for just a moment? THE BAILIFF: Yes, Your Honor. (Venireperson Penhollow present.) 11:43 4 THE COURT: Mr. Penhollow, I want to thank you for your service. It was very interesting talking to you. VENIREPERSON: Thank you. 11:43 7 THE COURT: You are one of the few fellows 11:43 9 that I remember who did six months on active duty who had one of those really double barrel good deals. VENIREPERSON: Yes. THE COURT: So I suppose you had five and 11:43 12 a half years? 11:43 13 VENIREPERSON: Yes, I did, all of that. 11:43 14 11:43 15 11:43 16 to thank you for your service. You are finally excused. 11:43 17 VENIREPERSON: Okay. Thank you. 11:43 18 MR. SCHULTZ: Bye, sir. 11:43 19 11:43 20 MR. GOELLER: Thank you. Bye.

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Michael McKinney, No. 24.
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                       THE BAILIFF: All rise.
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                       (Lunch recess.)
                       THE COURT: All right. Both sides ready
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         to bring in Michael McKinney? Let's bring in Michael
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         McKinney.
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                       THE BAILIFF: Yes, Your Honor.
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                       (Venireperson McKinney present.)
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                       THE COURT: Are you Michael McKinney?
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                       VENIREPERSON: Yes.
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                       THE COURT: I want to ask you, do you
         remember last Tuesday I asked everybody to swear that
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         they would tell the truth to the questions that were
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         asked?
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                       VENIREPERSON: Yes.
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                       THE COURT: And I want to remind you that
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         you are still under oath, and both sides will be asking
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         you questions.
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                       VENIREPERSON: Okay.
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                       THE COURT: Thank you. Please be seated.
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         All right, Mr. Schultz.
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                       MR. SCHULTZ: Thank you, Judge.
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                            VOIR DIRE EXAMINATION
         BY MR. SCHULTZ:
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when I talked very graphically at the end of the process, that's kind of for me -- that's after having come to the realization that what I do is the real thing, and I'm actively involved in this whole thing that our society does.

And so, all of that having been said, when I asked everybody to take a moment and look at the defendant and realize that what we were seeking was to cause his death in a lawful way and in a societally sanctioned way, I was sincere, and I hope you didn't think that I was trying to be amusing or that I was trying to be entertaining or I'm taking it lightly. Because I can promise you, none of us take this lightly. You understand that from the panel, didn't you?

A. Sure.

Q. I mean, I suppose there are some people who wake up in the morning, and say, gee, aren't I lucky to be able to go to court and be a prosecutor in a case where maybe I can get somebody killed and think that's thrilling. And maybe some jurors might say, aren't I lucky I'm going to have a chance to go up and maybe vote some guy's death.

And maybe some judges would say, it's kind of neat to be able to preside over a case, and maybe I'll be able to give a death sentence. But I think

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Good afternoon.

Good afternoon, Mr. McKinney.

Q. You --

A.

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THE COURT: Say, Mr. Schultz, on this case, I'm going to ask you to take 20 minutes and then pass the witness. I didn't realize that Mr. McKinney was a No. 1. And we've also got a No. 5 coming up pretty quick, and the other side will go first on that. So take 20 minutes. Do what you can in 20 minutes and pass the witness. If you are ready, by all means make a motion at that time.

MR. SCHULTZ: Okay. I'm not sure that I will be. As long as I'm getting back some additional time, if necessary.

THE COURT: All right.

Q. (BY MR. SCHULTZ) You probably thought you heard enough of me back last Tuesday, but I've got some more questions to ask you. And kind of the approach that I took on Tuesday and, I mean, sometimes people think it is a little brusque, and perhaps it is. I got to say in my own defense, the things that I said I really believe. I believe this is extremely important stuff.

And despite the fact that I represent the State in a capital prosecution of the defendant, in my mind his life has value, as do all of our lives. And

that's rare, and I don't think people are that way, in general. And so some of the questions that I wish to ask you relate really to what you put on your questionnaire. And it's not a -- it's not a challenge to any of the things that you said, but just an effort on my part to see where you are coming from and what you think.

Now, you indicated on your questionnaire and, really, that was before we talked about how the law might apply. But you indicate on your questionnaire that you are in favor of the death penalty. And your explanation was that, anyone who commits this serious an offense or crime deserves to be given a death penalty. And even after we talked on Tuesday and anything that you may either have thought about since that time or a little bit that we've talked about here now, is there anything that you can think of that's changed your mind about that proposition, being in favor of the death penalty?

A. Not that I can think of.

Q. Okay. Okay. You were also asked a question, which best explains your view on the death penalty. I think you said that you believe the death penalty should be imposed in all capital cases. And a lot of times jurors will answer that question that way, not

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Simpson.

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understanding that, if you are convicted of capital
murder, you may get life, you may get death, at least
according to what the law requires.
            And other people just say, no, I believe
if you commit a capital murder, you ought to receive
capital punishment. That's what I believe. I'm
curious, how do you see that question now, now that
we've talked a little bit about capital murder? Now,
that it's not automatic. There's still a punishment
phase.
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A. Well, I was surprised to know that it wasn't an automatic thing, and that there was a possibility that there could be life imprisonment.

- Q. And so having said you were surprised, do you disagree with that, or is that all right with you that it's not automatic?
 - A. I really don't have an opinion about it, so...
- Q. Okay. Now, you put down that you believe that life confinement in prison is never appropriate in any capital murder case. Is that still your view?
- A. On the seriousness of the offense of a capital murder, I think that the death penalty should apply.

MR. SCHULTZ: May I have a moment to confer with Mr. Goeller, Judge?

THE COURT: Yes.

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surgery and inability to drive for 4 to 6 weeks."
                                                   And
it's signed by, I suppose it's Dr. Barber.
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But anyway, let me show this to both sides. Take a look at it. And so, let's invite her in and see what's going on here.

> THE BAILIFF: Yes, Your Honor. THE COURT: Are you Beth Johnston?

12:46 8 VENIREPERSON: I am.

> THE COURT: Do you recall last Tuesday that I swore everybody in and made them swear that they would tell the truth with regard to questions that they were asked?

> > VENIREPERSON: Yes.

THE COURT: I just want to remind you that you are still under oath, and let me ask you to please have a seat. Ma'am, I have a note. It appears to be from Dr. Barber.

VENIREPERSON: No. It is from Dr. Michael

THE COURT: Okay, I couldn't read it. VENIREPERSON: You can't read his writing.

12:46 22 THE COURT: I suppose like most doctors. It indicates that you are due to have foot surgery of

12:46 23 12:46 24 some kind. What kind of surgery?

VENIREPERSON: I'm having a right ankle

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MR. GOELLER: Judge, I think we reached an
agreement regarding this particular juror.
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THE COURT: All right. And is it in concurrence with your client?

MR. GOELLER: Yes, Your Honor, it is.

MR. COURT: And I would assume that this juror can be finally excused?

MR. SCHULTZ: Yes, sir, by consent.

THE COURT: Sir, you are finally excused.

VENIREPERSON: Thank you.

THE COURT: Okay. Let's see.

MR. SCHULTZ: Judge, I hope you'll keep our efficiency in mind when you start chiding us about time because we --

THE COURT: Yes. I'll say that was good. Let's see, the next juror is Beth Johnston, No. 41. Oh, wait a minute.

MR. GOELLER: I thought you said, "death Johnson."

THE COURT: Before you bring them in, let me tell you-all something. The bailiff gave me a note on Beth Johnston a few minutes ago. It's a note from a doctor, a Plano orthopedic and sports medicine with regard to Beth Johnston. "Patient is unable to attend jury duty due to foot something, foot slash something

arthroscopy and a tendon repaired tomorrow morning at 7:30. I'm also having another kind of surgery at the same time, some bladder surgery. But what would affect my driving is the right foot being in an immobilizer boot is why he wrote this for me.

THE COURT: Do I understand that you will be unable to drive for four to six weeks?

VENIREPERSON: Right. Probably less than that.

THE COURT: How long do you expect your hospital stay to be?

VENIREPERSON: Oh, it's just overnight.

THE COURT: Just overnight?

VENIREPERSON: Yes.

THE COURT: Is this elective surgery?

VENIREPERSON: Yes.

THE COURT: And I suppose it could be

12:47 18 rescheduled, right? 12:47 19

VENIREPERSON: The coordination of two physicians, two surgery rooms, and an anesthesiologist would be very difficult. But, yes, it could be. It would be possible.

THE COURT: All right. That's all I wanted to ask you. Do you fellows want to confer, or do you want to just start asking questions, or how do you

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         want to do it?
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                      MR. GOELLER: Judge, may I ask her just
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         one question. I'm not trying to go ahead of
         Mr. Schultz.
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                           VOIR DIRE EXAMINATION
12:47 6
         BY MR. GOELLER:
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             Q. When was your surgery scheduled for, ma'am?
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                Tomorrow morning 7:30. It's been scheduled for
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         six weeks.
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             Q. When the Judge said, elective surgery, I
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          usually think of, you know, like cosmetic surgery. This
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          has to do with your foot?
             A. Uh-huh.
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             Q. Is it truly elective? It sounds more than
          elective.
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             A. Do you want the history?
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             Q. It's not my place or business to pry into your
          personal affairs.
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             A. It's okay.
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             Q. Did you understand what the Judge meant when he
12:48 21
          said "elective"?
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             A. Yes, sir.
12:48 23
             Q. Elective to me is, I want to do it purely
          because I think I look better.
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             A. No.
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             Q. You are a nurse?
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                 Yes. I'm an RN.
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                      VENIREPERSON: For my stomach, yes.
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                       MR. GOELLER: Judge, may Mr. Schultz and I
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          address you?
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                       THE COURT: Yes.
                       MR. SCHULTZ: Excused by consent.
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                      THE COURT: Both sides are in agreement
          and by agreement of are client also?
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                       MR. GOELLER: Yes. Your Honor.
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                       THE COURT: All right. Ms. Johnston, you
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          are finally excused. Do you need your little piece of
12:50 12
          paper?
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                       VENIREPERSON: No, that was for you.
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                       MR. GOELLER: Good luck.
                       (Venireperson Johnston excused.)
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                       MR. SCHULTZ: There was a juror in the
          room. Did anyone notice how long?
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                       MR. GOELLER: He just walked in the door.
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                       MR. SCHULTZ: Who's next?
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                       THE COURT: Let's see, the next one that I
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          have is Nancy Ballard, No. 13.
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                       THE BAILIFF: Yes, Your Honor.
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                       (Venireperson Ballard present.)
12:52 24
                       THE COURT: Ma'am, are you Nancy Ballard?
12:52 25
                       VENIREPERSON: I certainly am. I just
                                                               124
          want to remind you that last Tuesday I administered an
12:52 1
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THE COURT: That's plenty, isn't it?

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Q. All right.
   A. Elective surgery means I have had -- I have the
choice to have surgery. When you have any kind of
surgery that's nonelective, that means it's an emergency
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Q. In my over simplistic way, that's how I think about it. It is probably how most lay people think about it and not a medical professional.

situation or it's at risk to your life. Okay.

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MR. GOELLER: Can we have just a few moments, Judge?

THE COURT: Yes. Say, let me ask you, while they are looking at that, and I don't mean to pry, but that's not my purpose in asking, but let me ask you for why you take Vioxx.

VENIREPERSON: For the ankle. I have synovitis of the right ankle as a result of a sprain, a bad sprain last December that did not heal adequately. They feel I have scar tissue in the ankle which would benefit from the arthroscopy, and then I also have the tendon that was torn at the time.

THE COURT: Do you remember how many milligrams you take?

VENIREPERSON: 25.

oath to everybody. And they swore that they would give truthful answers to the questions that they were asked by both sides.

> VENIREPERSON: Uh-huh, I'll do it. THE COURT: Mr. Schultz?

VOIR DIRE EXAMINATION

BY MR. SCHULTZ:

Good afternoon. Q.

Hi. A.

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Ms. Ballard, my name is Bill Schultz. You recall that I spoke for a fair amount of time on Tuesday with you as a group?

A. Uh-huh.

Q. You may not remember the names of the other lawyers or the defendant, so I'll refresh your memory on that. Immediately to my left is Ms. Gail Falco, who is the chief felony prosecutor in the 199th Judicial District Court on loan to us for this trial. And on her left is Ms. Jami Lowry, a felony prosecutor, ordinarily assigned to this particular district court to do the State's business here.

To your right, at the other table, is the defendant in this case, Mr. Ivan Cantu, who is charged with a capital murder and his two attorneys. Moving

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further to your right are first, Mr. Don Neely High, and then Mr. Matthew Goeller, both of whom are very fine practicing attorneys in Plano, Texas. And I believe the answer to my questions and maybe the Judge's were that you don't know any of us personally; is that right?

A. No.

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- Q. I note that you work for Dr. Ashworth, and my daughter used to be one of her patients. But that's been -- she's been moved on from pediatrics longer than before you got there, so.
 - A. Oh, yeah.
- Q. So there would be no reason for you to know her. Are you still located, let me think a second, in that one-story building just a little bit --
 - A. West.
- East of the hospital? 12:54 16
- 12:54 17 Yes, uh-huh, east.
- And I guess Dr. Sheer is just across the 12:54 18 12:54 19 breezeway there?
- 12:54 20 Uh-huh.
- 12:54 21 And I guess they are still married?
- 12:54 22 A. No.
- 12:54 23 Really? Q.
- 12:54 24 A. No.
- 12:54 25 When did that happen?

myself a lot of times and that is, is it a thrilling thing to have to go to court in the morning and realize that you are part of a process that may cause somebody to be killed? And my answer is, no, it's not a real thrill. I don't -- I don't take delight in it.

I don't apologize for it because I represent the people of the State. And there is a death penalty, and I present the evidence the best way I know how to do, and I argue for it as forcefully as I know how to do. But that's different from saying that I'm thrilled with it.

Just like if we were in the military. I'd go fight if the country needed me to go fight, but I wouldn't be thrilled at doing that. It's something that you wish didn't have to be the case. And I suspect that even though you indicated that you are in favor of the death penalty, you seem to me to be the kind of person that would have much the same view on it?

- A. 0h.
- 12:56 20 Q. That you wish we didn't have to have such a 12:56 21 thing?
- 12:56 22 Uh-huh. A.
 - Q. Is that fair?
 - Oh, oh, yes, definitely.
 - Well, then, if that's the case, and we all --

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- No. They have been divorced for over a year.
- Q. Time marches on. We would sometimes use them interchangeably kind of thing. And we got to know Arlene. Is she still there?
 - A. Yes. And Mary.
- Q. I guess they are not feuding too much if they still have their offices near each other.
- A. No. They are very civil to one another, and she's remarried, in fact.
 - Q. Wow.
 - Uh-huh.
- Okay. Well, you might remember that portion of my talk when I asked everybody to take a moment to look at the defendant and to actually think, not the way we think in our living room, about how we need to have death penalties for people who do horrible crimes, but realize that every one of us that ends up in this courtroom once the trial begins will have some part in deciding whether or not that happens to the defendant. And I'm sure hoping that you didn't understand how I did that to be that I was trying to be funny or entertaining --
 - A. No.
- 12:55 24 Q. -- or amusing to anybody because I -- I don't 12:55 25 even need to ask you this question that I've asked

every one of us wishes that we didn't have to have that, if I were to say to you: Do you want to be on this jury? Is it your personal wish to have this opportunity to serve and be on this jury and make this choice? What would your answer to that be? Would you want to be on it?

- A. I have sort of mixed emotions. I would like to help, but I'd rather be at home.
- Q. I'm with you; I'm with you. That's probably true of sailors and soldiers that have protected this country, don't you bet?
 - A. Yeah, uh-huh. I would think so.
- Q. They would rather have been at home than where they had to be?

MR. SCHULTZ: Are you okay?

MR. GOELLER: (Coughing.) It's been a

long day.

Q. (BY MR. SCHULTZ) Well, I also feel this way, if I were sitting where you are sitting, it might be that I'd be saying, you know, I don't know what the evidence is going to be in this case. I'm going to hear all of it. And I might be sitting where you are saying, you know, I can't control what the evidence is going to be. It's going to be what it is, but I would feel a whole lot better leaving this trial knowing that I

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believe a life sentence was the right thing under the evidence and voted that way, not so much because I know the defendant or know the defense lawyers because I love criminals, not that way, but rather just because there's something about us that as a society prizes human life, and we fight for anything to save it.

- A. Uh-huh.
- Q. You are not in an area of medical practice that deals directly with life and death situations, I don't think, in general?
 - A. Huh-uh.
- Now, I know there may be occasions, for Q. example, I bet Dr. Ashworth gets called to the emergency room from time to time on some of her patients perhaps. But medicine is so specialized that usually, even if it's one of her 3-year-old patients that gets run over by a car, most likely they wouldn't call her to attend. They have got specialists to do that. Do you know what I mean?
 - A. Yes, uh-huh.
- So you probably have some patients there, and it's ghastly, but you probably got some terminally ill children that she's helping?
 - A. Uh-huh.
 - But you don't deal directly with life and

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death, but you still feel it because that's the kind of work that you do because you are involved in that?

- A. Uh-huh.
- Q. Since you indicated that you do favor the death penalty, tell me why it is that you think we should have a death penalty in Texas?
- A. Well, if there's someone that just continues to murder people or misbehave and things that they shouldn't be doing, what else can we do? I mean, we can't put them all in prison. And I just -- I don't know why, but I just feel like that that would be better than just letting them out and repeat and out and repeat, or putting them in prison for so long and --
- Q. Okay. Let's talk about that for a second. The questions I'm going to be asking you are going to assume the defendant has been found guilty of capital murder. Okay?
 - A. Uh-huh.
- Now, I'm not -- I'm not assuming if it in the sense that I'm saying that it's not important, or I'm not concerned about our obligation to prove that to the jury; but, rather, I want to focus more on the second part of the trial. And I'm aware that I have a huge burden of proof on the first part of the trial, but I think I will be there. I think I'll convince 12 people

of the evidence that our defendant is guilty of capital murder. Okav?

- A. Uh-huh.
- So we get to the punishment phase, and really the question before you is not going to be: Do we release the defendant or do we give him a death sentence, because either way his punishment is going to be large.

Now, how large is large, I guess, may depend. But he will get one of two sentences at the punishment phase. Do you know what those two sentences will be?

- A. Well, life or death.
- Q. Or death, exactly. And while we might wish that we have such a thing as life with no possibility of parole, there is no such thing in Texas, is there.
 - A. Uh-huh.
- Q. And many people already know that and some people don't. But I'm free to tell you that because the Judge, at a proper time, will give you that instruction. He will instruct you that for the offense of capital murder, a person becomes quote eligible for parole after having served 40 years of the life sentence. And that doesn't mean that that person will be released in 40 years after beginning the service. It just means

eligibility.

And because that's not an automatic thing, then the jury gets instructed not to consider how long this particular defendant would serve on a life sentence. And they just -- it seems a little odd to me that we would instruct them on something and say, now that we told you about that, don't consider it.

- Uh-huh.
- But the notion -- the notion it was a compromise to be somewhat fair to the jury by saying, yes, there is something like parole. But at the same time did not give the jury, try to, with a pen and pencil, figure out how old he will be exactly when he gets out or those kinds of things.

So what I'm saying to you is that you, when say that the death penalty should be used for people who keep getting out and killing again, most likely, unless a capital murderer escapes or gets a governor's pardon, I don't know a governor ever to pardon a capital murderer, I guess, a person will not be able to quote keep getting out.

- A. Yeah.
- Q. Unless he escapes?
- A. Yeah.
 - Q. Are you with me on that?

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- Q. So, and there are no right or wrong answers on this, but I want to make sure that -- I want to find out whether you are saying that capital murder -- the death penalty should only be imposed when somebody has killed before and is going to kill again unless you kill them. Are you limiting its application only to somebody that's either killed before the trial that he's on trial for now?
- A. I think it's the circumstances, you know, why he killed.
- Q. Okay. Let's talk about it. Let me give you an example. Everybody in this country probably knows about Timothy McVeigh, the guy that's been executed for blowing up the federal courthouse?
 - A. Uh-huh.
- 13:03 17 Q. And you've heard about that, and you know 13:03 18 something about that case?
 - A. Uh-huh.
- 13:03 20 Q. First of all, in your judgment does it seem to 13:03 21 you fitting that he would have been executed for that? 13:03 22 Does that seem right to you?
- 13:03 23 A. Oh, it does.
- $_{13:03}$ 24 Q. Well, see, here's why I asked that question,
- 13:03 25 because nearly as we can tell, he never killed anybody

kill.

