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**1970-1971 Marihuana Law Enforcement Study
Records of the National Commission on Marihuana and Drug Abuse
(Drug Offense Enforcement and Case Disposition Study Files)**

NN3-220-73-228 (373-228(B))

Records of Presidential Committees, Commission, and Boards (Record Group 220)

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INTRODUCTION TO DOCUMENTATION

The National Commission on Marihuana and Drug Abuse was established by Title II of the Comprehensive Drug Abuse Prevention and Control Act of October 27, 1970 (84 Stat. 1280), to conduct a comprehensive study of drug abuse and the use of marihuana in the United States. On January 30, 1971, President Nixon appointed nine members and designated Raymond P. Shafer, former Governor of Pennsylvania, as Chairman. These nine plus two Members of the Senate and two of the House comprised the Commission. Its findings are summarized in its reports: Marihuana: A Signal of Misunderstanding (Washington, 1972) and Drug Use in America: Problem in Perspective (Washington, 1973).

Because of deficiencies in existing data on marihuana law enforcement practice and general drug law enforcement practice at the state and local levels, the Commission decided to conduct its own surveys of these practices in selected jurisdictions. The Commission staff prepared the research design and supervised the fieldwork by teams of college youth consultants and other selected data collectors. Preparation of the basic data files was contracted to the Institute for Survey Research, Temple University.

Each file consists of a detailed personal profile of each arrestee containing demographic and educational information, employment history, drug use history, past police record, apprehension methods, and final



disposition of the case. The arrestee is not identified. The marihuana law enforcement data consists of records on 3,071 arrests for possession and/or sale of marihuana between July 1 and December 31, 1970, inclusive, in Chicago and Cook County, Illinois; Dallas, Texas; Omaha, Nebraska; San Mateo County, California; Tucson, Arizona; metropolitan Washington, D. C.; and Charlottesville and Albemarle County, Green County, Louisa County, and Madison County, Virginia. It is discussed in "Enforcement Behavior at the State Level," in Marihuana: Appendix: The Technical Papers, II, 612-728, which includes a copy of the survey form. The drug enforcement data consists of records on 5,582 drug arrests made between July 1 and December 31, 1971, inclusive, in the cities of Chicago, Dallas, Los Angeles, Miami, New York, and Washington, D. C. It is discussed in "Selective Justice: Drug Law Enforcement in Six American Cities," in Drug Use in America: Appendix: The Technical Papers, III, 498-650, which includes a copy of the survey form.

All data is in card image form. In the marihuana law enforcement coding and editing manual, the card number is given in the upper left-hand corner and the column number is given in the margin to the left of each question. In the national drug enforcement coding and editing manual the card number is given in the upper right-hand corner of each page and the column number is given in brackets below the card number and to the right of the description or first code of each question.



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The Commission's data file on the attitudes of adults and juveniles concerning drug use and abuse (order no. 1971: 373-228(A)) is also available from the Machine-Readable Archives Division. And related textual records are also housed in the National Archives.

—
Ross J Cameron
Archivist
Machine-Readable Archives Division

I. Enforcement Behavior at the State Level

Obtaining figures on the arrests, convictions and seizures of marihuana by the states is more difficult than securing information at the federal level.* The only attempt to compile this data on a national scale is that made by the Federal Bureau of Investigation for purposes of its Uniform Crime Reports.† However, the FBI information concerns arrests only, and not all of the states report these figures. As stated in the 1970 Uniform Report:

Arrest statistics are collected annually from contributing law enforcement agencies and the figures used in the tables this year were submitted by agencies representing 75 percent of the United States' population. In using these arrest figures it is important to remember that the same person may be arrested several times during 1 year for the same type or for different offenses.

The number of state agencies reporting and the population represented by the reports varies to

*The problems are manifold. For example: (1) the laws of several states do not distinguish marihuana offenses from other narcotic offenses; therefore, separate statistics on marihuana offenses do not exist. (2) Some states do not require their law enforcement agencies to report crime statistics. (InTech, p. 70)

†Even under the FBI system a large number of marihuana cases are not counted. The uniform reporting system is a hierarchical system. Only the most serious crime charged is scored and reported; all lesser crimes are buried. (InTech. pp. 80, 81)

some extent from year to year; therefore, each yearly report is based on a different universe. For this reason, precise comparison of data is impossible and the statistics are probably understated.

TABLE A.—State marihuana arrests ¹

	Total drug arrests	Percent marihuana arrests	Total marihuana arrests	Percent change
1965.....	60,500	31.1	18,815
1966.....	75,900	41.0	31,119	65.39
1967.....	121,500	50.9	61,843	98.73
1968.....	198,900	48.2	95,870	55.02
1969.....	288,600	41.2	118,903	24.02
1970.....	415,600	45.4	188,682	58.68

¹ Total drug arrest figures are based upon figures submitted by law enforcement agencies which vary in number from year to year. Further, the percentage of population represented by these agencies also varies from year to year. Therefore, it is not possible to achieve precise data, but trends can be indicated.

The most significant finding from the arrest data available for the states is the rapid increase in marihuana arrests between 1965 and 1970. During these years the number of arrestees increased 1,000%. In addition, Table A above shows that

marihuana arrests account for 45.4% of all drug arrests made by state authorities.

TABLE B.

	Percent of narcotic arrests of total arrests ¹	Percent of marihuana arrests of total arrests
1965.....	0.92	0.28
1966.....	1.22	.50
1967.....	1.86	.94
1968.....	2.93	1.41
1969.....	4.02	1.66
1970.....	5.32	2.41

¹ Does not include marihuana arrests.

Marihuana arrests have increased not only in relation to all drug arrests but also in relation to *all* arrests at the state and local level. The figures in Table B were taken from the FBI's Uniform Crime Reports table designated "Arrests, Number and Rate, by Population Groups."

According to these figures, in the six-year period pictured, the portion of the crime pool at the state level made up by narcotic arrests has increased 578% and that of marihuana arrests has increased 861%.

In rural areas the increase of marihuana offenses in relation to other drug offenses is considerable. (See Table C)

TABLE C.—Percent of marihuana arrests of total drug arrests in city, suburban, and rural areas

	Percent mari- huana arrests of total drug arrests	City ¹	Subur- ban ¹	Rural ¹
1965.....	31.1	30.9	35.4	38.4
1966.....	41.0	40.4	52.0	55.1
1967.....	50.9	49.4	66.1	61.4
1968.....	48.2	46.1	60.1	73.2
1969.....	41.2	39.2	51.5	69.2
1970.....	45.4	42.7	60.7	75.8

¹ These figures represent the percentage of marihuana arrests for the category of area reporting, e.g., for all cities reporting in 1965, marihuana arrests constituted 30.9 percent of total drug arrests.

In view of the deficiencies of existing data collection on enforcement practice at the state level, the Commission decided to conduct its own survey of selected state jurisdictions. A paper by Professors Weldon T. Johnson of the University of Wisconsin (Department of Sociology) and Robert L. Bogomolny of Southern Methodist University (School of Law) analyzes the data collected in this study.

A Study of Enforcement in Selected Jurisdictions†

Scientific information concerning the pharmacological, psychological and social effects of marihuana only partly answers questions about controlling marihuana use. Attention must also be directed toward the effects of formal procedures designed to control use and distribution of the drug. A sensitivity to the social consequences of existing methods and procedures of marihuana control is reflected in current discussions of the "costs" and "benefits" of marihuana laws and their supporting enforcement apparatus. Common references to "crimes without victims" or the "legislation of morality" or "the crisis of overcriminalization" indicate that many of the questions associated with the marihuana issue are no longer strictly medical or clinical. The marihuana "issue" is increasingly recognized as a straightforward question of social policy: What laws enforced by what sanctions and involving what consequences will enable society to establish and maintain desired conduct in regard to the use and distribution of cannabis?

Possession of cannabis has been subject to criminal sanctions in the United States for up to 50 years. During this period two developments of special significance have occurred. First, the incidence of marihuana use has increased substantially.¹ Second, the incidence of marihuana use has moved across demographic sectors such as age, gender, region, education and occupation.² The first development has generated considerable critical comment about the deterrent value of statutes which prohibit use of cannabis. The second has led to more recent concern about the justice of the laws and the operation of the criminal justice system. Whether marihuana statutes can be enforced by the present law enforcement system in a manner

† The data collected in this study were analyzed for the Commission by Professors Weldon T. Johnson of the University of Wisconsin (Department of Sociology) and Robert L. Bogomolny of the Southern Methodist University School of Law, in a paper entitled, "The Crime of Cannabis: From Detection to Disposition." That paper is published in its entirety in this section. Professors Johnson and Bogomolny acknowledge the substantial contributions of Richard Bonnie, Carolyn Jenne, Jaroslawa Johnson and Judy Witherspoon. The Commission acknowledges the assistance of the attorneys who supervised the data gathering at the field level: Geoffrey Smith and Susan Cooper (San Mateo), John Boyer (Douglas County), Andrew Silverman (Tucson), Thomas Decker (Cook County), Robert Bogomolny (Dallas), Jane Lang McGrew (Maryland), Charles Whitebread (Rural Virginia), Patricia Wallace (Washington, D.C.), and Michael Valentine (Northern Virginia).

¹ See part two, chapter I of this appendix.

² *Id.*

consistent with constitutional definitions of fairness and legitimacy raises serious issues.³ Concern has been expressed about the extent to which current marihuana statutes encourage police misconduct, particularly in the area of search and seizure procedure.⁴ Another question concerns the selective enforcement of marihuana statutes and the consequences of a law bearing unequally on age, racial and occupational groups.⁵ Finally, questions have been raised about whether the criminal processing of marihuana defendants is an appropriate way of handling the population involved. It has been argued that both the stigma and severe penalties associated with marihuana offenses are not only undesirable but also damage the credibility, integrity and effectiveness of the criminal law and its enforcement system.⁶

A growing sensitivity to these questions has reopened the issue of cannabis. A gradual replacement of incomplete and erroneous information with recent research findings on the effects of marihuana use, together with an increasing amount of first-hand experience, apparently have resulted in ambivalent public opinion, particularly among young persons, concerning the prohibition of marihuana.⁷ Among legal scholars and social scientists, the issue of cannabis has reopened along other lines resulting in a new commitment to review and evaluate the marihuana statutes in a way focusing specifically on the relationship between goals and results.

This study aims to provide a systematic description of the experiences, from detection to final disposition, of over 3,000 persons arrested for marihuana offenses. The basic data were retrieved primarily from police, prosecutorial and court records in six metropolitan jurisdictions throughout the United States. The study differs in two important dimensions from previous efforts in this area. First, previous analyses have been limited by both the quantity and quality of observational data. Factual information concerning the process-

ing of marihuana defendants has been generally absent, and most discussions in this area have been based on speculation. Although this study does not solve all of the substantial problems associated with data collection in this area, it has compiled a more systematic data file than was ever done before. The second distinguishing characteristic is the attempt to avoid judgmental analysis. This study aims at description. In many areas of research, competent men of good will disagree about the meaning of basic data. In the area of marihuana, where much of the intellectual give and take has been couched in terms of costs and benefits, alienation and morality, honest differences in opinion may be anticipated. The problem, of course, is that not all persons agree on which consequences are costly or beneficial, what causes alienation and what role public morality ought to play in regulating freedom of choice. Because of these problems such judgments have been left to the reader.

The specific questions which generated this study pertain to how marihuana statutes are enforced. These questions concern a wide range of situations, from circumstances of detection and arrest through prosecutorial and judicial disposition; they focus on not only the behavior of marihuana defendants but also on that of the police, prosecuting attorneys and judges. With varying degrees of success and depth, this research addressed the following questions:

(1) Can the marihuana defendant be described in terms of a demographic profile? Do particular age, racial, educational or occupational groups contribute disproportionately to the marihuana arrest population?

(2) How is the marihuana defendant similar to, or different from, other offenders, the general population of marihuana users, other drug users? Are the marihuana offenders persons who would not otherwise be involved in the criminal process?

(3) What are the circumstances under which marihuana arrestees come to the attention of the police? What kind of investigational strategies are used and which law enforcement agencies are involved in the detection of marihuana offenses? To what extent are arrest and search warrants utilized in marihuana arrests?

(4) What are the circumstances under which marihuana arrestees are apprehended? What are the physical locations of such arrests? What amounts of the drug are seized?

(5) How are marihuana defendants processed? With what offenses are they charged? What is the amount of bail set? To what extent are marihuana defendants charged, dismissed and tried? To what

³ See generally Project, *Marihuana Laws: An Empirical Study of Enforcement and Administration in Los Angeles County*, 15 U.C.L.A. L. Rev. 1499 (1968) [hereinafter cited as *U.C.L.A. Study*].

⁴ See generally R. Bonnie and C. Whitebread, *The Forbidden Fruit and the Tree of Knowledge: An Inquiry into the Legal History of American Marihuana Prohibition*, 56 Va. L. Rev. 971-1203 (1970).

⁵ Note, *Possession of Marihuana in San Mateo County: Some Social Costs of Criminalization*, 22 Stan. L. Rev. 101 (1969) [hereinafter cited as *San Mateo Study*].

⁶ See generally J. Kaplan, *Marihuana: The New Prohibition* (1970).

⁷ H. Abelson, R. Cohen and D. Schryer, *Public Attitudes Toward Marihuana* 1-9, December 14, 1970 (Study prepared for the National Commission on Marihuana and Drug Abuse), part of this appendix.

extent are pretrial and trial motions made, granted or denied? To what extent do defendants plead guilty?

(6) What sentencing procedures are utilized with respect to marihuana defendants? How many defendants are ultimately sentenced and for what offenses? To what extent are the defendants fined, placed on probation or incarcerated?

Although issues identified earlier are implicit in many of these questions, the research attempts to describe, in neutral terms, the operation of the present marihuana law enforcement system. Only after an adequate description of these procedures is formulated, can the consequences and the efficacy of criminal sanctions for marihuana control in the United States be evaluated.

METHOD

The issues outlined above led the National Commission on Marihuana and Drug Abuse to design a research project which would develop statistical data on arrests of marihuana offenders in the United States. The study began within the Commission in the late spring of 1971 and later required the involvement of many other persons outside the Commission. Research design and instrumentation were the responsibilities of the Commission staff. Field work for the study was supervised overall by the Commission staff and locally by nine attorneys retained for this purpose by the Commission. Actual data collection was performed by teams of college youth consultants to the Commission and by selected data collectors. Preparation of the basic data file was contracted to the Institute for Survey Research, Temple University. Finally, the authors, consultants to the Commission, designed the analysis format, performed the analysis and prepared this report.

Procedures

This study required a recording instrument which would facilitate retrieval of statistical data from a variety of official records for both adult and juvenile marihuana offenders in 18 separate jurisdictions. This requirement included a tolerance of variations in recording procedures and content. In addition, data recording procedures were required which permitted detailed analysis of each arrestee's progression through defined phases of the criminal process. These requirements, simultaneous flexibility and completeness, created unusual design problems; since the solutions to these problems could not be answered by previous research, the Commission fielded an unusually lengthy and detailed questionnaire. The instru-

ment used in this study is far more comprehensive than any used previously, and not all of the data have been analyzed in this report.⁸

Construction of the recording instrument benefited partly from findings of earlier studies; but more generally, by consideration of theoretically possible variations in the processing of marihuana defendants. Certain portions of the original instrument were thus revised after procedural differences among the jurisdictions became apparent during pretests of the instrument.

Selection of jurisdictions for this study involved a series of compromises between a concern for representatives, on the one hand, and opportunity of access, on the other. It was not possible, either in terms of jurisdictional cooperation or of the requirements imposed by time, to retrieve data from all jurisdictions in the country or even to sample them systematically. The criteria of timely access and the need for simultaneous data collection resulted in the selection of jurisdictions listed in Table 1. Although systematic sampling was not achieved, the selected jurisdictions provide considerable heterogeneity in size, region, population characteristics and jurisdictional procedures and policies. These selection procedures provided a population of 3,071 arrestees, 2,491 adults and 580 juveniles.⁹ The respective contribution of each jurisdiction to the study population is shown in Table 1.

Data were collected for the study in the summer of 1971 by teams of interviewers, organized and prepared by the Commission to examine police, prosecutorial, judicial and probation records in each jurisdiction. Each team was supervised by an attorney familiar with the jurisdiction and its law enforcement agencies. Team supervisors met initially with the Commission staff to develop uniform collection procedures and to identify areas of data collection where jurisdictional variations required additional attention. Each team supervisor was responsible for pretesting the instrument

⁸ See questionnaire, Appendix A, *infra* page 695.

⁹ The terms "adult" and "juvenile" are used in this study to refer to that segment of the criminal justice system which ultimately disposed of the case. These designations were easily determined in almost all cases. In two kinds of situations, however, the adult-juvenile designation was unclear. In some situations, a case began in the adult system, either because the arrestee's age was not determined or because the prosecutor exercised discretion to utilize the adult system for arrestees of certain ages, and was later referred to the juvenile system. In this study, such cases are designated as "juvenile." In other situations, cases began in the juvenile system and were later transferred to the adult system by the judge under a statutory provision permitting "waiver of jurisdiction" for certain offenses. In this study, such cases are designated as "adult."

Table 1

<u>Jurisdiction</u>	<u>Sampling Procedure</u>	<u>Total</u>	<u>Adult</u>	<u>Juvenile</u>
<u>Washington Metropolitan Area</u> ¹⁰		959	678	281
Washington, D.C.	all cases	390	318	72
Prince George's County, Md.	all cases	180	134	46
Montgomery County, Md.	all cases	224	110	114
Fairfax County, Va.	all cases	75	46	29
Arlington County, Va.	all cases	58	40	18
Prince William County, Va.	all cases	8	6	2
Alexandria, Va.	all cases	24	24	0
<u>Chicago Metropolitan Area</u>		864	789	75
Chicago, Illinois ¹¹	one-in-ten (adult only)	362	358	4
Cook County, Illinois ¹²	all cases	502	431	71
Dallas, Texas	all cases	850	726	124
San Mateo County, Calif. ¹³	one-in-two	140	83	57
Tucson, Arizona (Pima County) ¹⁴	one-in-three	127	85	42
Omaha, Nebraska ¹⁵	all cases	113	113	0
Rural Virginia (Charlottesville, Albemarle County, Green County, Louisa County, Madison County) ¹⁶	all cases	18	17	1
<u>Total</u>		3,071	2,491	580

¹⁰ In the process of selecting jurisdictions, the Commission staff viewed the Washington Metropolitan Area as a single entity, but expected to find divergent enforcement patterns in the suburbs as compared to the District. Depending on how finely one wishes to analyze the data, the area represents seven discrete jurisdictions or three less well-defined jurisdictions (Maryland suburbs, Virginia suburbs and Washington). To facilitate analysis, the latter approach is utilized.

¹¹ In the city of Chicago, the sample was drawn by selecting every tenth marihuana-related case from the chronological log of arrests compiled by the police. This procedure was followed only for arrestees initially processed as adults. Juvenile records are indexed at the police level only by name. Since there was no chronological or substantive separation of files, it was impossible to identify the universe of juveniles arrested for marihuana offenses during a specific time period. Accordingly, arrestees entering the system as juveniles are not included.

¹² Other than the City of Chicago, Cook County includes 119 municipalities, each with its own police department. The residual area of the county is under the jurisdiction of the County Sheriff. To compile the universe of arrestees in Cook County, each case in the County Sheriff's files was analyzed. With regard to the municipalities, the Commission requested each Chief of Police to photocopy the arrest record of each person arrested for a marihuana offense during the survey period, indicate the disposition of each case, and forward the information to the Chicago

supervisor. With the cooperation of the County Sheriff, 92 of the suburban jurisdictions (77%) reported. Thirty-five (38%) of the reporting jurisdictions indicated that they had made no marihuana arrests during the survey period.

¹³ Every other case during the survey period was sampled in each of the sub-jurisdictions of the Southern Judicial District of San Mateo County: Atherton, Menlo Park, Redwood City, San Carlos and the unincorporated areas under the jurisdiction of the County Sheriff and the California Highway Patrol. In each of the municipalities, the universe was compiled from the chronological contact log. However, since neither the Sheriff nor the Highway Patrol maintain such a cross-reference system (files are kept alphabetically) the sample was drawn from individuals who were booked (charged).

¹⁴ The Tucson (Pima County) sample consisted of a random sample of one-third of the marihuana-related arrests made by the Tucson Police Department. During the survey period, 381 persons (256 adults and 125 juveniles) were arrested for marihuana-related offenses.

¹⁵ During the survey period, the Omaha Police Department arrested 128 persons (113 adults and 15 juveniles). The sample consists only of the adult cases, since the juvenile records were inaccessible.

¹⁶ Since the number of cases in the rural Virginia universe is so small, no further reference will be made to this jurisdiction.

in his jurisdiction, obtaining access to relevant police and court records, and supervising the actual data collection and recording. In addition, the supervisor was responsible for interviewing a select number of law enforcement personnel within the jurisdiction.

Data collection teams in each jurisdiction followed a series of guidelines drafted by the Commission staff to insure uniformity. In each jurisdiction, for example, police records were examined first in order to define the universe of persons arrested within the survey period of July 1 through December 31, 1970. This period was thought to offer the most current data with the minimum number of pending cases.

Methodological Problems

A number of caveats concerning the procedures followed in this study affect the interpretation of findings and should be identified. First, the procedures in selection of jurisdictions may limit the extent to which observed patterns of law enforcement practices are representative of other jurisdictions in the United States. The absence of systematic sampling does not necessarily indicate that the patterns observed in this study are not representative or that this study's findings cannot be generalized to other, unstudied populations. The problem is that there is no assurance that such analytical procedures are appropriate. In a hypothetical study employing systematic sampling, the limits of representativeness and generalization are known. Therefore, the findings of the present study may not be characteristic of all marihuana defendants in the United States during 1970.

