

HAROLD J. SCHOCK, JR.
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EMPLOYMENT:

August 2007 - Present Michigan State University East Lansing, Michigan	Professor, Mechanical Engineering Director, Energy and Automotive Research Laboratory
Nov. 2004 – 2016 Beijing Institute of Technology Beijing, China	Visiting Professor
Dec. 2011 – 2016 Zhejiang Automotive Engineering Institute,	Honorary Professor (Participate in Lecture series)
November 1996 - 2007 Michigan State University East Lansing, Michigan	Professor, Mechanical Engineering Director, Automotive Research Experiment Station
January 1994 - November 1996 Michigan State University East Lansing, Michigan	Professor, Mechanical Engineering Director, Engine Research Laboratory
September 1987 - December 1993 Michigan State University East Lansing, Michigan	Associate Professor, Mechanical Engineering Director, MSU Engine Research Laboratory
May 1986 - August 1987 NASA Lewis Research Center Cleveland, Ohio	Deputy Chief, Turbine and Rotary Engine Technology Branch
May 1985 - May 1986 NASA Lewis Research Center Cleveland, Ohio	Head, Intermittent Combustion Engine Technology Section
May 1979 - May 1985 NASA Lewis Research Center Cleveland, Ohio	Research Engineer, Small Engine Propulsion Branch

EDUCATION:

Ph.D. Mechanical Engineering
Michigan Technological University
Houghton, Michigan 1979

“Simulation and Measurement of Dispersing Gaseous Exhaust
Emissions from Diesel Engines in a Mine Environment”

M.S. Mechanical Engineering
University of Michigan
Ann Arbor, Michigan 1975

B.S. Mechanical Engineering (cum laude)
Michigan Technological University
Houghton, Michigan 1973

NASA LEWIS RESEARCH CENTER:

In Dr. Schock's position as Head, IC Engine Technology Section/Deputy Chief, Turbine and Rotary Engine Technology Branch, he supervised the research work of up to eight full-time NASA researchers and up to an additional eight summer faculty, co-op and summer students. The thrust of this work was to develop the technology for an advanced low heat rejection stratified-charge rotary engine for aircraft applications. As section advocate to upper management and general consultant, Dr. Schock primarily worked with stress analysis and materials. Dr. Schock was the Technical Leader over several research projects (see descriptions below).

Dr. Schock, using a Laser Doppler Velocimetry (LDV) system, developed and implemented experimental activity to obtain the first, simultaneous 3D velocity and turbulence measurements in a piston-cylinder configuration. This involved build-up of an LDV system and motored engine with optical access. Using laser speckle photography, a flow visualization was conducted to analyze the persistence of the vortical structures, which are formed during intake.

He coordinated the development of and participated in the implementation of operational codes, which simulate the multi-dimensional turbulent flow in internal combustion engines. These codes were developed on grants, with final implementation and extensive testing being carried out on the IBM-3033 or Cray at Lewis Research Center. A portion of this work includes displaying the first computer generated color movies of this type of experimental result.

Dr. Schock directed the build-up of a Wankel style Rotary Combustion Engine (RCE), fitting it with sapphire windows for optical access to the combustion chamber for airflow determination during the four-stroke cycle. The technique for performing this flow visualization was Holographic Interferometry. He was the co-developer of the first system to measure IMEP (cyclic work) in a rotary IC engine, a technique later adopted in every engine laboratory, worldwide.

He directed the build-up of a Holography Laboratory to produce custom holographic optical elements for aberration correction in Laser Doppler Velocimetry and for flow visualizations. These dichromated gelatin holograms had a high transmission efficiency permitting the use of power dense laser beams.

Dr. Schock was the NASA Technical Officer for several grants (see the list below). This duty included evaluation of solicited and unsolicited proposals; making recommendations to management; setting the direction and general approach for the work to be performed; and coordinating experimental and non-experimental activity. After grant award, the Technical Officer is responsible for ensuring timely progress and reporting of the results, giving technical assistance where appropriate, report editing, as well as lobbying with management for future support as needed.

- “The Two-Stroke Diesel Engine: A Numerical Simulation of the Flow Field, Flame Propagation and Emissions,” Ramos, J. I., Principal Investigator, Carnegie-Mellon University, Pittsburgh, PA (5)*.
- “Study of Jet Ignition Using Liquid Fuels,” Oppenheim, A. K., Principal Investigator, UC, Berkeley, CA (3).
- “Generalized Internal Combustion Engine Cycle Simulations: Direct Injection Stratified-Charge Rotary Engine for Aircraft Applications,” Heywood, J. B., Principal Investigator, MIT, Cambridge, MA (2).
- “A Dynamic Analysis of Rotary Combustion Engine Seals,” Vilmann, C. R., Michigan Technological University, Houghton, MI (1).
- “Numerical Studies of the Fluid Flow, Heat Transfer and Combustion Processes Inside the Combustion Chamber of a Direct-Injected Stratified-Charge Rotary Engine,” Shih, T. I-P., Principal Investigator, University of Florida, Gainesville, FL (3).
- “Study of Computer Graphic Display of Fluid Flow and Combustion in an Axisymmetric Piston Cylinder,” White, P. R., Principal Investigator, University of Toledo, Toledo, OH (2).

*Number in parentheses indicates the number of years Dr. Schock was responsible for this activity.

PARTICIPATION IN GRADUATE EDUCATION OF OTHERS WHILE AT NASA LEWIS:

Carolyn Regan Mercer, M.S. Applied Optics, Cleveland State University, Co-Advisor with Professor Jim Lock. Thesis: “Flow Visualization within a Piston Engine,” completed June 1986.

Jim Stegeman, M.S. Mechanical Engineering, University of Toledo (with his academic advisor Professor Phil White, providing technical supervision on thesis while at LeRC). Thesis: “IDDS - A Computer Graphics Package for Combustion and Flow Visualization,” completed June 1986.

Mark Carpenter, Ph.D., Mechanical Engineering, Carnegie-Mellon University. (Served on thesis committee with his academic advisor, Professor J. I. Ramos, providing technical supervision on thesis while at LeRC). Thesis: “Numerical Simulation of a Two-Stroke Diesel Engine,” completed June 1986.

DIRECTOR, MICHIGAN STATE UNIVERSITY (MSU), AUTOMOTIVE RESEARCH EXPERIMENT STATION (ARES) AND FOUNDER OF THE ENERGY AND AUTOMOTIVE RESEARCH LABORATORY(EARL):

The MSU Engine Research Laboratory (ERL) was launched in the fall of 1987 by Dr. Harold Schock. At that time there were no physical facilities or equipment for engine studies at MSU. Dr. Schock started out in a 500 square foot area with internal flow measurements in rotary engines, the work being sponsored by NASA. In 1992, the MSUERL group moved into a 5,000 square foot facility dedicated to the engine research activities. These activities are described in publications by Dr. Schock and his colleagues. Dr.

Schock located this building and lobbied the University Administration to purchase it, using money provided by Ford, General Motors, and Chrysler.

In the fall of 1997, the Provost authorized the establishment of the Automotive Research Experiment Station (ARES) to serve the University as a broad based organization with a primary, but not exclusive, focus on research. At this time, Dr. Schock was named Director of ARES. The organization enabled Michigan State University to continue to develop their leadership position for specific research activities within the auto industry. It has provided a model training ground for future leaders of the industry.

ARES represented an expansion of ERL related engine research and includes other energy related projects. The responsibility of the ARES Director includes supervision of the work of full time professionals, graduate and post-doctoral students. Graduate students and paid undergraduate assistants also participate in the ARES activities. Major equipment included dynamometers; a gamma ray spectrometer; one 45-watt Cu-vapor laser used for high speed film imaging for mass air flow sensors/motion studies and fuel spray studies; a high speed rotating prism camera; a rotating drum camera; a three-component LDV system; several single cylinder research engines; a rotary engine rig assembly; an electronic high pressure fuel injection system; emission measurement equipment; four modern workstations; and twenty personal computers. ARES acquired a laser-induced fluorescence system consisting of two Excimer lasers (one is tunable), ICCD camera, spectrophotometer and liquid vapor calibration hardware. Direct current and universal dynamometers have been installed and are at operational status at the Lab. Several water brake dynamometers are used for various projects. Hydraulic dynamometers are also available for use with small engines.

Unique experimental facilities have been brought into operational status using a MSU-developed laser diagnostic technique to map in-cylinder flows. This method, called Molecular Tagging Velocimetry, allows us to measure in-cylinder planar flows on a cycle-by-cycle basis. Currently, we are using these facilities to quantify the effects that charge motion control valves and active flow control devices have on in-cylinder motion. We believe that the capability to routinely measure in-cylinder planar flows (3D), without particles as tracers, can only be found at the MSU Automotive Research Experiment Station.

