



**PECB Certified ISO 37001 -
Anti-Bribery Management System
Lead Auditor Course**

On-line course

ACPMIT Training Center
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Includes a 2-hour classroom session on audit best practices for the purpose of S17A – Corporate Liabilities

Why should you attend?

The ISO 37001 Lead Auditor training course provides the necessary knowledge and skills that enable you to perform anti-bribery management system (ABMS) audits by applying widely recognized audit principles, procedures, and techniques. During this training course, you will be able to complete the tasks successfully and intends to reflect the importance of effective ABMS audits. Additionally, it aims to strengthen your knowledge and skills to plan and carry out ABMS audits in compliance with the guidelines for auditing management systems provided in ISO 19011 and the certification process described in ISO/IEC 17021-1.

After mastering all the necessary concepts of Anti-bribery Management Systems, you can sit for the exam and apply for a “PECB Certified ISO 37001 Lead Auditor” credential.



Key benefits of getting certified

- Reduce bribery risks by implementing financial controls in early stages.
- Perform continuous improvement of anti-bribery practices.
- Gain competitive advantage.
- Ensure that business associates and customers are devoted to positive anti-bribery processes.
- Attract new business.
- Prevent, detect and address bribery risks.
- Increase international recognition.
- Prevent conflict of interest.
- Promote an anti-bribery culture.
- Trustworthy, reliable and internationally-recognized certification.
- Advance your career and earn recognition.





Who should attend?

- Auditors seeking to perform and lead ABMS audits
- Managers or consultants seeking to master the ABMS audit process
- Individuals responsible for maintaining conformity to ISO 37001 requirements in an organization
- Technical experts seeking to prepare for an ABMS audit
- Expert advisors in anti-bribery management

Course agenda

Duration: 5 days

Day 1 | Introduction to the anti-bribery management system (ABMS) and ISO 37001

- Training course and structure
- Standard and regulatory framework
- Certification process
- Fundamental concept and principles of ABMS
- Anti-bribery management system

Day 2 | Audit principles and the preparation for and initiation of an audit

- Fundamental audit concepts and principles
- The impact of trends and technology in auditing
- Evidence-based auditing
- Risk-based auditing
- Initiation of the audit process
- Stage 1 audit

Day 3 | On-site audit activities

- Preparing for stage 2 audit
- Stage 2 audit
- Communication during the audit
- Audit procedures
- Creating audit test plans

Day 4 | Closing of the audit

- Drafting audit findings and nonconformity reports
- Audit documentation and quality review
- Closing of the audit
- Evaluation of action plans by the auditor
- Beyond the initial audit
- Managing an internal audit program
- Closing the training course

Day 5 | Certification Exam





Learning objectives

- Explain the fundamental concepts and principles of an anti-bribery management system (ABMS) based on ISO 37001
- Interpret the ISO 37001 requirements for an ABMS from the perspective of an auditor
- Evaluate the ABMS conformity to ISO 37001 requirements, in accordance with the fundamental audit concepts and principles
- Plan, conduct, and close an ISO 37001 compliance audit, in accordance with ISO/IEC 17021 -1 requirements, ISO 19011 guidelines, and other best practices of auditing
- Manage an ISO 37001 audit program

Examination

Duration: 3 hours

The “PECB Certified ISO 37001 Lead Auditor” exam fully meets the requirements of the PECB Examination and Certification Programme (ECP). The exam covers the following competency domains:

- Domain 1** | Fundamental principles and concepts of an anti-bribery management system
- Domain 2** | Anti-bribery management system requirements
- Domain 3** | Fundamental audit concepts and principles
- Domain 4** | Preparing an ISO 37001 audit
- Domain 5** | Conducting an ISO 37001 audit
- Domain 6** | Closing an ISO 37001 audit
- Domain 7** | Managing an ISO 37001 audit program



For more information about exam details, please visit Examination Rules and Policies.



Certification

After successfully completing the exam, you can apply for the credentials shown on the table below. You will receive a certificate once you comply with all the requirements related to the selected credential.

For more information about ISO 37001 certifications and the PECB certification process, please refer to the Certification Rules and Policies.

Credential	Exam	Professional experience	ABMS project experience	Other requirements
PECB Certified ISO 37001 Provisional Auditor	PECB Certified ISO 37001 Lead Auditor exam, or equivalent	None	None	Signing the PECB Code of Ethics
PECB Certified ISO 37001 Auditor	PECB Certified ISO 37001 Lead Auditor exam, or equivalent	Two years, of which one in anti-bribery management	At least 200 hours of audit activities	Signing the PECB Code of Ethics
PECB Certified ISO 37001 Lead Auditor	PECB Certified ISO 37001 Lead Auditor exam, or equivalent	Five years, of which two in anti-bribery management	At least 300 hours of audit activities	Signing the PECB Code of Ethics
PECB Certified ISO 37001 Senior Lead Auditor	PECB Certified ISO 37001 Lead Auditor exam, or equivalent	Ten years, of which seven in anti-bribery management	At least 1,000 hours of audit activities	Signing the PECB Code of Ethics

Note: PECB Certified Individuals who do possess the Lead Implementer and Lead Auditor Credentials are qualified for the respective PECB Master Credential, given they have taken 4 additional Foundation Exams which are related to this scheme. For more detailed information about the Foundation Exams and the overall Master Requirements, please go to the following link: <https://pecb.com/en/master-credentials>.

General information

- Certification fees are included on the exam price
- Training material containing over 450 pages of information and practical examples will be distributed
- A participation certificate of 3 | CPD (Continuing Professional Development) credits will be issued
- In case of exam failure, you can retake the exam within 12 months for free

