

**Attachment A to Black Oak Securities, Inc.
Business Continuity Plan**

Disclosure Statement

Black Oak Securities, Inc. has developed a Business Continuity Plan (BCP) on how we will respond to events that significantly disrupt our business. The timing and impact of disasters and disruptions is unpredictable; therefore, we will need to be flexible in responding to actual events as they occur. With that in mind, we provide you this information on our BCP.

Contacting us - If after a significant business disruption, you cannot contact us as you usually do at 217-498-7876 dwan.n.gross@blackoaksecurities.com, you should call our alternative number 217-498-8450 or 217-827-5472. If you cannot access us through either of those means, you should contact the mutual fund or annuity issuer using their number listed on your most current confirmation statement.

Our Business Continuity Plan - We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessment; alternative communications with customers, employees, and regulators; alternative physical location of employees; critical supplier, contractor, and bank; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Mutual Funds and Annuity Issuers back up our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our Funds/Issuers that their objective is to restore their own operations and be able to complete existing transactions and accept new transactions and payments within twenty-four to forty-eight hours. Your orders and request for funds and securities could be briefly delayed during this period.

Varying Disruptions - Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or our building we will transfer our operation to a local site when needed and expect to recover and resume business within twenty-four to forty-eight hours. In a disruption affecting our business district, city, region we will transfer our operations to a site outside of the affected area and recover and resume business as soon as humanly possible. In either situation, we plan to continue in business, transfer operations to our branches if necessary, and notify you via internet, fax, or emergency number. If the significant business disruption is so severe that we can not remain in business, we will assure our customer's prompt access to their funds and securities.

For more information - if you have further questions regarding our business continuity planning, you can contact us at 217-498-7876.