

CASE STUDY

EU MiCA REGULATORY APPLICATION

EU CRYPTO BROKER

Client Context

A Norway-based crypto broker, registered with the Norwegian Financial Authorities, providing digital asset trading services to retail and institutional clients. The firm wanted a MiCA-readiness assessment and application support, particularly focused on EWRA, Regulatory Policy, Training, Operational Resilience and Regulatory Reporting.

Approach

- Comprehensive regulatory transformation.
- Policy benchmarking v. Norwegian and MiCA reqs.
- Development of an EWRA.
- Structured [training programmes](#).
- MiCA readiness advisory on governance, resilience, and reporting.
- Supported Compliance Officer onboarding.
- Embedded enhanced AML, risk, and compliance frameworks across the organisation.

Capabilities Applied

MiCA readiness advisory * Regulatory policy review and benchmarking * Governance and organisational design
Enterprise-Wide Risk Assessment * AML and financial crime framework * Compliance training delivery
Compliance Officer onboarding * Regulatory reporting readiness
End-to-end compliance transformation aligned to EU/EEA supervisory expectations

Regulatory Challenge

Rapid growth exposed gaps in compliance maturity, including absence of a dedicated Compliance Officer, incomplete Enterprise-Wide Risk Assessment and insufficient training programmes. Policies existed but were not aligned with MiCA's integrated expectations for governance, AML controls and regulatory reporting and demonstrable organisational robustness required an upgrade.

Impact

Client achieved MiCA Authorisation.

The client achieved a strengthened compliance framework with formalised governance structures, embedded risk methodologies and a fully resourced compliance function. Alignment to MiCA expectations enhanced regulatory credibility, enabling the board to evidence proactive risk management and positioning the firm for sustained growth under a unified EU/EEA regulatory regime.

Summary

Client

Norwegian Crypto Broker

Scope

MiCA Authorisation

Duration

6 months

Focus

Governance, controls, policies

OUTCOME

The client successfully achieved MiCA Authorisation

NEXT STEPS

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