In the winter of 2007, Michigan State University opened the Engineering Research Center (ERC), South. The Energy and Automotive Research Laboratory (EARL) located in a new wing at ERC-South was established to expand the concept outlined in the Partnership for a New Generation of Vehicles (collaboration between the Federal Government and the Industry Leaders) and the Freedom Vehicle Program, to identify and stimulate expansion of current research, education, and outreach activities. In the fundraising effort led by Dr. Schock, nearly five million external dollars raised for the ERC-South facility construction. The architects were chosen by Professor Schock and approved by the MSU facilities and planning group through their normal processes. This effort included interactions at the senior level of management at Ford, GM, and DaimlerChrysler, as well as obtaining Alumna solicitations. Dr. Schock has a working relationship with the Michigan U.S. Congressional Delegation, the State of Michigan Representatives, and members of Congress. Activity in the \$12 million facility focuses on improving engine efficiency, reducing vehicle emissions, investigating the new powertrain concepts including hybrids and evaluating alternative energy sources. This facility includes a powertrain laboratory capable of conducting experiments with a six-wheel drive vehicle. There are currently 8 operational dynamometers in the new ERC-South facility. Five ME faculty, six staff and about 25 graduate students currently occupy this facility, supported by dozens externally funded projects. A 2010 EARL addition increased the capacity of this facility to over 50 graduate students with 2 ChE/MS Faculty and their students conducting thermoelectrics and battery research, sharing thermoelectric material processing facilities with Professor Schock's group. In March, 2013, EARL was formally established as a College of Engineering Center with Professor Schock as the first Director. Since the EARL Center opened in 2007, over \$40M in sponsored research has been conducted in this facility.

SUPERVISION OF GRADUATE STUDENTS AT MSU AS MAJOR PROFESSOR:

1. Tom Stuecken, M.S. Agricultural Engineering Technology, Co-Advisor with Professor T. Burkhardt, "Design and Development of a Two-Stroke Overhead Valve Piston Engine," completed 6/1989.
2. Emil Chouinard, M.S.M.E., "Internal Flow Studies in a Rotary Combustion Engine," completed 6/1990.
3. Yooseok Chung, M.S.M.E., "Ion Implantation for Wear Analysis," completed 8/1989.
4. Todd Rachel, M.S.M.E., "Ion Implantation for Wear Studies," completed 3/1991.
5. Lorna Clowater, M.S.M.E., "Flow Visualization Using a Fiber Optic-Based System," completed 8/1991.
6. Craig Olbrich, M.S.M.E., "Development of SURFMAP, a Surface Mapping System," completed 12/1991.
7. Mike DeFilippis, M.S.M.E., "Internal Flow Studies in a Rotary Combustion Engine," completed 6/1992.
8. Dick Barkman, M.S.M.E., "Evaluation of the Parameters Involved in Wear Studies Using Surface Layer Activation," completed 8/1992.
9. Yooseok Chung, Ph.D., "Development of a Fire Ring Wear Model for a Piston Engine," completed 8/1992.
10. Roy Schafer, M.S.M.E., "The Development of an Improved Quantitative Calibration for an Exciplex Liquid/Vapor Fuel Visualization System," completed 8/1993.
11. Dan McCarrick, M.S.M.E., "Flow Studies in an Automotive Torque Converter Using Laser Doppler Velocimetry," completed 4/1994.
12. S.C. Yoo, Ph.D., "An In-Cylinder Air Flow Study in a Four-Valve SI Engine by Three-Dimensional LDV Measurements and High Speed Flow Visualization," completed 4/1994.
13. Ajit Venkataraman, M.S.M.E., "Image Tiling for Profiling Large Objects," completed 9/1994.
14. Hans G. Hascher, M.S.M.E., "Design of a Telemetry System between the Piston and the Crank Case of a Combustion Engine," completed 9/1994.
15. K.S. Hwang, M.S.M.E., "Flow Studies in a Catalytic Converter Assembly under Transient Conditions," completed 12/1994.
16. John Mueller, M.S.M.E., "Three Dimensional Analysis of Tumble and Swirl in a Piston Cylinder Assembly," completed 5/1995.
17. Yolanda Hicks, Ph.D., "Multi-Dimensional Measurements in Flame Tube and Sector Gas Turbine Combustions," completed 5/1996.
18. Kasser Jaffri, M.S.M.E., "A Volumetric Quantification of In-Cylinder Flow Motion Inside a Four-Valve SI Engine Using Three-Dimensional Laser Doppler Velocimetry," completed 8/1996.
19. Jong Uk Kim, Ph.D. (co-advised with B. Golding, Physics), "Visualization of Two-Phase Fluid Distributions Using Laser-Induced Exciplex Fluorescence," completed 8/1997.
20. Lawrence Dalimonte, M.S.M.E., "Measurements of Flows in the Impeller and Turbine Side of an Automotive Torque Converter at 0.4 and 0.8 Speed Ratios," completed 5/1998.
21. Jon Darrow, M.S.M.E., "Development of an Optical Accessible Engine and Premixing System for Analysis of a Direct Injected Engine Using Laser Induced Fluorescence," completed 5/1998.
22. Matthew Foster, M.S.M.E., "A Quantitative Study of Primary Flow Fields within an Automotive Torque Converter Using Laser Doppler Velocimetry," completed 8/1998.
23. Mikhail Ejakov, Ph.D., "Ring Pack Behavior and Oil Consumption Modeling in IC Engines," completed 8/1998.

24. Hans G. Hascher, Ph.D., "The Influence of Speed, Stroke and Load Variations on the Major In-Cylinder Flow Patterns of a Four-Valve SI-Engine," completed 11/1999.
25. Steven George, M.S.M.E., "Design of a High Compression, Direct-Injection, Spark-Ignition Methanol Fueled Research Engine with an Integral Injector-Ignition Source Insert," completed 8/2000.
26. Xin Zhang, M.S.M.E., "Dynamic Flow Study in a Flow Oscillating System Using Laser Doppler Velocimetry and Numerical Simulation," completed 8/2000.
27. Stephen Yen, M.S.M.E., "Establishment of Cylinder Kit Design Guidelines for Four-Stroke Internal Combustion Engines Using Numerical Simulations," completed 12/2000.
28. Boon Keat Chui, M.S.M.E., "Computational Analysis of Piston Ring Wear and Oil Consumption for an Internal Combustion Engine," completed 6/2001.
29. Anthony Christie, M.S.M.E., "Computational Analysis of a 4-Stroke Engine using Kiva-3V," 2002.
30. Andrew Fedewa, M.S.M.E., "The Effect of Active Flow Control on In-Cylinder Flow and Engine Performance," completed 2002.
31. Yuan Shen, Ph.D., "Development of a Basis for Control of Combustion in an Internal Combustion Engine," completed 2003.
32. Joshua Bedford, M.S.M.E., "Instantaneous Measurements of Cycle-to-Cycle Variability in Fuel Injectors," completed 2004.
33. Boon Keat Chui, Ph.D., "Elastohydrodynamic Modeling and Measurement of Cylinder-Kit Assembly -Tribological Performance," completed 6/2005.
34. Andreas Panayi, M.S.M.E., "Piston Design and Analysis: Parameterized and Complete Finite Element Analysis Approach for the Assessment of Piston Performance," completed 5/2006.
35. Kimberly Sarbo, M.S.M.E., "Design of Experiments for Thermoelectric Materials," 8/2006.
36. Yuxin Zhang, M.S.M.E., "A Numerical Study of the Mixture Preparation Process in a GDI Engine," completed 2007.
37. Jia Ma, Ph.D., "Development of the Infinity Variable Valve Timing and Lift in an IC Engine," completed 2007.
38. Andrew Hartsig, M.S.M.E., "Thermoelectric Conversion of Waste Heat to Electricity in an IC Engine
39. Powered Vehicle: An Engine Modeling Approach," completed 5/2008.
40. Mayank Mittal, Ph.D., "The Development of Stereoscopic Molecular Tagging Velocimetry (MTV) for In-Cylinder Flow Field Measurements," 12/2009.
41. Andreas Panayi, Ph.D., "On the Evaluation of Piston Secondary Dynamics," completed 5/2009
42. Andrew Huisjen, M.S.M.E., "Application of an Electronically Controlled Pneumatic Valve Actuator Strategy on an Ethanol Engine," completed 5/2010.
43. Cody Squibb, M.S.M.E., "Infrared Analysis of Fuel Spray and Combustion in Diesel Engines," completed May, 2009
44. Matthew Lyle, M.S.M.E., "Advanced Thermoelectrics for Energy Recovery," completed 5/ 2011.
45. Cody Squibb, M.S.M.E., "Experimental Measurements and Analysis of In-Cylinder Fuel-Air Mixing and Combustion Using an Optical DI Diesel Engine Under Realistic Operating Conditions" completed May, 2009, Ph.D., 2012
46. Andrew Huisjen, Ph.D., "Homogeneous Charge Compression Ignition Steady State Operation and Mode Switch with Two-Step Cam and Phasing System in Metal and Optical Engines," completed, Dec. 2013
47. Chao Chang, Ph.D., "Cylinder Wall Tribology Under Cold Starting Conditions," expected completion, 2013
48. Charles Maines, MSME, "Advanced Combustion System Design Strategy," expected completion in 2014
49. Ravi Vendula, PhD, "Development of Molecular Tagging Velocimetry for Engine Flows with Air as the Working Fluid," expected completion in 2015
50. Vasya Ivanov, MSME, "Development of a Bismuth Telluride Thermoelectric Generator for a