The problems associated with the use of police and court records are well known.¹⁷ Studies of criminal procedure must also be sensitive to inter-jurisdictional variation in the substantive law and in criminal procedure. Neither the criminal procedure nor the substantive criminal law is uniform among jurisdictions. At the time of this study, in some jurisdictions, for example, possession of marihuana was a felony; in others possession was a misdemeanor; in still others, the prosecutor could file the complaint as either a felony or a misdemeanor. These variations affected the charges which were brought, the informal dispositional

alternatives and the formal dispositional and sentencing alternatives.¹⁸ With respect to juveniles, the jurisdictions in this study tended to define juveniles as persons under the age of eighteen.¹⁹

When significant jurisdictional differences occur it is necessary to determine whether such differences are attributable to variations in jurisdictional legal structure or to extralegal considerations. Analysis is complicated by variances in plea and termination procedures among district attorneys; these differences in procedure may determine the point in the criminal process at which the outcome

¹⁸ The marihuana laws of the sample jurisdictions provided the following penalties for possession and sale during the survey period (July 1, 1970–December 31, 1970) :

State	Citation	Possession	Sale
Arizona.....	Ariz. Rev. Stat., Ann. Sec. 36-1001 to 1002.10, 1017 (Supp. 1969).	0-1 year in county jail/\$1,000 max.; or 1-10 years. 2d offense, 2-20 years.	2-10 years. 2d offense, 5-15 years.
California....	Calif. Health and S. Code Sec. 11530-11533 (West 1964), as amended (Supp. 1970).	County jail for 1 year max. or 1-10 years. 2d offense, 2-20 years.	5 years-life. 2d offense, 5 years-life.
Illinois.....	Ill. Ann. Stat., Ch. 38, Sec. 22-1 to 53 (Smith-Hurd 1964), as amended (Supp. 1970).	Less than 2.5 grams, 0-1 year/\$1,500 max.; over 2.5 grams, 2-10 years/\$5,000 max. 2d offense, less than 2.5 grams, 2-10 years/\$5,000 max.; over 2.5 grams, 5 years-life.	10 years-life. 2d offense-life.
Maryland....	Md. Ann. Code, Art. 27, Sec. 276-302 (1957).	2-5 years/\$1,000 max. 2d offense, 5-10 years/\$2,000 max.	2-5 years/\$1,000 max. 2d offense, 5-10 years/\$2,000 max.
Nebraska.....	Ch. 197 (1969), Nebraska Acts.	Less than 25 cigarettes, 7 days; more than 25 cigarettes, 1-5 years. 2d offense, 1-5 years.	2-5 years. 2d offense, 2-5 years.
Texas.....	Tex. Pen. Code, Art. 7256 (1960), as amended (Supp. 1969-70).	2 years-life. 2d offense, 10 years-life.	5 years-life. 2d offense, 10 years-life.
Virginia.....	Va. Code Ann. Sec. 54-524.1 to 109 (Supp. 1970).	0-12 months/\$1,000 max. 2d offense, 0-12 months/\$10,000 max. or 2-20 years/\$10,000 max.	1-40 years/\$25,000 max. 2d offense, 10 years-life/\$50,000 max.
District of Columbia.	D.C. Code Ann. Sec. 33-401 to 425 (1968).	0-1 year/\$100-\$1,000. 2d offense, 0-10 years/\$500-\$5,000.	0-1 year/\$100-\$1,000. 2d offense, 0-10 years/\$500-\$5,000.

¹⁹ See, e.g. Ariz. Rev. Stat. Ann. § 8-201 (Supp. 1971-72) (any person under 18); Cal. Welf. and Inst'ns Code § 707 (Supp. 1972) (any person under 18); Ill. Rev. Stat. ch. 37, § 702-2 (Supp. 1972) (male: under 17; female: under 18); Md. Ann. Code art. 26, § 70-1 (Supp. 1971) (any person under 18); Tex. Rev. Civ. Stat. Ann. art 238-1 (any person under 18); Tex. Rev. Civ. Stat. Ann. art 238-1 § 3 (Supp. 1968) (male: under 17; female: under 18); Va. Code Ann. § 16.1-14(3) (1960) (any person under 18); D.C. Code Ann. § 11-1551 (1966) (any person under 18).

¹⁷ See Sellin and Wolfgang, *The Measurement of Delinquency* (1964); *The Challenge of Crime in a Free Society*, A Report of the President's Commission on Law and the Administration of Justice (1967), 18-31; Mandel, *Problems with Official Drug Statistics*, 21 Stan. L. Rev. 991 (1969).

of the case is decided and even the contours of the disposition. Since the principal interest is in describing general patterns without regard for insignificant variations, jurisdictional differences are identified only when they are of sufficient magnitude to alter overall patterns.

A similar procedure is followed with regard to adult-juvenile differences; adults and juveniles are sometimes examined separately, although they are grouped for some analyses.

FINDINGS ²⁰

The results of this study are presented in three sections. The first describes the arrestees in terms of demographic characteristics, history of drug use and contact with law enforcement agencies prior to this marihuana arrest. The second section describes the circumstances under which the study population was detected and arrested, reviewing the use of investigations prior to arrest and the physical locations of these arrests. Additionally, the use of warrants, reasons for police presence, and the incidence of drug "buys" are examined. A third section describes post-arrest dispositions of these cases, focusing on both ultimate disposition and specific procedures followed in various phases of the criminal system.

²⁰ Although the findings described in the following pages generally involve quantitative comparisons, tests of statistical significance are not used. We have not employed such tests for several reasons. First, tests of statistical significance ordinarily require a number of assumptions pertaining to sampling procedures. Since we do not purport to have a random or even systematic sampling of marihuana cases, certain assumptions of these tests are not met, and the tests were therefore not performed. Second, this study is an exploratory effort, not a confirmatory one. The study produced a considerable body of data, and we have been selective in presentation of these data. The fact that statistical significance is also a function of the absolute number of tests (for example, statistically significant relationships would be found in five of every 100 tables by chance alone) reinforced our reluctance to use such tests. Third, we have attempted to avoid confusing statistical with substantive significance. Although we have attempted to identify both the existence of relationships, and their strength, we feel that in this area, statistical significance often may be confused with substantive significance. Not all statistically significant findings are of substantive importance. To avoid confusion concerning the criterion of "significance," we elected to omit statistical tests. Instead, we have used a guideline of 10 percentage points difference. In most groups a 10% difference is statistically significant, except in comparisons of populations of very small numbers. We have attempted to be sensitive to the size of *n*, and we have been reluctant to report relationships when the *n*'s are less than 20.

The Arrestees

DEMOGRAPHIC CHARACTERISTICS ²¹

The typical marihuana arrestee is a young, white, single male who is a permanent resident of his jurisdiction and is either employed as a blue collar worker or is attending school.

A substantial majority of these arrestees are male (85%), white (77%), comparatively young (58% are under 21), and permanent residents of the jurisdictions within which they were arrested (77%). The data in Table 2 indicate that a majority of arrestees are unmarried (57%) and, at the time of arrest, were either employed or enrolled as students (70%). A minority of the arrestees (11%) was unemployed. The general youthfulness of the entire arrest population is indicated by the finding that five percent of all arrestees were 31 or older at the time of arrest. Among juveniles, over half (53%) were 16 or under at the time of arrest. Adult-juvenile differences show that proportionately more juvenile arrestees are female (25%), unmarried (91%), white (90%), permanent residents (88%) and students (78%).

With a few exceptions these demographic characteristics are uniform across jurisdictions. Although ten percent of all arrestees are age 16 or under, proportionately more persons in this age category are found in Maryland (27%) and in Dallas (25%). On the other hand, less than one percent of arrestees in Omaha (.3%) are in this age group. In regard to race, the majority of arrestees in all jurisdictions except one are white, ranging from 71% in Tucson to 94% in Maryland. The exception in racial composition is found in Chicago where 53% of all arrestees are black and 43% are white. By contrast, in Washington, D.C., 63% of arrestees are white and 36% black. The lowest incidence of black arrestees is found in San Mateo (5%) and Cook County (6%).

With regard to mobility,²² a majority of arrestees in all jurisdictions except one are permanent

²¹ Various biographical data pertaining to each arrestee's gender, age, race, occupation and place of residence were ordinarily available. In two areas of demographic characteristics, however, pertinent information was often incomplete or unknown. Data concerning the arrestee's educational level were unknown in about 70% of the cases. Data concerning marital status were unknown in about 30% of the cases, and this information is reported here with the appropriate interpretation caveat.

²² Persons who were permanently employed and resided in the jurisdiction within which they were arrested were designated as permanent. Semi-permanent includes temporary residence such as college students and military personnel. Persons arrested in metropolitan areas, but who lived in suburbs of those areas, were designated as suburban.

CONCLUSION

The findings of this study indicate that many of the traditional assumptions and assertions about the enforcement of marihuana statutes are either erroneous or only partly accurate. These findings pertain to the demographic characteristics of marihuana arrestees, the circumstances of their detection and arrest, and the manner in which their marihuana cases are processed through the criminal justice system.

The Arrestees. The general demographic profile of the marihuana arrestee in this study is a young, white, single male who is a permanent resident of his jurisdiction and is either employed as a blue collar worker or is attending school. The data do not confirm previous speculation that persons who are black, Mexican-American, transient, and unemployed are overrepresented in the arrest population. Perhaps the most significant demographic characteristic of marihuana arrestees is their age. Of the more than 3,000 arrestees in the study population, only 5% were 31 or older.

These marihuana arrestees generally had no contact with law enforcement agencies prior to the marihuana arrest. The data suggest that the marihuana arrest constituted the initial experience with the criminal justice system, particularly among juveniles.

Circumstances of Detection and Arrest. About two-thirds of the arrestees in the study population were arrested spontaneously without any prior investigative activity. Spontaneous arrests were particularly common in the case of persons under 20, black, in school, or in a blue collar job, without prior criminal involvement. When marihuana arrests were preceded by drug or drug-related investigations, the investigations were usually short term and were directed toward marihuana rather than other drugs.

The marihuana-behavior precipitating the arrest was almost always possession. Ninety-four percent of all the arrests involved the seizure of marihuana from the possession or presence of an individual or group of individuals. In the remaining 6% of the cases, the arrests were precipitated by undercover purchases of the drug. Seizures at the time of arrest generally involved less than one ounce of marihuana; seizures of more than one ounce were documented in but 15% of all cases.

Similarly, the undercover purchases ordinarily involved an ounce or less.

Nearly all marihuana arrests were made by local officers. About two-thirds of the arrests occurred in outdoor public areas or in vehicles. Nearly all of the outdoor arrests were based on "simultaneous" offenses, where no investigative activity preceded the arrest. The reason most commonly reported for outdoor searches was "suspicious behavior" and the reason most often designated for vehicle stops was "suspicious circumstances." About two-thirds of all marihuana arrests involved the simultaneous arrest of two or more persons.

Most marihuana arrests did not involve the use of arrest or search warrants. Even among indoor arrests, over one-third were accomplished without search warrants, and this was particularly so in the case of very young arrestees.

The findings pertaining to circumstances of arrest suggest that substantial proportions of marihuana arrests are a consequence of fortuitous contacts with law enforcement officers: many arrests are made without investigative activity by the police, involve small amounts of marihuana and occur among persons with no prior criminal involvement and no present indication of major involvement with drugs.

Post Arrest Disposition. Three of every five closed cases were disposed of in the defendants' favor at some point between apprehension and formal trial. About 80% of juveniles and about half of the adults were "terminated" prior to trial. About one-third of the arrestees were sentenced after pleading guilty or being found guilty at trial. Two percent of the adults, and none of the juveniles, were found not guilty at trial.

The study jurisdictions differed in the proportion of cases terminated. Terminations prior to trial were most common in Washington, D.C., where 71% of the adults and 95% of the juveniles were either dismissed or released. Adult terminations were least common in Northern Virginia and San Mateo County, California (27%). Juvenile terminations were least common in Dallas (42%) and Northern Virginia (51%).

Nearly all adults, but less than half of juveniles were taken into custody after apprehension.

Nearly all adults arrested for marihuana offenses were also charged with those offenses. The specific offenses most often charged pertain to possession of marihuana. Of the adults charged, about 90% involved at least one possession of marihuana charge and three-fifths of the adults were charged *only* with possession of marihuana. About 6% of the adults were charged with sale of marihuana.

Among juveniles, arresting officers recommended that a petition be filed in more than two-thirds of the cases. Despite, this, however, the appropriate authority chose not to do so for one case in four. Of the delinquency petitions filed, about 60% designated possession of marihuana as the reason for issuance of the petition. One-third of the juvenile petitions were dismissed after filing.

Among adults, about one in four arrestees was released on bail. Defendants were released on bail more often when the charge involved possession and less often when the charge involved sale of marihuana. The amount of bail set for most de-

fendants was between \$500 and \$1,000, and the amount of bail set was ordinarily higher for sale charges than for possession charges.

Of the more than 3,000 arrestees in the study population, 20% pleaded guilty and 17% went to the trial phase. Of the defendants reaching the trial phase, three-fourths were charged with possession of marihuana only.

Of all the persons initially arrested, 32% were sentenced. Four-fifths of these persons were convicted of possession of marihuana only, and 6% were convicted of sale offenses.

Sentences assessed varied by offense and jurisdiction. Incarceration was more often assessed to persons convicted of sale of marihuana or sale of other drugs than those convicted of possession of marihuana or possession of other drugs. Among persons convicted of possession of marihuana, about three-fourths were not incarcerated. Persons incarcerated for possession generally received sentences of one year or less.

MARIHUANA LAW ENFORCEMENT STUDY

<u>Qd</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 1 OF SCHEDULE</u>
01	1-2	<u>Card Number:</u> 01	
	3-7	<u>Study Number:</u> 46519	
	(8-13)	<u>Schedule Number</u>	

NOTE: The "Schedule Number" consists of three parts:

8-9

10

11-13

- 1) The number assigned to the jurisdiction - 2 digits
- 2) The letter "A" (Adult) or "J" (Juvenile).
CODE:
1- "A" (Adult)
2- "J" (Juvenile)
- 3) The number assigned to the Individual case (arrest) within the universe of arrests to be studied within the given jurisdiction. 3 digits

PAGE 2 OF SCHEDULE

1. Case Identification

14-16

A.B. Subjurisdiction for Cook County and San Mateo to be coded here. Refer to separate list.
For other jurisdictions, code 000

17

G. Current Status of Case

- 1- Closed
- 2- Pending
- 9- Unknown

Legal - INFO ALREADY DISMISSED

11. The Arrestee

18-19

B. Date of Birth

Code Age at Time of Arrest From Editor's Note
99- Unknown

20

C. Sex

- 1- Male
- 2- Female

21

D. Family

1) Marital Status at time of arrest

- 1- Single
- 2- Married
- 3- Married, common law
- 4- Divorced
- 5- Separated
- 6- Widowed
- 7- Other
- 9- Unknown

~~000100~~

Card ... Col.

Question

PAGE 3 OF SCHEDULE

22

E. Ethnic Characteristics

- 1- White
- 2- Black
- 3- American Indian
- 4- Spanish Speaking

- 9- Unknown
- 0- Other

23

F. Mobility

Arrestee's presence in this jurisdiction

- 1- Is transient
- 2- Is semi-permanent (e.g. college student, military personnel)
- 3- Is permanent
- 4- Resident of suburban jurisdiction - written in on Schedule

- 9- Unknown

24-25

H. Occupation

1) Defendant's occupation at time of offense

- 01- Student
- 02- Criminal employment
- 03- Skilled labor - include factory, construction and skilled
- 04- Semi-skilled labor - include factory, construction and other semi-skilled
- 05- Unskilled labor - include factory, construction and other unskilled
- /// 06- Farm labor
- /// 07- Personal service (no designation of skill coded)
- /// 08- Sales
- /// 09- Clerical
- /// 10- Artisan; craftsman
- /// 11- Musician; entertainer
- /// 12- Managerial; proprietary
- /// 13- Professional
- /// 14- Trained: technical (includes computer programmer)
- 15- Military
- 16- Retired
- 88- None —
- 99- Unknown

(CONSULT SUPERVISOR IF CLASSIFICATION CHECKED DOES NOT APPEAR TO FIT OCCUPATIONAL TITLE WRITTEN IN BLANK ON SCHEDULE)

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 4 OF SCHEDULE</u>
26		H.2) <u>Was defendant employed at time of offense?</u> 1- Employed 2- Unemployed 3- Employed on part-time basis 9- Unknown	
27-28		I. <u>Educational Background</u> I.1) <u>Year completed at time of offense</u> 01- Less than 6 years 06- Six years 07- Seven years 08- Eight years ↓ 20- Twenty years 21- Over twenty 99- Unknown	
(29-30)		I.2) <u>Was arrestee enrolled as a student at time of offense?</u>	
29	CODE 0 IN COL. 30	1- Yes 2- No 9- Unknown	
30		<u>Level of enrollment</u> 1- Elementary School (Grades 1-6) 2- Junior High (Grades 7-9) 3- High School (Grades 10-12) 4- Post-high School (vocational training) 5- Part-time college (undergraduate) 6- Full-time college (undergraduate) 7- Part-time graduate school 8- Full-time graduate school 9- Student, but level of enrollment unknown 0- Arrestee not a student or unknown whether student, code 2 or 9 in col. 29	

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 4 OF SCHEDULE</u>
	(31-36)	<u>J. History of Drug Use</u>	
		<u>Use of specific drugs</u> (NOTE: IF NO HISTORY OF DRUG USE GIVEN, CODE 9 IN COLS. 31-36)	
	31	<u>Marihuana user (length of time)</u> 1- One year 2- Two years 3- Three years 4- Four years 5- Five years 6- Over five years 7- Under 1 year 8- User but length of time unknown 0- Marihuana use not indicated on schedule 9- No history of drug use	
	32	<u>LSD</u> 1- Has used LSD 0- LSD use not indicated on schedule 9- No history of drug use	
	33	<u>Amphetamines</u> 1- Has used amphetamines 0- Amphetamine use not indicated on schedule 9- No history of drug use	
	34	<u>Barbituates</u> 1- Has used barbituates 0- Barbituate not indicated on schedule 9- No history of drug use	
	35	<u>Heroin</u> 1- Has used heroin 2- Is addicted to heroin 0- Heroin use not indicated on schedule 9- No history of drug use	
	36	<u>Cocaine</u> 1- Has used cocaine 0- Cocaine use not indicated on schedule 9- No history of drug use	

Card Col. Question "INSERT PAGE 5" OF SCHEDULE



37

K. Prior arrest at time of arrest

K.1) Did arrestee have prior arrest record?

CODE 0 IN
COLS. 38-52

- 1- Yes
- 2- No
- 9- Unknown

NOTE: K.2) a - f are coded if there is a
prior arrest record, coded 1 in col. 37

38

K.2)a Number of drug-related arrests
(CODE NUMBER CIRCLED ON FORM)

CODE 0 IN
COL. 50

- 6- Over 5
- 8- No drug-related arrests, 0 or "None"
written on schedule
- 9- Unknown
- 0- Inapplicable, no prior arrests, coded
2 or 9 in col. 37

39

K.2)b Number of non-drug related arrests
(CODE NUMBER CIRCLED ON FORM)

CODE 0 IN
COL. 51

- 6- Over 5
- 8- No non-drug related arrests, 0 or "None"
written on schedule
- 9- Unknown
- 0- Inapplicable, no prior arrests, coded
2 or 9 in col. 37

(40-49)

K.2)c Identification of five most recent arrest

40-41

Arrest #1
USE 2 DIGIT CODES FROM ATTACHED "CODE A -
OFFENSE FORM

NOTE: RESEARCHER'S 100 CODE= 99. RESEARCHER'S 99 CODE= 94

CODE 9 IN
COLS. 42-49

- 99- Unknown, "c" is blank but prior arrest
record indicated in K.1), coded 1 in col. 37
- 00- Inapplicable, no prior arrest record, coded
2 or 9 in col. 37

IF LESS THAN FIVE ARRESTS, CODE 0 IN UNUSED COLUMNS
ASSIGNED TO THIS VARIABLE-INCLUDING COL. 49

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>"INSERT PAGE 5" OF SCHEDULE</u>
	42-43	K.2)c <u>Arrest #2</u> USE 2 DIGIT CODES FROM ATTACHED "CODE A - OFFENSE" FORM	99- Unknown, "c" is blank but prior arrest record indicated in K.1); coded 1 in col. 37 00- Inapplicable, no prior arrest record, coded 2 or 9 in col. 37 <u>OR</u> not in these columns, only one prior arrest.
	44-45	<u>Arrest #3</u> USE 2 DIGIT CODES FROM ATTACHED "CODE A - OFFENSE" FORM	99- Unknown, "c" is blank, but prior arrest record indicated in K.1), coded in col. 37 00- Inapplicable, no prior arrest record, coded 2 or 9 in col. 37 <u>OR</u> not in these columns, no more than two prior arrests
	46-47	<u>Arrest #4</u> USE 2 DIGIT CODES FROM ATTACHED "CODE A - OFFENSE" FORM	99- Unknown, "c" is blank, but prior arrest record indicated, coded 1 in col. 37 00- Inapplicable, no prior arrest record, coded 2 or 9 in col. 37 <u>OR</u> not in these columns, no more than three prior arrests
	48-49	<u>Arrest #5</u> USE 2 DIGIT CODES FROM ATTACHED "CODE A - OFFENSE" FORM	99- Unknown, "c" is blank, but prior arrest record is indicated, coded 1 in col. 37 00- Inapplicable, no prior arrest record, coded 2 or 9 in col. 37 <u>OR</u> not in these columns, no more than four prior arrests
50		K.2)d. <u>Number of drug-related convictions</u> <u>CODE NUMBER CIRCLED ON FORM</u>	6- Over 5 8- No prior <u>drug-related</u> convictions, 0 or None written on schedule 9- Unknown 0- Inapplicable, no prior arrests, coded 2 or 9 in col. 37 <u>OR</u> no prior drug-related arrests, coded 8 or 9 in col. 38

Card Col. Question "INSERT PAGE 5" OF SCHEDULE

51 K.2)e. Number of prior non-drug-related convictions
 CODE NUMBER CIRCLED ON FORM

6- Over 5
8- No prior non-drug-related convictions
9- Unknown
0- Inapplicable, no prior arrests, coded 2 or 9
 in col. 37 OR no prior non-drug-related
 arrests, coded 8 or 9 in col. 39

52 K.2)f. Has arrestee ever been incarcerated for any
 offense

1- Yes
2- No
9- Unknown -
0- Inapplicable, no prior arrests, coded 2 or
 9 in col. 37

END OF CODES FOR
PRIOR ARREST RECORD

PAGE 6 OF SCHEDULE

III. Circumstances of Detection and Arrest

53 A. Was there a prior drug-related investigation
 leading to arrest?

CODE 0 IN
COLS. 54-56

- 1- Yes
2- No
9- Unknown

B If there was prior drug-related investigation:

54 B.1 General Scope of Investigation

- 1- Short-term
2- Intermediate
3- Long-term
9- Unknown
0- Inapplicable, no prior drug-related
 investigation, coded 2 or 9 in col. 53

55-56 B.2 Object of Investigation

- 55 1- Marihuana alone
 2- Other drugs, not including marihuana
 3- All drugs including marihuana
 9- Unknown
 0- Inapplicable, no prior investigation,
 coded 2 or 9 in col. 53 OR not in this
 column, none of first 3 objects of investigation
 apply.

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 6 OF SCHEDULE</u>
01	56	1- Other contraband 2- Other 3- 'Other contraband' and 'Other' checked 9- Unknown 0- Inapplicable, no prior investigation, coded 2 or 9 in col. 53 OR not in this column, no "other" objects of investigation apply.	