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- A. Uh-huh.
- Q. And one must do the things necessary to cause that killing and one must know, consciously desire, that the killing occurred.
 - A. Uh-huh.
- Q. So, for example, if I'm cleaning my gun one night, and I make some mistake and leave it loaded and I'm sticking things down in it to clean it out and polish it up and it goes off and it kills somebody, if that's the truth. If that's not just my story to cover up a murder. If that's the truth, that would not be an intentional murder.
 - A. No.
- Q. Because it was not my conscious objective to kill somebody. Now, that may be a crime. It may be, that may be criminal in nature. That might be so careless that I ought to have some kind of crime charged against me, but it can never be murder.
- A. No.
- Q. Do you understand me?
- 13:06 22 A. Yes, uh-huh.
 - Q. So when you do a capital murder, that means you have intentionally caused the death of another person. You thought about it.

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before that event. Do you follow what I'm saying?

- A. Yes. I see what you are saying.
- Q. And it's possible that a jury could have found he was never going to kill anybody again. And the federal government has a life without parole. He was going to be locked up forever. And so I'm --
 - A. He had no remorse.
 - Q. Okay, okay. Is remorse important to you?
 - A. It is, uh-huh.
 - Q. Tell me why. Tell me why remorse is important.
- A. Well, you have to know that you did something wrong. I meant, his attitude was, you know, this was necessary and, you know, it's all right that I did it.
- Q. Okay. Let's talk about what capital murder is. And we -- I talked a little bit about it during the first part of our trial. Capital murder is the intentional, not accidental, not self-defense, not mistaken, but the intentional causing of the death of two or more people.
 - A. Uh-huh.
- Q. In this case we've alleged two people were killed in the same criminal transaction.
 - A. Uh-huh.
- $_{13:05}$ 24 Q. And what that means is, to do a capital murder, $_{13:05}$ 25 one must intend to kill, that means form the intent to

- A. Yeah.
- Q. You did what you had to do to achieve it and you did it.
 - A. Yeah.
- Q. Because I guess at the time, it seemed to you to be the right thing to do because you thought about it and did it.
 - A. Uh-huh.
 - Q. Are you with me?
 - A. Uh-huh.
- Q. Now, do you think that people in our society know that it's wrong to kill?
 - A. Sure.
- Q. And if people in our society know that killing is wrong, if you think about it and you do it, why does remorse afterward make any difference, really, when you start thinking about it? It's not like -- it's not like you were just careless, and I wish I'd pay better attention to how I was driving. I wish, I didn't look back behind me when I ran into those people because you would have remorse over that.
 - A. Yeah.
- Q. But if someone says, I have remorse for intentionally causing the death of these people because I plan to do it and I did it, why is that important to

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A. Well, I think -- well, like you say, though, he knew. Like McVeigh, he knew that was wrong. So really there's not an excuse there, I guess, really. He knew what he was doing, and he killed all those people and, oh -- I don't know how to answer that.

- Q. I mean, this is just tough stuff.
- A. Uh-huh.
- And in some ways, I probably have -- I have the advantage that you don't have, and that's that I do this for a living and so, I mean, I talk about this -- I talk about this as much as you might talk about insurance copayments and things like that. That's just part of what I deal with in my work. But I guess I'm thinking to myself, well, and trying to understand how you feel about all these issues --
 - A. Uh-huh.
- -- suppose instead of saying I'm glad I did it Q. because it was a good thing, suppose McVeigh's position had been, I've now thought about what I did. I found religion. I'm real sorry about all of this. And if you give me a life sentence, I'll do the best I can to be, one, a model prisoner. Two, to try to tell other people my sad story. And, three, to try to be an inspiration to other people. When someone is willing -- he had that

ability to be thinking that way before he did that to those people. Do you know what I mean?

- A. Uh-huh, he did.
- Q. And I'm just trying to get an understanding of you about how -- what remorse means to you. Do you know?
- A. Well, in his case I guess remorse would not really -- I mean, he should -- he should die because of what he did. There's no remorse there because he -- all the people he killed, innocent people. Maybe that's what I get down to, someone innocent. I think, when we talked about that the other day, you know, if there's two people that are bad and they have -- that's their little war. It is hard. You have to think a lot about this, don't you?
- Q. Well, I hope so. Because what I hope is, if you are seated on this jury, whatever your results are, I hope you, I can't imagine how you are going to go out of here happy no matter what you --
 - A. No, no.
- Q. But I hope you go out with pride in that you know you did the good thing, whatever that ends up being.
- Just like military people. I doubt they may be

proud of the fact that they serve, and I hope that jurors can do that. They may well not be proud of all the things that was required -- that were required of them while they were there.

- A. Uh-huh.
- Does that make sense?
- That's what I want for you and 11 other people. Q. Much more than a particular outcome, I want people that will give all this a completely fair shot --
 - A. Yeah.
- -- and will come back with a right verdict and then I -- that makes my job easy because I've done my best, and you can do the same thing. But I guess my point is, and I think you understand what I'm saying, it may be that some crimes by themselves are so bad that things like remorse may not have that big a factor?
 - A. That's true.
- Q. There comes a point where it's just too much. But that's my thinking. That may not be yours.
- A. No. That's true because, like, I did say, McVeigh, all the remorse in the world is not going to bring those people back, and he knew what he was doing, so. It just depends on the circumstances. It's hard to say, you know, because I wasn't on that jury. But I

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meant just as a bystander, I think he was so wrong in 13:11 1 13:11 2 what he did, and he should suffer. 13:11 3

- Q. Sure. Have you ever heard -- have you ever heard the expression that there are no atheists in war? Have you heard that expression?
 - A. No, I've never heard that.
- Q. I think the notion is, that when people -- tell me what you think that means. There are no atheists in a war.
- A. Well, you've got to have something to believe in, I would think, if you are in war. You've got to turn your eyes and heart to someone else. I mean, it's got to be so terrible.
- Q. Is that because you are facing your own impending death? Do you think that's probably a big part?
 - Oh, yes, yes. And what you are going to do.
- Q. Sure. And then maybe if you make it through the war and you come back, maybe that's not so important to you then. You might kind of go back to your regular way of doing this. Would that make sense to you? Maybe you would, maybe you wouldn't?
 - A. Well, yes, uh-huh.
- Q. A lot of people do their best praying when things are going really bad for them.

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- A. Yes, that's true, uh-huh.
- Q. Do you think that might apply to somebody that's being -- that's facing the dealt penalty?
 - A. Yes.

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- Q. I know it never would be, but suppose, for example, you were in the jail and you were charged with capital murder, and you knew you had your trial coming.
 - A. Yeah.
- Q. First of all, you might truly become religious. Is that possible?
 - A. Uh-huh.
- Q. Instead of becoming religious, do you think there might be any reason you would act like you were becoming religious even if you weren't? Can you think of a reason?
- A. So I could get off. People that would think, you know, they've turned their life around.
- Q. And that's kind of what I'm talking about with this idea of remorse. And however you see it is your business. But if remorse is -- if remorse is going to be the dividing line between whether somebody gets a life sentence or a death sentence for a crime, that's the dividing line we use. We better be really sure for starters if it's true remorse.
 - A. Yeah, yeah.

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- Q. And number two, we better be sure that that's not going to end -- kind of like the soldier's religion might end when he comes back over here. Do you follow what I am saying?
 - A. I know exactly what you mean.
- Q. Now, I want to talk a little bit about this notion of -- and I brought it up, and I did for a real purpose. And that is for -- if you kill the drug dealer, is that somehow different from killing somebody that we think is better for our society?
 - A. Not really. It's a life that has been taken.
- Q. But, I tell you how I think and maybe this applies to you and maybe it doesn't. If you and I were taking a walk down -- down to the railroad tracks, let's say for some reason. We were going somewhere, and we bump into each other after this trial. And I say, hi, do you remember me? I was the lawyer. Yeah. I am the juror who works for Dr. Ashworth. How is her new husband? And we do that kind of talking.

And then we see a fellow up on the railroad tracks, maybe a quarter mile up those tracks and we think, you know, what's that guy doing standing on the railroad tracks? And the next thing we hear, we hear a big old freight train coming down the tracks in the other direction. And we yell, "Watch out. Here

comes the train."

He doesn't hear us or doesn't pay attention or whatever, and he gets run over by the train. And you and I would be shocked, and we would probably be crying and upset. It would be a horrible thing to have to watch. I don't know why, but we'd go over and see if we can help him.

- A. Uh-huh.
- Q. But there is not going to be help for somebody getting run over by a train. We know that.
 - A. Uh-huh.
- Q. And we call the police, or we use a cell phone and the police and the ambulance say: Well, we checked in his wallet, and it turns out he's a drug dealer. We've been after him for six months trying to arrest him. We got warrants on him. He's a drug dealer.

It might not be right, but I'll bet you and I wouldn't be quite as concerned in our hearts as if it turned out he was a somebody that helped children in the Special Olympics and was just an all-around good, charitable person that did good for our society. Can you see how maybe we would feel that way? Maybe we shouldn't because life is life.

- A. Yeah.
- Q. But I think that might be human to say, that's

not as bad because that was a drug dealer.

- A. Yeah, it might be, yeah.
- Q. I'd like to think I'm a better person. I'd like to not think that way, but I got that in me, you know?
 - A. Yeah.
- Q. Maybe the engineer feels better, and maybe he doesn't. You know, the guy that ran that train. Maybe he -- and maybe he is out driving down the tracks. The guy shouldn't have been there, but I'll bet he might feel a little better that it wasn't -- it was the bad guy instead of the good guy, and that's all human. And that's how we all react in general.

But if you stop and think about it for a minute, the defendant is not on trial for the -- for the quality of the person that he killed. He's on trial for being a murderer.

- A. Yeah.
- Q. When we get to these questions here, which there are really two, there's nothing in this first question that asks you: Do you find that the defendant killed somebody that we don't think was all that good? There's not a word in there about the victim and whether the victim is good or bad. And probably when we get to the second question, that's the mitigation question,

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there's really nothing in there about the character of the victim. You might be able to weave that in some because that might have something to do with the consideration of all the evidence.

A. Uh-huh.

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- Q. But you are never going to be asked a question, Ms. Ballard, do you find that the guy that got murdered was a good man or a bad man?
 - A. No.
 - Q. Do you know what I'm saying?
 - A. Uh-huh.
- Q. And that's probably for a lot of reasons. First of all, there might be somebody out there who would think you or I wasn't a good person.
 - A. Uh-huh.
- Q. If the test of whether we should be murdered or not or whether our murder is important or not is what the killer thinks about us, that's a tough one because usually -- usually killers kill people that they don't think are too important. I don't know many killers that kill people they like a lot. That's kind of why they kill, it's because for some reason they don't like the person that they killed.

And so we don't want everybody out there being judge, jury and executioner on us, and that's kind

Q. And the other part is, if we start doing that, if we start saying somebody needs to be killed or somebody's death isn't so important, what if the defendant that you end up being a juror on ends up being a drug dealer, too? Do you say, well, we don't give this defendant -- we're not going to worry about whether we execute him so much because he's a drug dealer. And drug dealers we don't care about anyway. Do you see how that all works?

- A. Uh-huh.
- Q. You've just got to look at the defendant and his character.
 - A. Yeah.
 - And his responsibility.
- 13:20 15 A. Uh-huh.
 - Q. That's kind of how this question is directing you to look. Now, I want to talk about families with you for a minute. Do you have children?
 - A. Yes, I do.
 - Can you tell me how many and what their ages Q. are?
- A. I have three children. My daughter is 36. I 13:20 22 13:20 23 have a son 34, and a son 25.
 - Q. Out of those three, do you have any grandchildren?

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A. Uh-huh, I have three grandchildren. 13:20 What are their ages? 13:20 2

A. Six years old, a little girl, and a four-year-old boy, and a seven-month-old little boy.

- Do any of them go to Dr. Ashworth?
- A. No.
- Q. Okay. I don't know you well, but I get impressions really quickly of people. And I can see the mom and grandmom in you. I can see it clearly as you are sitting there. And so I understand lots, I think, about you. And I believe you are the kind of person that, even if your children are scattered in states 1,500 miles away from here, you are still in touch with them. And if you call them at nine o'clock at night and they don't answer the phone, you start worrying like a mom. Thirty-six years old, it doesn't matter. It's still a little girl to you.
 - A. No. It's my baby.
- Q. Of course. And I'm thinking to myself, oh, my goodness, what if Ms. Ballard ends up with a child charged with an awful crime and, you know I know that would never happen. But what if it did? What if one of your children got charged with capital murder? And somewhere in this land the State was trying to cause the execution of one of your children. I'm thinking, what

- of why we do that. That's kind of why I say, even if the victim is a bad person, that doesn't make the defendant one bit better for killing that bad person.
- A. No. Because that person has loved ones, and he's hurting the loved ones, too.
- Q. Sure, sure. And furthermore, other things can happen. I mean, you take a shot at one guy you don't like and maybe you miss him and hit somebody that's good.
 - A. I know that happens.
- Q. And then what is it? Is that good or bad? He is trying to kill the bad guy, but he kills the good guy. Which is it? And it gets kind of wild if you do that.
 - A. Uh-huh.
- Q. And then further, you got other questions to ask because, even if you are killing somebody that's not so good, what if somebody good comes along and you got to kill them because they might be a witness, you know, those kinds of things?
 - A. Oh, yeah.
- Q. You might kill the bad guy and then you got to kill somebody good too just to cover up for killing the bad guy. Do you know what I mean?
 - A. Yes.

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would that be like for you?

- A. It would break my heart.
- Q. Of course, of course it would. And I'm moving along to a point that, if you haven't sensed it yet, I'm moving to a point that you can understand really well. It wouldn't even matter to you if they were guilty. It wouldn't -- you wouldn't -- you wouldn't have one ounce of love less for them?
 - A. No.

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- Q. You wouldn't -- you wouldn't be one more -- one bit more willing to let them be executed because they were guilty than otherwise?
 - A. Uh-huh.
- Q. You would be at the jail everyday when it was visiting time for them. You would write them letters. You'd be helping their lawyers in any way that you could think of to find witnesses to find evidence, anything you can think of. You'd write your congressman. You'd write the church. No telling what you would do, to try to help your child that you love and adore.

If the time came in the trial when you were to come in and testify, I know you'd be there and you would -- you would testify. And you would say anything you could to try to spare your child's life because you are a loving, caring kind of person. Are we

Q. I don't expect they are going to be staged for the jury or that she's gone into some kind of class, like crying class, how to cry convincingly. I don't suspect that for a minute. Okay?

A. Yeah.

Q. How do you think you are going to react to something like that if that would happen?

A. Well, my heart would go out to her because I would know how she felt. Or I would think I would know how she felt, but that is her, and I would have to look at what her child did really. And I would not be so sympathetic that I wouldn't judge him like I should, I don't believe, at all.

- Q. Because the argument could be made, I don't know if it would in this case, but the argument could be made, don't do this to his mother.
 - A. Well --
 - Q. What do you think of that?

A. Well, I know, but I have to think too about what -- about him. And more than his mother, but -- but I would hurt for her.

Q. Okay. I think we all would. People that I would like to see on this jury, I think they would feel that way.

A. Yeah.

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right so far?

A. Right.

- Q. Me too. I mean, I would do it. I don't care if I'm a lawyer. I would forget the lawyer part. I would come in and do whatever I can for my child, or my children because I love them. I love them both very much.
 - A. Uh-huh.
- Q. I anticipate jurors in this case are going to see something like that.
 - A. (Moving head up and down).
- Q. I anticipate, and it's frequent -- I anticipate that the defendant has a mother that loves him. A mother that would do what she could for him, that would say things that she could think of to help him, that would help his lawyers. That she is going to miss him terribly and never get over any of this, and certainly never going to get over the fact that he gets executed.

I would anticipate she might testify to those things and some other things, the background, the evidence on the defendant. And I would be very surprised if she doesn't come into court and start crying. Maybe crying a lot, and I suspect those would be genuine tears.

A. Uh-huh.

Q. I want to make sure you are that way also. I don't know about the ages of your kids. I think it probably might or might not fit. Drugs were different as your children were in those drug-prone years as they are now. Not only the variety of the drugs, but probably in many ways the intensity of them. Do you recall, as a parent with teen-age children, do you recall being worried about drug usage as they were growing up?

A. Sure.

Q. Kind of tell me how -- tell me what your worries were and what -- what you thought about all of that and what -- what you tried to do to spare all that?

A. Well, we tried to talk to them and, you know, teach them what was right and wrong for themselves, their bodies. And but, you know, teenagers go through these periods. You don't know what they are thinking really. And we did, we were concerned a time or two. And I think maybe my daughter at one time did try something, but we caught her, but we didn't catch her anymore. And our sons I really don't believe ever even tried any drugs so, but it's a very much a concern because you can ruin your life.

Q. And I think you touched on something that's interesting. I mentioned it on Tuesday. It doesn't

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matter how much we tell children or -- they probably start telling patients that you all are dealing with, don't do drugs. Don't let anybody give you drugs. You see these little children shows now, and they always have these little cartoons of the bad guy trying to offer drugs and those -- and those kinds of things. And yet kids do that anyway sometimes.

A. Sometimes.

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- Q. And when they do it, I mean, it may not be a wise choice, but do you view them, after having had all that education, do you view that as a choice they know they are making and they are making a conscious decision when they do that?
- A. Uh-huh, uh-huh. Well, it depends on the age. You know, of course a little child wouldn't know. But as a teenager, they are certainly exposed, and they know what they are doing. But hopefully they'll come back around and listen to mom and dad.
 - Q. And most of them perhaps do.
- A. Uh-huh.
- Q. Most of them do and, you know, as a parent you look at them and maybe say to yourself, you know, as long as they don't get something really really bad and as long as they don't do some bad crime connected with it, it may not be my first choice but, I mean, there are

probably those of us in this room that had a little beer now and then when we were their same age. It may be a little different and most of us got through it. We don't get a snoutful every chance that we get.

- A. Yeah.
- Q. Suppose a person says, I started out on drugs. I guess I knew it was wrong, but I was aimless. I was hopeless. I was looking for adventure. I didn't have any good modeling. I didn't like school very much, whatever, and I started doing marijuana. And I graduated from marijuana to this, to that and the other.

And the next thing I know, I'm doing something that is often violence inducing. And that is I'm doing speed, methamphetamine. And suppose the story is, yes, I did a horrible crime. It was a capital murder, and it doesn't fit the insanity definition, and it doesn't fit the accident definition. But I was doing a lot of drugs back then. Maybe I was hooked, maybe I wasn't.

So my crime was not as bad as if I had done it stone cold sober because these drugs I was taking made me more aggressive or made me more irritable or made me more paranoid. I got the idea that people were after me and stuff like that if they weren't. Does that make sense to you as a concept?

A. I know it happens, but I don't think it's right at all. You should know that there's a possibility of that happening before you take those drugs.

Q. Well, the reason I ask that is because I don't know about this case, but I know many cases, people will have explanations. They don't have to offer any evidence, but the defendants will have explanations for why they do the crimes they do. And certainly drugs might be one, an unhappy upbringing might be one. Poverty might be one. Lack of education, busted romance. Some people maybe saw atrocities in the military.

Like if they were in the Navy and served with gallantry, but as a result of all of that, ended up with, you know, kind of deformed in their personality or their character kind of thing. Everybody will offer, like, explanations for how they -- for how they got the way they are, that is, being a capital murderer.

And I'm curious about that. Other people say, well, you are talking to the wrong people about this drug stuff because that's a crime in itself. You had warnings like everybody else. And don't come crying to me now when -- when the chickens have come home to roost. And that is, because you did all that stuff, you ended up becoming more violent and doing all this stuff.

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How do you feel about that issue?

A. I think if you've tried to help someone and they just refused the help, well, they have to be responsible for their own actions. And I don't really feel -- I'm sorry, but I don't have a lot of sympathy for someone that just goes on and on with their drugs.

