



October Month Compliances as per the
Companies Act, 2013 &
SEBI(LODR) Regulations, 2015 &
SEBI(Depositories and Participants)Regulations,
2018
& LLP Act, 2008

Compliances as per the Companies Act, 2013

Email id: info@zzzlegalconsulting.com || Website: zzzlegalconsulting.com

Form NO. MGT-14
Prescribed by Section 195, 195A of The Companies Act, 1956 and Section 192 of The Companies Act, 1956 and rules made thereunder

Filing of Resolutions and Agreements in the Register

Form Language ☒ English ☐ Hindi

Refer the Instruction A/B for filing the form.

1. (a) Corporate identity number (CIN) of company

(b) Date of Issuance (DD/MM/YYYY) of company

2. (a) Name of the company

(b) Address of the registered office of the company

(c) Name of the company

3. (a) Name of the company

4. Date of Issuance of notice for filing

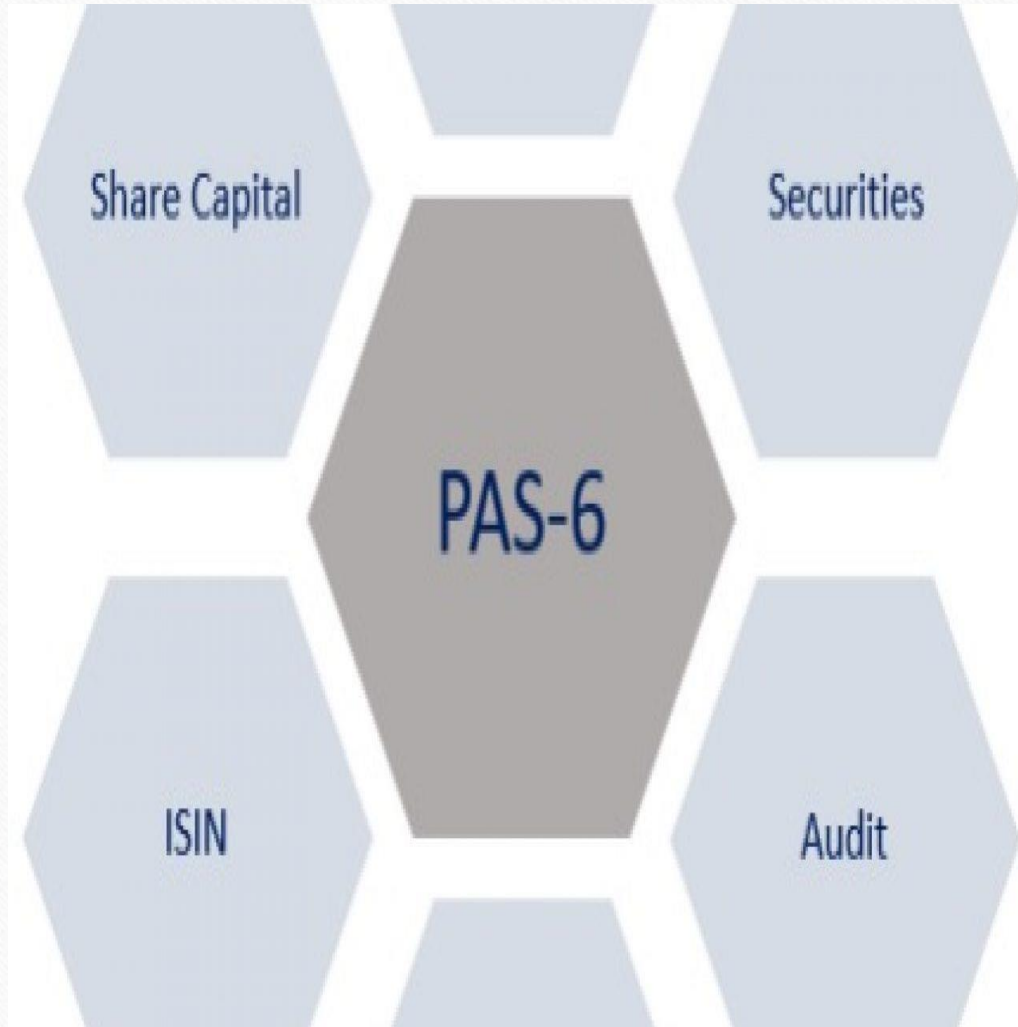
(a) Resolutions ☐ Agreements ☐

MGT-14

Filing of resolutions with the ROC regarding approval of Board Report and Annual Accounts. The details of the resolutions passed should be filed.