PAGE 7 OF SCHEDULE

57 B.3 Source of information leading immediately to investigation/arrest of this arrestee

- 1- Informant ("reliable source", confidential informant)
- 2- Professional informant
- 3- Arrestee informant
- 4- Other informant
- 5- Independent complaint
- 6- Internal police information
- 7- Undercover police activity
- 8- Other
- 9- Unknown

58 B.4 Was undercover agent utilized?

- 1- Yes
- 2- No
- 9- Unknown

59 B.6 Were any "buys" from this arrestee made prior to arrest?

CODE 0 IN
COLS. 60-67

- | | | |
|------------|-----|-------|
| 1- Yes | 21 | 163 |
| 2- No | 148 | 606 |
| 9- Unknown | 411 | 1,722 |

<u>Card</u>	<u>Col.</u>	<u>Question</u>														
01	(59-66)	If "buys" were made, coded 1, in col. 59 use separate 2 digit codes for each "buy"														
	60-61	<u>Drug</u> <u>First listed buy</u> USE SEPARATE DRUG CODE SHEET 00- Inapplicable, no buys made, coded 2 or 9 in col. 59														
	62-63	<u>Second listed buy</u> USE SEPARATE DRUG SHEET 00- Inapplicable, no buys made coded 2 or 9 in col. 59 <u>OR</u> not in these cols., one buy listed														
	64-65	<u>Third listed buy</u> USE SEPARATE DRUG SHEET 00- Inapplicable, no buys made, coded 2 or 9 in col. 59 <u>OR</u> not in these cols., no more than two buys listed														
	66-67	<u>Fourth listed buy</u> USE SEPARATE DRUG SHEET 00- Inapplicable, no buys made, coded 2 or 9 in col. 59 <u>OR</u> not in these columns, no more than three buys listed														
	68-72	C. <u>Date of arrest: Mo. Day Yr.</u> IF DATE UNKNOWN, CODE FIVE 9's														
	68-69	<u>Month</u> <table border="0"><tr><td>01- January</td><td>07- July</td></tr><tr><td>02- February</td><td>08- August</td></tr><tr><td>03- March</td><td>09- September</td></tr><tr><td>04- April</td><td>10- October</td></tr><tr><td>05- May</td><td>11- November</td></tr><tr><td>06- June</td><td>12- December</td></tr><tr><td colspan="2">99- Unknown</td></tr></table>	01- January	07- July	02- February	08- August	03- March	09- September	04- April	10- October	05- May	11- November	06- June	12- December	99- Unknown	
01- January	07- July															
02- February	08- August															
03- March	09- September															
04- April	10- October															
05- May	11- November															
06- June	12- December															
99- Unknown																
	70-71	<u>Day</u> <table border="0"><tr><td>01</td></tr><tr><td>↓</td></tr><tr><td>31</td></tr><tr><td>99- Unknown</td></tr></table>	01	↓	31	99- Unknown										
01																
↓																
31																
99- Unknown																

Card
01

Col.
72

Question

Year

CODE LAST DIGIT OF YEAR GIVEN

5- Unknown

6- Inapplicable

LEAVE COLUMNS 73-80 BLANK

END OF CARD 01

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 8 OF SCHEDULE</u>
1-2		<u>Card Number:</u> 02	
3-7		<u>Study Number:</u> 465-19	
8-13		<u>Schedule Number</u>	
		E. Identification of Arresting Officer(s)	
(14-18)		E.2 Jurisdictional characteristics (CODE 5 IN COLUMNS 14-18 IF JURISDICTIONAL CHARACTERISTICS UNKNOWN)	
14		1) <u>Local (Municipal or County) Police - General Jurisdiction (FIRST LOCAL OFFICER)</u> 1- Narcotics squad or vice squad (specialized personnel) 2- General patrolman 3- General detective 4- Juvenile officer 5- Unknown 9- Local police but distinction unknown 0- No Local arresting officer	
15		1a) <u>Local (Municipal or County) Police - General Jurisdiction (SECOND LOCAL OFFICER)</u> 1- Narcotics squad or vice squad (specialized personnel) 2- General patrolman 3- General detective 4- Juvenile officer 5- Unknown 9- Local police but distinction unknown 0- No Local or no second local officer	
16		2) <u>State Police - General Jurisdiction</u> 1- Highway patrol - state trooper 2- General investigative unit 3- 5- Unknown 9- State police, but distinction unknown 0- No state police arresting officer	
17		3) <u>Federal agencies (referral to state)</u> 1- BNDD 2- Customs 3- INS 5- Unknown 9- Federal agency, but distinction unknown 0- No federal arresting officer	
18		4) <u>Officers with limited jurisdiction</u> 1- Park police 2- University police 3- Private security 4- Other 5- Unknown 9- Officers with limited jurisdiction, but not specified 0- No arresting officer with limited jurisdiction	

Card Col. Question PAGE 8 OF SCHEDULE

(19-20) F. Physical Location of Arrest

19 1) Indoors

CODE 0 IN
COLS. 20-44

- 1- Single family private residence (includes both classes from form)
- 2- Multiple occupant private residence (e.g. fraternity house, communal arrangement, etc.)
- 3- Other building - Drug - related investigation
- 4- Other building - Non - drug - related investigation
- 9- Indoors - but distinction unknown
- 0- Not in this col., other than indoor arrest location

20 Other Locations of Arrest

CODE 0 IN
COLS. 21-60

- 1- Motor Vehicle
- 2- Outdoors - street
- 3- Other outdoor public area
- 4- Other - indoor public area (e.g., department store, theatre, airplane or bus terminal - no prior investigation)
- 5- Other - self surrender
- 6- Other - non-specified
- 9- Unknown arrest location
- 0- Not in this col., indoor arrest

CODE 0 IN
COLS. 21-75

(21-44) G. If arrest was in motor vehicle

PAGE 9 OF SCHEDULE

21 G.1 Was motor vehicle seized?

- 1- Yes
- 2- No
- 9- Unknown
- 0- Inapplicable, arrest was not in motor vehicle, coded 1-9 in col.19 or coded 2-9 in col. 20

(22-34) G.2 What was cause for stopping motor vehicle?

NOTE: IF "UNKNOWN" CHECKED, CODE 9 IN COLS. 22-34

22 1) Speeding

- 1- Yes - box checked on form
- 2- No - box not checked on form
- 9- "Unknown" box checked on form
- 0- Inapplicable, arrest not in motor vehicle, coded 1-9 in col. 19 or coded 2-9 in col. 20

23 2) Running red light
USE CODES FOR #1 (SPEEDING) COL. 22

Card Col. Question PAGE 9 OF SCHEDULE

24 3) Reckless driving
USE CODES FOR #1 (SPEEDING) COL.22

25 4) Suspicious circumstances
USE CODES FOR #1 (SPEEDING) COL. 22

26 5) Officer had arrest warrant
USE CODES FOR #1 (SPEEDING) COL. 22

27 6) Officer had search warrant
USE CODES FOR #1 (SPEEDING) COL. 22

NOTE: COLS. 28-34 COVER OTHER REASONS "SPECIFIED" IN BLANK ON FORM

28 7) Routine traffic stop (spot check)
1- Specified as reason for stopping vehicle
2- Not specified as reason for stopping vehicle
9- Unknown box checked on form
0- Inapplicable arrest not in motor vehicle, coded 1-9 in
col. 19 or coded 2-9 in col. 20

29 8) Suspected faulty inspection stickers
USE CODES FOR #7 (ROUTINE TRAFFIC STOP) COL.28

30 9) Investigations of stopped vehicle
USE CODES FOR #7 (ROUTINE TRAFFIC STOP) COL. 28

31 10) Illegal lane changes
USE CODES FOR #7 (ROUTING TRAFFIC STOP) COL.28

32 11) Faulty lights
USE CODES FOR #7 (ROUTINE TRAFFIC STOP) COL.28

33 12) Incorrect turn
USE CODES FOR #7 (ROUTINE TRAFFIC STOP) COL.28

34 13) Other
USE CODES FOR #7 (ROUTINE TRAFFIC STOP) COL. 28

(35-44) G.3 What was cause or causes for marihuana arrest?
NOTE: IF "UNKNOWN" CHECKED, CODE 9 IN COL. 35-44

35 1) Officer smelled marihuana

1- Yes - box checked on form
2- No - box not checked on form

9- "Unknown" box checked on form
0- Inapplicable, arrest not in motor vehicle,
coded 1-9 in col. 19 or coded 2-9 in col. 20

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 9 OF SCHEDULE</u>
0	36	2) <u>Officer saw marihuana</u> USE CODES FOR #1 (OFFICER SMELLED MARIHUANA) COL. 35	
	37	3) <u>Occupant made furtive gesture (e.g. throwing something away)</u> USE CODES FOR #1 CAUSE COL. 35	
	38	4) <u>Subject appeared "intoxicated"</u> USE CODES FOR #1 CAUSE COL. 35	
	39	5) <u>Officer had search warrant</u> USE CODES FOR #1 CAUSE COL. 35	
	40	6) <u>Officer had arrest warrant</u> USE CODES FOR #1 CAUSE COL. 35	
	41	7) <u>Officer saw drug paraphernalia</u> USE CODES FOR #1 CAUSE COL. 35	
	42	8) <u>Officer saw suspicious container (e.g. marihuana envelope)</u> USE CODES FOR #1 CAUSE COL. 35	
	43	9) <u>Subject was searched incident to arrest (and officer found marihuana)</u> USE CODES FOR #1 CAUSE COL. 35	
	44	10) <u>Other</u> USE CODES FOR #1 CAUSE COL. 35	

IF MOTOR VEHICLE ARREST, CODE 0 IN COLS. 45-75

Card

Col.

Question

02

45

H. If arrest was indoors:

H.1 Was arrest warrant utilized?

- 1- Yes, it described prior drug offense
- 2- Yes, it described prior non-drug offense
- 3- Yes, it described present offense
- 4- Yes, but what it described is unknown
- 5- No arrest warrant was utilized
- 9- Unknown if arrest warrant utilized
- 0- Inapplicable, arrest was not indoors, coded 0 in col.19

46

H.2 Was search warrant utilized?

CODE 0 IN
COLS. 47-58

- 1- Yes
- 2- No
- 9- Unknown
- 0- Inapplicable arrest not indoors coded 0 in col. 19

PAGE 10 OF SCHEDULE

(47-59)

H.2 If yes, did it describe:

NOTE: IF "UNKNOWN" CHECKED, CODE 9 IN COLS. 47-58

47

1) Marihuana

- 1- Yes, marihuana checked on form
- 2- No, marihuana not checked on form
- 9- Unknown box checked on form
- 0- Inapplicable, arrest was not indoors coded 0 in col.19 or search warrant was not utilized coded 2 or 9 in col. 46

48

2) LSD
USE CODES FOR DRUG #1 COL. 47

49

3) Cocaine
USE CODES FOR DRUG #1 COL.47

50

4) Other related contraband
USE CODES FOR DRUG #1 COL.47

51

5) Hashish
USE CODES FOR DRUG #1 COL.47

52

6) Barbiturates
USE CODES FOR DRUG #1 COL.47

53

7) Other drugs
USE CODES FOR DRUG #1, COL. 47

Card	Col.	Question
02	54	8) <u>Heroin</u> USE CODES FOR DRUG #1, COL. 47
	55	9) <u>Amphetamines</u> USE CODES FOR DRUG #1, COL. 47
	56	10) <u>Other un-related contraband</u> USE CODES FOR DRUG #1, COL. 47
	57	11) <u>"Narcotic Drugs" or other similar language</u> USE CODES FOR DRUG #1, COL. 47
	58	12) <u>"Specified but unknown" drugs</u> USE CODES FOR DRUG #1, COL. 47

59-60

H.3 What was cause of police presence?

CODE 0 IN
COLS. 61-75

- 01- Prior long-term drug investigation
- 02- Prior intermediate drug investigation
- 03- Short term investigation of drug complaint
- 04- Drug related investigations of unknown duration
- 05- Investigation of unrelated complaint - altercations
(loud fights, domestic quarrels etc.)
- 06- Investigation of unrelated complaint - loud parties
- 07- Investigation of unrelated complaint - suspected
burglary, breaking and entering
- 08- Investigation of unrelated complaint - trespass
- 09- Investigation of unrelated complaint - other
not specified
- 99- Unknown cause of police presence
- 00- Inapplicable, arrest not indoors, coded 0 in
col. 19

61

I. If arrest was outdoors or in indoor public area

I.1 Was arrest based on !

CODE 0 IN
COLS. 63-75

CODE 0 IN
62

- 1- Prior offense, drug offense
- 2- Prior offense, non-drug offense
- 3- Simultaneous offense, drug offense
- 4- Simultaneous offense, non-drug offense
- 5- Both
- 9- Unknown
- 0- Inapplicable, arrest was not outdoors, not
coded 2, 3, or 4 in col. 20

PAGE 10 OF SCHEDULE

Card

Col.

Question

62

1.2 If based on prior offense, did officer have
arrest warrant specifying prior offense?

1- Yes

2- No

9- Unknown

0- Inapplicable, arrest was not outdoors, not
coded 2, 3 or 4 in col. 20 or arrest not based
on prior offense, coded 3 or 4
in col. 61

(63-75)

1.3. If based at all on simultaneous offense, what
was (were) recorded cause(s) fo the marihuana
arrest?

NOTE: IF "UNKNOWN" CHECKED ON FORM, CODE 9's
IN COLS. 63-75

63

1) Arrestee was searched in connection with questioning
on suspected curfew violation

1- Yes

2- No, not checked on form

9- Unknown checked for cause on forms

0- Inapplicable, arrest was not outdoors, not
coded 2, 3 or 4 in col. 20 or cause not based
on simultaneous offense, coded 1 or 2 or 9 in col. 61

64

2) Arrestee was searched in connection with suspected
loitering offense
USE CODES FOR CAUSE #1 COL. 63

65

3) Arrestee was searched in connection with suspected
vagrancy charge
USE CODES FOR CAUSE #1 COL. 63

66

4) Arrestee was searched in connection with question-
ing regarding suspected theft (e.g. larceny,
breaking and entering, robbery, etc.)
USE CODES FOR CAUSE #1 COL. 63

67

5) Arrestee was searched incident to execution
of arrest warrant
USE CODES FOR CAUSE #1 COL. 63

68

6) Arrestee was stopped and searched because officer
had prior information regarding drug offense (but
had no warrant)
USE CODES FOR CAUSE #1 COL. 63

69

7) Arrestee was searched for unknown reason
USE CODES FOR CAUSE #1 COL. 63

PAGE 10 OF SCHEDULE

<u>Card</u>	<u>Col.</u>	<u>Question</u>
69	70	8) Officer saw marihuana USE CODES FOR CAUSE #1 COL. 63
	71	9) Arrestee was searched because officer smelled marihuana USE CODES FOR CAUSE # 1 COL. 63
	72	10) Arrestee was searched because officer saw drug paraphernalia USE CODES FOR CAUSE #1 COL. 63
	73	11) Arrestee was searched because officer thought his appearance and behavior was suspicious USE CODES FOR CAUSE #1 COL. 63
	74	12) Arrestee was arrested by officer who had just bought marihuana USE CODES FOR CAUSE #1 COL. 63
	75	13) Other

J. Group Arrest

PAGE 11 OF SCHEDULE

76-77

J.1. Number of people arrested simultaneously
for drug related charges
CODE NUMBER CIRCLED ON FORM, ADD LEADING
ZERO TO ONE DIGIT NUMBERS

01- One



10- Ten or more

99- Unknown

LEAVE COLS. 78-80 BLANK

END OF CARD 02

<u>Card</u>	<u>Col.</u>	<u>Question</u>
	1-2	<u>Card Number:</u> 03
	3-7	<u>Study Number:</u> 46519
	8-13	<u>Schedule Number</u>

K. Drugs Seized

14 K.1. Was (alleged) marihuana seized at time of arrest?

CODE 0 IN
COLS. 15-20

- 1- Yes
- 2- No
- 9- Unknown

(15-20)

K.2. Amount of marihuana seized

NOTE: IF THERE WERE MULTIPLE COUNTS OF POSSESSION, THE NUMBER OF COUNTS IS WRITTEN IMMEDIATELY AFTER THE HEADING, AND IN THE CHART ITSELF A NUMBER PLACED IN THE APPROPRIATE DESCRIPTIVE BOX, (e.g. COUNT 1); IF THE SAME BOX APPLIED, (e.g. IF THREE SEIZURES WERE MADE OF AMOUNTS BETWEEN 1 AND 5 GRAMS) THE NUMBERS (1, 2 AND 3) SHOULD APPEAR IN THE SECOND BOX.

15

1) Weight seized less than 1 gram, 1/25 oz.

- 1- count one;
- 2- count two;
- 3- count three
- 4- counts 1 and 2
- 5- counts 1 and 3
- 6- counts 1, 2 and 3
- 7- counts 2 and 3
- 9- Amount unknown
- 0- Inapplicable, no marihuana seized at time of arrest or not in this col., different weight applies

16

2) Weight seized between 1 and 5 grams; 1/25 and 1/5 ounces
USE CODES FOR WEIGHT #1 (LESS THAN 1 GRAM) COL. 15

17

3) Weight seized between 5-30 grams; 1/5 and 1 ounces
USE CODES FOR WEIGHT #1, COL. 15

18

4) Weight seized between 30-120 grams; 1-4 ounces
USE CODES FOR WEIGHT #1, COL. 15

19

5) Weight seized between 120-240 grams; 4-8 ounces
USE CODES FOR WEIGHT #1, COL. 15

20

6) Weight seized over 240 grams; 8 ounces
USE CODES FOR WEIGHT #1, COL. 15

Card Col. Question

PAGE 12 OF SCHEDULE

(21-26)

K.3. Amount of marihuana allegedly sold

NOTE: THIS CHART WILL BE TREATED IN THE SAME MANNER AS THE
PRECEDING ONE, "AMOUNT OF MARIHUANA SEIZED".

IF NO MARIHUANA WAS ALLEGEDLY SOLD, NOTHING NOTED
ON FORM. CODE 0's IN COLS. 21-26

21

1) Weight sold less than 1 gram; 1/25 ounce

- 1- count one
- 2- count two
- 3- count three
- 4- count 1 and 2
- 5- count 1 and 3
- 6- count 1, 2 and 3
- 7- count 2 and 3
- 9- Amount unknown

0- Inapplicable, no marihuana allegedly sold or not in this
column, another weight applies

22

2) Weight sold between 1 and 5 grams; 1/25 and 1/5 ounces
USE CODES FOR WEIGHT #1 (LESS THAN 1 GRAM) COL. 21.

23

3) Weight sold between 5-30 grams; 1/5 ounce
(USE CODES FOR #1 weight, col. 21)

24

4) Weight sold between 30-120 grams; 1-4 ounces
(USE CODES FOR WEIGHT #1, COL. 21)

25

5) Weight sold between 120-240 grams; 4-8 ounces
(USE CODES FOR WEIGHT #1, COL. 21)

26

6) Weight sold over 240 grams; 8 ounces
(USE CODES FOR WEIGHT #1, COL. 21)

27

K.5. Were other drugs seized?

PAGE 13 OF SCHEDULE

- 1- Yes
- 2- No
- 9- Unknown

CODE 0 IN
COLS. 28-33

(28-33)

If yes, which drugs?

28-29

1) Drug #1
USE SEPARATE DRUG CODE SHEET

00- Inapplicable, no other drugs seized, coded 2 or 9
in col. 27

Card Col. Question PAGE 13 OF SCHEDULE

30-31

2) Drug #2
USE SEPARATE DRUG CODE SHEET

00- Inapplicable, no other drugs seized, coded 2 or 9 in
col. 27 or not in these cols., only one other drug seized

32-33

3) Drug #3
USE SEPARATE DRUG CODE SHEET

00- Inapplicable, no other drugs seized, coded 2 or 9 in col. 27
or not in these cols., less than three drugs seized

34

M. Immediate Post Arrest Disposition

M.1 Adult

- 1- Arrestee was taken to "station house" or similar place
- 2- Arrestee was released and arrest not contemplated
- 3- Arrestee was released and arrest contemplated
- 4- Arrestee taken to station house or similar place and arrest not contemplated
- 0- Inapplicable, arrestee not adult

35

M.2 Juvenile

- 1- Petition contemplated and arrestee taken into custody
- 2- Petition contemplated and arrestee released into custody of parents
- 3- Petition not contemplated and arrestee released with warning
- 4- Petition not contemplated and arrestee released into custody of parents
- 5- Petition not contemplated and arrestee released into custody of persons other than parents
- 6- Released with warning and petition contemplated
- 7- Petition not contemplated and arrestee taken into custody

CODE 4 IN
COL. 36

- 9- Files sealed, no further information
- 0- Inapplicable, arrestee not a juvenile

36

*

SUMMARY: Disposition of Case at End of Arrest Phase

*

END CARD
SKIP TO
CARD 08

- 1- Case continues in Adult "Charge Phase" (CONTINUE CODING CARD 03)
- 2- Case continues in "Juvenile System" (END CARD AND SKIP TO CARD 08)
- 3- Case terminated before "Charge Phase" according to notation of researcher (IF RESEARCHER HAS NOTED OFFENSES THAT WOULD HAVE BEEN CHARGED IF CHARGES HAD BEEN FILED, CODE 0 IN COLS. 37-66 4 IN 67 AND OFFENSES IN COLS. 68-73. OTHERWISE TERMINATE)
- 4- Terminated before "Post Arrest Phase" - Files sealed

TERMINATE

- 8- Drug charges dropped

IV Adult: Post-Arrest Pre-Trial Disposition

PAGE 14 OF SCHEDULE

Card

Col.

