

# Filing for an Occupational Disease



# Occupational Disease Defined



An occupational disease (OD) is defined as:

A wound or other condition of the body caused by a specific event or series of events or incidents over more than one work day or work shift.

Form CA-2 [Notice of Occupational Disease and Claim for Compensation] should be completed by the injured worker (IW) and an employing agency (EA) supervisor or injury compensation specialist.

In a case of latent disability, the time for filing a claim does not begin to run until the IW has a compensable disability and is aware, or by the exercise of reasonable diligence should have been aware, of the causal relationship of the compensable disability to his/her employment. In such a case, the time for giving notice of injury begins to run when the IW is aware, or by the exercise of reasonable diligence should have been aware, that the condition is causally related to employment, whether or not there is a compensable disability.

# Form CA-2

- The front portion of Form CA-2 should be completed by the IW. However, if the IW is incapacitated, this form may be completed by the supervisor or other authorized EA official.
- The IW must indicate when he/she first became aware of the condition (# 11) and also when he/she first realized that it was causally related to his/her employment (#12).
- The back of Form CA-2 also asks when the IW was last exposed to the condition(s) which allegedly caused the condition (#29). This is important because this date, along with the two dates above, may be used to determine if the claim was timely filed.

# CA-2 - Agency Responsibilities

- Review Form CA-2 for completeness ensuring that form has been dated and signed by IW.
- Verify that IW's home address is correct as noted in Block 7.
- Ensure that the Office of Workers' Compensation Programs (OWCP) Agency Code has been entered correctly in Block 19.



# CA-2 - Agency Responsibilities

- Use the CA-35 checklists as a guide for what information IW should submit and what information EA should submit .
- EAs should submit any agency records regarding IW's exposure to or contact with the agents, substance, noise, etc. which he/she claims caused his/her injury.
- An accurate description of IW's job duties is also helpful.
- Ensure form has been dated and signed by EA representative.



# Basic and Extended Occupational Disease Claims

Basic ODs include conditions such as:

- Orthopedic strains caused by repetitive trauma
- Carpal Tunnel Syndrome
- Tarsal Tunnel and Plantar Fasciitis
- Eye Strain
- Exposure to fumes, dust, smoke (over more than one shift)
  - Second opinions normally not necessary



Extended ODs often require a second opinion to be set up by OWCP and exposure data from EA is also needed:

- Hearing loss
- Asbestosis
- Emotional stress
- Sick building syndrome
- If evidence establishes most of the basic requirements, it may be a *prima facie* case (“first glance”) and OWCP may arrange a second opinion.

# Form CA-2 Review – Page One

## Notice of Occupational Disease and Claim for Compensation

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U. S. Department of Labor  
Office of Workers' Compensation Programs



Employee: Please complete all boxes 1 - 18 below. Do not complete shaded areas.

Employing Agency (Supervisor or Compensation Specialist): Complete shaded boxes a, b, and c.

Employee Data	
1. Name of Employee (Last, First, Middle) [Redacted]	2. Social Security Number [Redacted]
3. Date of birth Mo. Day Yr. [Redacted]	4. Sex [Redacted]
5. Home telephone [Redacted]	6. Grade as of date of last exposure Level [Redacted] Step [Redacted]
7. Employee's home mailing address (include street address, city, state, and ZIP code) [Redacted] City [Redacted] State [Redacted] ZIP Code [Redacted]	8. Dependents <input type="checkbox"/> Wife, Husband <input type="checkbox"/> Children under 18 years <input type="checkbox"/> Other
Claim Information	
9. Employee's occupation [Redacted]	a. Occupation code [Redacted]
10. Location where you worked when disease or illness occurred (include street address, city, state, and ZIP code) [Redacted] City [Redacted] State [Redacted] ZIP Code [Redacted]	11. Date you first became aware of disease or illness Mo. Day Yr. [Redacted]
12. Date you first realized the disease or illness was caused or aggravated by your employment Mo. Day Yr. [Redacted]	13. Explain the relationship to your employment, and why you came to this realization [Redacted]
14. Nature of disease or illness [Redacted]	OWCP Use - NOI Code b. Type code [Redacted] c. Source code [Redacted]
15. If this notice and claim was not filed with the employing agency within 30 days after date shown above in item #12, explain the reason for the delay. [Redacted]	
16. If the statement requested in item 1 of the attached instructions is not submitted with this form, explain reason for delay. [Redacted]	
17. If the medical records requested in item 2 of attached instructions are not submitted with this form, explain reason for delay. [Redacted]	
Employee Signature	
18. I certify, under penalty of law, that the disease or illness described above was the result of my employment with the United States Government, and that it was not caused by my willful misconduct, intent to injure myself or another person, nor by my intoxication. I hereby claim medical treatment, if needed, and other benefits provided by the Federal Employees' Compensation Act.  I hereby authorize any physician or hospital (or any other person, institution, corporation, or government, agency) to furnish any desired information to the U.S. Department of Labor, Office of Workers' Compensation Programs (or to its official representative). This authorization also permits any official representative of the Office to examine and to copy any records concerning me.  Signature of employee or person acting on his/her behalf _____ Date _____ Have your supervisor complete the receipt attached to this form and return it to you for your records. Any person who knowingly makes any false statement, misrepresentation, concealment of fact or any other act of fraud to obtain compensation as provided by the FECA or who knowingly accepts compensation to which that person is not entitled is subject to civil or administrative remedies as well as felony criminal prosecution and may, under appropriate criminal provisions, be punished by a fine or imprisonment or both.	