Within 30 days of the board meeting.

Applicable on Listed Company and Unlisted Public Company.



PAS-6

Reconciliation of Share Capital Audit Report (Half-yearly).

Within 60 (sixty) days from the conclusion of each half year.

To be filed all unlisted companies, deemed public companies.

MSME

Micro Small & Medium Enterprises

MSME

Form for furnishing half yearly return with the registrar in respect of outstanding payments to Micro or Small Enterprise.

Filing Period- April to September, 2023

Due date is 31st October, 2023

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Appointment of Auditor
COMPANIES ACT,
2013



ADT-1
Appointment of Statutory Auditor

Within 15 days from the conclusion of AGM.

AOC 4 AND MGT 7 ANNUAL COMPLIANCE FORMS



AOC-4

Filing of financial statement and other documents with the ROC

Within 30 days from the conclusion of the AGM, other than OPC.

(In case of OPC within 180 days from the close of the financial year)

AOC 4 AND MGT 7 ANNUAL COMPLIANCE FORMS



MGT-7 or MGT-7A

Filing of annual return by a company.

Within 60 days from the conclusion of AGM.

MGT-7A, Applicable in respect of Annual Return for OPC and small companies.

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Compliances as per the LLP Act, 2008

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LLP Form 8 Filing

- What is Form 8 ?
- Filing fees ?

- Late Filing Penalty ?
- Documents ?

Form LLP-8

Statement of Account and Solvency

Within 30 days from the end of 6 months of the financial year to which the statement relates.

Due Date is 30.10.2023

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Compliances as per SEBI (Listing Obligations and Disclosure Requirements) (LODR) Regulations, 2015

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Regulation 13: Grievance Redressal Mechanism

The listed entity shall file with the recognised stock exchange(s) on a quarterly basis, within twenty one days from the end of each quarter, a statement giving the number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

Regulation 27: Other corporate governance requirements

The listed entity shall submit a quarterly compliance report on corporate governance in the format as specified by the Board from time to time to the recognised stock exchange(s) within twenty one days from the end of each quarter.

Regulation 31: Holding of specified securities and shareholding pattern.

The listed entity shall submit to the stock exchange(s) a statement showing holding of securities and shareholding pattern separately for each class of securities on a quarterly basis, within twenty one days from the end of each quarter.

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Regulation 23: Related Party Transactions

The listed entity shall submit within 15 days from the date of publication of its standalone and consolidated financial results for the half year, disclosures of related party transactions on a consolidated basis.

Regulation 33: Financial Results

The listed entity shall submit quarterly and year-to-date standalone/Consolidated financial results to the stock exchange within forty-five days of end of each quarter, other than the last quarter.

Regulation 52: Financial Results.

The listed entity shall prepare and submit un-audited or audited quarterly and year to date standalone financial results on a quarterly basis in the format as specified by the Board within forty-five days from the end of the quarter.

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Regulation 69: Indian Depository Receipt holding pattern & Shareholding details.

The listed entity shall file with the stock exchange the Indian Depository Receipt holding pattern on a quarterly basis within fifteen days of end of the quarter in the format specified by the Board.

Compliances as per SEBI (Depositories and Participants) Regulations 2018

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Regulation 76: Reconciliation of Shares and Capital Audit

Every issuer shall submit audit report on a quarterly basis, for the purposes of reconciliation of the total issued capital, listed capital and capital held by depositories in dematerialized form, the details of changes in share capital during the quarter and the in-principle approval obtained by the issuer from all the stock exchanges where it is listed in respect of such further issued capital.



**THANK
YOU!**