Question

B. The "Charge" Phase

37 B.1 Did arresting officer(s) file charges?

CODE 0 IN COLS.38-44 1- Yes

CODE 0 IN COLS.44-66 2- No, arrestee released

CODE 0 IN COLS.38-43 3- No, arrestee diverted

(38-43) NO CHARGES FILED; IF NO, arrestee was released,
specify reason
USE SEPARATE 'CODE C' (REASON FOR DISMISSAL, DIVERSION
OR REDUCTION OF OFFENSE) SHEET

38-39 a) First reason listed

00- Inapplicable, officer filed charges, coded 1 in
col.37 or not in these columns arrestee was not
released

40-41 b) Second reason listed

42-43 c) Third reason listed

44 IF NO, arrestee was diverted
USE SEPARATE 'CODE D' (DIVERSIONS) SHEET

0- Inapplicable, officer filed charges, coded 1 in
col.37 or not in this column, arrestee was not
diverted

(45-58) IF YES, Which charges?
USE SEPARATE 'CODE B' (OFFENSE) SHEET

NOTE: COLS. ARE ALLOWED FOR CODING 7 TWO DIGIT OFFENSES

45-46 1) First offense listed PAGE 14A OF SCHEDULE
USE SEPARATE 'CODE B' (OFFENSE) SHEET

00- Inapplicable, charges not filed, coded 2 in col.37

47-48 2) Second offense listed
USE SEPARATE 'CODE B' (OFFENSE) SHEET

00- Inapplicable, charges not filed, coded 2 in col.37,
less than 2 offenses listed

49-50 3) Third offense listed
USE SEPARATE 'CODE B' (OFFENSE) SHEET

00- Inapplicable, charges not filed, coded 2 in col.37,
less than 3 offenses listed

<u>Card</u>	<u>Col.</u>	<u>Question</u>
51-52		4) <u>Fourth offense listed</u> USE SEPARATE 'CODE B' (OFFENSE) SHEET 00- Inapplicable, charges not filed, coded 2 in col.37, less than four offenses listed
53-54		5) <u>Fifth offense listed</u> USE SEPARATE 'CODE B' (OFFENSE) SHEET 00- Inapplicable, charges not filed, coded 2 in col.37, less than five offenses listed
55-56		6) <u>Sixth offense listed</u> USE SEPARATE 'CODE B' (OFFENSE) SHEET 00- Inapplicable, charges not filed, coded 2 in col.37, less than six offenses listed
57-58		7) <u>Seventh offense listed</u> USE SEPARATE 'CODE B' (OFFENSE) SHEET 00- Inapplicable, charges not filed, coded 2 in col.37, less than seven offenses listed
59	B.2	<u>Immediate Post-Charge Dispositions</u>
		PAGE 15 OF SCHEDULE
		CODE 0 IN COLS.60-66
		CODE 0 IN COL.66
		CODE 0 IN COLS.60-65
		CODE 0 IN COLS.60-66
		1- Charges referred to prosecuting attorney for filing 2- Arrestee released 3- Arrestee diverted 9- Unknown, file not available 0- Inapplicable, charges not filed, coded 2 in col.37, or 3 in col.36
(60-65)		2) <u>Arrestee released after charges filed, Specify reasons (Code C)</u> USE SEPARATE 'CODE C' (REASONS) SHEET
60-61		a) <u>First Reason Listed</u> USE SEPARATE 'CODE C' (REASONS) SHEET 00- Inapplicable, charges not filed, coded 2 in col.37 <u>or</u> not in this column, not released
62-63		b) <u>Second Reason Listed</u> USE SEPARATE 'CODE C' (REASON) SHEET 00- Inapplicable, charges not filed, coded 2 in col.37 <u>or</u> not in these columns, not released <u>or</u> only one reason listed
64-65		c) <u>Third Reason Listed</u> USE SEPARATE 'CODE C' (REASON) SHEET 00- Inapplicable, charges not filed, coded 2 in col.37 <u>or</u> not in these columns, not released <u>or</u> less than 3 reasons listed

Card Col.

Question

03

66

- 3) Arrestee diverted after charges filed,
Explain (Code D)
USE SEPARATE 'CODE D' (DIVERSIONS) SHEETS

0- Inapplicable, charges not filed, coded 2 in col. 37 or
not in this column, not diverted

67

SUMMARY:

CODE 0 IN COLS.
68-73

1. Case continued in Adult "Complaint" Phase

END CARD SKIP TO
CARD 08

2. Case diverted to Juvenile System

SEE SUPERVISOR
TERMINATE

3. Other diversion

4. Case terminated - before charges filed - defendant released

5. Case terminated before "Complaint" Phase - No information
as to outcome - Files not available

CODE 0 IN COLS.
68-73

6. Case terminated after charges filed - coded 2 in col. 59

8. Case terminated - drug charges dropped

Offenses that would have been charged if charges had been filed
CODED FROM RESEARCHER'S NOTATION, FOR CODE 4 - COL. 67 ONLY

68-69

- 1) First offense listed
USE SEPARATE 'CODE B' OFFENSE SHEET

00- Inapplicable, no notation of possible charges

70-71

- 2) Second offense listed
USE SEPARATE 'CODE B' OFFENSE SHEET

00- Inapplicable, no notation of possible charges

72-73

- 3) Third offense listed
USE SEPARATE 'CODE B' OFFENSE SHEET

00- Inapplicable, no notation of possible charges

LEAVE COLS. 74-80 BLANK

*

TERMINATE FOR CASES CODED 3 --8 IN COL. 67

*

END CARD 03

37

0

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 15 OF SCHEDULE</u>
04	1-2	<u>Card Number:</u> 04	
	3-7	<u>Study Number:</u> 46519	
	8-13	<u>Schedule Number</u>	

c. The "Complaint" Phase: Initial Prosecutorial Disposition

c.2 Initial disposition by prosecution

NOTE: This chart will be coded across in 4 sets of 14 columns and one set of 10 columns. The charge, its disposition and reasons. "Charge" will be coded in the first 2 columns; 'Dismissal' and 'Filed' will be combined in the third column; 'Diverted' and the 'Code D' in the fourth column, and 'Reasons' in the fifth through 14th columns (5 two digit codes). The last(or fifth) charge has 6 columns allotted for coding only 3 'Reasons'.

Before coding: if there are more than 5 charges listed, or if there are more than 5 reasons listed for the last (fifth) charge SEE SUPERVISOR

First Charge

14-15

- 1) Arresting officer's charges (offense symbols)
USE SEPARATE 'CODE B' (OFFENSE) SHEET. 2 DIGITS

16

- 2) Dismissed or Filed

1- Dismissed (i.e. no complaint filed) X entered in "Dismissal col. "

CODE 0 IN
COLS. 17-27

- 2- Filed-Felony ("F" entered in "Filed" col.)
3- Filed- Misdemeanor ("M" entered in "Filed" col.)
9- Disposition unknown (where don't know disposition of particular charge)
0- Not in this column - neither dismissal nor filed

17

- 3) Diverted (X and code number entered in 'Diverted' column)
USE SEPARATE 'CODE D' (DIVERSIONS) SHEET

0- Not in this column - charge not diverted

Reasons

18-19

- 4) First reason listed
USE SEPARATE 'CODE C - REASON' SHEET

00- Inapplicable, charges filed, coded 2 or 3 in col. 16
99- Unknown

END OF CODE FOR CHARGE #1
IF ONLY ONE CHARGE LISTED CODE 0 IN COLS. 28-79

30 2) Dismissed or Filed

31... 3) Diverted
USE SEPARATE 'CODE D' SHEET

39

0 [REDACTED]

Card Col. Question PAGE 15 OF SCHEDULE

- 04 32-33 Reasons
4) First reason listed
USE SEPARATE 'CODE C - REASON' SHEET
00- Inapplicable, charge filed, coded 2 or 3 in col.30 or coded
99- Unknown 9 in col.30
- 34-35 5) Second reason listed
USE SEPARATE 'CODE C - REASON' SHEET
00- Inapplicable, charges filed, coded 2 or 3 in col.30
or not in these cols., less than 2 reasons listed or coded
9 in col.30
- 36-37 6) Third reason listed
USE SEPARATE 'CODE C - REASON' SHEET
00- Inapplicable, charges filed, coded 2 or 3 in col.30
or not in these cols., less than 3 reasons listed or coded
9 in col.30
- 38-39 7) Fourth reason listed
USE SEPARATE 'CODE C - REASON' SHEET
00- Inapplicable, charges filed, coded 2 or 3 in col.30
or not in these cols., less than 4 reasons listed or coded
9 in col.30
- 40-41 8) Fifth reason listed
USE SEPARATE 'CODE C - REASON' SHEET
00- Inapplicable, coded 2 or 3 or 9 in col.30 or less than 5
reasons listed

END OF CODES FOR CHARGE # 2
IF ONLY 2 CHARGES LISTED, CODE 0 IN COLS. 42-79

Third Charge

- 42-43 1) Arresting officer's charge
USE SEPARATE 'CODE B' SHEET
00- Inapplicable, less than 3 charges listed
- 44 2) Dismissed or Filed
1- Dismissed
2- Filed - 'F'
3- Filed - 'M'
9- Disposition Unknown
0- Not in this column, neither dismissed nor filed or
inapplicable, less than 3 charges listed

CODE 0 IN
COLS. 45-55

Card

Col.

Question

04

45

3) Diverted
USE SEPARATE 'CODE D' SHEET

0- Not in this column, charge not diverted or
inapplicable, less than 3 charges listed

Reasons

46-47

4) First reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, charges filed, coded 2 or 3 'in
col. 44 or coded 9 in col.44

99- Unknown

48-49

5) Second reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, charges filed, coded 2 or 3 in
col. 44 or not in these col., less than 2 reasons
listed or coded 9 in col.44

50-51

6) Third reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, charges filed, coded 2 or 3 in
col. 44 or not in these cols., less than 3 reasons
listed or coded 9 in col.44

52-53

7) Fourth reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, charges filed, coded 2 or 3 in
col. 44 or not in these cols., less than 4 reasons
listed or coded 9 in col. 44

54-55

8) Fifth reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, charges filed, coded 2 or 3 in
col. 44 or not in these cols., less than 5 reasons
listed or coded 9 in col. 44

END OF CODES FOR CHARGE #3
IF ONLY 3 CHARGES LISTED CODE 0 IN COLS.56-79

Fourth Charge

56-57

1) Arresting Officer's charge
USE SEPARATE 'CODE B' SHEET

00- Inapplicable, less than 4 charges listed

58

2) Dismissed or Filed

1- Dismissed

2- Filed - 'F'

3- Filed - 'M'

9- Disposition unknown

0- Not in this column; neither dismissed nor filed
or inapplicable, less than 4 charges listed

CODE 0 IN
COLS. 59-69

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 15 OF SCHEDULE</u>
04	59	3) <u>Diverted</u> USE SEPARATE 'CODE D' SHEET 00- Not in this column, charge not diverted <u>or</u> inapplicable, less than 4 charges listed	
		<u>Reasons</u>	
60-61		4) <u>First reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, charges filed, coded 2 or 3 in col. 58 or code 99- Unknown 9 in col.58	
62-63		5) <u>Second reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, charges filed, coded 2 or 3 in col. 58 <u>or</u> not in these cols., less than 2 reasons listed or coded 9 in col.58	
64-65		6) <u>Third reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, charges filed, coded 2 or 3 in col. 58 <u>or</u> not in these cols., less than 3 reasons listed or coded 9 in col.58	
66-67		7) <u>Fourth reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, charges filed, coded 2 or 3 in col. 58 <u>or</u> not in these cols., less than 4 reasons listed or coded 9 in col.58	
68-69		8) <u>Fifth reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, charges filed, coded 2 or 3 in col. 58 <u>or</u> not in these cols., less than 5 reasons listed or coded 9 in col.58	
		<div>END OF CODES FOR CHARGE #4 IF ONLY 4 CHARGES LISTED CODE 0 IN COLS.70-79</div>	
		<u>Fifth Charge</u>	
70-71		1) <u>Arresting Officer's charge</u> USE SEPARATE 'CODE B' SHEET 00- Inapplicable, less than 5 charges listed	

Card Col. Question PAGE 15 OF SCHEDULE

04 72 2) Dismissed or Filed

CODE 0 IN
COLS. 73-79

- 1- Dismissed
- 2- Filed - 'F'
- 3- Filed - 'M'
- 9- Disposition unknown
- 0- Not in this column, neither dismissed nor filed or
inapplicable, less than 5 charges listed

73 3) Diverted
USE SEPARATE 'CODE D - REASON' SHEET

- 0- Not in this column, charge not diverted or
inapplicable, less than 5 charges listed

Reasons for Dismissal or Diversion

74-75 4) First reason listed
USE SEPARATE 'CODE C - REASON' SHEET

- 00- Inapplicable, charges filed, coded 2 or 3 in col. 72 or coded
99- Unknown 9 in col.72

76-77 5) Second reason listed
USE SEPARATE 'CODE C - REASON' SHEET

- 00- Inapplicable, charges filed, coded 2 or 3 in col. 72
or not in these cols., less than 2 reasons listed or coded 9
in col.72

78-79 6) Third reason listed
USE SEPARATE 'CODE C - REASON' SHEET

- 00- Inapplicable, charges filed, coded 2 or 3 in col. 72
or not in these cols., less than 3 reasons listed or coded 9
in col.72

END OF CODES FOR CHARGE #5 AND FOR CHART

80 C. 3 Summary

PAGE 16 OF SCHEDULE

*

TERMINATE

- 1- No complaints filed

*

CONTINUE CODING
CARD 5

- 2- Complaints filed
- 3- Complaints filed - no record of subsequent judicial
proceedings at time schedule completed

TERMINATE

- 4- Case Diverted to juvenile system

SKIP TO
CARD 08

- 8- Drug charges dropped

TERMINATE

END OF CARD 04

Card Col. Question PAGE 16 OF SCHEDULE

1-2 Card Number: 05
3-7 Study Number: 46519
8-13 Schedule Number:

CODES FOR BAIL PROCEEDINGS -

BOTH QUESTIONS C4(PAGE 16) AND D6 (PAGES D18 & 19) REFER TO BAIL PROCEEDINGS. ONLY 3 ITEMS OF ESSENTIAL INFORMATION ARE NEEDED AND THESE ARE COVERED IN EACH OF THE QUESTIONS ALTHOUGH WORDING IS DIFFERENT. CODE FROM EDITOR'S INSERTION ON PAGE 16.

14

Status of case after last bail proceedings

- 1- Defendant released on personal recognizance
- 2- Defendant released in third party custody
- 3- Defendant released on bail
- 4- Bail set but defendant could not raise bail
- 5- Bail set but no information about whether defendant released
- 6- Bail not set - defendant held in custody
- 7- Released on writ of habeas corpus
- 9- No information about bail proceedings

CODE 0 IN COL. 15

15

Amount of bail set

- 1- \$1 to \$250
- 2- \$251 to \$500
- 3- \$501 to \$1000
- 4- \$1001 to \$1500
- 5- \$1501 to \$2000
- 6- Over \$2000
- 9- Bail set but amount unknown
- 0- No bail set or no information about bail proceedings, coded 1, 2, 6 or 9 in Col. 14

000100

Card Col. Question

D. Initial Formal Judicial Phase

NOTE: ONLY THE 'DATE' AND 'JUDGE' FOR THE MOST RECENT
PROCEEDING WILL BE CODED

(16-23)

D.1 Proceedings

1) Date

CODE THE MONTH, DAY AND YEAR

16-17

A) Month

- 01 - January
- 02 - February
- 03 - March
- 04 - April
- 05 - May
- 06 - June
- 07 - July
- 08 - August
- 09 - September
- 10 - October
- 11 - November
- 12 - December

- 99 - Unknown
- 00 - Inapplicable

18-19

B) Day

01 - 1



31 - 31

- 99- Unknown
- 00- Inapplicable

20

C) Year

CODE LAST DIGIT OF YEAR GIVEN

- 5- Unknown
- 6- Inapplicable

<u>Card</u>	<u>Col.</u>	<u>Question</u>
21-22		2) Judge USE SEPARATE 'JUDGES' CODE SHEET 99- Unknown 00- Inapplicable

PAGE 16 OF SCHEDULE

(23-24) D.2 Attorney at This Judicial Phase

PAGE 17 OF SCHEDULE

23	(b) <u>Counsel was</u> 1- Retained by defendant 2- Appointed by court 9- Unknown 0- Inapplicable
----	--

24	<u>Counsel was</u> 1- Private practicing attorney 2- Member of public defender group 3- Member of legal assistance group 4- Other 9- Unknown 0- Inapplicable
----	--

Card

Col.

Question

PAGE 17 OF SCHEDULE

05

(25- 79)

D.4 Dispositions at Initial Judicial Phase

NOTE: This chart will be coded across in 5 sets of 11 columns. The first 2 columns code the 'charges Filed'; the third column combines codes for 'F' and 'M' and 'Defendants Initial Plea'; the fourth column combines codes for 'Dismissed' and on whose motion and on whose motion for 'Diverted'; the fifth column is the 'Diversion Code'; and the sixth through eleventh columns code 'Reasons' (3 two digit codes). These are columns allotted for coding 5 charges and their dispositions.

BEFORE CODING: IF MORE THAN 3 'REASONS' LISTED FOR ANY ONE CHARGE OR MORE THAN FIVE CHARGES GIVEN -
SEE SUPERVISOR

Charge One

25-26

- 1) Counts (Charges Filed)
USE SEPARATE 'CODE B - OFFENSE' SHEET

27

- 2) 'F' or 'M' AND 'Defendants Initial Plea'

- 1- 'F' and 'G' (guilty)
2- 'F' and 'NG' (not guilty)
3- 'M' and 'G' (guilty)
4- 'M' and 'NG' (not guilty)

CODE 0 IN
COLS. 28-35

- 9- Disposition unknown

IF NOT DISMISSED

OR DIVERTED, CODE 0 IN COLS. 28-35

28

- 3) Dismissed and on whose motion AND Diverted on whose motion
1- Dismissed - P (on prosecution's motion)
2- Dismissed - D (on defense motion)
3- Dismissed - J (by judge sua sponte)
4- Diverted - P
5- Diverted - D
6- Diverted - J

0- Not in this column, not dismissed or diverted

29

- 4) Diverted

USE SEPARATE 'CODE D- DIVERSION' SHEET

0- Not in this column, not diverted

30-31

- 5) First Reason listed
USE SEPARATE 'CODE C - REASON' SHEET

00-Inapplicable, neither dismissed nor diverted

99- Unknown

Card

05

Col.

32-33

Question

PAGE 17 OF SCHEDULE

6) Second Reason listed
USE SEPARATE 'CODE C - REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 2 reasons listed

34-35

7) Third Reason listed
USE SEPARATE 'CODE C - REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 3 reasons listed

END OF CODES FOR CHARGE #1. IF ONLY ONE CHARGE
LISTED CODE 0 IN COLUMNS 36-79

Charge Two

36-37

1) Counts (charges filed)
USE SEPARATE 'CODE B - OFFENSE' SHEET

00- Inapplicable, less than two counts

38

2) 'F' or 'M' AND Defendants Initial Plea

- 1- 'F' and 'G'
- 2- 'F' and 'NG'
- 3- 'M' and 'G'
- 4- 'M' and 'NG'

CODE 0 IN
COLS. 39-46

- 9- Disposition unknown
- 0- Inapplicable, less than two counts listed

IF NOT DISMISSED, OR DIVERTED CODE 0 IN COLS. 39-46

39

3) Dismissed and on whose motion AND Diverted on
whose motion

- 1- Dismissed - P
- 2- Dismissed - D
- 3- Dismissed - J
- 4- Diverted - P
- 5- Diverted - D
- 6- Diverted - J
- 0- Not in this column, not dismissed or diverted

40

4) Diverted
USE SEPARATE 'CODE D - DIVERSION' SHEET

0- Not in this column, not diverted, or less than
two counts listed

Card Col. Question PAGE 17 OF SCHEDULE

- 05 41-42 5) First Reason listed
USE SEPARATE 'CODE C - REASON' SHEET
99- Unknown
00- Inapplicable, neither dismissed nor diverted
or less than two counts listed
- 43-44 6) Second Reason listed
USE SEPARATE 'CODE C- REASON' SHEET
00- Inapplicable, neither dismissed nor diverted or
not in these columns, less than 2 reasons listed
or less than two counts listed
- 45-46 7) Third Reason listed
USE SEPARATE 'CODE C - REASON' SHEET
00- Inapplicable, neither dismissed nor diverted or
not in these columns, less than 3 reasons listed
or less than two counts listed

END OF CODES FOR CHARGE #2. IF ONLY TWO CHARGES
LISTED, CODE 0 IN COLUMNS 47-79

Charge Three

- 47-48 1) Counts (charges filed)
USE SEPARATE 'CODE B - OFFENSE' SHEET
00- Inapplicable, less than three counts listed
- 49 2) 'F' or 'M' AND Defendants Initial Plea
1- 'F' and 'G'
2- 'F' and 'NG'
3- 'M' and 'G'
4- 'M' and 'NG'
9- Disposition unknown
0- Inapplicable, less than three counts listed
IF NOT DISMISSED OR DIVERTED, CODE 0 IN COLS. 50-57
- 50 3) Dismissed and on whose motion AND Diverted on
whose motion
1- Dismissed - P
2- Dismissed - D
3- Dismissed - J
4- Diverted - P
5- Diverted - D
6- Diverted - J
0- Not in this column, not dismissed or diverted
or less than three counts listed

CODE 0 IN
COLS. 50-57

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 17 OF SCHEDULE</u>
05	51	4) <u>Diverted</u> USE SEPARATE 'CODE D - DIVERSION' SHEET 0- Not in this column, not diverted or less than three counts listed	
	52-53	5) <u>First Reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, neither dismissed nor diverted or less than three counts listed 99- Unknown	
	54-55	6) <u>Second Reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, neither dismissed nor diverted or not in these columns, less than 2 reasons listed or less than three counts listed	
	56-57	7) <u>Third Reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET 00- Inapplicable, neither dismissed nor diverted or not in these columns, less than 3 reasons listed or less than three counts listed	

END OF CODES FOR CHARGE #3. IF ONLY THREE CHARGES LISTED, CODE 0 IN COLUMNS 58-79

Charge Four

58-59	1) <u>Counts (charges filed)</u> USE SEPARATE 'CODE B - OFFENSE' SHEET 00- Inapplicable, less than four counts
60	2) <u>'F' or 'M' And Defendants Initial Plea</u> 1- 'F' and 'G' 2- 'F' and 'NG' 3- 'M' and 'G' 4- 'M' and 'NG' 9- Disposition unknown 0- Inapplicable, less than four counts listed

CODE 0 IN
COLS. 61-68

Card	Col.	Question
05	61	<p>3) <u>IF NOT DISMISSED OR DIVERTED, CODE 0 IN COLS. 61-68</u> <u>Dismissed and on whose motion AND Diverted on</u> <u>whose motion</u></p> <p>1- Dismissed - P 2- Dismissed - D 3- Dismissed - J 4- Diverted - P 5- Diverted - D 6- Diverted - J</p> <p>0- Not in this column, not dismissed or diverted or less than four counts listed</p>
	62	<p>4) <u>Diverted</u> USE SEPARATE 'CODE D - DIVERSION' SHEET</p> <p>0- Not in this column, not diverted, or less than four counts listed</p>
	63-64	<p>5) <u>First Reason listed</u> USE SEPARATE 'CODE C- REASON' SHEET</p> <p>00- Inapplicable, neither dismissed nor diverted or less than four counts listed 99- Unknown</p>
	65-66	<p>6) <u>Second Reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET</p> <p>00- Inapplicable, neither dismissed nor diverted or not in these columns, less than 2 reasons listed or less than four counts listed</p>
	67-68	<p>7) <u>Third Reason listed</u> USE SEPARATE 'CODE C - REASON' SHEET</p> <p>00- Inapplicable, neither dismissed nor diverted or not in these columns, less than 3 reasons listed or less than four counts listed</p>

END OF CODES FOR CHARGE #4. IF ONLY FOUR CHARGES
LISTED, CODE 0 IN COLUMNS 69-79

Charge Five

69-70	<p>1) <u>Counts (charges filed)</u> USE SEPARATE 'CODE B' SHEET</p> <p>00- Inapplicable, less than five counts listed</p>
-------	---

Card Col. Question PAGE 17 OF SCHEDULE

71

2) 'F' or 'M' AND Defendants Initial Plea

- 1- 'F' and 'G'
- 2- 'F' and 'NG'
- 3- 'M' and 'G'
- 4- 'M' and 'NG'

CODE 0 IN
COLS. 72-79

- 9- Disposition unknown
- 0- Inapplicable, less than five counts

IF NOT DISMISSED OR DIVERTED, CODE 0 IN COLS. 72-79.