- Vehicle with 3.5 L Ford Ecoboost Internal Combustion Engine,” completed December, 2013
51. James Holly, MSME, “Engine Modeling: Valve Timing Lift Profiles,” completed, May 2014
 52. Nariman Mansouriboroujeni, PhD, “Design Considerations and Estimated On-Vehicle Performance for a Compression-Couple Based Thermoelectric Generator,” completed November, 2015.
 53. Sedigheh Tolou, PhD, “Lean Burn Engines for a Low CO₂ World,” completed, Feb. 2019.
 54. Joshua Myers, MSME, “Energy Flow of a Direct Injection SI Engine,” completed, May 2017.
 55. Ali Kharazmi, PhD, “Piston Assembly Study Using CASE Program,” completed, December 2017
 56. Cyrus Ashok Arupratan Atis, PhD, “Development of Advanced Combustion Systems for Modern Lean Burn Engines,” completed, January, 2021.
 57. Sabah Chowdhury, “3D Modeling and Validation of Cylinder-kit Processes,” PhD completed, 6/2021
 58. Yidnekachew Messele Ayele, “Experimental Analysis and Model Development of Dual Mode, Turbulent Jet Ignition (DM-TJI) Engine Operating with Gasoline and Alternative Fuels,” PhD completed June, 2022
 59. Daniel Nicklowitz, “Application of Abrasive Coatings to an IC Engine Cylinder,” PhD in progress, expected completion 2025
 60. Fawaz Imtiaz, PhD in progress, expected completion 2025

SUPERVISION OF MSUERL STAFF AT MICHIGAN STATE UNIVERSITY:

1. Dr. Fakhri Hamady, 1989 - 1992, Research Scientist, MSUERL
2. Mr. Tom Stuecken, 1989 - present, Manager of the MSUARES
3. Dr. Keunchul Lee, 1992 - 1999, Research Scientist, MSUERL
4. Mr. Mark Novak, 1994 - 2003, Software Development Engineer, MSUERL
5. Dr. Bassem Ramadan, 1994 - 1998, Research Scientist, MSUERL
6. Dr. Yu Liang Lin, 1998 - 2001, Post Doctorial, Research Scientist, MSUERL
7. Mr. Edward Timm, 1999 - 2015, Research Specialist, MSUARES
8. Dr. Kyle Judd, 1999 - 2001, Post Doctorial, Research Scientist, MSUERL
9. Dr. Yuan Shen, 2001 - 2005, Post Doctorial, Research Scientist, MSUERL
10. Dr. Murad Ismailov, 2002 - 2006, Research Scientist, MSUERL/Combustion Dynamics Corp.
11. Ms. Melissa Flegel, 2005 - present, Secretary II, MSUARES
12. Ms. Jan Chappell, 1999 - 2004 & 2008 - 2012, Research Assistant I, MSUARES
13. Mr. Dustin Baker, 2008 Research Assistant I, MSUARES
14. Mr. Trevor Ruckle, 2008 - 2015, Research Assistant I, MSUARES
15. Dr. Elisa Toulson, 2009 - 2011, Research Associate, MSUARES
16. Ms. Terri Wing, 2009 - 2010, 2012-present, Technical Assistant, MSUARES
17. Mr. Kevin Moran 2009 - present, Research Specialist, MSUARES
18. Mr. Jeff Higel, 2009 - present, Machinist, MSUARES
19. Mr. Gary Keeney, 2009 - 2015, Machinist, MSUARES
20. Dr. Andreas Panayi, May 2010 - 2011, Research Associate, MSUARES
21. Ms. Jennifer Higel, 2010 – 2018, Technical Assistant, MSU EARL
22. Mr. Brian Rowley, 2012 – present, Machinist, MSU EARL
23. Ms. Kathy Chomas, 2013 – 2017, Research Administrator I, MSU EARL
24. Mr. John Przybyl, 2015 – present, Machinist, MSU EARL
25. Mr. Brian Deimling, 2016 – 2020, Machinist, MSU EARL

PROFESSIONAL SOCIETIES/AWARDS/SERVICE:

Named First Gardener Professor of Mechanical Engineering, July 1, 2020. Distinguished Faculty Award, Michigan State University, 2/8/2011; Withrow Distinguished Scholar-Senior Award, Michigan State University, March 2009; The 2009 National Academies, Board on Environmental Studies and Toxicology, Certificate of Appreciation for Outstanding Service; (2004) SAE International Member Service Award - in recognition of 25 years active member; Michigan Economic Development, Lansing Regional Chamber, (2006) 21st Century Jobs Fund Recipient; National Research Council, Committee on State Practices in Setting Mobile Source Emissions Standards, (2004 - 2006); nominated Robert Hubbard (retired MSU Professor) as an SAE Fellow, awarded 2007. Adjunct Professor, Beijing Institute of Technology, April 2006, Michigan Technological University, Academy of Mechanical Engineering and Engineering Mechanics Award, in the "Recognition of Significant Contributions to the Engineering Profession," (Sept. 21, 2002); Fellow - Society of Automotive Engineers, (March 4, 2002); SAE "Service Recognition Award"; Mid Michigan, SAE, Earl R. Wilson, Sr., "Engineer of the Year Award," 2002; Board Member of Central States Section of the Combustion Institute (1998 - 2002); participant in the SAE Advanced Power Plant Committee (1988 - present); Michigan State University, Supervisor SAE Student Group Formula Car Project (2/88 - 9/1995); member of the National Research Council Mobile Electric Power Plant Committee (1987 - 1989). Consultant to: Meyer Company (1987 - 2000); Department of Energy (1986 - 1987), Cleveland Clinic (1986 - 1987). MSU ME Department Activity: University Automotive Advisory Committee (1996 - 2004); Graduate Studies Committee (1995 - 1997); Minority Recruitment Committee (1991 - 2005); elected to Promotion Committee (1989, 1992); elected to the ME Long Range Planning Committee (1989 - 1991); elected to the ME Faculty Advisory Committee (8/1988 - 8/1990), served on Seminar Committee (Spring, 1988). Appointed Supervisory Aerospace Engineer, NASA Lewis Research Center, by competitive placement, Cleveland, Ohio, March 1985; "Window Aberration Correction in Laser Velocimetry Using Multifaceted Holographic Optical Elements," Schock, H. J., Case, S. K., and Konicek, L. Nominated for the LERC, Research Center Best Paper of the Year Award, Propulsion Systems Division, NASA Lewis Research Center, Cleveland, Ohio, (1984).

ADVISOR OF SAE FORMULA CAR, STUDENT GROUP - AWARDS RECEIVED:

Exhibit and Display Professionalism, 3rd Place, SAE Congress and Exposition, Detroit, Michigan, (1990); Exhibit and Display Professionalism, 2nd Place, SAE Congress and Exposition, Detroit, Michigan, (1991); Team Sportsmanship Award, SAE Formula Car Competition, Warren, Michigan, (1991); Exhibit and Display Professionalism, 2nd Place, SAE Congress and Exposition, Detroit, Michigan, (1992); Safety and Crashworthiness Award, 1st Place, SAE Formula Car Competition, Pontiac, Michigan, (1994).

CURRENT/RECENT CONTRACT/GRANT ACTIVITY:

Aramco Services, Novi, Michigan, "Demonstration of a Prototype IV Jetfire Engine," \$150,000, PI Harold Schock, August 1, 2022 to Dec 30, 2022.

MTRAC and MSU matching funds, Jetfire Ignition for High-Efficiency Gasoline Engines, Harold Schock PI, \$100,000 August 1, 2019 to October 31, 2020

US Army Phase I, Mid Michigan Research, Sole Member, Harold Schock, JP-8 Conversion Kit for Small Spark Ignition Engines Using Jetfire Technology, May 2019-March 30, 2020, \$108,000

- Subcontract to Professor Carl Lira: Fuel Fractionation for Jetfire Ignition Engines
- Subcontract overseen by Professor George Zhu, Testing of JP-8 Fuel in a Jetfire Engine

(Note: All subcontracts from MMR to MSU went through the MSU contracts and grant system with appropriate approvals including Board of Trustee Approval for working with an employee owned company)

State of Michigan Funding, SBDC – Emerging Technology Fund, Mid Michigan Research April 24, 2020 – Dec. 31, 2020, Amount: \$25,000 Matching Funds for Phase I SBIR Award “JP-8 Conversion Kit for Small Spark Ignition Engines Using Jetfire Technology.”

US Army Phase I Option, Mid Michigan Research, Jetfire Ignition for Low-Compression-Ratio Gasoline Engines, Awarded November, 2021, \$54,000, Supports continuation of Phase I work until Phase II contract finalized. Phase I Options awarded after a project has been chosen for Phase II.

