

AdvisorSmart®

Sample Financial Advisory Firm Selection Criteria

Our “Nice to Haves” — It would be “NICE” for the financial advisory firm to have these traits:

1	<i>Local Office:</i> Provides for more frequent in person (face to face) meetings
2	<i>Size of Firm:</i> A financial advisory firm that is AT LEAST 10 times bigger than our current investment portfolio
3	<i>Education:</i> Financial and investment training for staff
4	<i>Fiduciary Education:</i> Opportunities for our board of directors to learn fiduciary best practices
5	<i>Governance:</i> Advice to improve our decision-making processes
6	<i>Development:</i> Advisor support for donor development and planned giving program
7	<i>Personalized Service:</i> Customized service plan
8	<i>Socially Responsible Investing:</i> Assistance with ESG factors and responsible investment strategies
9	<i>Mission Alignment:</i> Advisory firm employees who are familiar with and support our goals
10	<i>Collaboration:</i> Coordination with our professional advisors (accounting, legal, banking, insurance, etc.)
11	<i>CEFEX Certification:</i> Support with CEFEX certification of our nonprofit
12	<i>Mission-Based Investing:</i> Assistance with investment strategies aligned with our mission