- Q. You go to Hunters Glen Baptist Church; is that right?
 - A. Uh-huh.
 - Q. And I believe the new sanctuary is open now?
 - A. Oh, yeah. It's beautiful.
- Q. I'm curious about that, because I know my church is building a new sanctuary. And some of us have worries that when you build a big new sanctuary, it changes -- it changes the feel of the church somehow. Do you notice any difference that way?
- A. Well, a little bit. I kind of liked it when it was small, but yet it's very nice still. We still have the same friends, and I love our minister. He is so good.
- Q. Do you have a place that you always sit when you got go to service? Do you have an area?
 - A. Basically, uh-huh.
 - Q. Where do you sit?
 - A. Well, we usually sit on the -- well, facing the

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minister, we are usually over on the right-hand side.

Q. I sing in the choir. So I'm up in the choir

- Q. I sing in the choir. So I'm up in the choir loft, and I had a benefit that I didn't know about when I first started doing that. They don't pass the collection plate up in the choir loft.
 - A. I'll have to get up there. (Laughter.)
- Q. You probably have never discussed capital punishment there in Hunters Glen, or have you? Have you ever discussed that, like in Sunday School class perhaps?
 - A. Not really.

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- Q. I don't know that the Baptist church has a strong position. I know that the Baptist church does not have a position denouncing the death penalty like some faiths do.
 - A. Huh-uh.

A.

- Q. I don't think they have taken the official position of approving it or --
 - A. I don't think so.
- Q. I think they probably view that as the individual work of the members. Just kind of like they don't have a job that -- that you are supposed to do or can't do. They just -- they leave that up to you. Do you see any conflict between your view on the death penalty and your Christian values?

No.

Q. And why I ask that is because some people of faith that are very strong in their beliefs say, you know, when I read that, "Thou shalt not kill," I don't see anything in there that says, thou shalt not kill except in executions or time of war. It just says, "Thou shalt not kill."

And other people say, well, that has to mean murder because they had executions going on back then and nobody thought anything wrong with that. It was part of the law that you get executed for certain things. What do you think about that? Do you think "thou shalt not kill" applies to executions?

- A. Well, you know, you have to look at all of it. If someone has killed someone else, they've got to be punished. God punishes. He will punish us very bad one of these days, and he's not going to blink an eye over that. Because we've had warnings. We've known how to act and so --
- Q. So you don't -- you don't feel that that's what it's talking about executions when it says, "Thou shalt not kill"?
- 13:34 23 A. No.
- 13:34 24 Q. Now, you were raised in the Christian church?
- 13:34 25 A. Uh-huh.

- Q. Would that be Disciples of Christ?
- A. Uh-huh, yes.
- Q. Where are you from originally?
- A. Dallas. Well, I was born in McKinney. And, of course, as a baby we moved to Dallas and... And one thing I wanted to tell you all, too. I said I had been to college for one year. It was one semester, and I didn't want to tell you wrong. My husband and I, we broke up for good. So we were dating, so I came home.
- Q. Funny how that happens. When you looked at the defendant, tell me what observations you made of him. And it's -- you can look at him all you want here. There's nothing wrong with that. What things occur to you?
 - A. That he's a nice looking young man.
- Q. Let's talk about -- let's talk about the -- the young man concept for a second. That's probably -- there are probably a number of things we notice when we notice a person. We probably notice height, and we notice age. We notice how people are dressed and all those things. And, yeah, I certainly agree with you. He appears to be young. As you get older, lots more people seem to look younger than they used to. I'm sure
 - A. Oh, yes.

Q. How does the fact that he looks young seem to affect your jury service, if it does? Does that have any affect on any of the way you approach things?

A. I don't think so.

you understand how that is, too.

- Q. Well, the reason I say that is, sometimes we have more hope for young people in rehabilitation and remorse --
 - A. Yes.
- Q. -- than other people that are more set in their ways, and maybe that's true. I mean, maybe that's right, and maybe it isn't. I don't know about that part. I know, I guess if I look back on my life when I was 27, like his age, for example, I guess I could say, you know, I probably was doing some things and thinking some things that I probably wouldn't think now. And I like to think I'm better for that.
 - A. Uh-huh.
- Q. I wasn't doing anything all that exciting, that I can remember, when I was 27.
 - A. Uh-huh, yeah.
- Q. I probably had a different view about life than I do now.
 - A. Sure.

Q. And if that's the case, then I think all of us would probably say, if you are younger you have a better

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chance of changing because we're all changing --

A. Uh-huh, sure.

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- Q. -- than otherwise. And I guess what I'm thinking of is, I want to make sure that you are not the kind of person that says, "Well, I could never vote death for a young person." Are you that way?
 - A. I don't think so.
- Q. And why that's important is, you know, it's one thing, when you are a young person to drive fast, too fast. I wish they didn't, and maybe your kids didn't, but most kids they seem like, thank goodness, their reflexes are good because if they are driving, if they are driving pretty reckless sometimes.
 - A. Yes.
- Q. You go out some Friday night in Plano. You go down Parker Road, for example, you will know exactly what I mean. You see all the kids with the stereos. They are nice kids. They are just kids, and they are driving, and it's dangerous. They are going fast. It's one thing to say, let's give somebody a break because they are not -- they are just being kids driving too fast, even though you and I know that's a dangerous thing to be doing. And that's another thing to say, well, let's give them a break on a capital murder case for killing a couple people because they are just kids.

with our client.

THE COURT: Okay. Well, I didn't get that impression, so I understood it to be general. So I'll overrule the objection. But if that could be the case, just stay away from it.

MR. SCHULTZ: Okay.

- Q. (BY MR. SCHULTZ) Let's talk about having a bad upbringing as a child for a minute.
 - A. Uh-huh.
- Q. I don't know you, but you speak of your husband with affection. And you speak of breaking up and getting married and all that stuff with affection. And I get a sense from you that you and your husband probably share a lot of core values, and he's probably just as worried about his children as you are --
 - A. Uh-huh.
- Q. -- about the children. I get that sense. And I think you and I would agree that maybe the ideal way to raise children might be for parents to stay together, work with a common purpose, disagree if they disagree sometimes, but put forth an effort of family life and make things evolve around that as much as you can. That means the church. That means Plano sports. That means all the kinds of thing. That means dance lessons. I bet you had dance lessons for your kids growing up --

Do you follow what I'm saying?

- A. Uh-huh.
- Q. There probably was a time when your children might have been just like those kids out there driving too fast, yours, mine and everybody else's. There was never a time when your children were ever going to go out and kill two people. Do you follow what I'm saying?
 - A. Uh-huh.
- Q. And to look at extreme behavior, look at awful, horrific murderous, savage kind of behavior and to say, oh, well, that's just something. That's an incident of youth. It's not an incident of youth. It's an incident of really really bad people doing those kinds of crimes. Does that make sense to you?
 - A. Yes.
- Q. And that doesn't mean they'll consider the fact that he's young because you can consider that in many different ways. But rather it's -- it's saying young or old --

MR. HIGH: Judge, I'm going to object at this point. I believe he's injecting facts before this juror that haven't been admitted into evidence. And he's personalizing his question with our client. In other words, he's injecting facts that are not in evidence at this point by personalizing this conduct

A. Uh-huh.

Q. -- and that kind of stuff. And that's ideal. And then if we're real real lucky, we do an okay job at it, and they turn out okay, and they pass it on to their kids. Like, your grandkids might be benefited from like we did, just like you are benefited from what your mom did. Do you know that kind of idea?

A. Oh, yeah.

Q. And yet not everybody in our society has, has that. There are divorces. There are dads that run away. There is violence in the home growing up. There is poverty. There is sexual abuse of children. I mean, you and I don't even know what it means to grow up that way, but it happens to people. They understand it, and we understand it, sort of. And then when they get to adulthood, we expect them to obey the basic rules of adulthood. And maybe your kids had better tools to perform well in our society than other kids who didn't have all that love that we're talking about.

- A. Uh-huh.
- Q. Would you agree with that?
- A. Oh, yes.
- Q. And yet, if you stop and think about it for a minute, we don't ask a whole lot of people in our society. You know, we don't ask them to go to college

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or become famous engineers or to make a lot of money or have a big house.

We ask about three things of people in our society. We ask -- we ask them to obey the laws and not go around hurting us. Not taking out their frustrations on us by hurting us or people that we love.

A. Uh-huh.

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- Q. And we ask them to stay free from real serious diseases that can affect us. Because we can quarantine people. If they have TB or smallpox, we say, go get treatment or we're going to put you somewhere where you can't make us sick. And we probably ask them -- we probably will ask them to go to school for a while because they don't have a choice about that.
 - A. Yeah.
- Q. And other than that, they don't have to do much of anything in our society. They don't have to pay taxes if they don't work because they don't make any money. So we don't say, pay our taxes. We don't say work. We don't say -- we don't say take care of yourself because if we take sick, you and me will pay for it in a charity hospital. We're that way. We don't -- we'll take care of their children if they don't have money. I bet Dr. Ashworth still does some free work for children that are poor, doesn't she? Does she

Q. Okay. First question, if the defendant is found guilty of capital murder, the first question you get is whether there is a probability that the defendant would commit criminal acts of violence that would constitute a continuing threat to society. We sometimes call that the future danger question.

- A. Uh-huh.
- Q. When it talks about society, of course it can include our society that you and I live in right now. Of course it includes, would the defendant be a threat if he walked into the sanctuary of your church or walked into the ice cream store. But it can also include prison society. And it's not limited to either one. One of the reasons it includes prison society is because we anticipate that's where he will be going if he gets a life sentence.

And another reason it includes prison society is because, trying to evaluate his character, you might want to test it in different places. How would he be in this place? How would he be in that place? And so you can just consider any of the possible places he might ever be. The reason it includes our society because, first of all, it doesn't say otherwise. And, secondly, there are such things as escape. There are such things as pardons. And in evaluating his

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do any of that?

A. Well, I'm not sure that she does.

THE COURT: Say, Mr. Schultz, I'm going to ask you to pass the witness in about seven minutes.

MR. SCHULTZ: Okay, Judge.

- Q. (BY MR. SCHULTZ) We don't ask a whole lot from people in our society other than, just don't cause trouble. And that's pretty simple to do. Don't violate our laws. Don't spread TB, and don't -- go to school until you are 16. That's about all we ask. Do you agree?
 - A. Uh-huh.
- Q. And so what do you say to the argument, when somebody goes out and does a capital murder or a terrible crime and says, I have a terrible upbringing and nobody instilled the values in me that Mr. and Ms. Ballard instilled in their children. What do you say to that argument?
- A. I think as you get older, though, you are going to know right from wrong. And you should be accountable for your actions. And, I mean, I know it's true that people look at things differently because of the way they are raised, but you still should be responsible or -- why not be a better person than your parents were

personality, we also consider our society too.

- A. Uh-huh.
- Q. A lot of ways we can do that, we can use psychiatric evidence. We can use prior actions of a defendant. Like, find out other crimes that may have been committed, those sorts of things. Do you think you could answer that question, based on evidence presented to you beyond a reasonable doubt. Do you have the ability to answer such a question?
 - A. Yes.
- Q. And that's not an expert question. That's not asking you to find if he had a brain tumor or a flat brain wave someplace. That's just asking you, is there a probability that violence would ensue? Then we have the second question over here which is the mitigating question.

And that says, even if you find the first question should be answered, yes, do you find that because of the background of the defendant and the circumstances, do you find that there is sufficient mitigating evidence, sufficient mitigating evidence to warrant a life sentence? Does that concept make sense to you?

- 13:47 24 A. Yes, uh-huh.
 - Q. Now, what sufficient means, you probably have

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to balance the mitigating evidence against the crime itself.

A. Uh-huh.

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 ${\bf Q}. \;\;$ And here's what I mean. How am I doing on time?

THE COURT: Let's see, you have about five minutes.

MR. SCHULTZ: All right. I'm hustling. THE COURT: All right.

Q. (BY MR. SCHULTZ) And why I say that because, to be sufficient, that invites you to look at the circumstances of the crime and other sorts of things and says, is there sufficient mitigating evidence that a life sentence is warranted? I will absolutely guarantee you, although I can't tell you what, I guarantee that there will be presented in this case, evidence that everybody will say is mitigating, mitigating evidence.

I mean, it may -- I don't know what form it may take. It may take a lot of forms. But I think, I think there will be evidence that if you ask me, is that mitigating evidence? I might say, yeah. You know, not -- all kinds of stuff might be mitigating evidence. All that really means is that perhaps stuff that's sad about a defendant's background or things that happen. You and I have mitigating evidence, if we ever get

convince a jury. Do you know what I mean?

A. Yes.

Q. The worse the crime, perhaps the more evidence is required to be sufficient.

A. Uh-huh.

Q. Because that's what you are invited to look at in your question.

A. Uh-huh.

Q. Adolf Hitler, it doesn't matter what he had to say. He never could have mitigated what he did. Do you follow me?

A. Yes.

Q. Do you agree with me that everybody has mitigating evidence that they could fall back on if they wanted to?

A. Sure.

Q. I want to ask you one question about your questionnaire.

MR. SCHULTZ: May I approach the juror? THE COURT: Yes.

Q. (BY MR. SCHULTZ) This is not a criticism. Just want to make sure -- I want to clear it up. On page 3, question is, the burden of proof in a criminal case. Read that and your answer. About the burden of proof is on?

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charged. You and I have that walking around with us right now.

If we get charged with a capital murder, you've got things that didn't go right in your life, and I have things that I can point to that didn't go right in my life. And everybody else in this courtroom, we all walk around with a sack full of mitigating evidence that we can use, if we ever get charged with capital murder. Does that make sense to you?

A. Sure.

Q. And then what you do is, you look at that question and you say, look at what the person's done. Is the sack full of mitigating evidence that we all carry with us, every single one of us, is that enough? Is that sufficient to make a death sentence a life sentence; what ought to be a death sentence? Is that sufficient to make a life sentence the right thing to do? Do you know what I mean?

- A. Yes, uh-huh.
- Q. Timothy McVeigh, I guarantee you, had some mitigating evidence in his sack that he walked around with like you and me and everybody else.
 - A. Sure.

Q. Probably there isn't a sack big enough to hold enough mitigating evidence to overcome what he did to

A. Well, I think the defendant -- you have to prove that he is innocent. So --

Q. Well, let's do it this way. You know I never --

MR. GOELLER: I'm sorry. She was about to say something else.

MR. SCHULTZ: I didn't mean to interrupt

MR. GOELLER: What were you going to say? VENIREPERSON: Go ahead.

MR. GOELLER: You were going to say

something else.

you.

VENIREPERSON: Well, I did put on the juror -- but I don't -- I guess I should say that would be the defense lawyer is going to have to prove. The burden of proof is on you, right?

MR. GOELLER: Okay.

VENIREPERSON: And they are going to have to prove that he's innocent. And of course the burden of proof -- you have to prove he's guilty. So is that what you mean?

MR. SCHULTZ: Yes.

 $\label{eq:VENIREPERSON: I don't know why I put on the juror.} VENIREPERSON: I don't know why I put on the juror.$

Q. (BY MR. SCHULTZ) I understand how you might

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             A. Yeah, uh-huh.
             Q. The burden of proof at the first part of the
13:52 3
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          trial, that's the -- that's the guilt-innocence phase of
          the trial.
13:52 5
             A. Yeah.
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             Q. Is clearly on the State.
13:52 8
                Yeah, yeah.
                  They don't have to do anything.
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             A.
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             Q. The defense lawyers don't have to present any
         evidence. And I understand you answered these questions
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         before anybody talked to you.
             A.
                  Uh-huh.
13:52 14
13:52 15
                  Okay. They don't have to prove anything to you
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         at all.
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             A.
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                  They can refuse -- they can refuse to even
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         participate in the trial, if they want to.
13:52 20
                 Oh, yeah.
13:52 21
                  That means the defense lawyers and the
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         defendant.
13:52 23
             A. Oh.
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             Q. And that doesn't change -- that doesn't make my
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         job one bit easier.
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13:52 1
                  Uh-huh.
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                  I still have the burden of proving to you --
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             A.
                  Yes.
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                  -- beyond a reasonable doubt the defendant's
         quilty. Any problem with that?
13:53 5
             A. No.
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                       THE COURT: Mr. Schultz, your time has
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         expired. All right.
13:53 9
                       MR. SCHULTZ: May I approach? May I
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         approach the Bench?
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                       THE COURT: Oh, yes. You need to get your
13:53 12
         paper back.
                       MR. SCHULTZ: No. May I approach for a
13:53 13
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         conference with the Court.
13:53 15
                       THE COURT: Oh, yes.
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                       (At the Bench.)
13:53 17
             Q. (BY MR. SCHULTZ) I had to go to the Judge
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         because I had to beg for a little more time. At the
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         punishment phase of the trial, the burden is still on
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         the State. It never changes. The burden is never on
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          the defense to do anything at all. At most, the only
13:54 22
         burden they are ever going to have is the same burden as
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we do on mitigation, but they still don't have to do

say the burden is on the jury to figure out.

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anything.

A. Oh, okay.

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Q. This question, we've got to prove he's
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          dangerous beyond a reasonable doubt. They don't have to
13:54 3
          prove anything.
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             A. Okav.
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                  Do you have a problem with that, if that's our
13:54 6
          system?
             A. No, no problem.
13:54 7
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                  And if we don't prove that question should be
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          answered yes, beyond a reasonable doubt, then the answer
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          to that question has to be no.
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             A. Uh-huh.
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                  So you wouldn't shift the burden of proof then?
          You wouldn't be a burden shifter and put on the defense
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          to prove his innocence?
13:54 15
             A. No, no. I just thought that the defense lawyer
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          had to prove he was innocent, and you had to prove he
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          was guilty. I didn't know that he could just --
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             Q. You are half right. I've got to prove he's
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         quilty.
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             A. I didn't know.
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                  But in fairness to you, you filled out that
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          questionnaire before anybody talked to you.
13:54 23
             A.
                  Oh, yes.
13:54 24
             Q.
                 That was just kind of a, like the woman off the
13:55 25
          street kind of a questionnaire.
                                                                176
             A. Yes.
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                       MR. SCHULTZ: Judge, I'll pass the juror.
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                       THE COURT: All right.
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                            VOIR DIRE EXAMINATION
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          BY MR. HIGH:
13:55 6
             Q.
                  Good afternoon, Ms. Ballard. My name is Don
         High.
13:55 7
13:55 8
                  Hi, Don. How are you?
13:55 9
                  How are you? Nice to see you.
                  Thank you.
13:55 10
             A.
                  My name is spelled just like it sounds like
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         high in the air, H-I-G-H.
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13:55 13
             A. Oh, H-I-G-H, okay.
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                  So you don't have to guess at it. And my
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          partner here, Mr. Goeller, and we're partners in a law
          practice in Plano ...
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             A.
                  Oh.
13:55 17
                 -- which is not too far from your church. Our
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          office is at Park and Central on the west side.
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13:55 20
             A.
                  Oh, okay.
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             Q. So we know that area pretty well, and I live in
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          that area, too.
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A.

Uh-huh.

Q. And the reason I mention that is, you know, I

walk around in that area and ride my bike in that area

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and fill my car with gas in that area. Have we ever met or -

A. No, not that I know of.

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- Q. Okay. Great. I also knew one of your fellow church members. I think he went to your church, Officer McQuery, Mike McQuery? Did he go to your church?
- A. I know the name. I've heard the name, but I don't know him in church. But I've heard the name.
- Q. He's a super guy, and he passed away three or four years ago. And they named a park after him on the east side of Plano, McQuery Field. So he was well thought of, and I know that he was a pretty avid member of your church. He would be real proud of your sanctuary. I'm sure he would be.
 - A. Oh, yeah.
- Q. We have a few questions. Mr. Goeller and I have a hard job to do. We've been appointed to represent this young man here, and we got to do it whether we like it or not. You understand that.
 - A. Uh-huh.
- Q. And we've got to make sure that he gets a fair shake --
 - A. Sure.
- Q. -- in the trial and also in the event he's convicted, when and if he's sentenced. And we're not --

A. Oh, yes.

- Q. And are you a little bit surprised that we go into so much detail in terms of selecting a jury?
- A. But I respect it. I think that's wonderful. I never knew.
- Q. Okay. All right. Very good. There's an answer in your questionnaire. We'll just talk about the death penalty. It says, "Are you in favor of the death penalty?" And you say, "yes." And "Please explain your answer." "Depending on the circumstances, why and how."