US Army Phase II, Mid Michigan Research, "Design and Demonstration of a JP-8 fueled Piston Jetfire Engine," award selection September 21, 2020, period of performance January 1, 2021- June, 2023, Award Amount: \$1,100,000

Engines,” Used by Cummins and others since about 2007, developed on an Army SBIR Phase I and Phase II program which ended about 2003. This code has influenced the design of millions of Cummins engines, Detroit Diesel engines, Ford engines and others.

Note: Current MMR Employees include: Dr. Lawrence Brombolich, Ms. Jennifer Higel, Mr. Scott Baeder, Dr. Andreas Panayi, Mr. Gary Hunter, Mr. Brian Fedewa and Mr. Scott Leonard. No MMR employees have an affiliation with MSU. MMR subcontracts work to MSU and others within government regulations and those of participating organizations.

PAST GRANTS & AWARDS WHILE AT MICHIGAN STATE UNIVERSITY:

Aramco FFS Contract, PI: Harold Schock, “Testing Strategy of Aramco Directed Metal Engine Components,” April 15, 2020 extended to Dec. 31, 2020. \$50,000,

Fiat Chrysler Automobiles US LLC, Single Cylinder Engine Testing Support for 3D Combustion Model Validation, Harold Schock PI. extension to project below due to FCA requested testing changes

Fiat Chrysler Automobiles US LLC, Single Cylinder Engine Testing Support for 3D Combustion Model Validation, Harold Schock PI, Gouming Zhu, Co-PI, \$475,600, 4/13/17 to 6/30/19

Hanon Industries, Harold Schock (PI), 3 Dimensional Simulation of PPS-GS40 Centrifugal Pump, Modeling Tesla Model S Cooling, \$90,000, 6/30/18-1/31/19

Environmental Protection Agency, Harold Schock (PI), Christopher Saffron, Thomas Voice, Giles Brereton, Guoming Zhu “Engineering and Environmental Policy Research to Reduce Greenhouse Gases (GHG) from Terrestrial Transportation while Providing the Scientific Basis and Required Experience for Future Global Policymakers,” \$1,000,000, 12/18/15-6/30/19

Michigan Economic Development Corporation, Harold Schock (PI), “Engineering and Environmental Policy Research to Reduce Greenhouse Gases (GHG) from Terrestrial Transportation while Providing the Scientific Basis and Required Experience for Future Global Policymakers,” \$200,000, 4/15/16-6/30/19

Tenneco Automotive Operating Company, Harold Schock (PI), “Engineering and Environmental Policy Research to Reduce Greenhouse Gases (GHG) from Terrestrial Transportation while Providing the Scientific Basis and Required Experience for Future Global Policymakers,” \$400,000, 6/15/16-6/30/19

Ford Motor Company, Harold Schock (PI), “Particulate Formation and Control in Direct Injected Engines,” \$196,386, 9/8/16-12/31/16

US Department of Energy, “High Efficiency Clean Combustion Flex Fuel Optimized SI and HCCI Engine,” 01-01-2010 09-30-2013, \$694,172; Harold J Schock and Guoming G Zhu, (PI).

Environmental Protection Agency, “Engine Research and Development for Future Advanced Vehicle Technologies That Will Improve Fuel Efficiency and Reduce Emissions,” 06-30-2010 - 2014, \$800,000: Harold J Schock, (PI).

Defense Logistics Agency, “Advanced Biofuels as JP-8 Replacements for Aviation and Ground Applications,” 04-01-2011- 03-30-2014 \$4.6M; Harold Schock,(PI) Kris A Berglund, Giles J Brereton, Farhad Ansari Jaber, Carl Thomas Lira, Dennis John Miller.

Science Applications International Corporation, “Advanced Hybrid Electric Powertrain Program,” 03-01-2011-04-30-2013, \$1,827,276; Harold J Schock, (PI). Elias G Strangas and Bingsen Wang, Guoming G Zhu.

US Department of Energy, Energy Frontier Research Centers, PI: Donald T. Morelli, Co-PIs: Harold Schock (18 co-PIs), “Revolutionary Materials for Solid State Energy Conversion,” \$10,625,000, 8/1/2009 - 7/31/2014.

Benteler Automotive, PI Harold Schock, Development of High Efficiency EGR Coolers, \$200,000, 12/30/12 – 12/30/13

Aramco Services, Harold Schock (PI), Guoming Zhu, “Consortium on Applications of Thermoelectrics to Convert Waste Heat to Electricity”, \$450,000, 4/12/13 – 11/30/15

Tenneco, Harold Schock(PI), Guoming Zhu, “Consortium on Applications of Thermoelectrics to Convert Waste Heat to Electricity”, \$450,000, 9/13/13 – 11/30/15, verbal approval pending final contract details

Chrysler Corporation, Harold Schock (PI), Guoming Zhu “Characterizing Fuel-Air Mixing, Fuel Influences and Combustion Events Over a Wide Range of Speeds and Loads for FCA Engines,” 6/17/15-2/29/16, \$546,753

National Science Foundation, Elisa Toulson (PI), Harold Schock, Guoming Zhu, Indrek Wichman, Farhad Jaber, Giles Brereton “NSF/DOE Partnership on Advanced Combustion Engines- Modeling and Experimental Studies of Controllable Cavity Turbulent Jet Ignition Systems,” 9/11/15-8/31/16 \$1,300,000

- US Department of Energy, “Novel Biofuel Formulations for Enhanced Vehicle Performance,” 10-01-2007 09-30-2012, \$1,715,506; Kris A Berglund, Farhad Ansari Jaber, Tonghun Lee, Carl Thomas Lira, Dennis John Miller (PI), Ramani Narayan, Harold J Schock.

- US Department of Energy, “Thermoelectric Conversion of Waste Heat to Electricity in an IC Engine Powered Vehicle” 01-01-2005 03-30-2011, \$4,423,322; Harold Schock (PI), Eldon D Case, Tim Hogan, M G Kanatzidis, Fang Zheng Peng, Jeff S Sakamoto.

- Defense Logistics Agency, “Advanced Biofuels as JP-8 Replacements for Aviation and Ground Applications,” 05-16-2009 05-15-2010, \$2,790,000; Harold Schock,(PI) Kris A Berglund, Giles J Brereton, Ling-Shun Hung, Farhad Ansari Jaber, Carl Thomas Lira, Dennis John Miller.
- Michigan Economic Development Corporation, Lansing Regional Chamber, - 21ST Century Jobs Fund, PI: Elias Strangas, Co-PI’s: Harold Schock, Fang Peng, and Ronald Averill, “Development and Demonstration of a Low Cost Hybrid Drive Train for Medium and Heavy Duty Vehicles,” \$2,425,851, January 18, 2007 – December 31, 2010.
- Michigan Economic Development Corporation - 21ST Century Jobs Fund, PI: Harold Schock, Co-PI’s: Farhad Jaber, and Giles Brereton, “Advanced Ethanol Tolerant Engines,” \$1,443,689, December 21, 2006 - December 31, 2010.
- Environmental Protection Agency, PI: Harold J. Schock, Co-PI: B. Ramadan, “Experimental and Numerical Investigations of Advanced Clean Diesel Combustion Systems,” \$800,000, September 1, 2005 - March 30, 2010.
- US Department of Energy, MAHLE Powertrain, Harold J. Schock, “Optimally Controlled Flexible Fuel Powertrain System,” 7/1/08 - 6/30/09, \$115,897
- Science Applications International Corporation, PI: Harold Schock, Co-PI: Guoming Zhu, “Preparation of Test Cell and Testing of Autonomous Platform Demonstration (APD),” 3/28/09 - 02/02/2010, \$1,254,534.
- Office of Naval Research - Northwestern University, PI: Timothy Hogan, Co-PI’s: Eldon Case, and Harold Schock, (M. Kanatzidis, Northwestern University) “Nanostructured Chalcogenide-Based Thermoelectric Materials for High Efficiency Energy Conversion: Design and Application,” 7/1/2008 - 6/30/2011, \$666,750. (7/1/08-6/30/09 = \$235,610; 7/1/09 - 6/30/2010 = \$212,265; 7/1/2010 - 6/30/2011 = \$218,875).
- Office of Naval Research, Tim Hogan, Eldon D. Case, Harold J. Schock, “DURIP: Powder Processing Instrumentation for Thermoelectrics Research,” \$263,545, March 9, 2007 – June 30, 2008.
- Multi-University Research Initiative, Office of Naval Research, PI: Mercouri Kanatzidis, Co-PI’s: John, L. McCracken, Harold Schock Timothy Hogan, Eldon Case, and S. D. Mahanti, “Development and Application of High Efficiency Thermoelectric Materials for Power Generation,” Five Year Proposal, \$5,455,311, Plus MSU Cost Share 100k Per Year, 3 Years. Dr. Schock Co PI., May 1, 2003 - June 30, 2008.
- US Department of Defense, Tim Hogan, Eldon D. Case, Harold J. Schock, “Advanced Soldier Thermoelectric Power System for Power Generation from Battlefield Heat Sources,” \$278,464, May 9, 2008 – April 30, 2009.
- Department of Energy, “High-Compression-Ratio; Atkinson-Cycle Engine Using Low-Pressure Direct Injection and Pneumatic-Electronic Valve Actuation Enabled by Ionization Current and Forward-Backward Mass Air Flow Sensor Feedback,” PI: Harold J. Schock, Co-PI’s: Giles Brereton, Farhad Jaber, George Zhu, \$675,647, July 1, 2005 - June 30, 2007.
- General Motors Powertrain, General Research Gift, Unrestricted Grant For ARES Research Projects, “General Motors Powertrain & Michigan State University, Satellite Laboratory for Powertrain Research, Engineering, Design, and Testing,” \$100,000 per year for 5 years, total \$500,000. 2001 – 2006.PI:Harold Schock
- Mid Michigan Research, “Diesel Test Engine Setup,” \$15,000, December 1, 2007 – December 31, 2007. PI:Harold Schock
- Mid Michigan Research, “Diesel Common Rail Injector Driver,” \$15,000, November 1, 2006 - November 30, 2006. PI: Harold Schock
- Mid Michigan Research, “Direct Injector Test Bench Assembly/Demonstration,” \$15,000, August 1, 2006 - August 30, 2006. PI: Harold Schock
- Mid Michigan Research, “Diesel Injector Signal/Spray Testing,” \$15,000, June 1, 2006 - June 30, 2006. PI: Harold Schock
- Mid Michigan Research, “Direct Injector Test Bench Assembly/Demonstration,” \$15,000, May 1, 2006 May 31, 2006. PI: Harold Schock

