

Nation Lanka Equities (Pvt) Ltd

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Risk Acknowledgement Statement

CDS Account No. :

CDS Account Name :

Address :

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NIC No. :

Mobile :

RISK OF SECURITIES TRADING

The prices of securities fluctuate, sometimes drastically.

The price of a security may move up or down, and may even become valueless.

It is likely that losses may be incurred rather than profits made as a result of buying and selling securities.

Acknowledgement by the CDS Account holder

I/We the above named CDS account holder acknowledge that I/we have read and clearly understood the above-mentioned risks associated with investing / trading in securities, and I/we was/were invited to ask questions and take independent advice from the Investment Advisor if I/we wish to.

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Signature of the CDS Account holder

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Date

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Signature of the Investment Advisor

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Name of the Investment Advisor