

1 Our Aim

Research ID Ltd. is committed to providing a quality service and working in an open and accountable way that builds trust and respect. One of the ways in which we can continue to improve our service is by listening and responding to the views of our clients and stakeholders, and by responding positively to complaints, and putting mistakes right.

Therefore, we aim to ensure that:

- making a compliment or complaint is as easy as possible.
- we welcome compliments, feedback, and suggestions.
- we treat a complaint as a clear expression of dissatisfaction with our service which calls for an immediate response.
- we deal with it promptly, politely and, when appropriate, confidentially.
- we respond in the right way - for example, with an explanation, or an apology where we have got things wrong, or information on any action taken etc.
- we learn from complaints, use them to improve our service, and review annually our complaints policy and procedures.

We recognise that many concerns will be raised informally and dealt with quickly.

Our aims are to:

- resolve informal concerns quickly.
- keep matters low-key.
- enable mediation between the complainant and the individual to whom the complaint has been referred.

This policy ensures that we welcome compliments and provide guidelines for dealing with complaints from members of the public about our services, products, or team.

2. Definitions

A compliment is an expression of satisfaction about the standard of service or products we provide.

A complaint is defined as any expression of dissatisfaction; however, it is expressed. This would include complaints expressed face to face, via a phone call, in writing, via email or any other method. All staff should have sufficient knowledge to be able to identify an “expression of dissatisfaction” even when the word “complain” or “complaint” is not used.

3. Purpose

We are always glad to hear from people who are satisfied with the services we offer. All compliments are recorded, acknowledged, and a copy is sent to the Director.

4. Complaints

The formal complaints procedure is intended to ensure that all complaints are handled fairly, consistently, and wherever possible resolved to the complainant's satisfaction.

5. Responsibilities

Research ID Ltd.'s responsibility will be to:

- acknowledge the formal complaint in writing.
- respond within a stated period.
- deal reasonably and sensitively with the complaint; and
- act where appropriate.

A complainant's responsibility is to:

- bring their complaint, in writing, to Research ID's attention normally within 8 weeks of the issue arising.
- raise concerns promptly and directly.
- explain the problem as clearly and as fully as possible, including any action taken to date.
- allow Research ID Ltd. a reasonable time to deal with the matter, and
- recognise that some circumstances may be beyond Research ID Ltd.'s control.

6. Confidentiality:

Except in exceptional circumstances, every attempt will be made to ensure that both the complainant and Research ID Ltd. maintain confidentiality. However, the circumstances giving rise to the complaint may be such that it may not be possible to maintain confidentiality (with each complaint judged on its own facts). Should this be the case, for example in the instance the complaint is about a product which is manufactured externally, the situation will be explained to the complainant.

7. Complaints Procedure:

Written records must be made by Research ID Ltd. at each stage of the procedure.

Stage 1

In the first instance, the initial recipient of the complaint must establish the seriousness of the complaint. An informal approach is appropriate when it can be achieved. But if concerns cannot be satisfactorily resolved informally, then the formal complaints procedure should be followed.

Stage 2

If the complaint cannot be resolved informally, the complainant should be advised that a formal complaint may be made, and the following procedure should be explained to them. It may sometimes be appropriate for the Director to make this explanation.

- a) A formal complaint can be made either verbally or in writing. If in writing the attached form should be used. If verbally, a statement should be taken by the Director.
- b) In all cases, the complaint must be passed on to the Director.
- c) The Director, depending on the nature of the complaint, must acknowledge the complaint in writing within one week of receiving it.
- d) The Director will investigate the complaint. This may include involving manufacturers or suppliers if the complaint is about a product.
- e) The person making the complaint will receive a response based on the investigation within four weeks of the complaint being received. If this is not possible then a letter must be sent explaining why.

Stage 3

- a) If the complainant is not satisfied with the above decision, then they have the right to respond, in writing and ask for the matter to be investigated further.
- b) The complainant has the right to make a complaint to outside organisations, such as Trading Standards, an Ombudsman (<https://www.ombudsmanassociation.org/find-ombudsman>), and the Information Commissioner's Office (<https://ico.org.uk/make-a-complaint/>)

**Research ID Ltd.
COMPLAINTS FORM**

You may use this form to suggest or to make a complaint about Research ID Ltd.

We would like you to return this form as soon as possible.

Your Name

Address

.....

Telephone

Email

Date of incident

Approximate time of incident

Suggestion / Complaint

What action would you like to be taken?