And I suppose you're asking for more information like tell me when. Tell me when it's appropriate, that sort of thing?

- A. Yeah, yeah. I'm new at this.
- Q. Okay. And believe it or not, this is my first death penalty case. So I'm fairly new at it, too.
 - A. Okay.
- Q. If you were to think of -- let's just use your imagination. If you were to think of the perfect case that would be appropriate for the assessment of the death penalty, what kind of case would that be?
- A. Well, like Timothy McVeigh. There was no excuse for what he did.
- Q. It's hard to think of a case any better than Timothy McVeigh. I agree with you. Any other type of

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we're not agreeing -- do you understand we're not agreeing at this point that he's guilty. But the way the law anticipates picking a jury, we have to discuss death penalty issues with you --

- A. Sure.
- Q. -- because it would be too late if you were already on the jury. We have to do it in advance. Do you understand that?
 - A. Uh-huh.
 - Q. And I hope -- I hope you understand that.
 - A. I do.
- Q. If we're not sitting here telling you he's guilty because we're not taking that position at all. But we're going to ask you questions, assuming that we get to the punishment phase. We may or may not. Do you understand that?
 - A. Uh-huh.
- Q. Okay. And I -- as I ask you questions, I don't mean to pry too much, but I say that, I'm going to be prying some, and I don't mean to be personal or hurt your feelings or insulting or anything like that. By all means tell me if I to.
 - A. Okay.
- Q. But this case is the most serious case that Mr. Goeller and I could handle. Do you understand that?

13:59 1 case that comes to mind?

- A. Well, is a crime of passion, is that a -there's an excuse for that, I guess. I mean, there's no
 excuse for murder, but -- I don't know. I just can't
 think right now. I'm nervous.
 - Q. I understand. Crime of passion?
 - A. That's --
- Q. You've done -- you've obviously done some reading over the years. Do you read mystery novels?
- A. Yes. I like Mary Higgins Clark. She has good mysteries, and I do enjoy a mystery.
- Q. When you mention a crime of passion, you are talking about a situation like the heat of the moment?
- A. Like this, recently this man that shot his family, you know. Is that a crime of passion, you know? Well, that's terrible, but there's so many different kind of murders, you know, that you -- there's not excuses for some. But you can be more lenient on some than others. Depends on all the circumstances.
- Q. That's right. Let's say -- let's say that I come home one afternoon, and I'm tired from being in the courthouse all day. And I've had all these heavy issues on my mind, and I'm a little bit grouchy, a little bit irritated. And I get upstairs, and I find my wife in bed with another man.

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A. Uh-huh.

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- Q. And everybody in here pretty well knows my wife. They can't imagine that scenario, but let's say I encountered that. And I grabbed a gun and shot him and her. Do you figure that would be a type of case where I should face the death penalty, or would you factor in some passion on my part?
- A. Well, it would be some passion if your wife had been throwing things like that in your face for a while maybe. But still, you should be a big enough person to walk away from it, but I've never been there.
- Q. But you've heard of situations like that, and you've probably read novels like that?
 - A. Oh, sure. Yeah, uh-huh.
- Q. And obviously, I would be enraged with that situation to be confronted with that?
 - A. But you wouldn't go scot-free.
- Q. I understand that. Especially with you, if you were sitting on my jury you -- you'd be rather upset with me because, after all, I'm a trained attorney, and I should know better than that --
 - A. Sure.
- Q. -- first of all. And also know, being a lawyer that's handled a capital case, if I killed two or more people then that, that makes me --

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- A. Pretty bad.
- Q. Well, and that subjects me to the death penalty, right?
 - A. Uh-huh.
- Q. Okay. In that instance, would you consider my bag of mitigating evidence that I'm carrying around with me, or would it be more likely with you as a juror that, that I would be looking at the death penalty?
- A. Well, you know, I might look at what your -- if she was throwing this sort of thing in your face all the time and how -- what state of mind you were in because of her.
- Q. Uh-huh. Well, I'm just -- I'm just talking about the situation like this afternoon. I go home after work this evening and --
 - A. No. I don't think.
- Q. I'm tired and hot and walk up the stairs, and I find this situation and boom, boom, they are gone.
 - A. No.
 - Q. That's what I'm talking about.
 - A. No. You can't do that.
- 14:03 22 Q. You can't do that?
- 14:03 23 A. No.
- 14:03 24 Q. Would I be looking at the death penalty with 14:03 25 you? Is that a good likelihood?

- A. Oh, gosh, I don't know. I would have to hear all the facts. Because it would be so hard for me to -- I don't think you'd go out and do it again.
- Q. Now, obviously that's a situation that involves extreme --
 - A. Yes.
 - Q. -- emotion on my part?
- 14:04 8 A. Sure.
 - Q. And it's also a situation that happened off the cuff. You know, I certainly didn't plan to do that, and I sure didn't know what I was going to confront this afternoon. Unlike Timothy McVeigh who planned it for months.
- 14:04 14 A. Uh-huh.
 - Q. And bought the fertilizer and got the timing devices and rented the truck and planned out his escape route, that sort of thing?
 - A. Uh-huh.
 - Q. And thought it through completely. It's a totally different type situation, wouldn't you think?
 - A. Uh-huh.
 - Q. And it seems to me that you are struggling with the difference. It seems to me that passion really doesn't matter as much to you as you thought it might.
 - A. No. I guess not.

Q. Is that right?

- A. Well, yeah. There has -- it depends on all the circumstances whether I would want you in prison or -- because I don't think you would go out and shoot somebody else. But there's so much -- I would have to have so much evidence as why you did it and maybe your background, but I don't know.
- Q. Well, I think it's a given for me to be sitting here practicing law. And I probably don't have a felony conviction, and I probably don't have much of a criminal history.
 - A. No, That's right.
- 14:05 13 Q. In fact, I can tell you I don't have a criminal 14:05 14 history.
 - A. Well, I might send you to prison.
 - Q. And you might give me the death penalty?
- 14:05 17 A. Well, probably not like I would Timothy 14:06 18 McVeigh.
 - Q. So passion matters somewhat but -- but not a whole lot?
 - A. Yeah. It's still not an excuse because --
 - Q. You say that, "I believe the death penalty is appropriate in some capital murder cases, and I could return a verdict resulting in death in a proper case."

 And I suppose if you were sitting on McVeigh's jury, you

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could have done that no problem.

A. Uh-huh.

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- Q. And I suppose if you were sitting on my jury, could you return a death penalty no problem?
 - A. I might have a little problem.
- Q. But you might still do it? It would still be a possibility with you?
- A. I think it would be very hard for me to because you had been done wrong, and you are innocent. You are a good person, so I might send you to prison.
- Q. And we talk about life confinement in prison, and you say, "I believe that life confinement in prison is appropriate in some murder cases, and I could return a verdict resulting in life in a proper case."
 - A. Uh-huh.
- Q. Okay. Would you say that you fall, in terms of life and death, in terms of the options that you would have in a capital scenario, would you be more likely to assess death, or would you be more likely to assess life, or do you know?
- A. Well, to me, I'd rather be dead than go to prison. That's the way I look at it.
 - Q. If you were having to get the sentence?
- A. If I were going to be sentenced because I think prison is terrible. So that's why it would, either one

- Q. Now, I understand a whole lot of what you are talking about because I'm also a Baptist and a bornagain believer.
 - A. Yeah.
 - And I go to First Baptist in downtown Dallas.
- 14:09 6 Oh, yeah.
 - Are you familiar with that country church?
- 14:09 8 My husband grew up in that church.
- 14:09 9 Q. What's his name?
 - Ballard, David Ballard.
 - David Ballard. Okay. Was he under
- 14:09 12 Dr. Criswell?
 - A. Uh-huh.
 - 54 years' ministry. That's unbelievable.
- 14:10 15 A. Isn't that something, yeah.
- 14:10 16 And he still -- he still, sometimes preaches. 14:10 17 In fact, he preached about a month or two ago.
 - A. Yeah. Isn't that something?
 - Q. 90 something years old. Our faith -- how long have you been in the Baptist church?
 - A. Well, for probably about maybe altogether 15 years. Because we started, you know, my husband was Baptist. I was Christian church. So we went to the Methodist church, and then we went to the Christian church and then Baptist.

would be very hard. You would really have to -- I think there's some cases I could say, yes, they deserve the death penalty. But there would be some that I could say, you know, spend the rest of your life in prison. But I know I'm kind of sitting on the fence there.

- Q. I understand. And it seems to me, and that's kind of a new thought. We hadn't heard that in the courtroom in the last week or so, and that's the perspective from the defendant. And it sounds to me like you are factoring in your own concept of mercy in terms of which would be more merciful, to spend your life in prison or …
 - A. Yeah, they are both awful.
 - Q. -- to be executed.
- A. I can't think of either one of them. Well, of course the thing about going to prison, you do have a chance to maybe repent and become a Christian. So that's, you know, like a young person. You could think, well, maybe they will change.

But yet, there are circumstances too that you think, well, if they won't change and so give them the death penalty. So that's why, I guess, I think I could be fair. I really think I could, but it would be hard either way. I don't think prison is that much better than death, myself, but...

Are you comfortable with the Baptist faith?

- A. Yes, yes. And they are so good for my children. They loved it, and they learned more in the Baptist church, I think, than any.
- Q. You would agree that in the Baptist church and Baptist faith, especially with respect to Dr. Criswell, there's the concept of redemption and the concept of becoming a Christian and expressing faith in Jesus Christ, et cetera. And you just mentioned changing.
 - A. Uh-huh.
- Q. And especially if you are in prison, you know, becoming a Christian, changing, trying to change. And I think this was touched upon when Mr. Schultz was asking you questions. Would the fact that a person who is in jail and awaiting trial, and there's evidence that they have expressed faith in God and are trying to change, would you view that with skepticism, or would you try to keep an open mind about it and -- I guess, I guess listen to it and try to give them the benefit of the doubt? I guess that's what I'm trying to say. I realize that's important to you. That's why I'm asking you about it.
- A. Yeah. Well, it -- there's so many circumstances. I mean, like we were saying, I think that they are going to tell you that they've changed.

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They are going to, so you have to not be so gullible. But you can at least listen too, and you know how you feel in your heart about it. You can't -- there's just no black and white in something like that. But I know that's what they are all going to say.

- Q. I understand. I understand that.
- A. Yeah.

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- Q. And please don't think that I'm gullible either because I've been working in this line of work for a long time. Probably, probably 15 years, and I know exactly what you are saying. By the same token, I mean, you mentioned that it was important to you with respect to whether or not we take their life or spare their life. But I think I got that from you, that that would be kind of a factor that you would consider, you know whether -- whether they could change or thought about changing or tried to change. You know, did I get that from you?
- A. Well, it depends on the person and the crime, you know. If it's -- I guess a capital murder is -- it's as bad as you are going to get, but it would be hard for me to probably think that they would change. I don't know.
- Q. Okay. Now, you've heard of some ministers preach. Let's talk about Dr. Criswell. I'm sure that

A. Yes.

- Q. So really whether or not they change awaiting trial or even after the trial, that really is of no consequence with respect to assessing the death penalty or giving them life?
- A. I guess all I can say is, it depends on the person, the crime and how the evidence is presented to me.
- Q. There's a question in here about midway through. It says, "The law in the State of Texas says that a person convicted of capital murder can receive the death penalty solely because of the facts and circumstances of the crime." And that's what we just talked about.
 - A. Uh-huh.
- Q. "Even if he has committed no other crimes, do you agree with this law? "Yes." "Please explain." "If you feel without a doubt and the circumstances are all there, but you must be very certain. Life is precious."

Okay. Now, that last thought there is kind of a parenthetical add on. "Life is precious." And I don't understand that comment. Are we talking about the defendant's life is precious or the victim's life?

A. The victim's life is very precious and, well,

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Dr. Criswell and some of the leaders in the Baptist faith would say that even a murderer or capital murderer is -- could still accept Jesus Christ as their personal Lord and Savior, and God's Grace is freely given. I'm sure that the concept of redemption is freely available to even those people, wouldn't you say?

- A. Yes. And I would like to hear that, and I would like to believe it, especially if I sentence them to death because I would want to know that they are going to a better place. I wouldn't want to feel that I am taking something like that away from their life where they could repent. But I wouldn't say I'm going to give this person the prison hoping that they will change, really.
- Q. Okay. You understand why I'm not really clear on where you are coming from?
 - A. I know because I am -- I know I'm --
- Q. Does the concept of change really matter to you, I mean?
- A. I guess in my heart I hope that they change themselves so that they will be in a better place. But, I mean, I have no problem with sending someone to the death penalty, you know, if they actually -- if I feel in my heart they deserve it.
 - Q. They deserve the death penalty.

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the one that's committed the murder, his life is precious too. But he needs to be more responsible for his actions maybe, too.

Q. And then shortly thereafter it says, "If you believe in using the death penalty, how strongly on a scale of 1 to 10, do you hold that belief? "1 being the least, and 10 being the strongest."

And at the time you filled this out, you said you were a 7, which meant you were fairly strong with respect to the death penalty.

- A. Yes.
- Q. Is that still the same, or has it changed? Has it gone up? Has it been adjusted in the last week since you had some time to think?
- A. Well, I really thought about it an awful lot. It's really bothered me.
 - Q. I bet it has.
- A. And maybe 5 would be better. But I mean, if you know, I think I could go either way depending on the circumstances. Am I not clear, really?
- Q. No, that's clear. So you think you backed off a little from --
 - A. Yeah.
- Q. -- being strong on the death penalty?
- A. Yeah, but --

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Q. You talked to a lot about remorse with Mr. Schultz about an hour ago, and I want to address that. It's mentioned in the top of your questionnaire. "In your opinion, what does the death penalty say about the American culture?"

And your answer is, "Hopefully that we will not stand for people hurting or murdering others with no remorse."

A. Uh-huh.

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- Q. Now, is remorse -- how important is remorse to you in this context?
- A. Maybe if it's not the remorse for what they did, you know, as far as we go. But for God, hopefully they can have remorse because I do believe in God, and maybe he would forgive them, but --
 - Q. So you are saying that.
- A. A hardened criminal, I would think, would have no remorse. And so they should probably have the death penalty.
- Q. Okay. So you are saying even -- even if there is remorse with respect to sentencing, it's probably not going to affect your decision? You would be mostly concerned about a conversion experience and remorse that they personally feel so that in the life hereafter God would be merciful to them?
- A. Unless, in my heart, I know that they are going to be a help to the society some. I know they would be in prison, but unless they could be of help to someone else in --
- Q. Please don't let me put words in your mouth. I'm trying to figure out how you feel, not how I feel.
 - A. Well --
- Q. Would remorse -- remorse really wouldn't play a factor in your sentencing decision, would it, ma'am?
- A. No. If they were just a cold-blooded killer, you know, I can't see them telling me, "I'm sorry." And me saying, "Well, that's okay." Because they've not only hurt and killed those people, they've hurt their families.
- Q. Let's go back to my situation, and I go upstairs. I pull the trigger. I killed two people. And we come to my trial, and I say, "Gee, it's a horrible thing I did. I wish I hadn't done it. Of course I was awfully mad at the time.
 - A. Yeah.
- Q. And I don't know, you know, even if I was faced with the same situation. I can't say that I would have acted differently. I'm truthful. Okay? I wish I hadn't done it, though, because here I am. I'm on trial for my life, number one. And, number two, I miss her.

A. Yeah.

- Q. And my family misses her. Okay? In my situation, would you consider my remorse or would you instead leave that up to God and --
- A. Well, that has to be left up to God, I think. But are you saying like the death penalty or --
 - Q. Correct.
- A. Well, I might send you to prison because I don't think you are a cold-blooded murderer. I know you killed someone and that life was precious even though they were hurting you, but I might send you to prison.
- Q. Let's change the facts a little bit. Let's say I came home drunk one night. And instead of it being somebody else, another lover with my wife, it was her brother. And I thought it was -- I thought it was another man, but it was just -- they were just watching TV
 - A. 0h.
- 14:22 19 Q. Does that affect your view of me? I'm now on 14:22 20 trial for my life, and I've committed a double homicide. 14:22 21 And I've voluntarily drank too much. I voluntarily had
- 14:22 22 too much to drink.
- 14:22 23 A. You shouldn't have done that.
 - Q. Shouldn't have done it.
- 14:22 25 A. Huh-uh.

Q. But I've -- I've clearly got remorse. I'm saying I shouldn't have done it. And I shouldn't have had that much to drink.

A. But I have to figure out.

- Q. And I shouldn't have acted so hastily. That's a little bit different situation, isn't it?
 - A. Yeah
- Q. Would that be deserving of the death penalty, do you think?

MR. SCHULTZ: Excuse me, Mr. High. Judge, we're going to try to object to him trying to commit the juror to a particular vote in a particular fact situation.

THE COURT: Sustained.

- Q. (BY MR. HIGH) How important would remorse figure in that situation? When I say, gee, I'm sorry. I shouldn't have had so much to drink. And I'm sorry, ladies and gentlemen of the jury, I'm sorry I put y'all in this situation.
- A. I would think you reached for that gun and you knew -- you knew what you were doing. Maybe if that was just her brother.
 - Q. Yeah.

A. But I don't know what I would do, except maybe, I would think, if you had been a good citizen always,

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like this, I don't see how I could really give you the death -- I don't know. That's just terrible.
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Q. There's a sequence of questions on page nine.
And I don't know, perhaps you overlooked it.

 $$\operatorname{MR}.$$ HIGH: I'm going to approach the juror, Judge.

- Q. Oh, you have your questionnaire in front of you?
 - A. Uh-huh.

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- Q. Excellent. Look at the top of page nine. It says, I don't, "Have you ever served on a jury before?"
 - A. No, I haven't.
- Q. Okay. Let's assume that you had or let's or let's take -- let's say this situation, working with a group of people. Look at that next line there where it says, regarding your jury service, circle the numbers which apply to you. "No. 1, I can tell pretty easily when a person is telling a lie." Does that apply to you?
- A. Well, I think you can tell a lot of the time, yeah.
- 14:25 22 Q. "No. 2, when I make up my mind, I rarely change 14:25 23 it."
- 14:25 24 A. No. I can be swayed.
 - Q. "No. 3, I can frequently be influenced by the

but I want to be home, too. I'd rather be at home than here, of course. So that's my mixed emotions.

Q. I'm going to talk some about the issues that you are going to have to deal with. And I think they were pretty well reviewed with you by the State. That first questionnaire and, again, we're not going to get to these issues unless you've convicted this young man of capital murder.

So we're assuming that the jury has found him guilty of capital murder, and now we're trying to decide whether he lives or dies. And the first question there is the future dangerousness question, whether there is a probability that the defendant would commit criminal acts of violence that would constitute a continuing threat to society. And what does the word "probability" mean to you, Ms. Ballard?

- A. More than likely that he would.
- Q. Fair enough. That's what it means to me. And obviously you are going to hear, by this time you would have heard about the facts of the case, what actually went on and --
 - A. Uh-huh.
- Q. And you'll know all about the case, and so that will shed a lot of light on this question. But I suppose you'll hear some psychiatric evidence either

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opinion of others."

A. Well, I try not

- A. Well, I try not to let other people influence me, but I know that's almost like it's contradicting, though, 2 and 3. But I don't -- I won't let other people influence my opinion. But if you can give me some facts, I will change my mind.
- Q. I think you told me what I need to know, thank you. And "No. 4, I always follow my own ideas rather than do what others expect of me."
 - A. Uh-huh. I do.
 - Q. You follow your own ideas?
 - A. Uh-huh, I think so.
- Q. Okay. So the only one there would be No. 1 that applies to you?
 - A. Uh-huh.
- Q. Okay. If you want to follow along with me, go to page 14, which is at the very end. You don't have to look at this, but you can if you want to. The very last question, it says, "Do you want to serve as a juror in this case?" And it doesn't say "yes" or "no," but it says, "I have mixed emotions." Tell me about the mixed emotions. Tell me about that.
- A. Well, I don't want to have to sentence someone to the death penalty. But I will, if, you know, if it's proven to be what needs to be done, and I want to help,

from the State or from us. And then you are going to have to make a decision about the future. Would you feel comfortable making that sort of decision, trying to determine what's going to happen in the future?