- Visteon Corporation, Testing, "Single Cylinder Engine Tests," \$10,000, February 14, 2005 - February 25, 2005. PI: Harold Schock
- Visteon Corporation, Testing, "Dynamic Testing of Mass Air Flow Meter," \$2,500, February 14, 2005 - February 18, 2005. PI: Harold Schock
- Mid Michigan Research, "Development of a Diesel Engine Management System - Part 1," \$15,000, December 1, 2005 - December 31, 2005. PI: Harold Schock
- Environmental Protection Agency, "Numerical and Experimental Studies on New Engine Concepts to Improve Fuel Efficiency and Reduce Engine Emissions - Development of Advanced Internal Combustion Concepts for the 21st Century," \$420,000, March 28, 2003, 6/1/2003 - 5/31/2005. PI: Harold Schock
- Mid Michigan Research, "Measurement of Cylinder Wall Oil Film Thickness Using Fluorescence Imaging Part VIII," \$10,000, April 25, 2005 - May 6, 2005. PI: Harold Schock
- Center for Sensor Materials, National Science Foundation, Materials Science Research and Engineering Center, (with 12 PI's) also sponsored by State of Michigan, Research Excellence Fund, "Flow Visualization," \$5,200,000, 2002 – 2006 (PI: M. Kanatzidis, Co-PI's: Harold J. Schock, others).
- Nantomics, Inc., "Evaluation of the Nantomics Meter, A Dynamic Fuel Measurement System," \$33,000, June 2002. PI: Harold Schock
- Midwest Custom Services, "Evaluation of the Effect that Subject Fire Extinguishment had on a Hatz Diesel Engine," \$7,000, 2/8/2002. PI: Harold Schock
- Center for Sensor Materials, National Science Foundation, Materials Science Research and Engineering Center, \$75,000, 1/31/2002 - 1/4/2003.
- CFMR Block Grant Proposal, "Fuel Cell Science and Technology," Project Leader: Greg Swain; Team Members: Harold Schock, Greg Baker, Gary Blanchard, Merlin Bruning, Simon Garrett, Jes Asmusson, and Tom Grotjohn, Michigan State University; Andrzej Wieckowski, University of Illinois; Terry Hu and Jim McIntyre, Dow Chemical, \$285,000, 2 years, 2002 - 2004.
- Environmental Protection Agency, "Experimental and Numerical Evaluation of the Effect of Exhaust-Gas-Recirculation, Swirl, Piston-Bowl and Fuel-Injection on Fuel-Air Mixing, Combustion and Emissions in an Advanced DI Alternative Fueled Engine," \$861,673, 3/1/2001 - 12/31/2002, PI: Harold J. Schock, Co-PI's: B. Ramadan.
- The Eberhard Foundation, Unrestricted Grant – General Research Gift, "Improve the Performance of Internal Combustion Engines," \$7,000, February 22, 2002. PI: Harold Schock
- Halo Spark Plug, Inc., Research and Development, "Flame and Combustion Analysis for Spark Ignited Engines," \$4,500, 2001. PI: Harold Schock
- The Eberhart Foundation, General Research Gift, "Improve the Performance of Internal Combustion Engines," \$8,000, Oct. 18, 2001. PI: Harold Schock
- Ford Motor Company, "3D In-Cylinder Flow Characterization Using Laser Diagnostic Techniques," \$122,500, 1/01/2000 - 12/31/2000. PI: Harold Schock
- Ford Motor Company, "Research on Generating Reproducible Flows in Internal Combustion Engines," Open dates, \$100,000. PI: Harold Schock
- Department of Energy and Ford Motor Company, "Active Flow Control for Maximizing Performance of Spark-Ignited Stratified Charge Engines," \$998,635.00. PI: Harold J. Schock, Co-PI's: Giles Brereton, Tom Shih, Manoochehr M. Koochesfahani, Ford cost share \$200,000, 5/16/1999 - 7/31/2002.
- DaimlerChrysler Corporation, "Three Dimensional In-Cylinder Flow Characterization of 3.5L 4-V Direct Injection SI Engine Using Laser Diagnostic Techniques," \$204,226, 5/1/1999 - 4/30/2001. PI: Harold Schock
- Environmental Protection Agency, "Experimental and Numerical Evaluation of Fuel-Air Mixing and Performance of Alcohol-Fueled Two and Four Stroke Direct Injection Stratified Charge Engines," \$780,000, 1/01/1999 - 12/31/2000. PI: Harold Schock
- Delphi Automotive, "Measurement and Visualization of Flows in a Mass Airflow Sensor Assembly," \$29,900, 5/1/1999 - 8/30/1999. PI: Harold Schock

- Center for Sensor Materials, National Science Foundation, Materials Research Science and Engineering Center, PI: B. Golding, Co-PI's: Harold J. Schock (in obtaining 5 year renewal) and 12 others, also sponsored by State of Michigan, Research Excellence Fund, \$5,200,000, 9/1/1998 - 8/31/2002.
- Chrysler Corporation, "Flow Studies in a Chrysler Head Assembly," \$60,000, 3/1/98 - 2/28/99. PI: Harold Schock
- MSU Manufacturing Research Consortium, "Studies of Fuel Distribution in an IC Engine," \$55,000, 7/1/1997 - 8/30/1998. PI: Harold Schock
- Ford Motor Company, "Detailed Measurements for In-Cylinder Flow Velocities and Tumble Intensities for 4.6L SI Tumble Engines," \$125,000, 1/1/1997 - 12/31/1997. PI: Harold Schock
- Ford Motor Company, "Three-Dimensional Measurement of Flows in the Impeller and Turbine Side of an Automotive Torque Converter at .4 and .8 Speed Ratios," \$50,000, 12/30/1996 - 6/1/1997. PI: Harold Schock
- Ford Motor Company, "Measurement of Flows in 50 and 60mm MAFS Housings," \$57,500, 1/1/1996 - 6/30/1996. PI: Harold Schock
- Environmental Protection Agency, "Numerical and Experimental Investigation of Combustion Chamber Design, Fuel-Air Mixing and Combustion in Directly Injected Engines," \$500,000, 9/1/1996 - 8/31/1998. PI: Harold Schock
- Ford Motor Company, "Measurement of Flows in the Impeller and Turbine Side of an Automotive Torque Converter at .4 and .8 Speed Ratios," \$30,000, 5/22/1996 - 11/30/1996. PI: Harold Schock
- General Motors Corporation, "Numerical and Experimental Study of Closed-Coupled Catalytic Converter Assemblies," \$55,000, 1/31/1996 - 9/30/1996. PI: Harold Schock
- General Motors Corporation, "An Experimental Study of Close-Coupled Catalytic Converter Assemblies," \$35,000, 1/31/1996 - 9/30/1996. PI: Harold Schock
- Ford Motor Company, "Flow Studies in an Automotive Torque Converter," 9/1995 - 6/1996, \$50,000. PI: Harold Schock
- Chrysler Corporation, "Determination of Fuel/Air Mixture Distribution in the Combustion Chamber Using a Laser Induced Fluorescence Technique," \$144,964, 1/31/1995. PI: Harold Schock
- Chrysler Corporation, "Flow Studies in a 3.5L Piston Cylinder," \$45,000, 1/1995 - 12/1995. PI: Harold Schock
- MSU Foundation, "Automotive Initiative Research Excellence Funds," \$100,000, 1995. PI: Harold Schock
- Chrysler Corporation, "Flow Studies in a 2.0L Piston Cylinder Assembly," \$50,000, 1/95 - 12/95. PI: Harold Schock
- Chrysler Corporation, "Flow Studies in a Catalytic Converter Assembly," \$30,000, 1/1995 - 12/1995. PI: Harold Schock
- Ford Motor Company, "Design and Construction of an Automated System for Torque Converter Analysis," \$100,000, 1995. PI: Harold Schock
- Ford Motor Company, "Performance Analysis of a New MAFS Design," \$160,000, 1994. PI: Harold Schock
- Ford Motor Company, "Thin Component Velocity Measurement in an Automotive Torque Converter," \$50,000, 9/1994 - 12/1994. PI: Harold Schock
- Hyundai, "Flow Measurement in a Catalytic Converter Assembly," \$30,000, 4/1994 - 8/1994. PI: Harold Schock
- Environmental Protection Agency, "Simulation and Measurement of Flows in a Direct Injected Engine," \$109,000, 1994. PI: Harold Schock
- Chrysler Corporation, "Evaluation of Tumble and Swirl in a 4-Valve SI Engine," \$90,000, 1994. PI: Harold Schock
- Center for Sensor Materials, National Science Foundation, Materials Research Science and Engineering Center, and the State of Michigan, Research Excellence Fund (matching from State), (PI: Brage Golding, with 12 Co-PI's) \$5,500,000, 1994 - 1999.

