

Cover Page



KENNETH "SEAN" POLLEY
250 North Orange Ave., Suite 1116
Orlando, FL 32801
Phone: (407) 337-1085

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**FORM ADV PART 2
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Sean Polley that supplements the Polley Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Sean Polley if you did not receive a Polley Wealth Management, LLC's brochure or if you have questions about this supplement. Mr. Polley's CRD number is 2740157.

Additional information about Sean Polley is also available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Kenneth “Sean” Polley
Managing Principal and CCO
Year of Birth: 1972

Business Experience:

Polley Wealth Management, LLC, Managing Principal and CCO, August 2019 - Present

SunTrust Investment Services, Inc./SunTrust Bank, Vice President, April 2017 - August 2019

J.P. Morgan Securities LLC/JPMorgan Chase Bank N.A., Vice President-Private Client Advisor, August 2013 - April 2017

Educational Background:

University of Florida, Bachelor of Science in Business Administration, Major: Finance,
Graduated 1996

Disciplinary Information

Mr. Polley does not have any reportable disciplinary disclosures.

Other Business Activities

Sean Polley has a financial industry affiliated business as an independent insurance agent. Not more than 20% of his time is spent on these activities. From time to time, he offers clients advice or products from those activities. He may receive separate yet typical compensation in the form of commissions for the sale of insurance products.

These practices represent a conflict of interest because it gives Mr. Polley an incentive to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Polley has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

Sean Polley is the Owner and CEO of Prudent Tax Pros, LLC. Prudent Tax Pros provides tax preparation services.

Mr. Polley is also involved with renting homes as well as residential real estate rehab and resale through Polley Properties, LLC.

Form ADV, Part 2B, Item 5

Additional Compensation

Sean Polley does not receive an economic benefit for providing advisory services from anyone who is not a client.

Form ADV, Part 2B, Item 6

Supervision

Polley Wealth Management, LLC has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the Florida Securities Act. Mr. Polley is Polley Wealth Management, LLC's Chief Compliance Officer and therefore he is responsible for all of the activities that occur on behalf of Polley Wealth Management, LLC and its clients.

Form ADV, Part 2B, Item 7

Requirements for State-Registered Advisers

Sean Polley does not have any reportable disciplinary events required to be disclosed in this section.