Tomahawk Rural Electrification Association Limited Code of Conduct Regulation (AR 58/01/05/2021) Compliance Plan (Varied)

1.0 Introduction

- 1.1 Tomahawk Rural Electrification Association Limited (Tomahawk REA) is a distributor of electricity. This compliance plan has been prepared as a varied compliance plan in accordance with AUC Rule 030: Code of Conduct, Section 3. Tomahawk REA has 696 members to which it provides regulated rate services and which is less than the 5,000 limit, as specified in Section 3(1) of Rule 030. Tomahawk REA has entered into an Electric Distribution System Operator Agreement with Battle River Power Coop ("BRPC") and adopts BRPC' compliance plan, as applicable.
- 1.2 The contact for any compliance matters is: Name: Melanie deJong
 Position: Administrator, Tomahawk REA
 Address: Box 10030 RPO Black Gold, Drayton Valley, AB T7A 1W5
 Phone Number: 780.514.8732
 Email: compliance.trea@gmail.com

2.0 Affiliated Providers

- 2.1 BRPC is the regulated rate provider to Tomahawk REA as defined in Section 1(1)(o) of the *Code of Conduct Regulation*.
- 2.2 Tomahawk REA has made arrangements with the following retailers to provide retail energy services to Tomahawk REA member:
 - Alberta Cooperative Energy
 - Direct Energy Marketing
 - Direct Energy Partnership
 - Hudson Energy Canada Corp.
 - Link Energy Supply Inc.
 - Spot Power

Tomahawk REA is not affiliated with any of the above retailers.

3.0 Information about Complaints

- 3.1 Tomahawk REA will inform the public and provide the following notice of how complaints about the contraventions of the Regulation or this compliance plan may be made to the Commission or the Market Surveillance Administrator on its website (www.tomahawkrea.com): "Complaints about contraventions of the Code of Conduct Regulation may be made to the Alberta Utilities Commission or the Market Surveillance Administrator. The Alberta Utilities Commission can be reached by contacting 1-780-427-4903 or consumer-relations@auc.ab.ca. The Market Surveillance Administrator can be reached by contacting 1-403-705-3181 or compliance@albertamsa.ca. The Alberta Utilities Commission and the Market Surveillance Administrator are independent of Tomahawk REA and the regulated rate suppliers and affiliated providers."
- 3.2 Tomahawk REA will report to its board of directors within 30 days, any non-compliance with the *Regulation* and this compliance plan, the action taken to remedy any non-compliance, and any complaints of non-compliance and how the complaints have been dealt with.

No later than March 31 each year, the compliance officer will send the Commission an annual compliance report that has been approved by the board of directors for the previous calendar year which will include any non-compliance, complaints and remedies from the quarterly reports.

3.3 Tomahawk REA's compliance officer will keep a record of all complaints regarding non-compliance with its plan or the *Regulation* for a minimum of six years.