- NASA Lewis Research Center, “Image Tiling for Profiling Large Objects,” \$50,000, 1994. PI: Harold Schock
- Ford Motor Company, “Torque Converter Project (Phase II),” \$40,000, 6/1993 - 12/1993. PI: Harold Schock
- Ford Motor Company, “Piston Ring Land Pressure Measurements,” \$68,000, 4/1993 - 12/1993. PI: Harold Schock
- NASA Lewis Research Center, “Solid Image Construction of Free Form Surfaces,” \$41,575, 4/1993 - 12/1993. PI: Harold Schock
- Chrysler Corporation, “Quantification of In-Cylinder Air Flow in a 3.5L Four-Valve SI Engine,” \$109,522, 1/1993 - 12/1993. PI: Harold Schock
- Chrysler Corporation, “In-Cylinder Airflow 3.5L Engine,” \$125,000, 1/1993 - 12/1993. PI: Harold Schock
- Ford Motor Company, “Performance Analysis of the MAFS Under Normal Flow Conditions,” \$232,000, 1992 - 1994. PI: Harold Schock
- Ford Motor Company, “Internal Flow Studies in an Automotive Torque Converter,” \$163,000, 1992 - 1994. PI: Harold Schock
- Environmental Protection Agency, “Flow Studies in a Methanol Fueled Engine,” \$125,000, 10/1992 - 12/1993. PI: Harold Schock
- Chrysler Corporation, “Air Flow Visualization and LDV Measurements in a Four-Valve SI Engine,” \$109,000, 1/1991 - 2/1992. PI: Harold Schock
- NASA Lewis Research Center, “Investigation on the Use of Digital Control of a Fiber-Based Phase Stepping Interferometer,” \$30,000, 1/1991 - 9/1991. PI: Harold Schock
- Battelle, “Effect of Ion Implantation on the Wear Properties of Si₃N₄,” \$8,000, 1991. PI: Harold Schock
- Detroit Diesel Company, Formula Car Design and Construction, \$10,000, 1990 - 1992. PI: Harold Schock
- Ford Motor Company, “Flow Studies in an Aerostar Induction System,” \$27,000, 1990 - 1991. PI: Harold Schock
- Ford Motor Company, SAE Formula Car Design and Construction, \$37,500, 1989 - 1992. PI: Harold Schock
- Ford Motor Company, “Flow Studies in a 3.8L SC Induction Assembly,” (with J. Foss) \$120,000 (MSUERL share \$50,000), 1989. PI: Harold Schock
- State of Michigan, Research Excellence Fund, “Implantation of ⁷Be and ²²Na for Tribology Studies Using a High Energy Cyclotron,” (with Burkhardt, Grummon, Lloyd, McHarris and Ronningen), This award was based on work completed by PI's Mallory and Schock and on work proposed to NSF by Mallory, Schock, Grummon, Ronningen, Lloyd and Burkhardt. Amount funded \$250,000 (MSUERL share \$83,500), 1988 - 1990.
- Chrysler Corporation, “Flow Studies in a Chrysler Cylinder Head,” \$50,000, 1988 - 1989.
- Michigan State University, Research Excellence Fund, “Wear Quantification of Ceramic Parts,” \$50,000, 1988. PI: Harold Schock
- NASA Lewis Research Center, (PI: Harold Schock, Co-PI Somerton, C.) “Flow Studies in a Stratified Charge Rotary Combustion Engine,” \$1,045,000, 1987 - 1994.

PATENT ACTIVITY:

- Patent Disclosure to USPTO: “Actuation System for Jetfire Cartridge Air Valve on an Internal Combustion Engine,” Harold Schock*, Tom Stuecken**, Jennifer Higel* and Gary Hunter*, *Mid Michigan Research, ** Michigan State University, July 16, 2021, pending
- Schock, H., Internal Combustion Engine Including Multiple Fuel Injections External to the Pre-Chamber, Submitted to USPTO May 20, 2020, patent pending,

- Schock, H. and Stuecken, T., Engine Turbulent Jet Ignition System, submitted USPTO, Dec. 19, 2019, patent granted, Engine Turbulent Jet Ignition System, Patent No. US 11,408,329B2 Aug 9, 2022
- Schock, H and Zhu, G.. Diesel Engine with Turbulent Jet Ignition, submitted USPTO July 26, 2018., patent granted, Diesel Engine with Turbulent Jet Ignition System, Patent No. US 11,187,142 B2 Nov. 30, 2021 (not reported in 2021)
- Schock, H., Zhu, G., Toulson, E., Stuecken, T.R. (December 25, 2018). *U.S. Patent No. US 10,161,296 B2*. East Lansing, MI: U.S. Patent and Trademark Office.
- US Provisional Patent No. 61/500,667, "High Temperature, High Pressure Window Assembly for Optical Access to Combustion Chambers and Other Vessels," Schock, H., filed 6/2011
- US Patent Disclosure, "A Waste Heat Recovery Method using a CVT A Turbo-Compound System," Zhu, G., Schock, H. and Winkelman, J. filed 2010
- US Patent Disclosure, "Functionally Graded Materials for Thermoelectrics," Timm, E., Schock, H., Ruckle, T., Sakamoto, J., and Lyle, M. filed 2010
- US Patent Disclosure, "A Couple Bypass System for Series Configured Thermoelectric Generators," H. Schock, G. Zhu, K. Moran, T. Ruckle, Timm, E., Sakamoto, J. and Lyle, M., filed 2010.
- US Patent No. 7,555,945 B2, "Mass Air Flow Sensor," Ahmed M. Naguib, A. Aditjandra, B. Trosin, Harold J. Schock, Tom R. Stuecken, and Edward Timm, Jul. 7, 2009.
- US Patent, "Method and Apparatus for Enhancing the Performance of a Camless Valve Actuator," Jia Ma, George Zhu, Harold Schock and Tom Stuecken, Application: November 1, 2007. pending.
- US Patent No. 6,408,698, "Sensors and Method for Measurement of Flow Rates and Cumulative Flows in Ducts," Giles J. Brereton, Harold J. Schock, Ruby N. Ghosh, and Fathi M. Salam, June 25, 2002.
- US Patent No. 6,065,454, "Method and Apparatus for Active Control of the Combustion Processes in an Internal Combustion Engine," Harold J. Schock, Manoochehr Koochesfahani, Daniel G. Nocera, May 23, 2000.
- U.S. Patent No. 5,762,988, June 9, 1998, "Method for Removing Hulls from a Nut Mixture," H. J. Schock and T. R. Stuecken.
- U.S. Patent No. 5,720,395, February 24, 1998, "Method and Apparatus for Removing Hulls from a Nut Mixture," H. J. Schock and T. R. Stuecken.

OTHER ACTIVITIES:

Pilot, Single Engine Land, Instrument Rated - IFR, 9/1997 - present.

Expert witness on behalf of Mitsubishi Orbital Engine Company & Mahle, 2003.

Expert Witness, Metal Levy, South America, 2003 - 2004.

Expert Witness, Numerous patent issues. Baker and McKenzie, on behalf of
Volkswagen Group of America, 2018-2019

Expert Witness, Numerous patent issues, Stern and Kessler, , on behalf of
Volkswagen Group of America, 2019-present

Expert Witness, On behalf of unnamed person and OEM, Violation of Clean Air Act
2019-present

ADDITIONAL INFORMATION:

During the past several years Dr. Schock has given formal presentations at the following annual conferences or at meetings sponsored by these organizations: Society of Mining Engineers; Society of Automotive Engineers; American Institute of Aeronautics and Astronautics; Sandia National Laboratory; Ford Motor Scientific Laboratory; General Motors Research Laboratory; DaimlerChrysler Corporation; Argonne National Laboratory; Oak Ridge National Laboratory; Experimental Aircraft Association meetings; and Kernforschungszentrum Karlsruhe (West Germany). Dr. Schock has evaluated over 100 research proposals

for NASA, DOE, and the U.S. Army. He is a regular reviewer for SAE and has also reviewed papers for ASME Journals and Applied Optics. Dr. Schock has organized and chaired several SAE Technical Sessions. Dr. Schock is an internationally recognized expert in IC engines related to tribology, combustion pressure/optical diagnostics and turbulent jet ignition systems.