# Form CA-2 Review – Page Two

Official Supervisor's Report of Occupational Disease: Please complete information requested below

Supervisor's Report	
19. Agency name and address of reporting office (include street address, city, state, and ZIP code)	
OWCP Agency Code	
OSHA Site Code	
City	State ZIP Code
20. Employee's duty station (include street address, city, state, and ZIP code)	
City	State ZIP Code
21. Regular work hours From: <input type="text"/> a.m. <input type="text"/> p.m. To: <input type="text"/> a.m. <input type="text"/> p.m.	22. Regular work schedule <input type="checkbox"/> Sun <input type="checkbox"/> Mon. <input type="checkbox"/> Tues. <input type="checkbox"/> Wed. <input type="checkbox"/> Thurs. <input type="checkbox"/> Fri. <input type="checkbox"/> Sat.
23. Name and address of physician first providing medical care (include city, state, ZIP code)	
24. First date medical care received Mo. Day Yr	
25. Do medical reports show employee is disabled for work? <input type="checkbox"/> Yes <input type="checkbox"/> No	
City State ZIP Code	
26. Date employee first reported condition to supervisor Mo. Day Yr.	27. Date and hour employee stopped work Mo. Day Yr. Time <input type="text"/> a.m. <input type="text"/> p.m.
28. Date and hour employee's pay stopped Mo. Day Yr. Time <input type="text"/> a.m. <input type="text"/> p.m.	29. Date employee was last exposed to conditions alleged to have caused disease or illness Mo. Day Yr.
30. Date returned to work Mo. Day Yr. Time <input type="text"/> a.m. <input type="text"/> p.m.	
31. If employee has returned to work and work assignment has changed, describe new duties	
32. Employee's Retirement Coverage <input type="checkbox"/> CSRS <input type="checkbox"/> FERS <input type="checkbox"/> Other, (Specify)	
33. Was injury caused by third party? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," go to item 34.	
34. Name and address of third party (include street address, city, state, and ZIP code)	
City State ZIP Code	
Signature of Supervisor	
35. A supervisor who knowingly certifies to any false statement, misrepresentation, concealment of fact, etc., in respect to this claim may also be subject to appropriate felony criminal prosecution.	
I certify that the information given above and that furnished by the employee on the reverse of this form is true to the best of my knowledge with the following exception:	
Name of Supervisor (Type or print)	
Signature of Supervisor	
Date	
Supervisor's Title	
Office phone	



# Questions

An occupational disease (OD) is defined as a wound or other condition of the body caused by a specific event or series of events or incidents occurring:

- a) During one work day or work shift
- b) Over more than one work day or work shift

# Questions

When providing notice of an occupational disease, the injured worker and employing agency should complete and submit:

- a) Form CA-1
- b) Form CA-2

# Questions

The agency plays an important role in helping injured employees file a Notice of Occupational Disease claim. The agency responsibilities include:

- a) Verify that the employee's home address is correct
- b) Review the Form CA-2 for completeness ensuring that the form is dated and signed.
- c) Ensure the OWCP Agency Code has been entered correctly
- d) Submit any agency record regarding injured worker's exposure to or contact with outside factors that caused their injury
- e) Certify the form has been dated and signed by the employing agency representative
- f) All of the above

# Take Away Tips

- 1) An occupational disease (OD) is defined as a wound or other condition of the body caused by a specific event or series of events or incidents over more than one work day or work shift.
- 2) Form CA-2 should be completed by the injured worker (IW) and an employing agency (EA) supervisor or injury compensation specialist.
- 3) In a case of latent disability, the time for filing claim does not begin to run until the IW has a compensable disability and is aware, or by the exercise of reasonable diligence should have been aware, of the causal relationship of the compensable disability to his/her employment.

# Take Away Tips

- 4) The IW must indicate when they first became aware of the condition and also when they first realized that it was causally related to their employment. The back of Form CA-2 also asks when the IW was last exposed to the outside factor which allegedly caused the condition. This is important because this date, along with the two dates above, may be used to determine if the claim was timely filed.
- 5) There are two types of Occupational Disease claims, Basic and Extended. For some Extended OD claims, development may include the need to schedule a second opinion medical examination.