72

3) Dismissed and on whose motion AND Diverted on
whose motion

- 1- Dismissed - P
- 2- Dismissed - D
- 3- Dismissed - J
- 4- Diverted - P
- 5- Diverted - D
- 6- Diverted - J

0- Not in this column, not dismissed or diverted
or less than five counts listed

73

4) Diverted
USE SEPARATE 'CODE D - DIVERSION' SHEET

0- Not in this column, not diverted, or less than
five counts listed

74-75

5) First Reason listed
USE SEPARATE 'CODE C - REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or less than five counts listed

99- Unknown

76-77

6) Second Reason listed
USE SEPARATE 'CODE C - REASON' SHEET

00- Inapplicable, neither dismissed nor diverted or not
in these columns, less than 2 reasons listed or
less than five counts listed

78-79

7) Third Reason listed
USE SEPARATE 'CODE C - REASON' SHEET

00- Inapplicable, neither dismissed nor diverted or
not in these columns, less than 3 reasons listed
or less than five counts listed

Card Col. Question PAGE 18 OF SCHEDULE

80

D.5 Summary

(a) Dispositions final and (b) Dispositions not final

- * TERMINATE 1- All counts dismissed or diverted *
- SKIP TO CARD 08 2- Case was diverted to juvenile system
- SKIP TO CARD 10 3- No charges dismissed or diverted, defendant pleaded 'G' to all counts-Sentencing followed N-80
- 4- Some charge(s) dismissed; defendant pleaded guilty to remaining charge(s) Sentencing followed
- TERMINATE 5- Defendant pleaded 'NG' to one or more charges which were not dismissed or diverted-case still pending and no subsequent pre trial or trial proceedings have been held - (USE THIS CODE IF INDICATED BY EDITOR'S NOTATION)
- SKIP TO CARD 09 6- Defendant pleaded 'NG' to one or more charges which were not dismissed or diverted-no subsequent pre-trial proceedings-Trial next stage - (USE THIS CODE IF INDICATED BY EDITOR'S NOTATION)
- CONTINUE CODING CARD 06 7- Defendant pleaded 'NG' to one or more charges which were not dismissed or diverted-further pre-trial proceedings
- TERMINATE 8- Drug charges dropped
- 9- Unknown, disposition files not available

END OF CARD 05

Card	Col.	Question
06	1-2	Card Number: 06
	3-7	Study Number: 46519
	8-13	Schedule Number

E. Subsequent Pre-trial Proceedings

NOTE: ONLY THE 'DATE' AND 'JUDGE' FOR THE MOST
RECENT 'PROCEEDING' WILL BE CODED

(14-19)

E.1. Date

14-15

Month

01- January	07- July
02- February	08- August
03- March	09- September
04- April	10- October
05- May	11- November
06- June	12- December

99- Unknown
00- Inapplicable

16-17

2) Day

01- 1
↓
31- 31

99- Unknown
00- Inapplicable

18

3) Year

CODE LAST DIGIT OF YEAR GIVEN

5 - Unknown
6 - Inapplicable,

19-20

E.1. Judge

USE SEPARATE 'JUDGES' CODE SHEET

99- Unknown
00- Inapplicable,

0

Card Col. Question PAGE 20 OF SCHEDULE

0 21

D. Attorney at Subsequent Pre-Trial Proceedings:

CODE 0 IN
COL. 22 &
23

- 1- Same as initial stage
- 2- New Attorney
- 9- Unknown
- 0- Inapplicable

22

2. New counsel was:

- 1- Retained by defendant
- 2- Appointed by court
- 9- Unknown
- 0- Inapplicable, same as initial stage, coded 1 or 9 in col. 21

23

New counsel was:

- 1- Private practicing attorney
- 2- Member of public defenders group
- 3- Member of legal assistance group
- 4- Other
- 9- Unknown
- 0- Inapplicable

PAGE 21 OF SCHEDULE

E.4 Pre-Trial Motions

This chart will be coded in four parts:

- A. Motions to suppress evidence
- B. Motion to quash indictment
- C. Motion to challenge sufficiency of prosecutions
prima-facie case
- D. Other Motion

Part A will be coded across in 4 sets of 6 columns.
there may be 4 possible motions of this type. The first
column of each set will code whether or not such a motion
was made and if that motion was granted or not; if the
motion was granted, the second thru sixth columns will code
on what grounds it was granted from the last column of chart
(5 possible grounds).

IF NO PRE TRIAL MOTIONS AT ALL MADE CODE 9
IN COLS. 24-50

<u>Card</u>	<u>Col.</u>	<u>Question</u>	<u>PAGE 21 OF SCHEDULE</u>
06	24	<p>A.1) <u>Motion to Suppress Evidence for First Charge</u></p> <p>1- Motion made and Granted (G) 2- Motion made and Denied (D) 3- Motion made and Disposition Unknown (U) 4- No Motion to suppress evidence for this charge 9- No Pre-Trial Motions made</p> <p>(25-29) <u>Grounds for Granting Motion for First Charge</u></p>	
	25	<p>H. <u>Entrapment</u></p> <p>1- Listed as grounds for granting motion 2- Not listed as grounds for granting motion 4- <u>No</u> motion to suppress made 9- No Pre-Trial motions made 0- Inapplicable, motion denied or disposition unknown, coded 2 or 3 in col. 24</p>	
	26	<p>I.&J. <u>Defective Search or Arrest Warrant</u></p> <p>1- Listed as grounds for granting motion 2- Not listed as grounds for granting motion 4- No motion to suppress evidence made 9- No Pre-Trial motions made 0- Inapplicable, coded 2 or 3 in col. 24</p>	
	27	<p>K. <u>Lack of Probable Cause to Arrest</u></p> <p>1- Listed as grounds for granting motion 2- Not listed as grounds for granting motion 4- No motion to suppress evidence made 9- No Pre-Trial motions made 0- Inapplicable, coded 2 or 3 in col. 24</p>	
	28	<p>L. <u>Unreasonableness of Search</u></p> <p>1- Listed as grounds for granting motion 2- Not listed as grounds for granting motion 4- No motion to suppress evidence made 9- No Pre-Trial motions made 0- Inapplicable, coded 2 or 3 in col. 24</p>	

Card Col. Question PAGE 21 OF SCHEDULE

29

M,N,O,P (This column combines last four grounds to suppress)

1) Coerced confession; 2) lack of counsel at time of confession or lineup; 3) defective indictment; and 4) other

- 1- Any of above listed as reason for granting motion
- 2- None of above listed as grounds for granting motion
- 4- No motion to suppress evidence made

- 9- No Pre-Trial motions made
- 0- Inapplicable, coded 2 or 3 in col. 24

END OF CODES FOR MOTION TO SUPPRESS EVIDENCE FOR 1ST CHARGE. IF ONLY ONE CHARGE CODE 0 IN COLS. 30-47

30

A.2) Motion to Suppress Evidence for 2nd Charge

CODE 0 IN
COLS. 31-35

CODE 4 IN
COLS. 31-35

- 1- Motion made and Granted (G)
- 2- Motion made and Denied (D)
- 3- Motion made and disposition Unknown (U)
- 4- No motion to suppress evidence made for this charge
- 9- No Pre-Trial Motions made
- 0- Inapplicable, less than 2 charges

(31-35)

Grounds for Granting Motion for 2nd Charge

31

H. Entrapment

USE CODES FOR 1ST MOTION

- 0- Inapplicable, less than 2 charges or motion result denied or unknown, coded 2 or 3 in col. 30

32

I.&J. Defective Search or Arrest Warrant

USE CODES FOR 1ST MOTION

- 0- Inapplicable, less than 2 charges or coded 2 or 3 in col. 30

33

K. Lack of Probable Cause to Arrest

USE CODES FOR 1ST MOTION

- 0- Inapplicable, less than 2 charges or coded 2 or 3 in col. 30

34

L. Unreasonableness of Search

USE CODES FOR 1ST MOTION

- 0- Inapplicable, less than 2 charges or coded 2 or 3 in col. 30

Card
06

Col.
35

M,N,O,P.

Question

1) Coerced confession; 2) lack of counsel; 3) defective indictment;
4) Other

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 2 charges or coded 2 or 3
in col. 30

END OF CODES FOR MOTION FOR 2ND CHARGE. IF ONLY 2 CHARGES
CODE 0 IN COLS. 35-47

36

A.3) Motion to Suppress Evidence for Third Charge

CODE 0 IN
COLS. 37-41

1- Motion made and Granted

2- Motion made and Denied

3- Motion made and disposition Unknown

4- No motion to suppress evidence made for this charge

CODE 4 IN
COLS. 37-41

9- No Pre-Trial Motions made

(37-41)

Grounds for Granting Motion for 3rd Charge

37

H. Entrapment

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or motion result
denied or unknown, coded 2 or 3 in col. 36

38

I. & J. Defective Search or Arrest Warrant

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

39

K. Lack of Probable Cause to Arrest

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

40

L. Unreasonableness of Search

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

41

M,N,O,P.

1) Coerced confession; 2) lack of counsel; 3) defective indictment;
4) Other

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

END OF CODES FOR MOTION FOR 3RD CHARGE. IF ONLY 3 CHARGES
CODE 0 IN COLS. 42-47

Card Col. Question PAGE 21 OF SCHEDULE

06 42 A.4) Motion to Suppress Evidence for 4th Charge

CODE 0 IN
COLS.43-47

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and disposition Unknown

CODE 4 IN
COLS.43-47

- 4- No motion to suppress evidence made for this charge
- 9- No Pre-Trial Motions made

0- Inapplicable, less than 4 charges

(43-47) Grounds for Granting Motion for 4th Charge

43 H. Entrapment
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or motion result denied
or unknown, coded 2 or 3 in col. 42

44 I. & J. Defective Search or Arrest Warrant
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or coded 2 or 3 in col. 42

45 K. Lack of Probable Cause to Arrest
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or coded 2 or 3 in col. 42

46 L. Unreasonableness of Search
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or coded 2 or 3 in col. 42

47 M,N,O,P. 1) Coerced confession; 2) lack of counsel; 3) defective
indictment; 4) Other
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or coded 2 or 3 in col. 42

Card Col.

Question

PAGE 21 OF SCHEDULE

Parts B, C, and D will be coded in 3 columns.

48

B. Motion to Quash Indictment

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and Disposition Unknown
- 4- No Motion to Quash Indictment made

9- No Pre-Trial Motions made

49

C. Motion to Challenge Sufficiency of Prosecution's Prima-Facie Case

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and Disposition Unknown
- 4- No Motion to Challenge Sufficiency made

9- No Pre-Trial Motions made

50

D. 'Other' Motion

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and Disposition Unknown
- 4- No 'Other' motion made

9- No Pre-Trial motions made

LEAVE COLS. 51-80 BLANK

END OF CARD 06

<u>Card</u>	<u>Col.</u>	<u>Question:</u>
1-2		<u>Card Number:</u> 07
3-7		<u>Study Number:</u> 46519
8-13		<u>Schedule Number</u>

E-6 Subsequent Pre-Trial Dispositions

NOTE: This chart will be coded across in 5 sets of 11 columns. The first 2 columns code the 'charges Filed'; the third column combines codes for 'F' and 'M' and 'Defendant Changed Plea'; the fourth column combines codes for 'Dismissed' and on whose motion and on whose motion for 'Diverted'; the fifth column is the 'Diversion Code'; and the sixth through eleventh columns code 'Reasons' (3 two digit codes). These are columns allotted for coding 5 charges and their dispositions.

BEFORE CODING: IF MORE THAN 3 'REASONS' LISTED FOR ANY ONE CHARGE OR MORE THAN FIVE CHARGES GIVE!! - SEE SUPERVISOR

Charge One

- | | |
|-------|---|
| 14-15 | 1) <u>Counts (charges filed)</u>
USE SEPARATE 'CODE B- OFFENSE' SHEET |
| 16 | 2) <u>'F' or 'M' AND Defendant Changed Plea To 'G'</u>
1- 'F' and changed plea to 'G'
2- 'F' and did not change plea to 'G'
3- 'M' and changed plea to 'G'
4- 'M' and did not change plea to 'G' |
| 17 | 3) <u>Dismissed and on whose motion AND Diverted on whose motion</u>
1- Dismissed - P (on prosecution's motion)
2- Dismissed - D (on defense motion)
3- Dismissed - J (by judge sua sponte)
4- Diverted - P
5- Diverted - D
6- Diverted - J
0- Not in this column, not dismissed or diverted |
| 18 | 4) <u>Diverted</u>
USE SEPARATE 'CODE D- DIVERSION' SHEET
0- Not in this column, not diverted |

CODE 0 IN
COLS. 17-24

Card	Col.	Question:
19-20		5) <u>First Reason listed</u> USE SEPARATE 'CODE C- REASON' SHEET 00- Inapplicable, neither dismissed nor diverted 99- Unknown
21-22		6) <u>Second Reason listed</u> USE SEPARATE 'CODE C- REASON' SHEET 00- Inapplicable, neither dismissed nor diverted or not in these columns, less than 2 reasons listed
23-24		7) <u>Third Reason listed</u> USE SEPARATE 'CODE C- REASON' SHEET 00- Inapplicable, neither dismissed nor diverted or not in these columns, less than 3 reasons listed

END OF CODES FOR CHARGE #1. IF ONLY ONE CHARGE
LISTED, CODE 0 IN COLUMNS 25-68

25-26		Charge Two 1) <u>Counts (charges filed)</u> USE SEPARATE 'CODE B- OFFENSE' SHEET 00- Inapplicable, less than two counts
27		2) <u>'F' or 'M' AND defendant changed Plea to 'G'</u> 1- 'F' and changed plea 2- 'F' and didn't change plea 3- 'M' and changed plea 4- 'M' and didn't change plea 9- Disposition unknown 0- Inapplicable, less than two counts listed
28		3) <u>Dismissed and on whose motion AND Diverted on whose motion</u> 1- Dismissed - P 2- Dismissed - D 3- Dismissed - J 4- Diverted - P 5- Diverted - D 6- Diverted - J 0- Not in this column, not dismissed or diverted
29		4) <u>Diverted</u> USE SEPARATE 'CODE D- DIVERSION' SHEET 0- Not in this column, not diverted, or less than two counts listed

CODE 0 IN
COLS. 28-35

Card Col. Question: PAGE 22 OF SCHEDULE

30-31

- 5) First Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or less than two counts listed

99- Unknown

32-33

- 6) Second Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 2 reasons
listed or less than two counts listed

34-35

- 7) Third Reason listed

USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
not in these columns, less than 3 reasons listed
or less than two counts listed

END OF CODES FOR CHARGE #2. IF ONLY TWO CHARGES
LISTED, CODE 0 IN COLUMNS 36-68

Charge Three

36-37

- 1) Counts (charges filed)
USE SEPARATE 'CODE B- OFFENSE' SHEET

00- Inapplicable, less than three counts listed

38

- 2) 'F' or 'M' AND Defendant changed Plea to 'G'

- 1- 'F' and changed plea
2- 'F' and didn't change plea
3- 'M' and changed plea
4- 'M' and didn't change plea

CODE 0 IN
COLS. 39-46

- 9- Disposition unknown
0- Inapplicable, less than three counts listed

39

- 3) Dismissed and on whose motion AND Diverted on
whose motion

- 1- Dismissed - P
2- Dismissed - D
3- Dismissed - J
4- Diverted - P
5- Diverted - D
6- Diverted - J

0- Not in this column, not dismissed or diverted
or less than three counts listed

Card Col. Question: PAGE 22 OF SCHEDULE

07

40

- 4) Diverted
USE SEPARATE 'CODE D- REASON FOR DIVERSION' SHEET

0- Not in this column, not diverted or less than
three counts listed

41-42

- 5) First Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or less than three counts listed

43-44

- 99- Unknown
6) Second Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 2 reasons
listed or less than three counts listed

45-46

- 7) Third Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 3 reasons
listed or less than three counts listed

END OF CODES FOR CHARGE #3. IF ONLY THREE CHARGES
LISTED, CODE 0 IN COLUMNS 47-68

Charge Four

47-48

- 1) Counts (charges filed)
USE SEPARATE 'CODE B- OFFENSE' SHEET

00- Inapplicable, less than four counts

49

- 2) 'F' or 'M' AND Defendant changed Plea to 'G'

- 1- 'F' and changed plea
2- 'F' and didn't change plea
3- 'M' and changed plea
4- 'M' and didn't change plea

CODE 0 IN
COL.50-57

- 9- Disposition unknown
0- Inapplicable, less than four counts listed

Card

Col.

Question:

PAGE 22 OF SCHEDULE

50

3) Dismissed and on whose motion AND Diverted on
whose motion

- 1- Dismissed - P
- 2- Dismissed - D
- 3- Dismissed - J
- 4- Diverted - P
- 5- Diverted - D
- 6- Diverted - J

0- Not in this column, not dismissed or diverted
or less than four counts listed

51

4) Diverted
USE SEPARATE 'CODE D-DIVERSION' SHEET

0- Not in this column, not diverted, or less
than four counts listed

52-53

5) First Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or less than four counts listed

54-55

99- Unknown
6) Second Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 2 reasons
listed or less than four counts listed

56-57

7) Third Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not these columns, less than 3 reasons
listed or less than four counts listed

END OF CODES FOR CHARGE #4. IF ONLY FOUR CHARGES
LISTED, CODE 0 IN COLUMNS 58-68

Charge Five

58-59

1) Counts (charges filed)
USE SEPARATE 'CODE B' SHEET

00- Inapplicable, less than five counts listed

000000

Card

Col.

Question:

07

60

2) 'F' or 'M' AND Defendant Changed Plea to 'G'

- 1- 'F' and changed plea
- 2- 'F' and didn't change plea
- 3- 'M' and changed plea
- 4- 'M' and didn't change plea
- 9- Disposition unknown
- 0- Inapplicable, less than five counts

CODE 0 IN
COLS. 61-68

61

3) Dismissed and on whose motion AND Diverted on
whose motion

- 1- Dismissed - P
- 2- Dismissed - D
- 3- Dismissed - J
- 4- Diverted - P
- 5- Diverted - D
- 6- Diverted - J

0- Not in this column, not dismissed or diverted
or less than five counts listed

62

4) Diverted
USE SEPARATE 'CODE D-DIVERSION' SHEET

0- Not in this column, not diverted, or less than
five counts listed

63-64

5) First Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or less than five counts listed

99- Unknown

65-66

6) Second Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 2 reasons
listed or less than five counts listed

67-68

7) Third Reason listed
USE SEPARATE 'CODE C- REASON' SHEET

00- Inapplicable, neither dismissed nor diverted
or not in these columns, less than 3 reasons
listed or less than five counts listed

END OF CODES FOR CHARGE #5. AND FOR CHART

Card Col. Question:
69 E-7 Summary

- * (a) Dispositions final are: (b) Dispositions not final: *
- * TERMINATE ————— 1- All counts dismissed or diverted
- CONTINUE CODING CARD 08 ————— 2- Case was diverted to juvenile system
- SKIP TO CARD 10 ————— 3- No charges dismissed or diverted, defendant
pleaded 'G' to all counts-sentencing followed
- N=500* ————— 4- Some charge(s) dismissed; defendant pleaded
guilty to remaining charge(s)-sentencing followed
- TERMINATE ————— 5- Defendant pleaded 'NG' to one or more charge(s)
which were not dismissed or diverted-case still
pending-no subsequent judicial proceedings held-
(USE THIS CODE IF INDICATED BY EDITOR'S NOTATION)
- SKIP TO CARD 09 ————— 6- Defendant pleaded 'NG' to one or more charge(s)-
charge(s) were not dismissed-case went next to
Trial
- TERMINATE ————— 8- Drug charges dropped
- 9- Unknown, disposition files not available

END OF CARD 07

Card	Col.	Question:
08	1-2	Card Number: 08
	3-7	Study Number: 46519
	8-13	Schedule Number

PAGE 23 OF SCHEDULE

V. Juvenile Post-Arrest Pre-Trial Disposition

- 14 A. Proceedings were instituted by:
- 1- Filing of complaint
 - 2- Apprehension - brought directly to juvenile authorities
 - 3- Apprehension - referral from criminal justice system
 - 9- Unknown
 - 0- Inapplicable
- 15 B. Did apprehending officer recommend that petition be filed?
- 1- Yes
 - 2- No
 - 9- Unknown
 - 0- Inapplicable

Where is a sample Yes-No ???

08 (16-36)
(16-21)
16-17

C. Was a petition filed?
(IF PETITION FILED, CODE 0 IN COLUMNS 16-21)
1) No Petition Filed (specify reasons)

a) First reason listed

USE SEPARATE 'CODE C-REASON' SHEET

99- Unknown, reasons not available
00- Inapplicable, petition filed

18-19

b) Second reason listed

USE SEPARATE 'CODE C-REASON' SHEET

00- Inapplicable, petition filed or
less than 2 reasons listed

20-21

c) Third reason listed

USE SEPARATE 'CODE C-REASON' SHEET

00- Inapplicable, petition filed or
less than 3 reasons listed

* TERMINATE IF NO PETITION FILED *

Card

Col.

Question:

(22-26)

22

2. Petition was filed (yes)

a) Type of petition

CODE 9 IN
COLS. 23-36

- 1- Neglected child
- 2- Child in need of supervision
- 3- Delinquent child

0- Inapplicable

9- Type of petition unknown

b) If delinquent child, specify analogous offenses from Code B

(23-36)

23-24

b1) First offense listed

USE SEPARATE 'CODE B-OFFENSE' SHEET

99- Petition filed other than 'delinquent child'

IF ONLY ONE OFFENSE LISTED CODE 0 IN
COLS. 25-36

25-26

b2) Second offense listed

USE SEPARATE 'CODE B-OFFENSE' SHEET

99- Petition filed other than 'delinquent child'

00- Inapplicable, less than 2 offenses listed

IF ONLY TWO OFFENSES LISTED CODE 0 IN
COLS. 27-36

27-28

G3) Third offense listed

USE SEPARATE 'CODE B-OFFENSE' SHEET

99- Petition filed other than 'delinquent child'

00- Inapplicable, less than 3 offenses listed

IF ONLY 3 OFFENSES LISTED CODE 0 IN
COLS. 29-36

29-30

G4) Fourth offense listed

USE SEPARATE 'CODE B-OFFENSE' SHEET

99- Petition filed other than 'delinquent child'

00- Inapplicable, less than 4 offenses listed

Card

Col.