PUBLICATION LIST

Book Chapters/Other:

1. Ramos, J. I., T. I-P Shih, and H. J. Schock, (October 1987). "Wankel Engine Modeling," Heat and Mass Transfer in Gasoline and Diesel Engines, Hemisphere Publishing Corporation, pp. 524-538, D. B. Spalding, ed.
2. Koochesfahani, M. M., Goh, A. C., and Schock, H. J. [2004] "Molecular Tagging Velocimetry (MTV) and Its Automotive Applications," *The Aerodynamics of Heavy Vehicles: Trucks, Buses, and Trains, Lecture Notes in Applied and Computational Mechanics*, Vol. 19, 143-155, Eds. McCallen, R., Browand, F., and Ross, J., Springer-Verlag, 2004.
3. Scientific American, Ask the Experts, Why is the fuel economy of a vehicle poorer in the winter than in the summer? April 19, 2004
4. Schock, Harold, "A Brief History of Positive Displacement Aviation Engines," Encyclopedia of Aerospace Engineering, 2010.
5. Schock, Harold, "Energy and Automotive Research Laboratory," Research Compendium, Michigan State University, December, 2012

Articles:

1. Ayele, Yidnekachew, Stuecken, T., and Schock, H., "A Study of the Influence of Orifice Diameter on a Dual Mode, Turbulent Jet Ignition Engine Through Variable Engine Speed," *International J of Engine Research*, I-10, IMechE 2022, DOI: 10.1177/14680874221097745
2. Atis, Cyrus, Ayele, Y., Stuecken, T. and Schock, H., "Effect of pre-chamber scavenging strategy on EGR tolerance and thermal efficiency of pre-chamber turbulent jet ignition systems," *International J of Engine Research*, I-23, IMECHE 2022, DOI: 10.1177/14680874221105162
3. Atis, C. and Schock, H., "Comparison of Excess Air (Lean) vs EGR Diluted Operation in a Pre-Chamber Air/Fuel Scavenged Dual Mode, Turbulent Jet Ignition Engine at High Dilution Rate (~40%)," *SAE Int. J. Adv. & Curr. Prac. in Mobility* 3(4):1569-1584, 2021, <https://doi.org/10.4271/2021-01-0455>.
4. Tolou, Sedigheh, Vedula, R., Schock, H., et al. "Combustion Model for a Homogeneous Turbocharged Gasoline Direct-Injection Engine," *Journal of Engineering for Gas Turbines and Power*, Vol. 141, Issue 1, November 2019
5. Bisht, Ashish, Gupta, S.K., Mittal, M and Schock, "Analysis of spray variations and macroscopic spray characteristics in a gasoline direct-injection engine at different injection timings," *Journal of Visualization*, August 22, 2019, Vol. 22, Issue 4, PP 761-771

6. Tolou, Sedegah and Harold Schock, "Experiments and Modeling of a Dual-Mode Turbulent Jet Ignition Engine," *International Journal of Engines*, 1-21 IMechE 2019, DOI: 10.1177/146
7. Vedula Tavi Teja, Gentz Gerald Raymond, Stuecken, Thomas R., Toulson Elisa, Schock, Harold, "Lean Burn Combustion in a Rapid Compression Machine Using Dual Mode Turbulent Jet Ignition System," *SAE International Journal of Engines*, Vol. 11, pp95-107, (2018).
8. Song Ruitao , Vedula Ravi Teja , Zhu Guoming G , , "A control-oriented combustion model for a turbulent jet ignition engine using liquid fuel" ". *International Journal of Engine Research*. Vol. 19. No. 8. pp. 813-826. Other Information: DOI: 10.1177/1468087417731698. (August 2018).
9. Vedula Ravi Teja , Men Yifan , Atis Cyrus , Stuecken Thomas , Zhu Guoming G , , Wooldridge Steven, "Soot Observations and Exhaust Soot Comparisons from Ethanol-blended and Methanol blended Gasoline Combustion in a Direct-Injected Engine ". *SAE International Journal of Fuels and Lubricants*. Vol. 11. No. 2. Other Information: DOI: 10.4271/04-11-02-0008. (May 2018).
10. Tolou Sedigheh , Vedula Ravi Teja , Schock, H. , Zhu Guoming G , Yong Sun , Kotrba Adam, "Combustion model for a homogeneous turbocharged gasoline direct-injection engine ". *ASME Journal of Engineering for Gas Turbines and Power*. Vol. 140. No. 10. pp. 102804. (October 2018).
11. Vedula, R.T., Stuecken, T., Schock, H., Squibb, C. and Hardman, K., 2017. Optical Engine Operation to Attain Piston Temperatures Representative of Metal Engine Conditions. *SAE International Journal of Engines*, 10(3):767-777.
12. Vedula, R.T., Song, R., Stuecken, T., Zhu, G.G. and Schock, H., 2017. Thermal efficiency of a dual-mode turbulent jet ignition engine under lean and near-stoichiometric operation. *International Journal of Engine Research*, 18(10):1055 - 1066.
13. Vedula, R.T., Mittal, M. and Schock, H., 2017. Parametric Study to Improve Subpixel Accuracy of Nitric Oxide Tagging Velocimetry with Image Preprocessing. *Journal of Combustion*, 2017.
14. Validi, AbdoulAhad, Harold Schock, Farhad Jaber, Turbulent jet ignition assisted combustion in a rapid compression machine, *Combustion and Flame* 186 (2017) 65-82
15. Song, R., Vedula, R.T., Zhu, G. and Schock, H., 2017. A control-oriented combustion model for a turbulent jet ignition engine using liquid fuel. *International Journal of Engine Research*, p.1468087417731698.
16. Zhung, Shupeng, Huisjen, Andrew, Zhu, Guoming, Schock, Harold, "Improvement in the Combustion Mode Transition for an HCCI Capable SI Engine." *IMechE Journal of Automobile Engineering*. Vol. 239. No. 2. Pp. 215-228. (2016).
17. Song, Ruitao, Zhu, Guoming, Attard, Elisa Toulson, Schock, Harold, "A Control-Oriented Model of Turbulent Jet Ignition Combustion in a Rapid Compression Machine." *Proceedings of the Institution of Mechanical Engineers, Part D: Journal of Automobile Engineering*. (November 2016).
18. Zhang, Shupeng, Huisjen, Andrew, Zhu, Guoming, Schock, Harold, "Improvement in the Combustion Mode Transition for a Spark Ignition Engine Capable of Homogeneous Charge Compression Ignition." *Proceedings of the Institution of Mechanical Engineers, PartD: Journal of Automobile Engineering*. Vol. 230. No. 2. Pp. 215-228. (February 2016).

19. Mansouri, Nariman, Timm, Edward, Schock, Harold, Sahoo, Dipankar, Kotrba, Adam, "Development of a Circular Thermoelectric Skutterudite Couple Using Compression Technology." *Journal of Energy Resources Technology, Transactions of the ASME*. Vol. 138. No. 5. (September 2016).
20. Cheng, Chao, Schock, Harold, Richardson, Dan, "The Dynamics of Second Ring Flutter and Collapse in Modern Diesel Engines." *Journal of Engineering for Gas Turbines and Power*. Vol. 137. No. 11. (November 2015).
21. Cheng, Chao, Kharazmi, Ali, Schock, Harold, Winland, Richard, Brombolich, Larry, "Three-Dimensional Piston Ring-Cylinder Bore Contact Modeling." *Journal of Engineering for Gas Turbines and Power*. Vol. 137, No. 11. (November 2015).
22. Poort, Mulyanto, Cheng, Chao, Richardson, Dan, Schock, Harold, "Piston Ring and Groove Side Wear Analysis for Diesel Engines." *Journal of Engineering for Gas Turbines and Power*. Vol. 137. No. 11. (November 2015).
23. Cheng, Chao, Kharazmi, Ali, Schock, Harold. "Modeling of Piston Ring-Cylinder Bore-Piston Groove Contact." *SAE International*. 2015-01-1724.
24. Mittal, Mayank, Schock, Harold, "The Effect of Fuel Injection Pressure on Spray and Combustion Characteristics in a Gasoline Direct-Injection Engine." SAE International, Paper No. 2014-01-2604, October 2014.
25. Vedula, Ravi, Mittal, Mayank, Schock, Harold, "Molecular Tagging Velocimetry and Its Application to In-cylinder Flow Measurements." *ASME Journal of Fluids Engineering*. Vol. 135, December 2013.
26. Allen, Casey M., Toulson, Elisa, Tepe, Daniel, Schock, Harold J., Miller, Dennis John, Lee, Tonghun. "Characterization of the Effect of Fatty Ester Composition on the Ignition Behavior of Fuel Sprays". *Fuels*. Vol. III. Pp. 659-669 (Sept. 2013).
27. Banaeizadeh, Araz, Afshari, Asghar, Schock, Harold J., Jaber, Farhad. "Large-Eddy Simulations of Turbulent Flows in Internal Combustion Engines". *International Journal of Heat and Mass Transfer*. Vol. 60. Pp. 781-796 (2013).
28. Banaeizadeh, A., Afshari, A., Schock, H.J., Jaber, F., "Large-Eddy Simulations of Turbulent Flows in Internal Combustion Engines, Combustion and Flame," *International Journal of Heat and Mass Transfer*. Vol. 60, May 2013, pp 781-796 .
29. Schock, Harold J., Brereton, Giles J., Case, Eldon D., D'Angelo, J., Hogan, Tim, Lyle, M., Maloney, R., Moran, Kevin, Novak, Jim, Nelson, Chris, Panayi, A., Ruckle, T., Sakamoto, Jeff, Shih, Tom, Timm, Ed, Zhang, L., Zhu, Guoming. "Prospects for Implementation of Thermoelectric Generators as Waste Heat Recovery System in Class 8 Truck Applications". *ASME J. Energy Resour. Technology*. Vol. 135. No. 2. Pp 022001 (Jan. 2013).
30. Toulson, E., Huisjen, A., Chen, X., Squibb, C., Zhu, G., Schock, H., Attard, W.P., "Visualization of Propane and Natural Gas Spark Ignition and Turbulent Jet Ignition Combustion". *SAE International Journal*. Vol. 5 No. 4. Pp. 1821-1835. (December 2012).