- A. You mean as far as sentencing him?
- Q. That's right. This is part of the sentencing phase in a capital murder scenario.
- A. Well, I think I -- I don't think I would have any trouble.
- Q. Let me ask you this: If you were to find him guilty of capital murder, and obviously you have, because we're talking about this question here, the mere fact that he's been convicted --
 - A. Uh-huh.
- Q. -- of murdering. Well, actually, the indictment charges two people. You've heard about that. We're talking about a multiple murder situation. The mere fact that he's been convicted of that, is that going to automatically cause you, as a juror, to find that this -- the answer to this question is, yes, he's a continuing threat to society?
- A. I would have to be convinced that he would be a threat to society. And if I was, well, then I wouldn't have any problem with him going to the death penalty.
 - Q. I understand. But what I'm asking is this:

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Are you going to give the State an automatic "yes" to that question if you've found him guilty of capital murder of two people?

A. Well, no.

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- Okay. So it wouldn't be automatic?
- No. It wouldn't be automatic.
- Q. They would have to prove it to you beyond a reasonable doubt?
 - Yeah, yeah.
- Okay. That's fair enough. And I want to go to the next question, and take some time to look at it. It's long, drawn out.

THE COURT: That sentence has 50 words. MR. HIGH: It does? It's an awful lot of

- Q. (BY MR. HIGH) Ms. Ballard, how about I labor with you?
 - A. Okay.

words.

Q. The legislature gave us this question. I didn't make it up. The Judge didn't make it up. Mr. Schultz didn't make it up, but the folks in Austin gave it to us. And we have to live with it and deal with it, and if you are on the jury, you are going to have to deal with it, too. And let's make sure we're on the same sheet of music.

you've heard in the trial in the guilt-innocence phase, the evidence that you hear in the punishment phase, everything that you've heard in the courtroom. You are going to consider all the evidence.

And then the next phrase, concerning the circumstances of the offense, the defendant's character and background. And Mr. Schultz talked an awful lot about that with you. About his upbringing and childhood and broken home and things like that. Decisions that he's made in the past, wrong decisions, and this bag of mitigation evidence that we all carry around with us.

- A. Well, I've always believed that a person is responsible for their own actions. And I know you are who your friends are, you should not -- you should know to stay away from certain people. You should, I guess there's really not -- those circumstances, you should turn them around and make them better if you are a responsible adult.
- Q. I understand that. And you and I are both that way. And I -- I think I know you well enough that I probably could have grown up in your household because I think I know how things are there. But, and I'm sure it's a fine place to be and a fine place to live, but unfortunately, the world's not like that.
 - A. No. It's not, is it? I know where some people

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- A. Yeah.
- We found somebody guilty of capital murder. We found that other question, yes, he's a continuing threat to society. Now you get this question.
 - A. Uh-huh.
- Q. Fifty words. And a lot of folks say, this is the one-last-look question where the jury has a chance to look one last time at the defendant.
 - A. Uh-huh.
- Or look back at him, if you will, and say: Is there any reason why he shouldn't get the death penalty? Is there sufficient mitigating circumstances or circumstance to warrant that a sentence of life imprisonment, rather than a death sentence, be imposed? Mitigating, you probably don't use that word everyday, do you?
 - A. No. But it's lowering the -- or.
 - Q. To lessen.
 - A. Lessen, yes.
- Q. I do a lot of that in my job. I know full well what that means. And so we're thinking about situations that would make it, where you think about the circumstances, whatever they are, and they give you some examples, you know, whether they are taking into consideration all the evidence, all the evidence that

204 are brought up in terrible circumstances, and I feel so sorry for them. But I guess -- I guess if you can show me that they are going to be a good person, I could just give them life in prison. But I would have to know that they would be -- have some contribution to society, or why just put them in prison?

- Q. I think you are jumping just a little bit ahead of me.
 - A. I know.
- I know your mind is going 90 to nothing up there. Do you understand that it's not your burden to show you --
 - A. That's right. I know that.
 - -- to show that he's going to be a good person.
 - That's right.
- Q. And the law says -- tell you what, let me finish what I'm saying before you talk.
 - A. Okay, okay.
- Q. I'm not jumping on you, but the court reporter, she's having to take us both down at the same time, and that's, that's really hard to do. The instruction says that the jury is supposed to take into consideration all of the evidence including the circumstances of the offense, the defendant's character and background. Not what you think it should be or --

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A. Yeah, yeah.

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Q. Or what your background was or my background, but his background and the personal moral culpability of the defendant. Do you have any idea what that means? Those are some pretty complicated words, aren't they?

A. Well, that would be his feelings and -- I think that I would have to take all that into consideration.

Q. Well, the law says you have to.

A. Well, yeah.

Q. But I'm asking you if you would.

A. Yes.

You're not going to substitute what his judgment should have been by what yours are, are you? The way your household is run, are you?

A. No.

MR. SCHULTZ: Excuse me. I'm going to object to that question as an attempt to commit the juror to what judgments she might require the defendant to demonstrate.

THE COURT: As to what judgment she might require the defendant to demonstrate?

MR. SCHULTZ: Yes. Because the question was: You wouldn't require him to make the same types of judgments that you would at your home and do it that way? That's trying to commit her to what kinds of

in high school, I think it was in junior high, and I was in a youth group. And, in fact, we were at church one night, and I think we were circled around. We were in a semicircle. And there was a front semicircle, and there was a back semicircle. And we were all standing up doing something and we were playing a little game, but we were pulling the chair out from under the folks in front.

And, you know, the person in front would sit down. Maybe they would slightly miss the chair, maybe they would hit the chair, but nothing really happened. Then I did it. I pulled the chair out, and the girl completely missed the chair and fell on her bottom on the floor, and it was bad.

A. Yeah, I bet.

And she hurt herself so bad that she broke the bone on her rear end. So for the next six weeks she had to walk around with one of those doughnut things. Okay? Let me tell you something, I felt really bad that night when it happened. But I felt even worse that she would show up to church carrying that doughnut for the next six weeks. The way I felt, my remorse increased over a period of time.

Now, everybody was playing that same game. Okay? And, in fact -- and I'm not saying this is right,

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judgments she would want to make, want him to be making. THE COURT: I'll overrule the objection. I suppose that it gives us some insight.

- Q. (BY MR. HIGH) Ms. Ballard, do I need to rephrase?
 - A. Yes. Tell me one more time, please.
- Q. Okay. I'm going to go to personal moral culpability. Let's spend a little time with that. And you said feelings, and I kind of heard you say that.
 - A. Uh-huh.
- Q. And you've mentioned remorse throughout your questionnaire, and that's a type of feeling?
 - A. Uh-huh.
- Q. And what about personal guilt? Would that be personal moral culpability? You know, personal guilt, acceptance of responsibility, that sort of thing?
 - A. Uh-huh.
- Okay. Guess what, there's no definition for it. You're not going to get a definition from us, from the State or even from the Judge. Okay? Because there's not a definition for it in the law.
 - A. Uh-huh.

Q. Let me give you an example. I have been trying to think of an example that might fit this, and I want to see what you think about this. I remember when I was

but this particular young lady that I did it to was kind 14:42 2 of an outcast in the group. This is the way everybody 14:42 3 treated her.

A. Yeah.

- Okay. I guess you could say I was following what the group norms were. That doesn't make it right.
 - A. No. no.
- Q. Okay. Yet, that doesn't lessen my own personal responsibility. And obviously, I felt some that night, and I felt even more as time went by.
 - A. Sure, you should.
- Q. It made such an impression on me that I remember it 30 years later. Okay?
 - A. Yes.
- Q. Does that kind of give you an insight into personal moral culpability, maybe the way a person would feel after they've done something bad?
 - A. Yes, yes.
- Okay. Now, with respect to being able to consider that kind of evidence, you know, you're going to have to be able to consider that when you take that last look at him and also his character and his background and the circumstance of the offense. And you're going to have to look at all of that and see if

there is sufficient mitigating circumstances to warrant

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the sentence of life as opposed to death.

A. I could do that.

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- Q. You could do all of that?
- A. Yes, I could.
- Q. And can you envision a situation where, in a double homicide case where two people are killed, and there was evidence of robbery and burglary, maybe some passion involved obviously? Can you envision, when you hear that kind of evidence and you answer these questions, that you could at least plausibly consider mitigating evidence and render a life sentence?

MR. SCHULTZ: Excuse me, just a moment, Ms. Ballard. Excuse me, Judge. We're going to object to that question. It attempts to commit the juror to a particular response to the particular facts of this case. It is not cast in terms of her liberty to consider mitigation, in general, in a homicide case. But rather, it's cast in terms of these specific allegations and with regard to the specific indictment.

Plus, it further interjects facts by trying to commit her to some evidence of some type of passion or emotion involving a killing, which is an attempt to offer evidence to the juror in an unsworn fashion.

THE COURT: Okay. I'll sustain the

this case. And that's my objection.

THE COURT: And your impression is that it should be just the question? If it were proper, it would be just as to capital murder, generally?

MR. SCHULTZ: Yes.

THE COURT: Okay. I'll sustain the objection.

- Q. (BY MR. HIGH) With respect to a capital murder, generally, we're not saying in this case, but where two people were killed -- well, Mr. Schultz talked about that in voir dire last week. That's one way you can get a capital murder. And you are confronted with this question. And you have to answer the question: Can you envision sufficient mitigating circumstances? Is that within the realm of possibilities where you could assess a life sentence?
- A. I would probably lean more towards the death sentence still. I meant, there's not really much excuse for standing there shooting two people, I guess.
- Q. Now, I'm not -- look, I can't -- I can't argue with that. I cannot disagree with that. I cannot. Okay. I mean, absolutely. If that's the way you feel, absolutely. What I got to know, if you are going to wind up on the jury, if you are going to be on the jury, I got to know if you could fairly, reasonably consider

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objection.

Q. (BY MR. HIGH) Well, I'll ask them one at a time, then. With respect to a double homicide as alleged in the indictment, can you consider a situation or at least contemplate a situation, when after you viewed all the issues in this question that you could at least plausibly render a life sentence?

MR. SCHULTZ: Excuse me a moment,
Ms. Ballard. Judge, we again object because that's the
same objection on the same ground. It may well be that
the allegations in the indictment, what alleged facts
that would make a juror unable to consider mitigation if
those particular facts are true.

The McVeigh situation, for example, and that's not the test, but rather in a hypothetical capital murder case, could a juror fairly consider and assess a life sentence in response to that special issue?

If you are asking, in a capital murder case, would you consider mitigating evidence where two people have been murdered in an attempt to commit the juror to that? That's in excess of our law. I don't know that. There may well be some capital murders where there could never be a mitigation circumstance, but he's attempting to commit her to this case and the facts of

that question, if a life sentence is within a range or realm of possibilities when we have multiple homicide, two deaths have occurred. And I'm talking about you, you know, if you are sitting as a juror, is that even possible, or is it going to be --

A. That I could give them a life sentence, well, it would be possible. But I meant, it seems too hard because, you know, wouldn't you have to have the facts and know more of why it was done and how it was done and, wouldn't you? Because I wouldn't want to sentence someone to death unless I really knew. And I know we all have different backgrounds, but -- but it's just not right to kill someone.

- Q. Or two people?
- A. Or I mean, two people. And it seems like, wouldn't you wake up after you shot the first one? But...
- Q. Okay. I won't be upset with you, with your answer, no matter what it is. I just need to -- I will be upset if you don't tell me how you really feel.
- A. I won't be -- I guess there are circumstances, I mean, I wouldn't just say, well, because someone killed someone, they are going to have the death sentence. There's got to be circumstances.
 - Q. What about two people killing?

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A. Well, two people. Well, oh, me. (Weeping) Thank you. Oh, gosh, I guess there could be circumstances for two people being killed.
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- Q. And would you be able to consider those circumstances?
 - A. Yes, I could.
- Q. Let me ask you this: Ms. Ballard, if you were sitting where I am, having to defend this young man, knowing what you know about you --
 - A. Uh-huh.
- Q. -- would you want you on your -- on the jury or would you not?
- A. I think I can be fair. I think I would want me on the jury.
 - Q. You would?
- 14:52 16 A. Uh-huh.

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- Q. Now, there's also -- we haven't talked much about this, but there's also the possibility that he may not be convicted of capital murder.
 - A. Yeah, yeah.
- Q. There's this thing called lesser included offenses --
 - A. Uh-huh.
- Q. -- in law. Offenses that are not quite as serious as the offense charged.

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- A. Uh-huh
- Q. For instance a lesser included offense, maybe just regular murder.
 - A. Uh-huh.
 - Q. Not capital murder, but regular murder.
 - A. Uh-huh.
- Q. Or burglary or robbery. And I think
 Mr. Schultz talked about this somewhat in general voir
 dire, that they don't involve the death penalty. But
 like in a regular murder case, it would involve a
 sentence of somewhere between 5 years and 99 years to
 life in the penitentiary. And, say, in a robbery case
 it could be 2 years to 20 years in the penitentiary, et
 cetera. Okay? Lesser offenses and lesser time.
 - A. Uh-huh.
- Q. And to be a qualified juror, you would need to be able to consider the entire range of punishment, let's say, although you don't convict of capital but you find regular murder, you would have to be able to consider a 5-year sentence all the way up to a life sentence for a murder conviction. Have I lost you or are you still with me?
 - A. Yeah, I'm with you.
- Q. Let's say that you found him guilty of regular murder, not capital murder --

A. Uh-huh.

Q. -- would it be possible for you to give a 5year sentence in a regular murder case?

A. No.

MR. SCHULTZ: Excuse me, just a moment, Judge. That's attempting to commit her to this particular case. She's already said, she already knows what the indictment alleges, assuming he found him guilty of a lesser offense of murder. And he's trying to commit her to this particular case of murder, rather than the hypothetical murder case in which the question is: Are you free in a murder case to assess a punishment within full range of punishment?

MR. HIGH: I'll rephrase, Judge.

VENIREPERSON: All right.

MR. SCHULTZ: Excuse me. For the record,

may I get a ruling, Judge?

THE COURT: Well, if he's going to rephrase. Who cares? She is not going to answer it. We don't know.

MR. SCHULTZ: I'd like a ruling. If I could have a ruling.

THE COURT: Well, I'll tell you what.
I'll sustain the objection. You go ahead and ask it a different way.

14:54 25 different way.

Q. (BY MR. HIGH) I'm not talking about this case. I'm just talking about the law.

A. Yeah.

- Q. The regular murder, which is a lesser-included offense of capital murder or the range of punishment is 5 to 99 or life. If you were to render a regular conviction of regular murder, could you consider the entire range of punishment all the way from 99 years to life in prison all the way down to 5 years in prison for a murder conviction?
- A. I could. I guess I could consider it, depending on, you know, the circumstances.
- Q. Fair enough. There's also the concept called probation.
 - A. Uh-huh.
- Q. Where a person is not committed to jail or prison, but they walk out of the courthouse and have to report to a probation officer periodically, and they are under supervision. They have to pay a fine, pay court costs, report to a probation officer, subject to drug testing, maybe not carry a firearm, not associate with certain people, et cetera. And probation in the right circumstance with the right kind of evidence may be something you would have to consider as a juror, if the evidence came down a certain way. Okay?

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Would you, as a juror, be able to consider probation if it was within the range of possibilities for the regular murder conviction?

A. Probably not.

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- Q. All right. In other words, probation would just be out of the question?
 - A. For murder, I would think so.
- Q. And even if the Judge were to instruct you in his charge at the end of the trial that this person has filed a sworn application for probation, he's eligible for it. I instruct you to consider it.
 - A. I would have to, wouldn't I?
 - Q. Well, let me finish my question.
 - A. All right.
- Q. He tells you to consider it, and yet you know there's been a conviction for murder. Okay? Are you going to fairly consider it as the -- if the Judge instructs you, or are you going to say: Well, look, this is murder and absolutely not. Probation is out of the question. He's going to get some time.
 - A. I would think he would need some time.
- Q. Okay. And I'm not arguing with you at all, and I don't disagree with you. I'm not jumping on you. We just need to know --
 - A. I guess.

A. I wouldn't agree with --

Q. Fair enough.

A. -- with probation.

- Q. So you wouldn't be able to consider probation?
- A. No.

Q. All right.

MR. HIGH: Judge, at this time, I'm going to pass the juror. And I'm going to request a sub rosa hearing at this point.

THE COURT: Do you have any other

MR. SCHULTZ: Yes, sir.

THE COURT: Let me have him ask the questions. And then we'll finish the questioning, and then we'll have the hearing.

VOIR DIRE EXAMINATION

BY MR. SCHULTZ:

questions?

Q. A lot of the things that we think about as, before we may become a juror, are things that we kind of have impressions of, just kind of how -- how it all might be. And then if we understand what the law requires of us as jurors, sometimes we can do that, and I guess, sometimes we can't.

For example, when we talked real early on, when you and I started talking, you were sort of of the

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- Q. -- how you would approach that. Would you consider probation or would you not?
 - A. I wouldn't.
- Q. No way, no how. Even if the Court instructed you, that's just not going to happen?
 - A. I have to do what the Judge tells me, don't I?
- Q. Well, I understand the Judge may tell you, but in your heart of hearts, and this is where we're talking about what's going to happen here and here.
- A. If it's like self-defense, you know, or something like that, maybe, maybe probation. But it would have to, I wouldn't feel good if someone just out and out killed someone just because they wanted their money or --
- Q. You understand that we're talking about a conviction for murder, and self-defense is a justification to criminal conduct.
 - A. Yeah.
 - Q. In other words, we've already gotten past that.
 - A. Yeah.
- ${\bf Q}.$ We're saying, look, it wasn't self-defense. It was murder.
- 14:58 23 A. Well, I think they should have some prison 14:58 24 time.
 - Q. Okay.

- opinion that the State had the burden of proving guilt, and the defense had the burden of proving innocence. Do you remember that?
 - A. Yes.
- Q. And we talked about that. And when I explained to you that really the law never put a burden on the defense. The law envisioned the juror would never do that. You seemed perfectly willing to say, okay, if that's the law, then I can do that. And that seems fine.

Let me explain to you the concept of what probation is. And if it's your answer, nobody is fussing with you. They are not fussing with you, and I'm not fussing with you. I want to make sure that you really understand what's being asked of you.

Under our law there are crimes which carry penitentiary time as possible punishment, and those are called felonies, and they usually have a punishment range. And that can be like 2 years to 10 years, if there's certain classes of crimes. 2 years to 20 years if they are a little more severe crimes. And then 5 years to 99 years if they are in the high range. Then there's also some special cases in which the punishment range is 15 to 99 years. And that can be typically in the controlled substance acts. And then there are also

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some crimes that can be as much as 25 years to life, depending on what all has happened in the past with that defendant.

And the idea is that jurors will come saying, I will follow all aspects of the law that's required of me, and so what that would mean is, if you come in on a case where the Judge tells you the punishment range is 2 years to 20 years, if you say that's not enough and I'm going to put 25 years in there, even though the legislature says 20 is the maximum, then you wouldn't be following the law.

- A. No. That's right.
- Q. Are you with me on that?
- A. Yes, I understand.
- Q. And the whole system only works with jurors who are willing to follow the law.
 - A. Uh-huh.

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Q. It's kind of like on the death penalty questions. It only works if you are willing to consider mitigation when you get to that question, in that mitigation question. That's the only time it works. Now, that's not saying that you are a bad person if you refuse to follow some portion of the law, but what it is saying is, if you are going to refuse to follow the law, you can't sit as a juror in that case. Are you with me?

you 6 percent instead of 8.25 percent. Are you with me on that?