Question:

IF ONLY 4 OFFENSES LISTED CODE 0 IN
COLS. 31-36

31-32

G5) Fifth offense listed

USE SEPARATE 'CODE B-OFFENSE' SHEET

99- Petition filed other than 'delinquent
child'

00- Inapplicable, less than 5 offenses
listed

IF ONLY 5 OFFENSES LISTED CODE 0 IN
COLS. 33-36

33-34

G6) Sixth offense listed

USE SEPARATE 'CODE B-OFFENSE' SHEET

99- Petition filed other than 'delinquent
child'

00- Inapplicable, less than 6 offenses
listed

IF ONLY 6 OFFENSES LISTED CODE 0 IN
COLS. 35-36

35-36

G7) Seventh offense listed

USE SEPARATE 'CODE B-OFFENSE' SHEET

99- Petition filed other than 'delinquent
child'

00- Inapplicable, less than 7 offenses
listed

END OF CODES FOR ANALOGOUS OFFENSES

37

D- Was custody retained?

1- Child was not in custody

2- Yes: child sent to detention
home

3- Yes: child sent to evaluation center
or medical facility

4- Yes: child sent to other

5- No

9- Unknown

70
105
4

84
4

70

000000

Card

Col.

Question:

E- Initial Judicial Procedure

38-39

E-1 Name of Judge

USE SEPARATE 'JUDGES' CODE SHEET

99- Unknown

00- Inapplicable, no initial judicial procedure

40-41

E-2 Date

Month

01- January

07- July

02- February

08- August

03- March

09- September

04- April

10- October

05- May

11- November

06- June

12- December

99- Unknown

00- Inapplicable, no initial judicial procedure

42-43

Day

01- 1

↓

31- 31

99- Unknown

00- Inapplicable

44

Year

CODE LAST

DIGIT OF YEAR GIVEN

5- Unknown

6- Inapplicable, no initial judicial procedure

000111

Card Col. Question

45

E-3 Attorney

- (a) Did defendant have an attorney (c) Counsel was
1- Yes - defendant had attorney, N.A. whether retained
 by defendant or appointed by court
2- Yes, counsel retained by defendant
3- Yes, counsel appointed by court
4- No
9- Unknown
0- Inapplicable

CODE 0 IN
COL. 46

46

Counsel was

- 1- Private attorney
2- Member of public defender group
3- Member of legal assistance group
4- Other
9- Unknown
0- Inapplicable, coded 0, 4 or 9 in col. 45

A. IF PETITION DISMISSED, CODE REASONS IN COLUMNS 47-52.
CODE 0 IN COLUMNS 53-60

B. IF SOME COUNTS DISMISSED, CODE 0 IN COLUMNS 47-52.
CODE REASONS IN COLUMNS 53-58

C. IF ONLY "CASE DIVERTED" CHECKED ON FORM, CODE 0
IN COLUMNS 47-58

47-48

E-4 Dispositions

- 1) Petition was dismissed. Specify reasons (Code C)
a) First reason listed

USE CODES FROM SEPARATE 'CODE C - REASON' SHEET

99- Unknown

00- Inapplicable, petition not completely dismissed

IF ONLY ONE REASON LISTED CODE 0 IN COLS. 49-60

49-50

- b) Second reason listed

USE CODES FROM SEPARATE 'CODE C - REASON' SHEET

00- Inapplicable, petition not dismissed or
less than 2 reasons listed

IF ONLY 2 REASONS LISTED CODE 0 IN COLS. 51-60

Card

Col.

Question:

51-52

c) Third reason listed

USE CODES FROM SEPARATE 'CODE C-REASONS'
SHEET

00- Inapplicable, petition not dismissed
or less than 3 reasons listed

(53-58)

2) Some counts of petition were dismissed.
Specify reasons (Code C)

53-54

a) First reason listed

USE CODES FROM SEPARATE 'CODE C-REASON'
SHEET

99- Unknown

00- Inapplicable, some counts not dismissed

IF ONLY 1 REASON LISTED CODE 0 IN
COLS. 55-58

55-56

b) Second reason listed

USE CODES FROM SEPARATE 'CODE C-REASON'
SHEET

00- Inapplicable, some counts not dismissed
or less than 2 reasons listed

IF ONLY 2 REASONS LISTED CODE 0 IN
COLS. 57-58

57-58

c) Third reason listed

USE CODES FROM SEPARATE 'CODE C-REASON'
SHEET

00- Inapplicable, some counts not dismissed
or less than 3 reasons listed

59

3) Case Diverted

CODE 0 IN
COL. 60

1- Case diverted - juvenile jurisdiction
waived by court - case transferred to
adult criminal justice system

2- Case diverted - child placed on pre-
adjudication probation (i.e. petition
not dismissed at this time but subject
to dismissal upon successful completion
of probationary period)

Card

Col.

Question:

08

CODE 0 IN
COL. 60 &
7 IN COL. 61

3- Case diverted to "other jurisdiction" - type jurisdiction not specified

9- Unknown

0- Inapplicable, case not diverted

60

4) Was petition ultimately dismissed?

1- Yes

2- No- still pending - probationary period not yet over

3- No - child violated probation - decision not yet made to re-institute proceedings

4- No - child violated probation; proceedings re - instituted

5- No-case taken to trial

9- Unknown

0- Inapplicable, case diverted to adult system or non specified "other" jurisdiction", coded 1 or 3 in col. 59

61

SUMMARY CODE

TERMINATE

89

62

6

6

102

2

5

1- Petition "dismissed" or "(ultimately) dismissed"-n trial or further judicial proceedings

2- Petition not dismissed - but no further judicial proceedings during period of study

3- Case diverted to adult system but no further judicial proceedings during period of

4- Case diverted to adult system - TRIAL FOLLOWS

5- Case taken to trial in juvenile system - TRIAL FOLLOWS

6- Initial hearing waived - TRIAL FOLLOWS

7- Case diverted to another jurisdiction - unknown whether adult or juvenile

8- Drug charges dropped

9- No further information - file not available

CONTINUE
CODING CARD
09

TERMINATE

END OF CARD 08

~~271~~
268-J
271-A

Card

Col.

Question

09

1-2

Card Number: 09

3-7

Study Number: 46519

8-13

Schedule Number

VI. Trial (Both Adult and Juvenile)

(14-19)

A. Date of Trial

14-15

Month

01- January

07- July

02- February

08- August

03- March

09- September

04- April

10- October

05- May

11- November

06- June

12- December

99- Unknown

00- Inapplicable

* * * * *

16-17

2) Day

01- 1



31- 31

99- Unknown

00- Inapplicable

* * * * *

18

3) Year

CODE LAST DIGIT OF YEAR GIVEN

5-Unknown

6-Inapplicable

19-20

C. Judge

USE SEPARATE 'JUDGES' CODE SHEET

99- Unknown

00- Inapplicable

Card Col. Question PAGE 25 OF SCHEDULE

21 D. Attorney at Trial Proceedings:

CODE 0 IN
COL. 22 & 23

- 1- Same as initial stage
- 2- New Attorney
- 3- No attorney present
- 9- Unknown
- 0- Inapplicable

22 2. New counsel was:

- 1- Retained by defendant
- 2- Appointed by court
- 9- Unknown
- 0- Inapplicable, same as initial stage, coded 1 or 9 in col. 21
or no attorney present, coded 3 in col. 21

23 New counsel was:

- 1- Private practicing attorney
- 2- Member of public defenders group
- 3- Member of legal assistance group
- 4- Other
- 9- Unknown
- 0- Inapplicable, coded 1, 3 or 9
in col. 21

PAGE 26 OF SCHEDULE

F. Motions at Trial

This chart will be coded in four parts:

- A. Motions to suppress evidence
- B. Motion to quash indictment
- C. Motion to challenge sufficiency of prosecutions
prima-facie case
- D. Other Motion

Part A will be coded across in 4 sets of 6 columns.
there may be 4 possible motions of this type. The first
column of each set will code whether or not such a motion
was made and if that motion was granted or not; if the
motion was granted, the second thru sixth columns will code
on what grounds it was granted from the last column of chart
(5 possible grounds).

IF NO TRIAL MOTIONS AT ALL MADE CODE 9
IN COLS. 24-50

Card Col. Question PAGE 26 OF SCHEDULE

05 24 A.1) Motion to Suppress Evidence for First Charge

CODE 0 IN
COLS. 25-29

1- Motion made and Granted (G)

2- Motion made and Denied (D)

3- Motion made and disposition
Unknown (U)

CODE 4 IN
COLS. 25-29

4- No Motion to suppress evidence, for first charge

9- No Trial Motions made

(25-29) Grounds for Granting Motion for First Charge

25 H. Entrapment

1- Listed as grounds for granting motion

2- Not listed as grounds for granting motion

4- No motion to suppress made

9- No Trial motions made

0- Inapplicable, motion denied or disposition unknown,
coded 2 or 3 in col. 24

26 I.&J. Defective Search or Arrest Warrant

1- Listed as grounds for granting motion

2- Not listed as grounds for granting motion

4- No motion to suppress evidence made

9- No Trial motions made

0- Inapplicable, coded 2 or 3 in col. 24

27 K. Lack of Probable Cause to Arrest

1- Listed as grounds for granting motion

2- Not listed as grounds for granting motion

4- No motion to suppress evidence made

9- No Trial motions made

0- Inapplicable, coded 2 or 3 in col. 24

2'8 L. Unreasonableness of Search

1- Listed as grounds for granting motion

2- Not listed as grounds for granting motion

4- No motion to suppress evidence made

9- No Pre-Trial motions made

0- Inapplicable, coded 2 or 3 in col. 24

000100

Card Col. Question

29 M,N,O,P (This column combines last four grounds to suppress)
1) Coerced confession; 2) lack of counsel at time of confession
or lineup; 3) defective indictment; and 4) other

1- Any of above listed as reason for granting motion
2- None of above listed as grounds for granting motion
4- No motion to suppress evidence made

9- No Trial Motions made
0- Inapplicable, coded 2 or 3 in col. 24

END OF CODES FOR MOTION TO SUPPRESS EVIDENCE FOR FIRST CHARGE. IF
ONLY ONE CHARGE CODE 0 IN COLS. 30-47

30 A.2) Motion to Suppress Evidence for Second Charge

1- Motion made and Granted (G)
2- Motion made and Denied (D)
3- Motion made and disposition Unknown (U)
4- No motion to suppress evidence made for this charge

CODE 0 IN
COLS. 31-35

CODE 4 IN
COLS. 31-35

9- No Trial Motions made
0- Inapplicable, less than 2 charges

(31-35) Grounds for Granting Motion for Second Charge

31 H. Entrapment
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 2 charges or motion result
denied or unknown, coded 2 or 3 in col. 3

32 I.&J. Defective Search or Arrest Warrant
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 2 charges or coded 2 or 3 in col. 30

33 K. Lack of Probable Cause to Arrest
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 2 charges or coded 2 or 3
in col. 30

34 L. Unreasonableness of Search
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 2 charges or coded 2 or 3
in col. 30

Card 09 Col. 35 Question
M,N,O,P. 1) Coerced confession; 2) lack of counsel; 3) defective indictment;
4) Other
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 2 charges or coded 2 or 3
in col. 30

END OF CODES FOR MOTION FOR SECOND CHARGE. IF ONLY 2 CHARGES
CODE 0 IN COLS. 36-47

36 A.3) Motion to Suppress Evidence for Third Charge

CODE 0 IN COLS. 37-41
CODE 4 IN COLS. 37-41
1- Motion made and Granted
2- Motion made and Denied
3- Motion made and disposition Unknown
4- No motion to suppress evidence made for this charge
9- No Trial Motions made

(37-41) Grounds for Granting Motion for Third Charge

37 H. Entrapment
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or motion result
denied or unknown, coded 2 or 3 in col. 36

38 I. & J. Defective Search or Arrest Warrant
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

39 K. Lack of Probable Cause to Arrest
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

40 L. Unreasonableness of Search
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

41 M,N,O,P. 1) Coerced confession; 2) lack of counsel; 3) defective indictment;
4) Other
USE CODES FOR 1ST MOTION

0- Inapplicable, less than 3 charges or coded 2 or 3
in col. 36

END OF CODES FOR 3rd CHARGE. IF ONLY 3 CHARGES
CODE 0 IN COLS. 42-47

Card

Col.

Question

C

4 2

A.4) Motion to Suppress Evidence for Fourth Charge

CODE 0 IN
COLS. 43-47

CODE 4 IN
COLS. 43-47

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and disposition Unknown
- 4- No motion to suppress evidence made
- 9- No Trial Motions made

0- Inapplicable, less than 4 motions to suppress evidence made

(43-47)

Grounds for Granting Motion for Fourth Charge

43

H. Entrapment

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or motion result
denied or unknown, coded 2 or 3 in col. 42

44

I. & J. Defective Search or Arrest Warrant

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or coded 2 or 3
in col. 42

45

K. Unreasonableness of Search

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 charges or coded 2 or 3
in col. 42

46

L. Lack of Probable Cause to Arrest

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 or coded 2 or 3
in col. 42

47

M,N,O,P. 1) Coerced confession; 2) lack of counsel; 3) defective
indictment; 4) Other

USE CODES FOR 1ST MOTION

0- Inapplicable, less than 4 motions made or coded 2 or 3
in col. 42

END OF CODES FOR FOURTH AND FINAL MOTION TO
SUPPRESS EVIDENCE

Card Col.

Question

PAGE 26 OF SCHEDULE

Parts B, C, and D will be coded in 3 columns.

48

B. Motion to Quash Indictment

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and Disposition Unknown
- 4- No Motion to Quash Indictment made

9- No Pre-Trial Motions made

49

C. Motion to Challenge Sufficiency of Prosecution's Prima-Facie Case

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and Disposition Unknown
- 4- No Motion to Challenge Sufficiency made

9- No Pre-Trial Motions made

50

D. 'Other' Motion

- 1- Motion made and Granted
- 2- Motion made and Denied
- 3- Motion made and Disposition Unknown
- 4- No 'Other' motion made

9- No Pre-Trial motions made

Card

Col.

Question

PAGE 27 OF SCHEDULE

0

H- Disposition

NOTE: Only the offense and corresponding verdict will be taken from this chart for 5 'counts'. (IF MORE THAN 5 COUNTS - SEE SUPERVISOR). This means 5 sets of 3 columns; the first 2 cols. of each set code the offense; the third column codes the verdict on that offense.

51-52

Count One

1- Offense

USE SEPARATE 'CODE B- OFFENSE SHEET

00- Inapplicable

53

1a-Verdict

1- Guilty as charged

2- Not guilty

3- Hung jury

4- Mistrial

5- Guilty of lesser included offense

78 6- Dismissed

7- Guilty-Sentencing after period of study or
disposition files not available for sentencing-
TERMINATE AT END OF THIS CARD IF ONLY 1 OFFENSE

9- Unknown

0- Inapplicable

IF ONLY ONE OFFENSE LISTED CODE 0 IN COLS. 54-65

54-55

Count Two

2- Offense

USE SEPARATE 'CODE' B- OFFENSE SHEET

00- Inapplicable, less than 2 counts listed

56

2a- Verdict

USE CODES FOR COUNT ONE VERDICT, COL. 53

0- Inapplicable, less than 2 counts listed

IF ONLY 2 COUNTS LISTED CODE 0 IN COLS. 57-65

57-58

3- Offense

USE SEPARATE 'CODE B- OFFENSE SHEET

Card Col. Question

59

59

3a- Verdict

USE CODES FOR COUNT ONE VERDICT, COL. 53

0- Inapplicable, less than 3 counts listed

IF ONLY 3 COUNTS LISTED CODE 0 IN
COLS. 60-65

Count Four

60-61

4- Offense

USE SEPARATE 'CODE B- OFFENSE' SHEET

00- Inapplicable, less than 4 counts listed

62

4a- Verdict

USE CODES FOR COUNT ONE VERDICT, COL. 53

0- Inapplicable, less than 4 counts listed

IF ONLY 4 COUNTS LISTED CODE 0 IN
COLS. 63-65

Count Five

63-64

USE SEPARATE 'CODE B- OFFENSE' SHEET

00- Inapplicable, less than 5 counts listed

65

5a- Verdict

USE CODES FOR COUNT ONE VERDICT, COL. 53

0- Inapplicable, less than 5 counts listed

*

CONTINUE CODING CARD 10 IF VERDICT "GUILTY" ON ONE OR
MORE CHARGES AND SENTENCING FOLLOWS. OTHERWISE TERMINATE

*

LEAVE COLS. 66-80 BLANK

END OF CARD 09

<u>Card</u>	<u>Col.</u>	<u>Question</u>
10	1-2	<u>Card Number:</u> 10
	3-7	<u>Study Number:</u> 46519
	8-13	<u>Schedule Number</u>
		VII. <u>Sentencing</u>
	(14-19)	A. <u>Date</u>
	14-15	<u>Month</u>
		01- January 07- July
		02- February 08- August
		03- March 09- September
		04- April 10- October
		05- May 11- November
		06- June 12- December
		99- Unknown
		00- Inapplicable
	16-17	<u>Day</u>
		01- 1
		↓
		31- 31
		99- Unknown
	18	<u>Year</u>
		CODE LAST DIGIT OF YEAR GIVEN
		5- Unknown
		6- Inapplicable
	19-20	B. <u>Judge</u>
		USE SEPARATE 'JUDGE' CODE SHEET
		99- Unknown
		00- Inapplicable

PAGE 28 OF SCHEDULE

<u>Card</u>	<u>Col.</u>	<u>Question</u>
10		IF MORE THAN TWO SENTENCES (2 SETS OF PAGES 28-29) SEE SUPERVISOR <u>FIRST SENTENCE</u>
		<u>Offense Symbols</u>
21-22		1) <u>First offense listed</u> USE 'CODE B - OFFENSE' SHEET 99- Unknown 00- Inapplicable
23-24		2) <u>Second offense listed</u> USE 'CODE B - OFFENSE' SHEET 99- Unknown 00- Inapplicable, less than 2 offenses apply to this sentence
25-26		3) <u>Third offense listed</u> USE 'CODE B - OFFENSE' SHEET 99- Unknown 00- Inapplicable, less than 3 offenses apply to this sentence
		C. <u>Sentencing Options under applicable statute or ordinance</u>
		C.1.. <u>Incarceration</u>
27		a) <u>Maximum</u> 1- No incarceration permitted 2- 30 days or under 3- Over 30 days, not over 6 months 4- Over 6 months, not over 1 year 5- Over 1 year, not over 2 years 6- Over 2 years, not over 5 years 7- Over 5 years, not over 10 years 8- Over 10 years 9- Unknown 0- Inapplicable - code where "not applicable" or "no pen-
28		b) <u>Is there a mandatory minimum?</u> alties prescribed" written on schedule 1- Yes 2- No 9- Unknown 0- Inapplicable - code where "not applicable" or "no pen- alties prescribed" written on schedule

Card

Col.

Question

29

CODE 0 IN
COLS. 30-31

C. Sentence Assessed

D.1. Incarceration

D1a)

- 1- Yes
- 2- Yes, *sup?*
- 3- No
- 4- No, suspended

9- Unknown, if incarcerated

30

D1b) Amount of Sentence

- 4 1- 30 days or under
- 8 2- over 30 days, not over six months
- 7 3- over six months, not over one year
- 3 4- over one year, not over two years
- 6 5- over two years, not over five years
- 2 6- over five years, not over ten years
- 7 7- over ten years
- 8- life imprisonment
- 9- incarcerated but amount unknown
- 0- Inapplicable, no incarceration sentence,
code 3 or 9 in col. 29

31

D1c Amount of Sentence Suspended

- 1- 30 days or under
- 2- over 30 days, not over six months
- 3- over six months, not over one year
- 4- over one year, not over two years
- 5- over two years, not over five years
- 6- over five years, not over ten years
- 7- over ten years

9- Incarceration sentence suspension of incarceration or
no incarceration sentence, coded 1, 3 or 9 in col. 29

N=97

0 code?

000170

<u>Card</u>	<u>Col.</u>	<u>Question</u>
10	32	D2. <u>Fine</u> 1- \$50 or under 2- \$51 - \$99 3- \$100 - \$149 4- \$150 - \$199 5- \$200 - \$249 6- \$250 - \$499 7- \$500 or over 8- No fine 9- Unknown or amount of fine unknown
33	D3.	<u>Probation</u> 1- Yes - under 6 months 2- 6 - 11 months 3- 12 - 17 months 4- 18 - 23 months 5- 24 - 36 months 6- Over 36 months 7- Yes but amount unknown 8- No probation 9- Unknown
34		a) <u>Was probation recommended by the probation department?</u> 1- Yes 2- No 9- Unknown 0- Inapplicable, no probation, coded 8 in col. 33
35		b) <u>Position of prosecuting attorney</u> 1- Favored 2- Opposed 3- No Position 9- Unknown 0- Inapplicable, no probation, coded 8 in col. 33

CODE 0 IN
COLS. 34-43

<u>Card</u>	<u>Col.</u>	<u>Question</u>
10	36	c) <u>Supervision</u> 1- To court 2- To probation department 3- To parent 4- To private agency or institution, subject to supervision of probation department 5- To reputable citizen 6- Other 7- None 9- Unknown 0- Inapplicable, no probation, coded 8 in col. 33
(37-43)		d) <u>Terms</u> <div>IF UNKNOWN CHECKED, CODE 9 IN COLUMNS 37-43</div>
37		1) <u>Medical treatment for narcotics addiction</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 33
38		2) <u>Work</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 33
39		3) <u>Psychiatric treatment</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 33
40		4) <u>School</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 33

<u>Card</u>	<u>Col.</u>	<u>Question</u>
10	41	5) <u>Drug-education class</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 33
	42	6) <u>Other</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 33 <u>Did court provide that upon successful completion of probation:</u>
	43	e) 1- Case would be dismissed 2- Record would be expunged 3- Charge would be reduced 4- None of above 9- Unknown 0- Inapplicable, coded 8 in col.33
	44	D.4 <u>First Offender Treatment</u> 1- "Not applicable" - checked on schedule 2- No 3- Yes 9- Unknown
	45	D.5 <u>Was defendant committed to or enrolled in any identifiable rehabilitation program?</u> 1- Yes - narcotics 2- Yes - marihuana 3- No 9- Unknown 0- Inapplicable.

