

# Financial Services Guide

Part 2 – 22nd August 2023

## HVTA Planning Pty Ltd

This is Part 2 of a Financial Services Guide (FSG) and should be read in conjunction with Part 1.

HVTA Financial Planning Pty is a Corporate Authorised Representative No. 1305378 of HVTA Pty Ltd, AFSL No. 549226

Financial Services are provided to you by HVTA Pty Ltd trading as VTA Financial Planning:

Corporate Authorised Representative (CAR) Name:	HVTA Planning Pty Ltd
Australian Business Number (ABN):	81 670 193 389
Corporate Authorised Representative Number:	1305378
Representative Name:	Hoa Vinh Ta
Preferred Name:	Vinh Ta
Authorised Representative ASIC Number:	455322
Business & Postal Address:	64 Campbell St, Kensington, WA 6151

### Vinh Ta

Vinh has a history of financial services experience spanning over 25 years with much of this time as a financial adviser or within Financial Planning Educational and Technical Advisory as well as Superannuation Legislative and Technical roles providing advice to financial advisers on strategy and the changing legislative environment.

Vinh has successfully obtained the following qualifications:

- Bachelor of Commerce (Macquarie University)
- Diploma of Financial Planning (Deakin University)
- CFP – Certified Financial Planner (FAAA)
- LRS – Life Risk Specialist (FAAA)

Vinh is authorised by HVTA Pty Ltd to offer you the following Services & Products:

- Deposit products basic and non-basic deposit products;
- Debentures, stocks or bonds issued;
- Investment life insurance products;
- Life risk insurance products;
- Managed Investment Schemes (including IDPS);
- Retirement Savings Account Products;
- Superannuation;
- Securities;
- Margin Lending.

### **How the adviser is paid**

Vinh is paid a salary and as director of HVTA Pty Ltd (trading as VTA Financial Planning), Vinh is also entitled to remuneration in the form of revenue share, profit and distributions. Neither Vinh, VTA Financial Planning or HVTA Pty Ltd receive commission payments from any product providers.

### **Code of Ethics**

Financial Adviser Standards and Ethics Authority Ltd (FASEA), standards body for Part 7.6 of the Corporations Act 2001 (The Code) determined a Code of Ethics that all financial advisers are required to comply with.

From 1st January 2020, The Code imposes ethical duties on all providers of personal advice to retail clients and is designed to promote higher standards of behaviour and professionalism in the financial services industry.

HVTA Pty Ltd and its representatives, including Vinh will always act in a way that demonstrates, realises and promotes the five values and twelve standards of the Code. The Code can be viewed via the following link: [Code of Ethics | Financial Adviser Standards \(treasury.gov.au\)](https://www.treasury.gov.au/code-of-ethics)

### **Contacting Vinh**

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