

Captive Preliminary Assessment Questionnaire v3.14

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Preliminary Assessments include an analysis of your current insurance, captive policy coverage suggestions, indicated premiums, and the captive type indicated. Cost to form and manage in suitable domiciles also included as well as an estimate of total start-up cost including initial captive capitalization recommended.

Instructions: Please write or type answers to the following questions (on this form or by other documents). Then email the answers to: info@captiveexperts.com. All information will be kept strictly confidential.

1. Contact person name, phone number and email:

2. Business Name and Description – Include each business and investment entity you own:

3. By entity: # of employees, # of independent contractors and estimated gross payroll:

4. By entity: Estimate of business asset values - by real estate, inventory and other assets:

5. By entity: Estimated Annual Revenue and Pre-Tax Net Income:

6. Your business websites (or supply an executive summary or marketing flyers if you have them):

7. Areas of Risk of Greatest Concern (Covered by Insurance and Also Not Covered by Insurance):

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8. Current Insurance Coverage Info (type of policy, limits, deductibles, premiums, carrier – if policies available copies helpful):

9. Litigation Summary (Prior, Current and Potential – Include Legal and Non-Legal Fee Costs Incurred):

10. Insurance Claims Losses (Estimated Annually for last 3 to 5 years – If loss runs available copies are helpful):

11. Assuming You Are Interested in a New Captive, What Level of Annual Premium Can Your Businesses can Commit to the 1st Year:

12. Any other information you want to provide that may facilitate a more productive preliminary assessment:

ATTACH MORE SHEETS AS NEEDED

Thank you - you will be contacted as soon as possible. If you do not hear back from us within one week of sending this information, please email info@captiveexperts.com, or call Tom at 602.677.7578.

Visit www.CaptiveExperts.com for more information.

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