*

IF ONLY ONE SENTENCE, TERMINATE

*

<u>Card</u>	<u>Col.</u>	<u>Question</u>
10		<u>SECOND SENTENCE</u>
	(46-49) 46-47	<u>Offense Symbols</u>
		1) <u>First offense listed</u> USE 'CODE B - OFFENSE' SHEET
		99- Unknown 00- Inapplicable
	48-49	2) <u>Second offense listed</u> USE 'CODE B - OFFENSE' SHEET
		99- Unknown 00- Inapplicable, less than 2 offenses apply to this sentence
		C. <u>Sentencing Options under applicable status or ordinance</u>
		C.1. <u>Incarceration</u>
		a) <u>Maximum</u>
50		1- No incarceration permitted 2- 30 days or under 3- Over 30 days, not over 6 months 4- Over 6 months, not over 1 year 5- Over 1 year, not over 2 years 6- Over 2 years, not over 5 years 7- Over 5 years, not over 10 years 8- Over 10 years
		9- Unknown 0- Inapplicable- code where "not applicable" or "no pen-
51		b) <u>Is there a mandatory minimum?</u> alties prescribed" written on schedul
		1- Yes 2- No
		9- Unknown 0- Inapplicable- code where "not applicable" or " no pen- alties prescribed" written on schedule

Card Col. Question

10 (52-54)

D.1 Incarceration

52

- D1a) 1- Yes
2- Yes, but partially suspended
3- No
4- No, suspended
9- Unknown, if incarcerated

CODE 0 IN
COLS. 53-54

53

D1b) Amount of Sentence

- | | |
|--|--|
| 1- 30 days or under | 7- over ten years |
| 2- over 30 days, not over six months | 8- Life imprisonment |
| 3- over six months, not over one year | 9- Incarcerated but amt. unknown |
| 4- over one year, not over two years | 0- Inapplicable, no incarceration sentence |
| 5- over two years, not over five years | code 3 or 9 in col. 52 |
| 6- over five years, not over ten years | |

54

D1c) Amount of Sentence Suspended

- 1- 30 days or under
2- over 30 days, not over six months
3- over six months, not over one year
4- over one year, not over two years
5- over two years, not over five years
6- over five years, not over ten years
7- over ten years
9- Incarceration sentence suspended but amt. of suspension unknown
0- Inapplicable, no suspension of incarceration or no incarceration sentence, coded 1, 3 or 9 in col. 52

55

D.2 Fine

- 1- \$50 or under
2- \$51 - \$99
3- \$100 - \$149
4- \$150 - \$199
5- \$200 - \$249
6- \$250 - \$499
7- \$500 or over
8- No fine
9- Unknown- or amount of fine unknown

Card Col. Question

10 56 D.3. Probation

- 1- Yes - under 6 months
- 2- 6 - 11 months
- 3- 12 - 17 months
- 4- 18 - 23 months
- 5- 24 - 36 months
- 6- Over 36 months
- 7- Yes but amount unknown
- 8- No probation

CODE 0 IN
COLS. 57-66

9- Unknown

57

a) Was probation recommended by the probation department?

- 1- Yes
- 2- No

9- Unknown

0- Inapplicable, no probation, coded 8 in col.56

58

b) Position of prosecuting attorney

- 1- Favored
- 2- Opposed
- 3- No Position

9- Unknown

0- Inapplicable

59

c) Supervision

- 1- To court
- 2- To probation department
- 3- To parent
- 4- To private agency or institution, subject to supervision of probation department
- 5- To reputable citizen
- 6- Other

9- Unknown

0- Inapplicable, no probation, coded 8 in col.56

<u>Ca.</u>	<u>Col.</u>	<u>Question</u>
10	(60-66)	d) <u>Terms</u> <div>IF UNKNOWN CHECKED, CODE 9 IN COLUMNS 60-66</div>
60		1) <u>Medical treatment for narcotics addiction</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 56
61		2) <u>Work</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 56
62		3) <u>Psychiatric treatment</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 56
63		4) <u>School</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 56
64		5) <u>Drug-education class</u> 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 56

<u>Card</u>	<u>Col.</u>	<u>Question</u>
65		6) Other 1- Checked on form 2- Not checked on form 9- Unknown checked on form 0- Inapplicable, coded 8 in col. 56
66		e) Did court provide that upon successful completion of probation: 1- Case would be dismissed 2- Record would be expunged 9- Unknown 0- Inapplicable, coded 8 in col. 56 3- Charge would be reduced 4- Provision not covered in above
67		D.4 <u>First Offender Treatment</u> 1- "Not Applicable" - checked on schedule 2- No 3- Yes 9- Unknown
68		D.5 <u>Was defendant committed to or enrolled in any identifiable rehabilitation program?</u> 1- Yes - narcotics 2- Yes - marihuana 3- No 9- Unknown 0- Inapplicable

END CARD 10

TUSCON ARRESTEES - INCOMPLETE SCHEDULES

<u>Card</u>	<u>Col.</u>	<u>Question</u>
12	1-2	<u>Card Number</u> : 12
	3-7	<u>Study Number</u> : 46519
	(8-13)	<u>Schedule Number</u>
	8-9	1) <u>Jurisdiction</u> : 15
	10	2) The letter "A" (Adult) or "J" (Juvenile) code: 1- "A" 2- "J"
	11-13	3) The number assigned to the individual case (3 digits) 501-735
	14-15	<u>Age of Arrestee</u>
	16	<u>Sex</u> 1- Male 2- Female
	(17-22)	<u>Offenses</u>
	17-18	1) <u>First Offense Listed</u> (USE SEPARATE 'CODE B' OFFENSE SHEET) 99- Unknown
	19-20	2) <u>Second Offense Listed</u> (USE SEPARATE 'CODE B' OFFENSE SHEET) 99- Unknown 00- Inapplicable, less than 2 offenses listed
	21-22	3) <u>Third Offense Listed</u> (USE SEPARATE 'CODE B' OFFENSE SHEET) 00- Inapplicable, less than 3 offenses listed
	(23-27)	<u>Date of Arrest</u>
	23-24	<u>Month</u>
	25-26	<u>Day</u>
	27	<u>Year</u> (CODE LAST DIGIT)
	28-29	<u>Number of persons arrested</u> (CODE ACTUAL NUMBER) (2 DIGITS) 99- Unknown. 00- Single arrest

Card	Col.	Question
1	30	<u>Current Status of Case</u>
	CODE 0 IN COLS. 39-40	1- Closed
	CODE 0 IN COLS. 31-31 & COL. 40	2- Pending
	CODE 0 IN COLS. 31-39	3- Special Juvenile
	31	<u>Case Closed</u>
	CODE 0 IN COLS. 32-38	1- Dismissed at preliminary hearing (no reasons given)
	CODE 0 IN COLS. 34-38	2- 'Dismissed' CODE 'C' REASON IN COLS. 32-33
		3- 'No Complaints Filed' CODE 'C' REASON IN COLS. 32-33
	CODE 0 IN COLS. 32-38	4- 'Acquitted'
		5- To be sentenced
	CODE 0 IN COLS. 32-33	6- Sentenced CODE 'SENTENCE ASSESSMENT'
		0- Inapplicable
	32-33	<u>Reason for Dismissal or No Complaints Filed</u> (USE 'CODE C' REASON SHEET)
		<u>Assessment of Sentence</u>
	1. <u>Incarceration</u>	
	34	D1a) 1- Yes
		2- Yes, but partially suspended
	CODE 0 IN COLS. 35-36	3- No
		4- No, suspended
		9- Unknown, if incarcerated
		0- Inapplicable
	35	2) <u>Amount of Sentence</u>
		1- 30 days or under
		2- over 30 days, not over six months
		3- over six months, not over one year
		4- over one year, not over two years
		5- over two years, not over five years
		6- over five years, not over ten years
		7- over ten years
		8- Life
		9- Incarcerated but amt. unknown
		0- Inapplicable, no incarceration sentence, code 3 or 9 in col. 35

<u>Card</u>	<u>Col.</u>	<u>Question</u>
1	36	3) <u>Amount of Sentence Suspended</u> 1- 30 days or under 2- over 30 days, not over six months 3- over six months, not over one year 4- over one year, not over two years 5- over two years, not over five years 6- over five years, not over ten years 7- over ten years 9- Incarceration sentence suspended but amt. of suspension unknown 0- Inapplicable, no suspension if incarceration or no incarceration sentence, coded 1, 3 or 9 in col. 34
37		4) <u>Fine</u> 1- \$50 or under 2- \$51 - \$99 3- \$100 - \$149 4- \$150 - \$199 5- \$200 - \$249 6- \$250 - \$499 7- \$500 or over 8- No fine 9- Unknown 0- Inapplicable
38		5) <u>Probation</u> 1- Yes - under 6 months 2- 6 - 11 months 3- 12 - 17 months 4- 18 - 23 months 5- 24 - 36 months 6- Over 36 months 7- Yes, but amt. unknown 8- No probation 9- Unknown 0- Inapplicable
39		<u>Pending</u> 2- Trial Phase (not concluded) 1- Preliminary hearing phase (not concluded) 3- Sentencing (not concluded) 4- Arrestee skipped town 5- Other 9- Unknown 0- Inapplicable

<u>Card</u>	<u>Col.</u>	<u>Question</u>
1	40	<u>Special Juvenile Dispositions</u> 1- dismissed. 2- official probation 3- over 18 4- adjusted 5- cont'd on probation 6- Comm. Dept. corr. 7- cont'd to 18th birthday 8- to other jurisdiction 0- Inapplicable - not special juvenile

Marihuana Law Enforcement Study
Codes for Recoded Variables

<u>Variable #</u>	<u>Card</u>	<u>Col.</u>	<u>Variable Name</u>
01	10	71	<u>Prior Contact</u> (Recoded from Card 01, cols. 40-49) 1- No prior durg arrest record 2- Marihuana arrest only 3- Arrest for other drugs only 4- Arrest for both marihuana and other drugs 5- Type of prior arrest unknown
02	10	72	<u>Amount of Marihuana Seized</u> (Recoded from Card 03, cols. 15-20) 1- None 2- Less than 1 gram 3- 1-5 grams 4- 6-30 grams 5- 31 grams and over 6- Amount unknown
03	10	73	<u>Buys Made</u> (Recoded from Card 01, cols. 60-67) 1- No buys made 2- Marihuana only bought 3- Marihuana and other drugs bought 4- Other drugs without marihuana bought 5- Type drugs bought unknown
04	10	74	<u>Termination Stage</u> (Recoded from <u>Card 03, col. 36, Card 03, col. 67, Card 04, col. 80, Card 05, col. 80, Card 07, col. 69, Card 08, col. 61, Card 09, cols. 53, 56, 59, 62, and 65.</u>) 1- Arrest 2- Charge 3- Complaint 4- Pretrial A 5- Pretrial B 6- Pretrial J 7- Case continued TRM 8- RESIDUAL
05	10	75	<u>Complaints Filed</u> (Recoded from Card 04, col. 80 and Card 04, cols. 16, 30, 44, 58, 72) 0- Inapplicable 1- All charges/drug charges dismissed 2- No charges dismissed - all filed as felony 3- No charges dismissed - all filed misdemeanor 4- No charges dismissed - both felony and misdemeanor filed 5- Some charges dismissed - remaining filed as felony 6- Some charges dismissed - remaining filed as misdemeanor 7- Some charges dismissed - remaining filed as felony and misdemeanor 8- Case diverted to juvenile system 9- Disposition unknown

*Summery
complaint
phase*

8 = Residual.

000000

<u>Variable #</u>	<u>Card</u>	<u>Col.</u>	<u>Variable Name</u>
06	10	76-77	<u>Charge Type I - Charge Phase</u> (Recoded from Card 03, cols. 45-58) 01- Inapplicable 02- Possession of Marihuana 03- Sale of Marihuana 04- Possession and Sale of Marihuana 05- Possession of Marihuana and Possession of Other Drugs 06- Possession of Marihuana and Sale of Other Drugs 07- Possession of Marihuana and Non Drug Charge 08- Sale of Marihuana and Possession of Other Drugs 09- Sale of Marihuana and Sale of Other Drugs 10- Sale of Marihuana and Non Drug Charge 11- Possession of Marihuana and Other Drug Related Charge 12- Sale of Marihuana and Other Drug Related Charge 13- Other Charge
07	10	78-79	<u>Charge Type II - Post Arrest Phase COMPLAINT (Arrest - Officer charges)</u> (Recoded from Card 04, cols. 14-15, 28-29, 42-43, 56-57, 70-71) Same codes as for Variable 06 <i>OMIT #8, #12</i>
08	10	80	<u>Juvenile Petition Disposition</u> (Recoded from Card 08, cols. 47-48, 53-54, 59-61) 0- Inapplicable, not a juvenile case or no petition filed 1- Petition dismissed 2- Some counts of petition dismissed 3- Case diverted 4- Petition pending 5- Disposition unknown
09	11	14-15	<u>Charge Type III - Pre-trial Phase A</u> (Recoded from Card 05, cols. 25-26, 36-37, 47-48, 58-59, 69-70) Same codes as for Variable 06
10	11	16	<u>Change of Plea</u> (Recoded from Card 07, cols. 16, 27, 38, 49, 60) 0- Inapplicable 1- All counts felony - no change in plea 2- All counts misdemeanor - no change in plea 3- Both felony and misdemeanor - no change in plea 4- All counts felony - changed plea to guilty in one or more 5- All counts misdemeanor - changed plea to guilty in one or more 6- Both felony and misdemeanor - change of plea for both 7- Changed plea for misdemeanor - not for felony 8- Changed plea for felony - not for misdemeanor 9- Other or unknown

<u>Variable #</u>	<u>Card</u>	<u>Col.</u>	<u>Variable Name</u>
11	11	17-18	Charge Type IV - Pre-trial Phase B (Recoded from Card 07, cols. 14- 15, 25- 26, 36- 37, 47- 48, 58- 59) Same codes as for Variable 06
12	11	19-20	Charge Type V - Trial (Recoded from Card 09, cols. 51- 52, 54- 55, 57- 58, 60- 61, 63- 64) Same codes as for Variable 06
13	<u>11</u>	<u>21</u>	<u>Pre-trial Motions to Suppress Evidence</u> (Recoded from Card 06, cols. 24, 30, 36, and 42) <div style="margin-left: 20px;"> <i>X-246</i> <i>1089</i> <i>56</i> <i>189</i> <i>1</i> <i>1156</i> </div> 0- Inapplicable 1- One or more motions made - all denied 2- One or more motions made - all granted 3- One or more motions granted and one or more denied 4- Other - no motions to suppress evidence or unknown
14	11	22	<u>History of Drug Use</u> (Recoded from Card 01, cols. 31 -36) 1- No history of drug use 2- History of marihuana use only 3- History of marihuana use and use of other drugs 4- History of use of other drugs without marihuana
15	11	23	<u>Length of Marihuana History</u> (Recoded from Card 01, col. 31) 1- No marihuana history 2- Less than one year 3- One year 4- Two years 5- Three or more years 6- Length of time unknown
16	11	24	<u>Number of Prior Arrests</u> (Recoded from Card 01, cols. 37-39) 1- No prior arrests 2- One known prior arrest 3- More than one prior arrest 4- Arrest record unknown
17	<u>11</u>	<u>25</u>	<u>Trial Motions to Suppress Evidence</u> (Recoded from Card 09, cols. 24,30,36 and 42) Same codes as for Variable 13

<u>Variable #</u>	<u>Card</u>	<u>Col.</u>	<u>Variable Name</u>
18	11	26	<u>Trial Verdict</u> (Recoded from Card 09, Cols. 53,56,59,62,65). 0- Inapplicable 1- All charges dismissed 2- Guilty - all charges 3- Not guilty - all charges 4- Guilty for at least one charge plus other dispositions 5- Other 6- Unknown
19	11	27-28	<u>Charge Type VI A - Sentencing</u> (Recoded from Card 10, Cols. 21-22, 23-24, 25-26) Same codes as for Variable 06
20	11	29-30	<u>Charge Type VI B - Sentencing</u> (Recoded from Card 10, cols. 46-47, 48-49)
21	11	31-32	<u>Reasons for Dismissal - Charge Phase</u> (Recoded from Card 03, cols. 60-61) 00- Inapplicable 01- Unlawful search 02- No evidence against arrestee 03- Small amount does not justify prosecution 04- Cooperating defendant 05- Police refuse to identify informant 06- No prosecutorial merit 07- No prior criminal record 08- Certified to juvenile authorities 09- Arrestee agrees to plead guilty 10- Prosecution confident of conviction on other charges 11- Other 12- Unknown
22	11	33-34	<u>1st Reason for Dismissal - 1st Charge - Complaint Phase</u> (Recoded from Card 04, cols. 18-19) Same codes as for Variable 21
23	11	35-36	<u>2nd Reason for Dismissal - 2nd Charge - Complaint Phase</u> (Recoded from Card 04, col. 20-21) Same codes as for Variable 21
24	11	37-38	<u>1st Reason for Dismissal - 2nd Charge - Complaint Phase</u> (Recoded from Card 04, col. 32-33) Same codes as for Variable 21

<u>Variable #</u>	<u>Card</u>	<u>Col.</u>	
	11	39-40	<u>2nd Reason for Dismissal - 2nd Charge - Complaint Phase</u> (Recoded from Card 04, cols. 34-35) Same codes as for Variable 21
26	11	41-42	<u>1st Reason for Dismissal - 3rd Charge - Complaint Phase</u> (Recoded from Card 04, cols. 46-47) Same codes as for Variable 21
27	11	43-44	<u>2nd Reason for Dismissal - 3rd Charge - Complaint Phase</u> (Recoded from Card 04, cols. 48-49) Same codes as for Variable 21
28	11	45-46	<u>1st Reason for Dismissal - 1st Charge - Initial Judicial Phase</u> (Recoded from Card 05, cols. 30-31) Same codes as for Variable 21
29	11	47-48	<u>2nd Reason for Dismissal - 1st Charge - Initial Judicial Phase</u> (Recoded from Card 05, cols. 32-33) Same codes as for Variable 21
30	11	49-50	<u>1st Reason for Dismissal - 2nd Charge Initial Judicial Phase</u> (Recoded from Card 05, cols. 41-42) Same codes as for Variable 21
31	11	51-52	<u>2nd Reason for Dismissal - 2nd Charge - Initial Judicial Phase</u> (Recoded from Card 05, cols. 43-44) Same codes as for Variable 21
32	11	53-54	<u>1st Reason for Dismissal - 3rd Charge - Initial Judicial Phase</u> (Recoded from Card 05, cols. 52-53) Same codes as for Variable 21
33	11	55-56	<u>2nd Reason for Dismissal - 3rd Charge - Initial Judicial Phase</u> (Ignore these columns - No cases in this variable)
34	11	57-58	<u>1st Reason for Dismissal - 1st Charge - Pre-trial Phase</u> (Recoded from Card 07, cols. 19-20) Same codes as for Variable 21
35	11	59-60	<u>2nd Reason for Dismissal - 1st Charge - Pre-trial Phase</u> (Recoded from Card 07, cols. 21-22) Same codes as for Variable 21
36	11	61-62	<u>1st Reason for Dismissal - 2nd Charge - Pre-trial Phase</u> (Recoded from Card 07, cols. 30-31) Same codes as for Variable 21
37	11	63-64	<u>1st Reason for Dismissal - 3rd Charge - Pre-trial Phase</u> (Recoded from Card 07, cols. 41-42) Same codes as for Variable 21

<u>Variable #</u>	<u>Card</u>	<u>Col.</u>	
38	11	65-66	<u>2nd Reason for Dismissal - 3rd Charge - Pre-Trial Phase</u> (Recoded from Card 07, cols. 43-44) Same codes as for Variable 21
39	11	67-68	<u>1st Reason for Dismissal - 4th Charge - Pre-Trial Phase</u> (Recoded from Card 07, cols. 52-53) Same codes as for Variable 21
40	11	69-70	Cols. left blank
41	11	71-72	<u>1st Reason for Dismissal of Juvenile Petition</u> (Recoded from Card 08, cols. 47-48) Same codes as for Variable 21
42	11	73-74	<u>2nd Reason for Dismissal of Juvenile Petition</u> (Recoded from Card 08, cols. 49-50) Same codes as for Variable 21
43	11	75-76	<u>3rd for Dismissal of Juvenile Petition</u> (Recoded from Card 08, cols. 51-52) Same codes as for Variable 21
44	11	77-78	<u>Juvenile Delinquent Offense Type</u> (Recoded from Card 08, cols. 23-24, 25-26, 27-28, 29-30, 31-32, 33-34, 35-36) Same codes as for Variable 06

545

CASE OUTCOME (949-950)

Card 00 Col 69-70

1. Arrest Phase
2. Charge Phase
3. Complaint Phase
4. Initial Formal Judicial
5. Subsequent Pretrial
6. Juvenile Pretrial
7. Trial Phase
8. Guilty by Plea
9. Guilty by Trial
10. Not Guilty
11. Other Trial Dispositions
12. Pending
13. Unknown

See page 652 of the MS (Table 27) for its useage. Applies to all Jurisdictions and to both adults and juveniles.

Summary Card

To Be Coded After All Other Cards Coded

<u>Card</u>	<u>Col.</u>	<u>Variable</u>
00	1-2	<u>Card Number:</u> 00
	3-7	<u>Study Number:</u> 46519
	8-13	<u>Schedule Number</u>
	14-16	<u>Arrest Group Number</u> Number assigned to members of same arrest group within jurisdiction - <u>CODE NUMBER WRITTEN IN RED</u> <u>FOLLOWING "SAME ARREST GROUP" ON FIRST PAGE OF</u> <u>SCHEDULE.</u> 000- No Arrest group CODE COLS. 17 - 24 FROM EDITING NOTES ON COVER SHEET
(17-20)	17-18	<u>Time Lapse Between Arrest and Final Disposition</u> Both months and days to be coded where applicable. Example: "2 months and 10 days" - coded 02 in cols. 17-18 and 10 in cols. 19-20. <u>Months</u> 00 - Less than one month - (Code # days in 19-20) 01 - One month 02 - Two months ↓ 88 - no final disposition 99 - unknown, or disposition files not available
	19-20	<u>Days</u> 01 - One day or less 02 - Two days ↓ 30 31 88 - No final disposition. 99 - Unknown, or disposition files not available 00 - Not in this column - even months.
21-22		<u>Stage of Final Disposition - Adult</u> 01 - Arrest 02 - Charge 03 - Complaint 04 - Initial Judicial Proceeding - no sentence 05 - Initial Judicial Proceeding plus sentencing 06 - Second Pre-trial Judicial Proceeding - no sentence 07 - Second Pre-trial Judicial Proceeding plus sentencing 08 - Trial - no sentence. 09 - Trial plus sentencing 88 - No final disposition. 99 - Unknown, disposition files not available. 00 - Inapplicable, juvenile case

<u>Card</u>	<u>Col.</u>	<u>Variable</u>
C	23-24	<u>Stage of Final Disposition - Juvenile</u> 01 - Arrest 02 - Charge 03 - Post-Arrest Pre-Trial (p.23) 04 - Trial - no sentence 05 - Trial plus sentencing 06 - Initial Judicial Procedure (p.24) 88 - No final disposition. 99 - Unknown, disposition files not available. 00 - Inapplicable, adult case

CODE FROM CODING FORM

25-26 Number of Cards Coded for Case -
 (DO NOT INCLUDE THIS CARD)
 03 -
 ↓
 10 - Ten cards.