- A. Uh-huh.
- Q. It's especially important when you have jurors, that they express a willingness to follow the law which requires fair consideration of everything involved.
 - A. Uh-huh.
- Q. If you are dealing with mitigation, you might not ever, in a regular case, ever answer that question, yes, there's sufficient mitigation. But you have to be open-minded enough to realize that the legislature says there are such cases that require a "yes" finding to that mitigation question. And I've got to keep my mind open for those cases.
 - A. Uh-huh.
- Q. Make sense?
 - A. Uh-huh.
- Q. Now, when we get to this concept of a punishment range in a regular case, what that means, how that would apply to you as a juror would be, you get your instructions from Judge Sandoval who, among other things, tells you to consider whether or not the defendant is guilty of capital murder. And he further tells you, if you have a reasonable doubt about his guilt in capital murder, he would direct you to consider

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- A. Yes.
- Q. If you say 25 years, if you decide,
 Ms. Ballard's legislature says 25 years is the
 punishment, and you stick to that, that doesn't mean you
 are a bad person. But it does mean you wouldn't be a
 qualified juror because you wouldn't follow what the
 Judge told you. Does that make sense?
 - A. Yes, it does, uh-huh.
- Q. Just like all those death questions we ask you. If you told Mr. High that I would automatically assess a death sentence as soon as I convicted somebody of capital murder, then that doesn't make you a bad person, it just makes you a person that couldn't be fair because you wouldn't give them a fair shot at the burden question. Does that make sense?
 - A. Yes.
- Q. Now, we allow our legislature down in Austin to make our laws. That's the whole process. And if we don't like the laws, we go vote for somebody who will be down there to change the laws.

In other words, that's how that works. If I don't like, if I don't like the sales tax because I think it's too high, then I'll vote for a legislator to go down there and reduce the sales tax. I don't refuse to pay any tax, and I don't say, I'm only going to give

regular murder.

- A. Uh-huh.
- Q. And I can't think exactly of how that would happen in our case. But let's just say you had -- you believed he killed one of the people, but you had a reasonable doubt that he killed the other one, let's say. When I say we, I mean this hypothetical capital murder subject. Okay?
 - A. Uh-huh.
- Q. If you had a reasonable doubt that he killed two people, you couldn't find him guilty of capital murder because he just killed one. And so you would look and see: Is he guilty of regular murder? And the jury would probably unanimously agree, yes, he's guilty of regular murder.
 - A. Uh-huh.
- Q. Okay. But that does several things. For one thing, it takes the death penalty away as a consideration. Forget those questions, then. That's only if you find him guilty of capital murder.
 - A. Okav.
- Q. So then you end up in a situation like you would if you were on a regular murder case from the beginning. You just came into court. And they said there's a murder case, and you try it.

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A. Uh-huh.

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- Q. The State can put on evidence, in the punishment phase, to show why the sentence should be real high. The defense, if it chooses, can put on evidence to show why the sentence should be real low.
 - A. Uh-huh.
- Q. And the legislature has given the jury that range for murder cases to be as low as 5 years or as high as 99 years or life. Does it make sense?
 - A. Yes.
- Q. And the jury has to figure out which it can be. It could be 5 years. It could be 7 years. It could be 39 years. It could be 80 years. It could be 99 years or life. That's all up to the jury. And everything you said so far applies. It depends on the facts and circumstances of the defendant.

In that case, you can consider whether the defendant's ever done other crimes before. You can consider why he did the crime you found him guilty of. You can consider remorse. You can consider his whole life and background, all of those kinds of things. And maybe you find he's just an awful person, all his life. And you may be thinking, okay, because of that he gets life. Okay. You may say, this happened one time.

It may be like Mr. High. You know, you

Q. Maybe you say, Mr. High, we're really mad that you did the killing, but you have been a good man all your life. You went to church. You felt bad about pulling the chair out from under the other girl, and you've shown remorse.

Had it not been for these other people's conduct, you never would have been put in that position. Something snapped and you are better now. And we know you've got a Christian faith. And since the legislature gave us the option of giving you probation, we, the jury, find that's the best result to come out of this tragedy. Does that make any sense to you?

- A. Uh-huh, uh-huh. Yes.
- Q. Now, it may make sense to you, and I'm talking about it in theory. But the law says, if you are going to serve on this jury, you have to recognize that there are sometimes when even for a quote murder, probation is the proper punishment, if the defendant is eligible and worthy.
 - A. Yes.
- Q. You need to answer yes or no for me. Do you understand that?
 - A. Yes, I understand.
- Q. Now, if you are going to say, I don't care what the legislature says. I would never vote for probation.

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can tell he's a nice man. And he gave you that example of him, and he comes home, and he thinks he's a happily married fellow. And he comes home, and he finds that apparently he's not so happy. And all of a sudden and he's coming home, and he's thrilled. And the next thing you know, he's committed a murder. And he's sorry about it. And I think his terms were, he misses his wife because he loved her. And he's sorry he killed the guy because he probably had a family who loved him and all of that. All right.

And so you understand how those kinds of situations, you might say, you know, he did wrong. He's convicted a murder. He loses his law license. But maybe that's a five-year sentence. Does that make sense to you?

- A. Yes.
- Q. Doesn't mean that it is.
- A. Yes.
- Q. And then you go further, the legislature says you have to be able to consider probation, which is the idea that maybe they contribute more. Maybe they make it up better to us by being outside of prison with obligations than in prison. Maybe under all the circumstances that's the right thing to do.
 - A. Uh-huh.

It doesn't matter what they say. I've made up my mind that there will be no probation if I'm on the jury, then you are still a good person. But you are, in a sense, putting the law in your hands. You are taking the law in your own hands.

- A. I understand what you are saying.
- Q. And now is the time to tell us. Are you that person that's going to reject probation?
- A. No. I wouldn't do that then, no. I just misunderstood.
- Q. I felt like you just misunderstood the question.
 - A. Yeah, I did.
- Q. Now, you may be the kind of person that would give probation right and left. Ten murder cases, eight times you would give it. You may be the person that would almost never give it. That's all okay. You have to have the same open mind to probation as you would to any other kind of punishment. And you have to be willing to fairly consider it, and in a proper case assess it.

Now, they don't have the right to ask you will you give him probation if you find him guilty of the lesser offense of regular murder. Just like I don't have the right to say, will you give me a death sentence

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if I prove he's guilty of capital murder because that's kind of the point of why we do this hypothetically.
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A. Yes.

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- Q. Will you fairly consider probation in a murder case if that's what you find a defendant guilty of, the lesser-included offense of murder?
 - A. Uh-huh, yes. I would consider, yes.
- Q. Do you feel like you understand what we would be asking you?
- A. Yes. I understand now what you mean. Yes, I could do that.

MR. SCHULTZ: Thank you, very much.

MR. HIGH: I just have a couple of

questions.

THE COURT: All right.

MR. HIGH: I just have a couple more

questions, Judge.

VOIR DIRE EXAMINATION

BY MR. HIGH:

Q. I thought I understood you to say just moment ago, if a person was found guilty of murder, that they ought to have to do some time.

A. Well, I didn't know -- I thought that -- you are saying if it's up to me? I didn't know that there was a law that the Judge would say, you know, this is

that.

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Q. Well, the Judge will instruct you about the law on probation --

A. Uh-huh.

Q. -- but I think you told me earlier that you wouldn't consider probation. Let me finish my question. You told me earlier that if a fellow did a murder and was found guilty of murder, that he ought to do some time. And that you, as a juror, would not consider probation as a punishment for him. Didn't you tell me that earlier?

A. I did, uh-huh.

Q. Okay. Is that still your position?

A. No. No.

15:12 15 Q. So you've changed your position?

15:12 16 A. Ye

Q. And now you are telling us that you would, in fact, legitimately consider probation as an end result in a murder case?

A. Yes, yes.

MR. HIGH: Okay. That's fair enough.

THE COURT: You may step down, ma'am. And

I might have you back in a minute or two.

(Venireperson Ballard not present.)

THE COURT: Is there a motion for

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what we're going to do. I thought you were just putting it in my ballpark. Saying to me, if somebody committed a murder, well, they need to be punished for it, but there are circumstances. And if the Judge says they are going to get probation --

- Q. No. That's not -- that's not what we're saying. The Judge is not the one that would make the decision.
 - A. Well, I mean. It's the law. Right?
- Q. Let me finish my question. All right? The Judge is not going to tell you whether he gets time or probation. You are the one that's going to have to make that decision.
 - A. Oh.
 - Q. Whether -- whether the defendant --
 - A. Oh.
- Q. -- goes to prison or whether he walks out of this courtroom on probation.
 - A. Oh, okay.
 - Q. You, as a juror, would decide that.
 - A. Oh, we would decide. Yes.
- Q. You are the one.
- 15:12 23 A. Yes.
- 15:12 24 Q. Do you understand that?
- 15:12 25 A. Yes. I understand that, yes, and I could do

challenge?

MR. GOELLER: Yes, there's going to be, Judge. Judge, we'd submit this juror for cause. She's biased in the phase of the law which we'd be entitled to rely on. I think it's clear, Your Honor, she -- probation is out of the question for her. I would ask the Court to -- I point out to the Court, even after Mr. Schultz, what appeared to be a rehabilitation of this juror, she fires back, "Well, if the Judge says give him probation" -- that is the gist of what she said -- "If the Judge says give him probation, why I'll listen to that Judge. Give him probation."

She's so confused. And I recall, I'd ask the Court to remember and recall her demeanor when Mr. Schultz had her, twenty-six times in a row, I guess. Let the record reflect, and everybody can remember, "uh-huh, uh-huh, uh-huh." She just, uh-huhs, even when Mr. Schultz wasn't asking a question. Uh-huh, uh-huh, uh-huh, uh-huh.

And Mr. High gets her. They'll talk a little bit and then uh-huh, uh-huh, uh-huh. And then she comes back with, "Well, if the Judge says give him probation..."

And I think she was so -- the record should indicate that she's so confused, when she finally

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said -- well, she said, first of all, to Mr. High, well, I was confused. I didn't understand. And apparently Mr. Schultz convinced her that you instructed her to give probation or pen time. I think she walked out of here -- I think if we asked her another two hours' worth of questions, she wouldn't get it.
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I don't mean that in a mean way, Judge. But you take the totality of her testimony regarding this probation issue, and she is biased against it. And I think the record's pretty clear, even after an attempt to rehabilitation that she tells Don, "Well, I get it now," and she points at you.

That's a bad juror. That's a juror that's not going to be able to follow your instructions if she is seated, Judge. So for those two reasons, we'd move to strike her for cause.

THE COURT: All right. Deny the challenge for cause. Is there anything from the State?

MR. SCHULTZ: I'm sorry. The juror is acceptable.

THE COURT: All right. Defense? (Counsel conferring.)

THE COURT: Tell you what we can do. I'm going to step down, and you can think about it. We'll be back in ten minutes or less, and then we'll hear what

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MR. SCHULTZ: Yes. That would be good.
THE COURT: In fact, I'll make a little
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note in the notebook that I keep.

(Venireperson Kerr present.)

THE COURT: Ma'am, are you Diane Kerr?

VENIREPERSON: Yes, sir.

THE COURT: I just want to remind you that a week ago I swore everyone in with regard to the matter in answering the questions truthfully. And so I want to advise you that that oath is still in effect. Thank you, ma'am. Please be seated.

All right. Mr. Schultz?
VOIR DIRE EXAMINATION

15:35 14 BY MR. SCHULTZ:

Q. Good afternoon.

A. Hello.

Q. You may or may not remember or care, my name is Bill Schultz, assistant district attorney, representing the State of Texas in its capital prosecution of Ivan Cantu. To my left is Ms. Gail Falco, and further to my left is Ms. Jami Lowry. And we're all assistant district attorneys who will be involved in this trial.

The defendant is the man at the defense table first, there on your left. And then next to him is Mr. Don Neeley High from Plano, Texas. And Mr. Matt

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the defense has to say.

THE BAILIFF: All rise.

(Break.)

THE COURT: Remain seated.

MR. GOELLER: We'll go ahead and preempt

her. We'll strike her.

THE COURT: Okay.

(Break.)

THE COURT: All right. We're back on the record in the Cantu case. And is there a -- anything from the defendant with regard to Ms. Ballard?

MR. GOELLER: Yes, Your Honor. We would use our fourth preemptory strike.

MR. HIGH: Defense strike.

THE COURT: I'll tell you what, I was going to, yeah, I'll tell you what. I'll do Diane Kerr. If you bring her in, Mr. Powell. And she circled question No. 5. So I'll ask the State to take 20 minutes. And if you feel like you've done what you need to do about that, I'll hear something from her and if you don't, just pass the witness.

MR. SCHULTZ: Do I get any credit from the Court if I don't use 20 minutes, or some admiration at the very least, respect?

THE COURT: My undying admiration.

Goeller, also from Plano, Texas, two very fine decent board certified criminal law specialists representing the defendant in this case.

I believe you do not know any of us because you did not indicate that on last Tuesday when we talked. The purpose of this examination is to really focus on the special issues that would arise in a death penalty case. And it is certainly not a time for anybody criticizing anybody else. This is America and everybody's got views. And mine are good, yours are good, and that's what -- and that's where we are.

I get a strong sense from your questionnaire answers that you are not going to make it on to this jury because of your views on the death penalty, but that's not a criticism. And that's also not for me to decide. It's only for the Judge to decide. But kind of -- here's how this works.

A fair juror may not be a right-down-the-middle-on-an-issue juror. But a fair juror is the kind of person who could set aside his or her personal beliefs about what laws are better than other laws and enforce them.

I'll give you a small example of what I mean and then maybe a larger one. We have a law in this State that says, you got to wear seat belts when you are

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driving an automobile. Now, and I'm only talking about adults. I'm not talking about kids because that's special, and kids should be protected by society in many situations.

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A couple things, I don't care about the seat belt law. For one thing I don't particularly like how we ended up with it. We didn't get it because we, in Texas, decided it's a great thing to have a seat belt law. We got bullied by the United States Government with their highway fund saying, if you don't come up with a seat belt law, we're going to cut off your money. And rightly or wrongly, we decided that our principles would be controlled by our state pocketbook. That's iust me talking. So I don't like it that way, number one. I don't like the fact we got bullied by the Feds.

But even more importantly, it sort of occurs to me that one of the reasons I like America so much is that I have the right to do what's right or wrong, as long as I don't hurt other people. If I'm silly enough to get launched through the windshield of my car because I'm not wearing a seat belt, I ought to have the right to drive around that way as to go sky dive or scuba dive or mountain climb or cave explore or a lot of things that you probably say, it's nutty. You are going to get hurt doing it, that kind of thing. Do people who legitimately have the view. I'm not faulting it. It may not be my view. It may not be your view, but people feel that way. And it's tougher then because it's bigger and it's more of a moral thing. It's tougher to find a juror who thinks the drug laws are unfair. They punish sick people. They get somebody to say, okay, they send a sick person to prison for a sickness.

But when you get into a really huge issue like capital punishment, and it is enormous. I don't know if it is our number one most enormous debate. I know -- I know we have others that are pretty large and pretty tense in our society too, but it's right up there.

And when you get to that issue, it's asking more from most people if it makes any sense to say, well, I know that you believe that capital punishment is an immoral thing. I know that you believe that it violates Scripture and flies as much in the face of Scripture as idolatry, as -- as worshipping false idols, as those other things. But I'll go ahead and do it anyway, even though I believe it's a huge immoral thing.

And that's kind of what this whole process now is about, Ms. Kerr. It is to test whether or not

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you follow what I'm saying?

A. Uh-huh.

Q. And yet, at the same time, if I were called upon to sit on a jury and decide whether a guy was wearing a seat belt or not, I'm with you there, brother, but still you are guilty of the offense, and I'll fine you. I'll fine you whatever I got to fine you, if I find you guilty. And that's fine because that's a little thing. When you get right down to, I don't care that much about the seat belt law. I'm not losing any sleep over the immorality of pulling people over about seat belts. It gets tougher with other kinds of crimes.

It sometimes gets tougher with drug cases because, on the one hand, as much as we hate drugs in our society, we've got to have a certain amount of compassion for people who are drug addicts because, however they got that way, they are probably sick.

And there are some people who say, I can't convict somebody of a drug offense and send him to the pen if they were just possessing because that's like sending somebody to the pen for having TB or sending somebody to the pen for having cancer or something.

It's a sickness, and they need to be someplace to deal with the sickness rather than in the pen where you got robbers and killers. And there are

your opposition to the death penalty is so strong that if you are on that jury, we've already lost on that issue. Does that make sense what I'm saying, what I'm talking about?

- A. I understand what you are saying.
- Q. And it's not, I mean, there's nothing right or wrong about it. I'd like to think of Nazi Germany in analogizing these issues to people in good conscious in America having to deal with the death penalty. And why I like to do that is because that was a society in which it was legal to be exterminating people because of their religion, because of their background. That was Nazi Germany. People were killed because they were Jewish, and that's it. And it was the law because the government said it was the law.

It was not -- it was not something done illegally by vigilantes. It was being done by the government, and it was the law of the -- of the nation of Germany during World War II. That was the law. And I think of the people that were like at those railroad stations that were loading all those poor people up on those cars and shipping them off to the death camps. And I'm thinking, how could they be doing that? They had to have known it was wrong to load those people up. How could anybody do that? And I'm thinking, what would

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they say? It turns out some have been prosecuted and some have been interviewed since.

They said, well, I wasn't killing anybody. All I was doing was being a trainman, and I just put the people on the cars. And what happened down at the end of the track, I didn't have anything to do with it. So I was just being a trainman. And that sounds okay, but that's almost like if I were to tell you, ma'am, you are not going to be executing a defendant. All you are doing is answering questions. You are not -- you are not the person doing it. It sounds okay, but you are part of doing it, if you are on the jury. Does that make sense to you?

A. Yes, sir.

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- Q. And that's why I use the analogy. We were not like Nazi Germany. Whether you believe in the death penalty or not, this is a good society, whether you agree with us or not. The people on my table are good people. We care about life. We care about values. We love our families. We're good people. We may disagree with you. We're not like Nazi Germany. But what we're doing is something that you clearly believe would be immoral for you to be doing; is that right?
 - A. Correct.
 - Q. All that having been said, suppose I were to

Then the second question we ask you is whether there's a probability that he's going to commit future acts of violence that are a continuing threat to society.

Now, that question is pretty simple and straightforward. And you seem to me to be the kind of person who could answer that question in some other context other than the death penalty, just fine. You know if I've got -- if I've got a fellow charged with robbery, and I'm prosecuting him, and I ask you: Do you think he's going to be a continuing threat to society, and it's not a death penalty case, you have the ability to answer that question just fine, based on the evidence. Right? No problem there?

- A. Right.
- Q. It's not real well worded perhaps, but people can understand it. But if you answer this question yes, we're on our way toward a death sentence being imposed. And so my question is: Do you get any comfort in the idea that you are not really saying death? You are just answering questions, when you know what those answers are going to be, what they are going to mean? Does it make any difference?
- A. I guess to me, if you are saying that, if we go along this line, you prove a case, correct.
 - Q. I prove a capital murder, and we get to the

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say, well, suppose I did tell you that, Ms. Kerr, you are not going to be putting the needle in his arm. All you are doing is voting on evidence, and so you are not doing it. Would that make a difference to you?

- A. If the results are the needles in the person's arm?
 - Q. Uh-huh.
 - A. Then to me, it's the same as me doing it.
- Q. Kind of like me saying about the guy on the train. You didn't pull the gas chamber lever, but you put him on there, and you knew what was on the other end. That kind of idea?
 - A. Yes.
- Q. And maybe I would say, the way this all works, we're not going to ask you whether to kill him or not directly. Instead, what we'll ask you is a series of questions, the answers to which are going to determine that and say: Is that going to make it any easier for you? All you are doing is answering questions, but you know what the questions are going to do. Does that make a difference to you?
 - A. Can you repeat that, I'm --
- Q. First question we're going to ask you is, is he guilty of capital murder? And you have to find that beyond a reasonable doubt, and let's say that you do.

punishment phase. And let's say I prove to your satisfaction that he's probably going to be a continuing threat to society.

- A. Okay.
- Q. Now, if it weren't a death case, you already told me you have the ability to answer that question, yes, if it's proven. Why couldn't you do it in a death penalty case knowing what the result might be?
 - A. I have a problem with what the result could be.
- Q. Okay. Fair enough. Different people have different views of why the death penalty is inappropriate and wrong. One is people are concerned about a mistake. Somehow getting the wrong person or, can you imagine like if we found out Timothy McVeigh, the Oklahoma City bombing, imagine if we found out he was innocent, like five years from now, how we'd all feel, you know. That isn't the case. In fact, to the end, he bragged about it. So we don't have that problem, but that's one thing people are concerned about. That's not really where you are coming from on this, is it?
 - A. No.
- Q. Some people have a concern that it's used unfairly toward minorities and males. The truth of the matter is, that there's only about two women on all of

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our death row, which is less than 1 percent. And there's a large black, Hispanic population on death row, perhaps larger than representation in our population in Texas. But that's not the -- that's not really the -- that's not really the target of your opposition to it, either, is it?

