

SERVICE DESCRIPTION

FCA FSMA APPLICATION – PREPARATION

WE CAN HELP YOU PREPARE YOUR APPLICATION

SERVICE SCOPE & OVERVIEW

Digital Assets FSMA applications expand the scope of supervision to be on a par with the supervision of banks & asset managers and to be wider than EMR/PSR regulation of payments firms.

The team at Coburn has decades of experience of regulatory applications and retention under the FSMA regime. Our service coverage such as Regulatory Rules Mapping, SMCR Advisory and Client Assets mean we are well positioned to support the full scope of applications.

PROCESS



PRICING

£100,000 - £250,000 +VAT
depending on scale of firm and how much work Coburn does versus how much client does

DELIVERABLES – FSMA APPLICATION

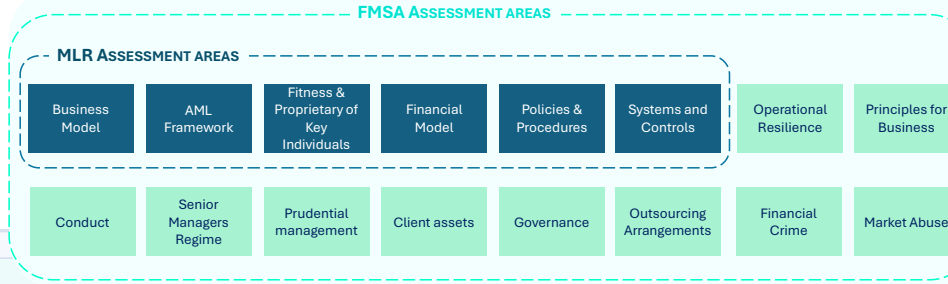
- A full FCA FSMA application with governance & compliance framework and controls evidence.
- Client determines what they will do
 - Coburn does the rest

KEY ADDITIONS OVER MLR INCLUDE: Op Resilience, SMCR, CASS, Prudential Management, COND, PRIN, SYSC

COBURN HAS A **100% TRACK RECORD OF SUCCESS** WITH FCA CRYPTO APPLICATIONS

SUMMARY OF SERVICE

Scope includes



Timeline/Duration
3 months

KEY BENEFITS

- ✓ **EFFICIENT: BUILDS ON EXISTING REGULATORY FRAMEWORKS**
- ✓ **ENSURES ALL FRAMEWORKS, CONTROLS & EVIDENCE ARE FIT FOR PURPOSE**

NEXT STEPS

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