(27-30)		<u>Card and Column Number of Termination</u>
27-28 -		<u>CARD NUMBER</u>
29-30 -		<u>COLUMN NUMBER</u>

Recode Termination

END CARD 00

Code A

Prior Offenses (Arrests)

10- Possession of "narcotic" drug

- 11- Possession of marihuana
- 12- Possession of heroin or other opium derivatives
- 13- Possession of cocaine or derivatives

20- Possession of "dangerous" drugs

- 21- Possession of amphetamines
- 22- Possession of barbiturates
- 23- Possession of LSD
- 24- Possession of other "dangerous" drugs

30- Sale of "narcotic" drugs

- 31- Sale of marihuana
- 32- Sale of heroin or other opium derivatives
- 33- Sale of cocaine or derivatives

40- Sale of "dangerous" drugs

- 41- Sale of amphetamines
- 42- Sale of barbiturates
- 43- Sale of LSD
- 44- Sale of other "dangerous" drugs

50- Narcotic Drugs - possession of over statutory amount or possession with intent to sell (super possession)

- 51- Super possession of marihuana
- 52- Super possession of heroin or other opium derivatives
- 53- Super possession of cocaine or derivatives

60- Dangerous drugs - super possession)

- 61- Super possession of amphetamines
- 62- Super possession of barbiturates
- 63- Super possession of LSD
- 64- Super possession of other "dangerous" drugs

Prior Offenses (continued)

- 70- Sale of drugs to minor
 - 71- Sale of marihuana to minor
 - 72- Sale of narcotic drugs to minor
 - 73- Sales of dangerous drugs to minor
- 80- "Presence in illegal establishment" or "present in place with knowledge that drugs are being used"
- 81- Maintaining an illegal establishment
- 82- Narcotics vagrancy
- 83- Possession of narcotics paraphernalia
- 84- Disorderly conduct
- 85- Public drunkenness
- 86- Illegal possession of alcohol
- 87- Other drug-related misdemeanor
- 88- Other drug-related felony
- 90- Politically-related crime
- 91- Non-drug-related misdemeanor
- 92- Violent crime
- 93- Other major crime (non-drug-related non-violent felony)
- *94- Delinquency (Code 99 used by Researcher)
- *99- Offenses Unknown - (Code 100 used by Researcher)

Code B

Present Offenses

- 01- 1. Possession (marihuana)
- 02- 1A.
- 03- 1B.
- 04- 2. Sale (marihuana)
- 05- 2A.
- 06- 2B.
- 07- 3. Possession of over statutory minimum (marihuana)
- 08- 3A.
- 09- 3B.
- 10- 4. Possession with intent to sell (marihuana)
- 11- 4A.
- 12- 4B.
- 13- 5. Cultivation (marihuana)
- 14- 6. Use (marihuana)
- 15- 7. Sale to minor (marihuana)
- 16- 7A.
- 17- 7B.
- 18- 8. Possession of implements of crime or possession of narcotics paraphrenalia
- 19- 9. Presence in illegal establishment/or being in or remaining in a place with knowledge that drugs are being used
- 20- 10. Maintaining an illegal establishment
- 21- 11. Narcotics vagrancy
- 22- 11A.
- 23- 11B.
- 24- 12. Possession (and variants) of dangerous drugs.
- 25- 12A.
- 26- 12B.
- 27- 13. Possession (and variants) of heroin or other opiates
- 28- 13A.
- 29- 13B.
- 30- 14. Possession (and variants) of cocaine
- 31- 14A.
- 32- 14B.
- 33- 15. Sale (and variants) of dangerous drugs
- 34- 15A.
- 35- 15B.
- 36- 16. Sale (and variants) of heroin or other opiates
- 37- 16A.
- 38- 16B.
- 39- 17. Sale (and variants) of cocaine
- 40- 17A.
- 41- 17B.
- 42- 18. Other drug-related offense
- 43- 18A.
- 44- 18B.

Present Offenses (continued)

- 45- 19. Loitering
- 46- 20. Disorderly conduct
- 47- 21. Other: non-drug-related misdemeanor
- 48- 21A.
- 49- 21B.
- 50- 22. Other: non-drug-related felony
- 51- 22A.
- 52- 22B.
- 53- 22C.
- 54- 23. Prior conviction which, if demonstrated would subject defendant
to increased penalties under recidivist statute or separate
provision of drug laws
- 55- 23A.
- 56- 23B.
- 57- 10A Maintaining illegal establishment
- 58- 10B Maintaining illegal establishment

Code C

Reasons for Dismissal, Diversion, or Reduction of Offense

01. Search unlawful because incident to unlawful arrest
02. Search unlawful because unreasonable in scope
03. Cannot link evidence to arrestee
04. Not usable amount of marihuana; no prosecution as a matter of law
05. Small amount of marihuana; does not justify prosecution as a matter of policy
- ~~06.~~ Small amount of marihuana; does not justify prosecution for the more serious offense as a matter of policy
- ~~07.~~ Admissible evidence fails to establish all elements of offense
08. Arrestee agrees to cooperate in apprehending other violators
09. Arrestee agrees to turn "state evidence"
- ~~10.~~ Police refuse to reveal identity of informant or undercover agent whose testimony is essential
11. "No prosecutorial merit"
12. Dismissal required in "interests of justice"
- ~~13.~~ Evidence judicially suppressed
14. Arrestee (defendant) has no prior criminal record
15. Arrestee (defendant's) general personal situation militates against prosecution
16. Arrestee certified to juvenile authorities
- ~~17.~~ Arrestee certified to juvenile court
- ~~18.~~ Arrestee (defendant) agrees to plead guilty to another charge on this schedule
- ~~19.~~ Arrestee (defendant) agrees to plead guilty to this charge
- ~~20.~~ Arrestee (defendant) agreed to plead guilty to another charge (not in this schedule)

Reasons for Dismissal, Diversion, or Reduction of Offense (cont'd)

- ~~21.~~ Prosecution confident of conviction on remaining charges
- ~~22.~~ Prosecution confident of conviction on another charge
(not in this schedule)
- 23. Prosecution failed to establish prima facie or reasonable
cause for defendant to be held
- ~~24.~~ Grand Jury refused to return indictment
- 25. Other

Code D

Diversions

1. Case referred to juvenile authorities
2. Case certified to juvenile court
3. Case certified to inferior criminal court
4. Arrestee (defendant) placed on informal probation prior to/in lieu of prosecution or trial
5. Defendant certified to treatment or rehabilitation center
6. Arrestee (defendant) transferred to another jurisdiction where more serious charge is pending
7. Hold docket
8. Other

DRUG CODES

- 01- Marihuana
- 02- Heroin or other opiates
- 03- Cocain or derivatives
- 04- Controlled substances - dangerous drugs -
non-specified
- 05- Barbiturates (e.g,Nembutal, Seconal, Ambutal, etc.)
- 06- Controlled substances - Amphetamine, Dexadrine,
Benzadrine, etc.
- 07- Controlled substances - Methadrine - also called
Speed or Methamphelamine
- 08- Controlled substance - LSD - Acid
- 09- Controlled substance - psychodelics other than LSD -
Mescaline, Peyote, Morning Glory Seeds, Angel Dust,
DOM, STP, MDA, DPT.
- 10- Other
- 99- Unknown
- 00- Inapplicable

JURISDICTION (position 8-9)

Population

WASHINGTON METROPOLITAN AREA

1	Washington, D.C.	756,510
2	Montgomery County Md.	522,809
3	Prince George's County Md.	660,564
4 P	Prince William County, Va.	111,102
5	Alexandria, Va.	110,938
6	Arlington County, Va	174,284
7	Fairfax County, Va	455,021

RURAL VIRGINIA

8	Charlottesville	38,880
9	Albemarle County	37,780
10	Green County	5,240
11 L	Louisa County	14,004
12	Madison	8,638
13		

14	San Mateo County California	Weight equals 2 — 556,234
15	Pima County (Tucson) Arizona	Weight equals 3 — 351,667
16	Chicago, Illinois	Weight equals 10 — 5,487,845 3,362,947
17	Cook County, Illinois	5,487,845
21	Dallas, Texas	844,280
22	Omaha, Nebraska, <u>DOUGLAS COUNTY</u>	389,455

IN ORDER OF SEVERITY OF JUDICIALLY PRESCRIBED PENALTIES

- 1 Nebraska (22)
- 2 Washington, D.C. (1)
- 3 Maryland (2,3)
- 4 Arizona (15)
- 5 Virginia (urban is 4-7, rural is 8-13)
- 6 California (14)
- 7 Illinois (16,17) tied with ~~EM~~ Texas (21)

Jurisdiction 04

- 1- Underwood
- 2- May
- 3- Sinclair
- 4- Oasser
- 5- Roze

Jurisdiction 05

- 1- Colby
- 2- Wright
- 3- Calasanto
- 4- Stover
- 5- Giammittorio

Jurisdiction 08

- 1- Zehler, Jr.
- 2- Spitzer
- 3- Coles
- 4- Fields

Jurisdiction 09

- 1- Neal
- 2- Wadell

Jurisdiction 12

- 1- Berr

Jurisdiction 13

- 1- Purcell

Lists of Judges (Chicago)

01- Murphy
02- Olson
03- Suria
04- Winer
05- Casey
06- Maher
07- DeBon
08- Palmer
09- Hatfield
10- Whiting
11- Jankowski
12- Kaplan
13- Cerda
14- Guthman
15- Buckley
16- Dolce
17- Lee
18- Zelezinski
19- Moran
20- Zimmerman
21- MacHala
22- Kelly
23- O'Malley
24- LeFevour
25- Blakey
26- Cord
27- Gerrity
28- Stryker
29- Kergman
30- Orsler
31- Crane
32- Welfeld
33- Samuels
34- While
35- Zander
36- Greese
37- Gleason

38- Durham
39- Gentry
40- Collins
41- Caffery
42- Edelstein
43- Dunn
44- Kangman
45- Rosin
46- McCaffery
47- Goldstein
48- Crowley
49- Komosa
50- Genesen
51- Gerocherer
52- Kapan
53- Jarnow
54- Niles
55- Heckinger
56- Janega
57- Henry- Hensen
58- Towalski
59- Dolezal
60- Ceaser
61- Duffy
62- Dempsey
63- Duggan
64- Hart
65- Petrone
66- Snyder
67- Svigusa
68- LaPlante
69- Mooney
70- Pompey
71- Mukian
72- Field
73- Arkiss
74- Oakey

75- Fitzgerald
76- Green
77- Sullivan
78- Gecaris
79- Hyde
80- Parton

99- Unknown

Cook County Subjurisdictions Other Than
Chicago

001- Alsip	051- Hodgkins	101- Rosemont
002- Arlington Heights	052- Hoffman Estates	102- Sauk Village
003- Barrington	053- Hometown	
004- Barrington Hills	054- Homewood	103- Schaumburg
005- Bartlett	055- Indian Head Park	104- Schiller Park
006- Bedford Park	056- Justice	105- Skokie
007- Bellwood	057- Kenilworth	106- So. Chicago Heights
008- Berkeley	058- La Grange Park	107- So. Holland
009- Berwyn	059- Lansing	108- Steiger
010- Blue Island	060- Le Grange	119- Stickney
011- Broadview	061- Lemont	110- Stone Park
012- Bridgeview	062- Lincolnwood	111- Streamwood
013- Brookfield	063- Lyons	112- Summit
014- Buffalo Grove	064- McCook	113- Thornton
015- Burnam	065- Markham	114- Tunley Park
016- Burr Ridge	066- Matteson	115- Westchester
017- Calumet	067- Maywood	116- Western Springs
018- Calumet Park	068- Melrose Park	117- Westhaven
019- Cicero	070- Merrionette Park	118- Wheeling
020- Chicago Heights	071- Midlothian	119- Willows Springs
021- Chicago Ridge	072- Morton Grove	120- Wilmette
022- Country Club Hills	073- Mount Prospect	121- Winetka
023- Countryside	074- Niles	122- Worth
024- Crestwood	075- Norridage	
025- Deerfield	076- Northbrook	
026- Des Plaines	077- Northfield	
027- Dixmoor	078- Northlake	
028- Dolton	079- North Riverside	
029- East Chicago Heights	080- Oak Forest	
030- East Hazelcrest	081- Oak Lawn	
031- Elgin	082- Oak Park	
032- Elk Grove Village	083- Olympia Fields	
033- Elmwood Park	084- Orland Park	
034- Evanston	085- Palatine	999- No Answer
035- Evergreen Park	086- Palos Heights	
036- Flossmoor	087- Palos Hills	
037- Forest Park	088- Palos Park	
038- Forest View	089- Park Forest	
039- Franklin Park	090- Park Ridge	
040- Glencoe	091- Phoenix	
041- Glenview	092- Posen	
042- Glenwood	093- Richton Park	
043- Golf	094- River Dale	
044- Hanover Park	095- River Forest	
045- Harvey	096- River Grove	
046- Harwood Heights	097- River Side	
047- Hazel Crest	098- Robbins	
048- Hickory Hills	099- Rolling Meadows	
049- Hillside	100- Roselle	
050- Hinsdale		

NORTHERN VIRGINIA JUDGES

Alexandria

Municipal Court

01- James Colasanto
02- Daniel O'Flaherty
03- James Woolls

Corporation &
Circuit Court

04- George Giammittorio
05- Frank Backus
06- Wiley Wright

Circuit Judges

07- Arthur Sinclair
08- Lewis Morris
09- Percy Thornton
10- Burch Mills ap
11- Albert Bryan
12- Bernard Jennings

38- James Keith

39- William Plummer

Juvenile/Domestic Rel.

13- Irene Pancoast
14- Joseph Peters

Arlington

Circuit Court

15- Walter McCarthy
16- William Winston
17- Paul Broen
18- Charles Russell
19- Thomas Dodge
20- Jackson Embrey
21- Linuse Verzi

42- Thomas

43- Gannon

44- Bodson

45- Smith

46- Shealey

47- Johnson

Juvenile/Dom.Rel.

22- Burton Kramer
23- Andrew Ferrari
24- Hugh Cregger

Fairfax

Circuit Court

Same as Alexandria

County Court

25- John Rothrock
26- Mason Grove
27- Martin Morris
28- Donald Crounse

Fairfax

* 33- Jenks
* 34- Marson
* 35- Ball
* 36- Weinhardt
* 37- West
* 40- Narge

Juvenile/Dom.Rel.

29- Frank L. Deierhoi
30- Richard Jamborsky

36- Weinhardt

37- West

40- Narge

41- Brown

Prince William

Circuit Court

Same as Alexandria and Fairfax

County

31- W.W. May
32 McDougal Rice

MAKE CARD

99- Not on List (Unknown)

08- [REDACTED]

San Mateo County

Judges on bench of adult courts:

01- Carey
02- Cohn
03- Comaich
04- Conklin
05- Freeborn
06- Harpham
07- Hartley
08- Ice
09- Johnsom
10- Kane
11- Miller
12- Rouse
13- Seagraves
14- Thomas

Judges on bench of juvenile courts:

15- Harrington
16- Hartley
17- Lawrence
18- Lovoi
19- Schneider
* 20- Myers

99- No Answer

LIST OF JUDGES COOK COUNTY - 465-19

01- Bakakas
02- Hensey
03- Jorzak
04- Peters
05- O'Malley
06- Zimmerman
07- Ceaser
08- Porter
09- Solomon
10- Stillo
11- Kelly
12- McKay
13- Higgins
14- Breen
15- Geacaris
16- DeBoe
17- Niles
18- Schatz
19- Glowacki
20- Maher
21- Hatfield
22- Hughes
23- Duffy
24- Peragine
25- McGah
26- Crane
27- Kiphis
28- Gill
29- Schrier
30- Connel
31- Korfist
32- Salerno
33- Flaherty

99- No Answer.

CRIMINAL COURT JUDGES - ARIZONA

- 01- John P. Collins
- 02- Lee Garrett
- 03- Robert O. Royston
- 04- Lawrence W. Galligan
- 05- Mary Ann Richey
- 06- Alice Truman
- 07- Richard N. Royston
- 08- Jack G. Marks
- 09- Ben C. Birdsall
- 10- Norman S. Fenton
- * 11- La Vetter
- * 12- Avalos

MAKE
CARD

99- Not on List (No answer - Unknown)

CRIMINAL COURT JUDGES-DALLAS

July 1, 1970 - December 31, 1971

Criminal District Courts

- 01 CDC - The Honorable Jerome Chamberlain
- 02 CDC #2 - The Honorable Charlie T. Davis
- 03 CDC #3 - The Honorable James Zimmerman
- 04 CDC #4 - The Honorable John Mead
- 05 CDC #5 - The Honorable Ed Gossett
- 06 194th Judicial District Court - The Honorable John Vance
- 07 195th Judicial District Court - The Honorable R. T. Scales

Dallas County Courts

- 08 The Honorable James Guthrie
- 09 The Honorable Ben Ellis
- 10 The Honorable Newton Fitzhugh
- 11 The Honorable John Orvis

Other Judges

- 12 The Honorable Judge Russell
- 13 The Honorable J. H. Loving Jr.
- 14 The Honorable Judge Orndoff
- 15 The Honorable Judge Holland
- 16 The Honorable Judge Robertson
- 17 The Honorable Judge Friedlander
- 18 The Honorable Judge Gamble
- * 19- The Honorable Judge Naylor
- * 20- The Honorable Judge Chapman
- * 21- The Honorable Judge Goodwin
- * 22- The Honorable Judge Dimon
- * 23- The Honorable Judge Hamilton
- * 24- The Honorable Judge Cole
- * 25- The Honorable Judge Gordin

MAKE
CARD

99- No Answer - Not on list

Circuit Court Judges

01- Pawers
02- McCullough
03- Taylor
04- Mathias
05- Meloy
06- DeBlasis
07- Bowie
08- Parker
09- Loveless

People's Court Judges

10- Joseph Brown
11- Tom Brown
12- Chasarcow
13- Wray
14- Waldion
15- McGrath
16- Woods

Juvenile Court Masters

17- Hutchison
18- Mason
* 19- Rea

99- No Answer

Circuit Court Judges

01- Thomas Anderson
02- Kathryn Dufour
03- James Pugh
04- Ralph Shure
05- Walter Morman
06- Joseph Mathias
07- John Moure
08- Palmer Shearin
09- Irving Levine
10- H. Ralph Miller

District Court Judges

11- Philip Fairbanks
12- J. Hodge Smith
13- Calvin P. Sanders
14- Richard Latham
15- William Cave
*16- Tracey

99- No Answer

LIST OF JUDGES (7/1/70-12/31/70)

WASHINGTON, D. C.

Juvenile

- 01- Orman W. Ketcham
- 02- John D. Fauntleroy
- 03- George Goodrich
- 04- Harold H. Greene

Superior Court

- 05- Chief Judge Harold H. Greene
- 06- Harry T. Alexander
- 07- Sylvia Bacon
- 08- Mary C. Barlow
- 09- Edward A. Beard
- 10- James A. Belson
- 11- Leonard Braman
- 12- Alfred Burka
- 13- Edmond T. Daly
- 14- John F. Doyle
- 15- George H. Goodrich
- 16- Charles W. Halleck
- 17- Eugene N. Hamilton
- 18- Stanley S. Harris
- 19- DeWitt S. Hyde
- 20- Norma Holloway Johnson
- 21- Milton D. Korman
- 22- John J. Malloy
- 23- Paul F. McArdle
- 24- Fred L. McIntyre
- 25- Tim Murphy
- 26- Theodore R. Newman, Jr.
- 27- Nicholas S. Nunzio
- 28- Garrett Penn
- 29- William C. Pryor
- 30- George H. Revercomb
- 31- W. Byron Sorrell
- 32- William E. Stewart, Jr.
- 33- Dyer Justice Taylor
- 34- William A. Washington, Jr.
- 35- Milton S. Kronheim, Jr. (Rtd)
- 36- George D. Neilson (Rtd)
- 37- Thomas C. Scalley (Rtd)

LIST OF JUDGES - WASHINGTON, D. C. (cont'd)

District Court

38- John J. Sirica
39- George L. Hart, Jr.
40- Leonard P. Walsh
41- William B. Jones
42- Howard F. Corcoran
43- Oliver Gasch
44- William B. Byrant
45- John Lewis Smith, Jr.
46- Aubrey E. Robinson, Jr.
47- Joseph C. Waddy
48- Gerhard A. Gessel
49- John H. Pratt
50- June L. Green
51- Barrington D. Parker

Senior District Judges

52- Matthew R. McGuire
53- Richmond B. Keech
54- Edward M. Curran
55- Charles F. McLaughlin
56- Burnita Shelton Matthews
57- Luther W. Youngdahl
58- Joseph C. McGarraghy
* 59- Thompson

99- No Answer

000000000000000000000000

403 EVEN. H. BLK #000001

[illegible]

846519011001

1046519011001

011200 0 0 0 0 0 0 010 0 1 0 0 0 0 0 0 0 0 0 0 0 0 4 0 0 0 020

14146 7 701146519011001 20 0 0

BLOCK 000001 403 LENGTH 000960 CHARS

[illegible]

746519011002

846519011002

946519011002

1046519011002

011100 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 3 0 0 0 0 14

16131131301146519011002 00 0 0

BLOCK 000002 403 LENGTH 000960 CHARS

[illegible]

746519011003

846519011003

946519011003

1046519011003

041300 0 0 0 0 011 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 012 0 0 0 0 030

36186 7 701146519011003 00 0 0

~~BLOCK 000003 403 LENGTH 000960 CHARS~~

[illegible]

746519011004

846519011004

946519011004

1046519011004

011100 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 3 0 0 0 0 13

16131131301146519011004 00 0 0

BLOCK 000004 403 LENGTH 000960 CHARS

1046519011005 16131111101146519011005 00 0 0

[illegible][illegible]

```
04651901100800000019900030373          1465190110080009201  
1140511120410020135329211858321112106210100000009200    2465190110081100003000000000000000000000  
0000000000000000000000110000000000000001    34651901100819999990100002000000101100000000400000000000098  
0000005000000    446519011008  
546519011008  
  
                        846519011008    746519011008    646519011008  
  
                946519011008  
  
046519011008                                46220 3 001146519011008 00 0 0  
035300 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 2 0 0 0 030
```



Eva Crider
March 23, 1993
3-220-73-228

VALIDATION STATEMENT

When the National Archives acquired custody of this file, the accessioning procedures called for a manual comparison of the documentation to a printout of a portion of the records in each data set. This manual comparison is referred to as a "preliminary assessment" or "validation." The number of records which were compared varied from data set to data set. However, as a general rule the comparison involved less than ten records and was limited to only the first and last records in each data set. This is a statement of the results of the preliminary assessment or validation.

title: Marijuana Drug Enforcement Study

logical record length: 960

number of datafiles: 1

The user should note several discrepancies between a sample printout of the data and the documentation discovered during hand validation.

- Although the documentation refers to the data in terms of 80 character cards, all of the data about each case is contained in one record, with an identifying variable noting where each new 'card' begins.

- The documentation begins by listing the fields and variables for card 1. The first 80 characters in the sample dump, however, are noted as card 0. The codes for card 0 are on pages 106 and 107 of the documentation.

- The codes and variable listings for everything after card 10, position 72 are listed with the "recoded variables" beginning on page 99 of the documentation.

- While the documentation refers to the cards as 01, 02, 03 and so on, the sample printout records them as 1, 2, and 3 with a blank character in front of them. Thus, position 1 of a each card in a record is blank.

-In the first record in block 1, card 10 position 80 appears as 7 on the sample printout. 7 is not one of the accepted values for that position in the documentation.