A. No.

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- Q. And then some other people say that it's just not very dignified of our society that we prize human life so much that we create a death penalty for those who take it. And then we turn right around and do the same thing. It's almost kind of like -- it's almost kind of like our form of murder. It's just a little bit fancier than the other form of murder. And I may be getting closer to your thoughts, but I am still not quite there, am I?
- A. Do you want me to tell you my thoughts? Is that what you are asking me?
 - Q. Yes.
- A. If you look at the definition you gave us of murder last Tuesday.
 - Q. Yes.
- A. Knowingly or intentionally taking someone's life; is that correct?
 - Q. Yes. Thanks for noticing.

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- A. Okay. What I would say is you are asking me to do the same thing if you ask me to return a verdict of a death penalty. You are asking me personally, I can't speak for anyone else.
- Q. Absolutely, and that's all. That's all we're talking about is your situation. Is this view -- how much of this is related to your religious training and conviction as opposed to just your personal getting along in the world kind of thing? Do you understand me?
- A. Yes. It has a lot to do with my religious convictions. I work with teenagers. I'm a role model to them, and I need to walk my talk. I mean, I have to live the values that I'm teaching.
- Q. Have you ever -- is it like Sunday school teaching?
- A. It's Sunday school. It's mission trips. It's, you know, I'm a youth director. And it's all facets of working with 7th through 12 graders.
 - Q. What's your church?
 - A. Wesleyan United Methodist Church.
 - Q. Where is that?
 - A. Right off of Virginia Parkway here in McKinney.
- 15:50 23 Q. Are you ordained, by any chance?
- 15:50 24 A. I'm not ordained at this time.
 - Q. Have you ever talked with your youth about

capital punishment?

- A. No, but it was a topic they wanted to get to this year, so.
- Q. How -- what do you -- how do you plan to teach them that? How are you going to approach that?
- A. I would do research, just like I would for anything. I would look at the biblical references. I would talk to my pastor. I would talk to the parents to, you know, understand where the different players are coming from. It's a very -- just like we do with sex education. You get your homework done.
 - Q. Okay.
 - A. Before you present a view.
- Q. Do you propose to offer your own personal views, depending on how you interact?
- A. There's a lot of times when I would offer a personal view, correct.
- Q. Now, it is possible, and the law contemplates there could be a person who has tremendous opposition to the death penalty, whether on moral grounds, economic grounds, evidence grounds, almost any kind of grounds that there are. There are even some people who are opposed to the death penalty because they think it is too gentle. They believe that staying in prison for the rest of their life is actually more punishment. How am

I doing on time, Judge?

THE COURT: Let's see here. Let me tell you what you've got. You've got -- actually you've exceeded your time. So let me ask you to take a couple minutes to wrap up.

MR. SCHULTZ: I'm trying. I just need to get a watch, and I'll do a lot better for you.

THE COURT: You've gone about 25 at this point. So I'll give you about 2 minutes to wrap up, and then we'll give the other side a chance.

MR. SCHULTZ: I got to do it quickly.

THE COURT: I wasn't watching my watch in spite of what I'm saying.

 $\label{eq:MR.SCHULTZ: Well, I'm on the honor system.} \begin{picture}(100,0) \put(0,0){\line(1,0){100}} \put(0,0){\line(1,0$

- Q. (BY MR. SCHULTZ) As you sit there right now, if you were sitting on this jury, there is no way there would ever be a death vote from you, no matter what the evidence. Is that a fair statement?
 - A. That's a fair statement.
- Q. I mean, I could tell you that -- I mean, we could go from now until next year if the Judge would allow it. And not because it's personal to me or anybody at this table or disrespectful to me, but you will do whatever it takes to make sure that this, that

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that defendant does not die, if you are on the jury?

A. Correct.
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- Q. And even if the Judge tells you to fairly give consideration to those special issues and to a death sentence, it's not that you're disobedient to the Judge, but in terms of walking the walk, as you put it, that's not going to make any difference. If you are on this jury, there's no way there could ever be a unanimous verdict for death?
- A. Because of me, correct. If I'm on the jury and they -- and you say that I have to return that, based on the law, based on the sentencing.
 - Q. Right.
 - A. Me personally sentencing, I could not do that.
- Q. I could be the world's greatest prosecutor, and it's not personal to the State. It's just how you will vote?
 - A. Correct.

MR. SCHULTZ: I appreciate that. We'll pass the juror, Judge.

MR. GOELLER: This will be very brief,

15:53 22 Judge.

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VOIR DIRE EXAMINATION

15:53 24 BY MR. GOELLER:

Q. Is it Diane Kerr?

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- A. Diane, yes.
- Q. You aren't kin to Andy Kerr, are you? I don't know how common "Kerr" is.
 - A. Do you mean in America?
 - Q. Yeah.
 - A. No.
- Q. Diane, let me -- I think I understand where you are coming from. We play this -- I'll say play.
- Obviously, I mean, you know, obviously, I would like you on this jury. You know that?
 - A. Sure.
- Q. You know the State doesn't want you on the jury?
- A. Sure. I wouldn't want to be in either one of your seats.
- Q. I want to be intellectually honest. Sometimes we play this game where I'll spend an hour, two hours trying to get you to the point where you could be fair to the State so I could try to maybe seat you on this jury. Okay? I don't want to do that with you. Your time is valuable. Everybody's time is valuable.

If you found somebody guilty of capital murder and in this case, you would either have found they intentionally killed two people or intentionally killed in the course of a burglary or intentionally

killed in the course of a robbery. They are found guilty of capital murder. Their punishment is set, either life or death. That's it. One of those two things has to happen. Life is really the automatic one. Death, a few more things have to happen to bring about a death sentence.

And as you know, the answer to two questions, individually and the jury answers two questions. What we call the future dangerous question: Is there a probability that the defendant would commit criminal acts of violence? In other words, does the jury find beyond a reasonable doubt that this person would be a future danger violently?

- A. Okay.
- Q. Some type of thing. You might be able to hear more evidence in the punishment phase. You might be able to go back, look at the evidence in the guilt-innocence phase. Could you answer that question, based on the evidence?
- A. Based on the evidence if they were a continuing threat to society?
- Q. Yeah.
- A. I would hope that I could do that, yes.
- Q. I guess my bottom line is, it's okay. I want to phrase this right. Even though you are against the

death penalty, it's okay, and you have the right to sit on the jury as long as you don't violate your oath as a juror, and your personal views or religious views would not substantially interfere with you.

Our law says we recognize that you wouldn't come in in a vacuum. I mean, you are going to look at everything, maybe skewed, as an opponent of the death penalty. That's all right, because the person who is a proponent of the death penalty, they are going to look at all the evidence maybe skewed in that way.

Hopefully we get a good mix on the jury. So you have that question. And if the answer to that question -- I guess the bottom line is: Would you answer that question solely to make sure a death sentence wouldn't result?

- A. If the death sentence was the only choice, is that what you are asking? I'm confused a little bit.
- Q. No. I know it's confusing. I know it's hard. I had one juror that didn't like this term, but I asked the juror, would you purposely throw that question? In other words, answer it not based on the evidence, at all, solely to bring about a life sentence?
- A. I would be in conflict because I would have to answer it honestly, but it would conflict me if I knew

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it was the death penalty.

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- Q. Well, it's not a death penalty yet.
- A. Well, I could answer that based on evidence.
- Q. All right. If the jury answers that unanimously yes, then we move onto the last question. If the answer to it is no or there can't -- there is no answer to it, it's a life sentence. Okay? We get to the last question. That's the look-back question. That's the final, does the jury give life or does the jury give death question?

Again, this asks you to look back at all the evidence, circumstances of the offense, the defendant's character, background, personal moral culpability. Is there a sufficient mitigating circumstance or circumstances to give life rather than death? That's what that really means, I guess it's a last look, a way out to avoid a death sentence.

I suppose it's got to be based on something, whatever an individual juror might think is mitigating or what they think, what they thought about the original offense and the facts surrounding both, or maybe the reason for the killing, all that kind of thing. Could you answer that based on the evidence?

- A. Yes.
- Q. Okay.

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evidence.

A. Okay.

Q. Whatever it may be. All right? If you are telling us that you would disregard the evidence and vote no matter what in either issue to absolutely make sure a death sentence would not result, is that what you are telling us?

(BY MR. GOELLER) I tell you what.

A. Would you ask me again, please. I'm sorry.

Q. Both of these questions really call into play

A. I could not vote if the death sentence were going to be imposed. I don't know how else to answer it.

- Q. I got to ask you a couple more questions. I may not -- probably my fault. You said you could answer that first one based on the --
 - A. Evidence or the facts.
- 16:00 18 -- on the evidence.
 - A. Okay.
- 16:00 20 Q. Could you answer the second one based on the 16:00 21 evidence?
 - A. I would think I could, based on evidence.
- 16:00 23 Q. Of course, let's say you say in a hypothetical 16:00 24 case, gee, I really think there's a probability of 16:00 25 future danger. I'm going to vote yes. Okay. And down

- A. If you take off death sentence.
- Which one?
- A. At the very end. I mean, if you say life, I could answer it. Life imprisonment, I could answer that.
- Q. Well, that's what the question is asking. The question is asking: Is there something there to give a life sentence?
 - A. I could answer that.

MR. SCHULTZ: Excuse me, I'm going to object to that. The question is asking whether sufficient mitigating evidence to not give a death sentence. It's not just asking: Is there something there to give a life sentence?

MR. GOELLER: Well --

MR. SCHULTZ: It's got to be attached in terms of mitigating evidence, not if there's something there. For example, if the only something there is she doesn't like the death penalty, that's not mitigating. That's just -- that's just her view.

MR. GOELLER: I agree with that statement. I agree with Mr. Schultz's statement, so I'll rephrase it.

THE COURT: All right. Did you understand what he said?

in this question, you said to yourself, I don't find anything that's sufficiently mitigating to give a life sentence. If you voted that way, and that's the way the jury saw it, a death sentence would come about?

- A. Uh-huh.
- Q. If that was a unanimous verdict. And if you voted, based on the evidence and what you thought was proper in that case as the evidence dictated to you, as much as you dislike the death penalty, could you do that? Vote your -- vote the evidence and vote your conscious as to what is the right answer based on the evidence?
- A. I would not want to vote for that, if I knew it would result in the death penalty.
- Q. I would hope nobody would. Well, I don't think anybody wants to come in here hoping to get their vote to kill somebody. Okay? I hear you saying you would not like to do that because you don't like the death penalty. Am I also hearing you say you wouldn't like it, but you could do it if the Judge instructed you you shall vote based on the evidence?
- A. That's really hard. I know -- I'm not trying to be difficult but --
- 16:02 24 Q. I know you are not.
 - But I would really, really not want to ever

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vote a death penalty sentence.

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- Q. Would you say that your views on the death penalty, therefore, would cause you to -- your views on capital punishment would substantially impair your ability to answer those special issues?
- A. Okay. If I answer the first question, then it doesn't always come to the third question. Is that what you are saying?
- Q. Well, assume for our argument, I mean, if the answer to this question is no, and the jury says no future danger, it's over. It's a life sentence.
 - A. Okay.
- Q. It's over. We don't even get to the death sentence then.
 - A. Okay.
- But if the answer to that question is yes, then you are going to have to answer that question. What our law contemplates is, if you know going in that you will not answer those questions based on the evidence.
- A. What I know going in is that I would be very conflicted.
 - Q. Okay.
- A. I really would be. I have to be honest because I've thought, I mean, since last Tuesday obviously everybody would have thought about that. And I thought

week, whether it was this circumstance, that

would have to violate your or the other kind of person, going in, I know I'm going to rig my answer to make sure -- I don't care what the evidence shows -- I'm going to rig my answer so no one will ever die.

- A. Right. I hear what you are asking. I couldn't rig my answer because then I'm not standing on my convictions.
 - Q. Okav.
- A. But, again, I'll go back to, when we were talking to Mr. Schultz earlier or when I was -- then I'm -- I am a murderer based on the definition. That's where I'm struggling.
- Q. That's hard. And, Ms. Kerr, tell me if my assessment of your position is correct. All you got to do is say you are wrong, sir, you are right. I hear you saying, I could take my oath. I would be greatly conflicted should I find somebody guilty of capital punishment and then have to answer these questions. But I would abide by my oath and base my answers to those questions to the best of my ability on the evidence, knowing that it would be very difficult for me. But I could follow the Judge's instructions and take my oath and not violate my oath.
- A. I could take my oath, and I would hope that I wouldn't violate it, but I would be concerned that I

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about the examples that Mr. Schultz brought up last circumstance. I thought about if someone had killed my niece. I thought about all kinds of things. And even if those circumstances -- of course I'm not in them --

- Q. Right.
- A. -- I cannot personally think that I would do the death penalty even for those circumstances. I mean, even if someone was guilty of that crime of killing my niece.
- Q. To get to these, we'll assume that this person is guilty. You don't even get to these questions unless you found they are guilty of capital murder.
 - A. Right.
- Okay? Okay? I guess another way to state it is, if the Judge says you'll take an oath as a juror, and you will render a true verdict. And I'll ask you to answer those questions based on the evidence. If you are telling us that you cannot do that, and I would tell you that because you are conflicted, because it would weigh heavily, because it's extremely difficult, because you are an opponent of the death penalty, you may still be a qualified juror.

But if your views substantially impair your ability to answer those questions such that you would violate it if it got to that. That's my concern. I can't answer what I would do until I'm there.

- Q. No one can -- no one can force you to. I can't -- I can't tell you, how would you vote right now? Because, you know, you may vote no based on the evidence on either one of those questions.
- A. I understand. But I thought about what is the least amount of things that could happen based on evidence, and what is the maximum that could happen on evidence and understanding the maximum, that's what's weighed heavy. And that's what I had to think about last Tuesday and from Tuesday through now, and I really struggle. I would struggle.

I would have to keep my oath, but I would be conflicted because then I'm walking away as a murderer. And I have to live with that.

- Q. Is that something that you could live with?
- A. Not very nicely because then I would have to give up my job.
 - Q. I understand it's tough.

MR. GOELLER: That's all the questions I have, Judge.

THE COURT: All right.

MR. GOELLER: Judge, I don't know if Mr. Schultz is going to have some more questions. But

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I'd like a brief opportunity for a sub rosa. Maybe
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         confer with Mr. Schultz and Ms. Falco and Ms. Lowry.
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                      THE COURT: Would you step down for a
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         moment?
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                      VENIREPERSON: Do I go back to the room?
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                      THE COURT: Yes, ma'am.
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                       (Venireperson Kerr not present.)
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                      THE COURT: Do you have anything to say to
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         the Court? Do you want to confer with Mr. Schultz?
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                      MR. GOELLER: We did, and I'm not sure
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         what the next step is. I guess Mr. Schultz is probably
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         going to make a motion to, for --
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                      THE COURT: All right.
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                      MR. SCHULTZ: Yeah, I am. I'd ask for
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         permission very briefly on a limited issue. I ran out
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         of time, and I didn't do it on purpose. But
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         otherwise -- if I don't make the record as clear as I
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         can make it. Let's say I don't make it more clear, and
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         I don't know where the Court is on it, and if I don't
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         make it more clear and you overrule our challenge that's
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         going to be coming. Then we'll have to talk to her more
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         anyway. And then we'll get to go over the other stuff.
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         If a challenge is overruled, as I understand the rules,
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         that we're not stuck with that 25-minute rule then?
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                      THE COURT: I tell you what, let's invite
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Q. I know you said you'd follow your oath as a juror. That's almost like a soldier saying, you know, if I got sent into battle and they said, "shoot," I'd shoot. And some people could never pull that trigger. They just couldn't do it, and they are good people, and you and I have no problems of any kind. There are probably more people in this courtroom up here that agree with you than you'd ever know.

All I need to know is is what you told me before still true? No matter what I do or what the rest of us do, because of your views, there's no way a death sentence could ever result from you? Are you still with that position?

- A. Yes, sir.
- And it could -- that could happen in a couple of ways with you. And I don't think you'd just say, my law is better than the Judge's law; although, you know, if it came down to that, that might be where you end up having to be because of your morality about this.

But what I'm more concerned about is that because of your view, you would -- your ability to look fairly at the evidence would be substantially impaired because you would be dead set against wanting to view the evidence in a way that would be a death sentence result. Does that make sense to you?

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her back in.

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MR. SCHULTZ: I'll be quick. (Venireperson Kerr present.)

THE COURT: Ms. Kerr, I want to ask you a question, and sometimes I accuse the attorneys of being redundant, and I may be being redundant. But I want to ask you if you recall that you filled out a questionnaire.

VENIREPERSON: Correct.

THE COURT: And down at the bottom of the page there's a sentence or a portion of the question says: "Which of the following statements best represent your feelings about the death penalty?" And you circled under 5 which reads, "I could never under any circumstances return a verdict which assess the death penalty." Do you recall that?

VENIREPERSON: Yes.

THE COURT: Did you say that it's still

your position?

VENIREPERSON: Yes.

THE COURT: All right. Are there any other questions?

> MR. SCHULTZ: Just a couple. **VOIR DIRE EXAMINATION**

BY MR. SCHULTZ:

A. I can tell you I would be very conflicted. I don't know what other word to use.

Q. And that's my -- even if you wouldn't automatically rig the answers. And Matt's questions are fine because I could see how that would happen on something this big, and if I felt like you did and I'm on the jury, I can see -- I can see how I would say, if it comes down to it, that's the only way for me to do the right thing, then so be it. I could see how that could even happen.

But I think more likely it would simply be that, I would only look at what -- I would look at the evidence with a view toward finding some excuse to not impose the death sentence. And I would not be, I would not be being fair as a juror. That's what I'm thinking.

- A. And I think probably, consciously I don't believe I would do that because I would try not to do that. Subconsciously, that is going to be on my mind.
 - Q. Which is what?

A. And I didn't know I believed this way until I was put in a situation to answer those questions. I did not know how strong my convictions were, one way or the other. And I think until you are faced with the real situation, you have to examine yourself in a very different way and much more thorough. I've never had to

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do that. This is the first time I have had to do that. Q. And you, knowing yourself better than any of us

would ever know you, however it happened, whether it's conscious or subconscious, you are telling us your vote has already been decided in this case, really.

However -- however you get to that point, has really already been decided on the death issue?

A. As far as the death issue, whether it would be this case or a future case, until we did the questionnaire last Tuesday and until this situation, I wouldn't have known that about myself. But I, you know, if you called me back next week and it was capital punishment with these same questions --

Q. Same issue.

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-- I'm afraid, and I've thought about that, I mean.

Q. And however you get there, that's still what's going to happen. There will never be a death vote from you, however your mind and your spirit.

A. I don't know what would ever change me from this position in the future. I have no idea what would change that position.

Q. Right now, you are not moving on it at all? I cannot say that I can move on it. I mean --

MR. SCHULTZ: That's all I have. We

I need to object to the Court's granting of the State's challenge for cause.

Comes now Ivan Cantu, object to the Court's granting of the State's challenge for cause in juror No. 15, Diane Marie Kerr, in that the defense believes the defendant, Ivan Abner Cantu's 6th Amendment and 14th Amendment rights in the United States Constitution have been violated per Adams v. Texas, United States Supreme Court, 448, U.S. 38 -- wherein the Court says, the touchstone was whether the imposition of the death penalty would follow automatically from affirmative answers to the questions that would have any effect at all in the juror's performance of their duties.

Such a test can and did exclude jurors who stated that they would be affected by the possibility of the death penalty, but who apparently meant only that the potentially lethal consequences of the decision will invest their deliberations with greater seriousness and gravity, and that would involve them emotionally.

And at this point, I'd ask the Court to recall that the juror stated, she would not violate her oath. She wouldn't like it. She would have to live with the fact that, perhaps she imposed the death penalty. But never did she say she would disregard her

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respectfully challenge her for cause.
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THE COURT: All right. I grant the challenge.

MR. GOELLER: Judge.

THE COURT: Yes. Did you need some more

time?

MR. GOELLER: No more questions. I need to -- I need to just make a --

THE COURT: You need a little hearing? All right. Let me ask you to step down.