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161. Schock, H. J., and Johnson, J. J., (1981). "Simulation and Measurement of Space and Time Varying Pollutant Concentrations from a Diesel-Powered Vehicle in a Dead-Ended Drift," SME No. 81-64. Presented at the AIME Annual Meeting, Chicago, IL.
162. Schock, H. J., Johnson, J. J. and Bunting, B. G., (November 1980). "An Experimental Comparison of Pollutant Concentrations for Three Ventilation Configurations in a Down Sloping Dead-Ended Drift," SME No. 80-342. Presented at the SME Fall Meeting, Minneapolis, MN.
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SUMMARY OF PUBLICATIONS / OTHER WORKS WHICH INFLUENCE THE DESIGN OF IC ENGINES:

Conference #144 – First real time demonstration of IMEP measurement in a 4-stroke cycle engine published in the open literature (A Wankel Rotary is a 4-stroke cycle engine):

Schock, H. J., Rice, W. J. and Meng, P. R., (February 1981). “Experimental Analysis of IMEP in a Rotary Combustion Engine,” SAE Paper No. 810150. Presented at the SAE International Congress and Exposition, Detroit, MI, February 23 - 27, 1981. This was the referred publication of how one could electronically and in real time measure the indicated mean effective pressure in a 4-stroke cycle engine. The measurement algorithm was designed to be complete and available for comparison after the cycle was complete and as such was suitable for control purposes. Schock developed the mathematical algorithm for the rotary engine configuration and conducted the experimental work on the engine. Rice implemented the algorithms developed by Schock in machine language used by microprocessor that he built. Meng had overall test cell responsibility. In future years, IMEP systems were built and used all over the world and the basic concepts demonstrated in this device are still in use today.

Conference – numerous, Articles 31,33 and 34 – Most extensive mapping of 3D in-cylinder flows ever conducted, two examples given below:

Hascher, H., Jaffri, K., Novak, M., Lee, K., Schock, H. J., Bonne, M. and Keller, P., (February 1997). “An Evaluation of Turbulent Kinetic Energy for the In-Cylinder Flow of a Four-Valve 3.5L SI Engine Using 3-D LDV Measurements,” SAE Paper No. 970793, SAE Transactions, Journal of Engines, 97, v.106, SAE International Congress and Exposition, Detroit, MI, February 24 - 27, 1997. Jaffri, K., Hascher, H., Novak, M., Lee, K., Schock, H. J., Bonne, M. and Keller, P., (February 1997). “Tumble and Swirl Quantification within a Motored Four-Valve SI Engine Cylinder Based on 3-D LDV Measurements,” SAE Paper No. 970792, SAE Transactions, Journal of Engines 97, v.106, International Congress and Exposition, Detroit, MI, February 24 - 27, 1997. Schock was the leader of this activity and this mapping represented the culmination of a nearly 15 year effort to describe airflow motion in a piston-cylinder assembly. It is to date the most complete mapping ever conducted in a piston cylinder assembly. This work was conducted from 1981-1996. Hascher and Jaffri were my students.

Articles #14, 17 and 28 – First to experimentally quantify the cycle-to-cycle variability of planar flows in a four-stroke cycle piston cylinder assembly, two examples shown below:

Two examples: Mittal, M., Schock, H. J. (July 2010). “A Study of Cycle-to-Cycle Variations and the Influence of Charge Motion Control on In-Cylinder Flow in an IC Engine,” *Journal of Fluids Engineering*, Vol 132. and Mittal, M., Sadr, R., Schock, H. J., Fedewa, A., and Naqwi, A., (2009). “In-cylinder Engine Flow Measurement using Stereoscopic Molecular Tagging Velocimetry (SMTV),” *Exp. Fluids*, 46 (2), 277-284. Continuation of the flow measurement work described above in Hascher et al. and Jaffri et al. The MTV technique that was pioneered at MSU with Dr. Koochesfahani and Dr. Nocera. See US Patent No. 6,065,454 described above. Schock and his students (Mittal) were responsible for developing the Molecular Tagging Velocimetry technique for IC engines. Schock and Mittal extended this technique to 3D. It removes the need for particles following the flow as in particle image velocimetry and provides planar 3D measurements. It is the only reliable technique that can be used for this flow quantification during intake and compression strokes of a 4-stroke cycle engine. This experimental work elucidates the difficulty in using Reynolds Averaged Navier Stokes simulations (like Star-CD, Fire and KIVA) for modeling in cylinder flow processes. Also, this experimental work provided the impetus for the development of new simulations for IC engines called large eddy simulations. This LES simulation work was led by a colleague at MSU, F. Jaber (see publications Article 1, Conference papers 13 and 21) and is considered the future in multidimensional engine simulation.

Articles #19, 20 and 29, Conference Papers #31, 36, 57, 66, 67, 68, 69, 71 and others – Developed blowby, friction and wear models of cylinder kits for a piston engine, two examples shown:

Panayi, A. P. and Schock, H. J., (2008). “On the Approximation of the Integral of the Asperity Height Distribution for the Greenwood-Tripp Asperity Contact Model,” Proceedings of the Institution of Mechanical Engineers, Part J: Journal of Engineering Tribology, Professional Engineering Publishing, ISSN No. 1350-6501, v.222, Number 2 /2008, pp. 165-169. And Ejakov, M., Schock, H. and Brombolich, L., (1998). “Modeling of Ring Twist for an IC Engine,” SAE Paper No. 982693. Also appears in SAE Transactions, Journal of Engines, 1998, v.107-3. These papers describe the development technology implemented in a software system called CASE, Cylinder-kit Analysis System for Engines. It is currently in use by the Cummins Engine Company and has been used in the past by Ford and Detroit Diesel. Application of this code has influenced the design of millions of internal combustion engines for cars and trucks.

Comments on US Provisional Patent No. 61/500,667, “High Temperature, High Pressure Window Assembly for Optical Access to Combustion Chambers and Other Vessels,” Schock, H., filed 6/2011

This invention addresses a problem of obtaining optical access within a piston-cylinder assembly while the system is operated under the high-pressure and high-temperature combustion conditions typical of an internal combustion engine. Currently, small windows are placed in a cylinder liner and sometimes in a radial location in the piston. They are typically a few mm² in area allow for very limited optical access to the combustion chamber. These small windows are used as they can be fabricated in a geometrical configuration that will mitigate stresses that occur within the windows. The subject invention permits one to use a complete cylindrical window of the diameter of the piston bore, offering tens of cm²s of viewing area or as an area to deliver planar laser beams for laser induced fluorescence or other optical based experiments. Although this is not new for a non-firing or low-temperature, low-pressure configurations, it is new when one is attempting to provide optical access normal to the piston assembly at high-temperatures and high-pressures representative of actual engine operation.

The invention works by using a high strength steel cage to impart a compressive stress on the cylindrical window of interest. Optical window materials have a high compressive strength but their tensile strength is often low. In addition, if the window is constructed to be thick enough to withstand the tensile stresses of combustion, local stresses caused by thermal stress gradients can exceed optical material limits. By placing the optical cylinder in compression within a steel cage, the thermal stresses are managed in the material as it is not too thick to build up large temperature gradients while the pressure stresses are managed by the system which includes the compressive steel cage and the cylindrical window.

The invention was brought to practice in July, 2011 with a heated diesel engine and operated for about 100 consecutive cycles of firing at 150 bar peak cylinder pressure.