MR. GOELLER: Well, if the Court's granted it, I don't want to waste her time if she wants to be

excused.

THE COURT: All right.

MR. GOELLER: I just need to speak to the record for a minute.

> THE COURT: You are finally excused. VENIREPERSON: And when you are finally

16:15 19 excused --

> THE COURT: It means you can go home and enjoy your life.

> > VENIREPERSON: Until the next summons? THE COURT: Forever. All right.

(Venireperson Kerr excused.)

MR. GOELLER: Judge, just for the record,

oath as a juror or refuse to follow the questions of the Court.

Adams goes on to state, others were excluded, and they are talking about trial court error in excluding these types of jurors. Others were excluded only because they were unable -- unable positively to state whether or not their deliberations would in any way be affected. But neither nervousness, emotional involvement, inability to deny or confirm any effect whatsoever is equivalent to an unwillingness or inability on the part of the jurors to follow the Court's instructions and obey their oaths, regardless of their feelings about the death penalty. The grounds for excluding these jurors were consequently insufficient under the 6th and 14th amendments.

In our view the Constitution would not permit the exclusion of jurors from the penalty phase of a Texas murder trial. They state that they will honestly find the facts and answer the questions in the affirmative if they are convinced beyond a reasonable doubt, but not otherwise, yet who frankly concede the prospects of the death penalty may affect what their honest judgment of the facts would be or what they may deem to be reasonable doubt.

Such assessments and judgments by jurors

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are inherent in the jury system and exclude all these jurors who would be in the slightest way affected by the prospect of the death penalty or by their views about such a penalty would be to deprive the defendant of an impartial jury to which he or she is entitled under the law.

We repeat that the State may bar from jury service those whose beliefs about capital punishment would lead them to ignore the law or violate their oaths. But in the present case, Texas has applied strikes to exclude jurors whose only fault was that they could take the responsibilities with special seriousness or to acknowledge honestly that they might or might not be affected.

It does not appear in the record before us that these individuals were so irrevocably opposed to capital punishment as to frustrate the State's legitimate efforts to administer its constitutionally valid death penalty scheme. Accordingly, the Constitution disentitles the State to execute a sentence of death imposed by a jury from which such prospective jurors have been excluded.

Anyhow, that's the record I'd like to make, Judge, and I further object to the 6th and 14th Amendments, that the exclusion of juror Diane Marie

oath would be something that would substantially impair her ability to do what's required of her. Those were her words. "I would feel that I'm committing murder if I voted any way that would cause the death penalty."

THE COURT: I want to ask you, do you want to offer her questionnaire?

MR. SCHULTZ: Please, please, Judge.

THE COURT: All right. All right. Then I'll tell you what. If you -- why don't you offer your copy then?

MR. SCHULTZ: It's been marked up. We'll get another copy.

THE COURT: All right. I tell you what, here's what I want you to do, if you would. Just make me a copy of yours. Mine is a clean copy. And we'll make this, if you want to have that marked and offer it.

MR. SCHULTZ: Couldn't we just offer all the questionnaires in the record. Then we won't have to do that on an individual basis. And then they'd always be part of the record, and we wouldn't have to think about it on an individual basis.

THE COURT: All right. I tell you what, at the close of the voir dire, does either side have any objection to offering all of the questionnaires?

MR. GOELLER: No, sir.

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Kerr, Juror No. 15, violates my client's constitutional rights, both under the Texas Constitution and the United States Constitution.

We are now -- what the net effect of these rulings by the Court is, it diminishes our number of peremptory strikes because the State will -- each juror that comes up here and if the State gets rid of them for cause, they are not burning any peremptory strikes and that puts us at a serious disadvantage, Your Honor.

So, I object, and I would ask for future, for future jurors who fall under the type of a Diane Marie Kerr, Juror No. 15, that they not be allowed to be stricken for cause.

I know I'm asking for advance advisory opinions and rulings, but I feel it necessary at this point to protect my client. Thank you, Judge.

THE COURT: All right. Thank you.

MR. SCHULTZ: Judge, just one thing for the record, if I might. I know you've already ruled. And I want to make sure this appears in the record so somebody doing the appeal will find it.

When somebody says that going for a death penalty means that she's committing murder, it would seem to me that for a good Christian woman to be expected to commit murder in order to follow the Court's THE COURT: That's what we'll do.

MR. SCHULTZ: Do you still want me to do a copy of this one?

THE COURT: No. Let's make sure that we offer all 100 and how many are there? 177? All right. Tell you what, the next juror is going to be Jamie Ray Kay. She has marked question No. 1 with a circle, and I'm going to ask the defense to go first on this one for 20 minutes. And if you feel like you are there, then you can ask her to do something. And if you are not, the State would start and admit No. 29.

(Venireperson Kay present.)

THE COURT: Are you Jamie Ray Kay? You've got my daughter's birthday, September 27th. I want to ask you, do you recall that I asked jurors last Tuesday to swear to tell the truth to all the questions that were propounded by either me or the attorneys?

VENIREPERSON: Yes, sir.

THE COURT: I want to remind you you are still under oath.

VENIREPERSON: Okay.

THE COURT: Please be seated. All right,

16:23 23 Mr. Goeller?

> MR. GOELLER: Thank you. **VOIR DIRE EXAMINATION**

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16:23 2 Q. Good afternoon. Ms. Kay, it's Jamie Ray Kay, 16:23 3 K-A-Y?

- A. Yes, sir.
- Q. How are you today?
- A. Good. How are you?
- 16:23 7 Q. Pretty good. When you took your oath last, 16:24 8 that's been a week ago, last Tuesday, you understand the 16:24 9 nature of an oath, right?
 - A. Yes, sir.
 - Q. What kind of work do you to?
- 16:24 12 A. I work for a CFO.
- 16:24 13 Q. Chief financial officer?
- 16:24 14 A. Yes.
- 16:24 15 Q. Are you a notary?
- 16:24 16 A. No, sir.
- 16:24 17 Q. You probably work with notaries, though?
- 16:24 18 A. Yes, sir.
- 16:24 19 Q. Or have occasion to know what a notarized document is. You understand the nature of an oath, and I'm sure you take it very seriously in your line of 16:24 22 work.
- 16:24 23 A. Yes, sir.
- 16:24 24 Q. Has anything about this questionnaire or
- 16:24 25 anything that you can think of in the last week would

cause you to want to change an answer in your questionnaire?

- A. Not offhand. I mean, I don't remember all of the questions.
- Q. Oh, and I don't mean -- I'm not trying to nitpick you and make you sit here and think, there's 15 pages of probably a hundred answers or questions. But I mean, overall, generally speaking about capital punishment, are you -- are your answers -- are your feelings today as they were a week ago under oath when you filled this out?
 - A. Yes, sir.
- Q. I want you to know that the thing that we appreciate more than anything, and I think I speak for the State, I know I speak for the State on this, as well as us, is just your absolute frankness, candor and honesty, because this case is like nothing else in the world, well, at least in America.
 - A. Right.
 - Q. Because it involves potentially life or death.
 - A. Yes. sir.
- Q. In your questionnaire, you circled out of your options on the death penalty, you circled No. 1. "I believe that the death penalty should be imposed in all capital murder cases."

- A. Yes, sir,
- Q. Capital murder, I think you probably understood or you know that that's an intentional killing.
 - A. Yes.
- Q. It's murder plus. In the indictment at hand the State has alleged murder in the course of a burglary, murder in the course of a robbery, and killing two people intentionally.
 - A. (Moving head up and down.)
- Q. I think you also put in your questionnaire: Someone who murders someone should get the death penalty. Okay? That was your argument, your best argument in favor of the death penalty. And against the death penalty: If a person does not have adequate proof that he or she has murdered anyone, then they should not be given the death penalty.
 - A. Yes.
- Q. And I -- my job here, I have -- I have no right whatsoever to disagree with your views on capital punishment.
- A. Uh-huh.
- Q. We have a sentencing scheme in Texas that allows a jury, once they have found somebody guilty beyond a reasonable doubt of capital murder --
 - A. Uh-huh.
- Q. -- really what boils down to two options, life or death. As I read your jury questionnaire as a whole, you are of the opinion that, if they have been found guilty of intentionally committing capital murder or a murder in a capital scheme, that they should get the death penalty and that life is not an option for you.
 - A. Yes, sir.
- Q. And that's how you felt last Tuesday, and that's how you felt today?
 - A. Yes, sir.
- Q. And neither myself nor anyone else is going to change your mind about that?
 - A. No, sir.
- Q. These questions that we have, when somebody gets to these questions, these are the special issues, and you may remember these being put up. I can't remember if they were on the placards, or if they were on the PowerPoint up on the wall last week. But do you recall seeing those questions?
 - A. Yes, sir.
- Q. These are questions that a jury is asked once they have found somebody guilty of capital murder. Based on your, and like I said, I don't disagree with you, there's nothing wrong with it. No one will chastise you. No one will debate you. No one will

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argue with you, nothing, because that's your absolute right. But your feelings as a proponent of capital punishment, if you are the person who has already found somebody guilty of capital murder --

A. Right.

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- Q. -- you believe that a death sentence should take place?
 - A. Yes, sir.
 - Q. No matter what?
 - A. Yes, sir.
- Q. And, therefore, these questions really don't figure into -- you would not give due deference to these questions because, based on your personal convictions, the proper punishment is death?
 - A. Yes, sir.
- Q. In your questionnaire, ma'am, on page 4, I think -- I don't think, you were asked the question: If someone is accused of capital murder, he should have to prove his innocence. And you had five options -- yeah, five options. Anywhere from strongly agree to strongly disagree. And you circled agree, that the accused should have to prove his innocence.
 - A. Uh-huh.
- Q. Again, I won't -- I absolutely will respect your answer. I was wondering why you circled that one.

- A. Well, I took it as, like I said, you know, a person -- if a person is on trial for capital murder, that they, you know, should have the chance to prove that they are innocent. And if it comes about that they are not, then that's when they should get the death penalty -- I mean, if they are found guilty.
- Q. Okay. Okay. During the first phase of the trial, when the jury is trying to figure out, are they guilty or not guilty, do you think the defendant should have to bring some proof to show he's not guilty?
 - A. I don't understand the question.
- Q. During the first phase of the trial, not this phase, this phase is only if somebody is found guilty --
 - A. Right.
- Q. -- of some offense. But in the first phase of a trial, the jury's sole inquiry is: Are they guilty or are they not guilty? During that phase of the trial, would you expect a person accused of capital murder to bring you some evidence that they are not guilty?
 - A. Yes.
- 16:32 21 Okay. Tell me why you believe that.
- 16:32 22 A. I honestly don't know.
 - But the defendant, and is that unique to somebody accused of capital murder?
 - No. I think that's with any case.

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- A. Well, I feel like, if a person is on trial for a murder, that it should be proven that they actually committed the murder. And if they find that the person is guilty, then that's when they should be given the death penalty. But, I mean, there's been cases, I'm sure, that people have been found guilty, but they actually didn't do it. And I feel like it should be taken -- that they should find out for sure before.
- Q. So you are maybe saying in a case that, where a person was possibly not guilty -- well, I won't go there. It's so confusing, it even confuses me. It's probably not fair of me to read that to you. It's much easier as a person. If somebody says, did you write or did you say it, I always like to eyeball it so I know what they are talking about.

This one right here: If someone is accused of capital murder, he should have to prove his innocence. And you circled "agree."

- A. Uh-huh.
- Q. I mean, I may not have understood your answers as to why you circled that one. Why did you circle that one again? Do you know what I'm saying? I think you had started to say something about if they are not guilty or something, but I thought maybe I would let you read it.

- Q. All right. So you don't necessarily believe -let me see -- a defendant is innocent until proven guilty beyond a reasonable doubt, and you circled agree there. And I suppose how you reconcile those two, that although they are presumed innocent, they've got a burden. They should have a burden of proof to prove their innocence during that phase of the trial?
 - A. Right.
- Q. Okay. All right. Have you held that belief for a long time?
 - A. Yes, sir.
- Q. Okay. Anything, any particular reason why you held that belief --
 - A. No.
- Q. -- so long? Just, you think that if, and a lot of people, I think believe this. If you are accused of a crime, you should say something, prove your innocence, bring forth evidence.
 - A. Right.
- Even though the State and the laws say, the defendant has no burden of proof, you honestly believe they do and should have a burden?
 - A. Uh-huh.
 - I got to have you say yes or no.
 - A. Yes.

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Q. I'm not -- you and I could have coffee. We could do that, and she gets mad at me if she can't take that down that. Okay. Okay. In one of the questions in the questionnaire it said: "Do you believe the death penalty is applied fairly in Texas?" You circled "no." And you said, "I believe that anyone who murders should receive the death penalty, but in some cases they were given life." And I think that is very consistent and honest with the answers you previously have given me.

Because, as you sit there, based on your personal beliefs and your values, Jamie Kay does not believe that life, the reason why you answered that question, it's not fair, is because some people got life. And you believe that, if you are convicted of capital murder, you ought to get the death sentence?

- A. Yes, sir.
- Q. Okay. Or murder. Do you draw a distinction between capital murder and murder?
 - A. No. I mean, I'm --
 - Q. It's all the taking of a human life.
- A. Right.

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- Q. Do you believe that the death sentence should be the proper punishment in any murder case?
 - A. Yes, sir.
 - Q. Okay. Okay.

16:37 4 a child ui 16:37 5 A. We

A. Yes, I do.

Q. Is there any reason why you didn't take that opportunity to exercise your exemption as far as having a child under ten?

A. Well, if I'm not mistaken, I think that the exemption was only for if you are a single parent with a child under the age of ten. I did not realize that it was or that you were the only sole caregiver. And I thought that's the way.

- Q. It's actually if you are the primary caregiver.
- A. I have a husband, but he works at night sometimes, so.
- Q. Now, when you filled out this questionnaire, what was your understanding of what capital murder was?
- A. I really haven't given it much thought about the difference between capital murder and murder.
- Q. Because also in your questionnaire, I see that you checked under life confinement that you believe life confinement in prison is appropriate in some capital murder cases, and you could return a verdict resulting in life confinement in a proper case.
 - A. Right.
- Q. Talk to me a little bit about that. What were you thinking about whenever you were reading that question?

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MR. SCHULTZ: Done?

MR. GOELLER: I probably ran out of time,

anyway.

THE COURT: No. You started at 4:25, and it's only 4:37. I know that time flies when you are doing this work, but so you've only used 12 minutes. If you want to use more, fine. If you want to pass the witness, pass the witness.

 $\mbox{MR. GOELLER:}\ \mbox{ I pass.}\ \mbox{ I'll pass and then obviously --}$

THE COURT: Then you'll come back?
MR. GOELLER: I'll have some motion to
make to the Court.

MR. SCHULTZ: Ms. Lowry will speak for the State, Judge.

VOIR DIRE EXAMINATION

BY MS. LOWRY:

- Q. My name is Ms. Lowry. As you know they introduced us all on last Tuesday. First of all, I want to talk to you just a little bit about your jury service. You checked on your questionnaire that you don't really want to be on this jury; is that right?
 - A. Yes.
- Q. Let's talk about that just a minute. You have a three-year-old daughter; is that correct?

- A. I don't know. Can you repeat the question?
- Q. It just asked you to check which of the following statements best represents your feelings about life confinement in prison. And the one that you checked was that you believe life confinement in prison is appropriate in some capital murder cases, and you could return a verdict resulting in life confinement in a proper case.
- A. To be honest with you, I don't even -- I don't even remember the question and why I came up with it.
- Q. Basically what we need to know is whether or not you can fairly consider the evidence when you are answering certain questions in the punishment phase. Usually the questions that we're asking you right now are assuming that someone has been found guilty of capital murder.

In order to be qualified as a juror to sit on this panel, you have to be able to fairly listen to the evidence and fairly answer questions like this first special issue of whether or not, based on the evidence, you think somebody might be a future danger.

- A. Uh-huh.
- Q. Do you think that's something that you would be able to do?
 - A. No.

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- Q. You don't think you would fairly be able to listen to the evidence?
- A. Oh, yes. I'm sorry, yes. I'm sorry. I'm nervous.
- Q. That's okay. I'm as nervous as you are, I promise you. My question basically is, I understand that, you know, certainly, if it's a horrible crime, you think that someone should get the death penalty?
 - A. Yes, ma'am.

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- Q. Is that what you are telling me?
- A. Yes, ma'am.
- Q. Let's take -- let's, as an example, let me remind you of what Mr. Schultz was talking to you about on Tuesday. What about an instance where you have a father whose child had been murdered, two people had killed the child and got off on some kind of technicality in court and basically walked out of the courtroom laughing. Certainly you can see that's going to be very upsetting to the father. He then goes out and kills them both.
- 16:40 21 A. Right.
- 16:40 22 Q. That technically is capital murder because he's killed two people.
 - A. Yes, ma'am.
- 16:40 25 Q. Do you think even in an instance like that,

Q. Are you telling me that you are not going to follow the law that the Judge has given you in this case?

- A. No, ma'am.
- Q. Okay. Then I'm not sure that I understand.
- A. I don't know. I'm sorry. Can you repeat it?
- Q. Well, the Judge is going to give you a law or the law in this case that basically says, you are to listen to the evidence that comes in, fairly evaluate it, and answer these questions.
 - A. Okay.
- Q. And not in regards to what the result is going to be. That meaning, even if you want the death penalty, if the evidence doesn't show that this person is going to be a future danger, then your answer to that question is going to be no. Are you going to fairly listen to the evidence and answer the questions based on what that evidence is because that's the law the Judge is going to give you?
 - A. Yes, ma'am.
- Q. So you are going to listen to the evidence and answer the questions strictly on what the evidence is?
 - A. Yes, ma'am.
- Q. And you are not going to, at that point, take into account what you want the result to be when you are

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that capital murder -- is that something that you still think requires the death penalty?

- A. I guess murder is murder. It doesn't matter if it was because of, you know, it was your family member that was murdered and you are doing it in retaliation. Murder is murder.
- Q. So even in an instance like that, you would think: I don't care why he did it, I know he's not going to kill anybody else, you are still going to vote for the death penalty?
 - A. Yes, ma'am.
- Q. Is that because you -- I mean, that's how you really feel or is that because you really don't want to be on the jury?
 - A. That's how I really feel.
- Q. At this stage of the trial in the punishment phase, the Judge is going to give you certain instructions. One of those instructions is that you are to fairly listen to the evidence and fairly consider the evidence when your answering these questions.

Another instruction is going to be that you can't take into consideration what you want the result to be and answer the questions in a way that's going to cause that result. Does that make sense?

A. Yes.

answering these questions?

- A. Yes, ma'am.
- Q. So if this question comes up and, say, which the burden of proof on this question is on the State. We have to prove to you beyond a reasonable doubt that he's going to be a future danger.
 - A. Yes.
- Q. If we don't prove that to you, are you going to answer that question no?
 - A. No.
- Q. You are going to answer that question yes, regardless of what the evidence is, because you are bound and determined to have the death penalty; is that correct or incorrect?
 - A. That's correct.

MS. LOWRY: Pass the juror.

THE COURT: Any further questions?

MR. GOELLER: No, sir.

THE COURT: Any challenge?

MR. GOELLER: Yes, sir.

THE COURT: All right. It's granted. You are finally excused.

(Venireperson Kay excused.)

THE COURT: We'll do No. 14 and 16 first thing in the morning. And then we're going to continue

REPORTER'S CERTIFICATE

THE STATE OF TEXAS

COUNTY OF COLLIN

I, Barbara L. Tokuz, CSR, RMR, CRR, Deputy Official

Court Reporter in and for the 380th Judicial District

Court of Collin County, State of Texas, do hereby

certify that the above and foregoing contains a true and

correct transcription of all portions of evidence and

other proceedings requested in writing by counsel for

the parties to be included in this volume of the

Reporter's Record, in the above-styled and -numbered

cause, all of which occurred in open court or in

chambers and were reported by me.

I further certify that this Reporter's Record of the proceedings truly and correctly reflects the exhibits,

if any, offered by the respective parties.

WITNESS MY OFFICIAL HAND this the 11th day of February, 2002.

Barbara L. Tokuz, CSR #4615, RMR, CRP

Deputy Official Court Reporter Expiration Date: 12/31/2002

1855 Wind Hill Road Rockwall, Texas 75087