This brochure supplement provides information about Richard Joseph Ridenour that supplements the Circle City Wealth Management LLC brochure. You should have received a copy of that brochure. Please contact Richard Joseph Ridenour if you did not receive Circle City Wealth Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Joseph Ridenour is also available on the SEC's website at www.adviserinfo.sec.gov.

# Circle City Wealth Management LLC

Form ADV Part 2B - Individual Disclosure Brochure

for

## Richard Joseph Ridenour

Personal CRD Number: 3165629 Investment Adviser Representative

Circle City Wealth Management LLC
600 East Carmel Drive
Carmel, IN 46032
(317) 734-0375
joe@circlecitywealthmanagement.com
https://www.circlecitywealthmanagement.com

UPDATED: 1/02/2018

### Item 2: Educational Background and Business Experience

Name: Richard Joseph Ridenour Born: 1976

**Educational Background and Professional Designations:** 

#### **Education:**

Bachelor of Science Finance, Indiana University-Purdue University at Indianapolis - 1999

#### **Business Background:**

11/2017 - Present Managing Member & CCO

Circle City Wealth Management LLC

06/2002 - 11/2017 Vice President

FORUM Private Client Group, LLC

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

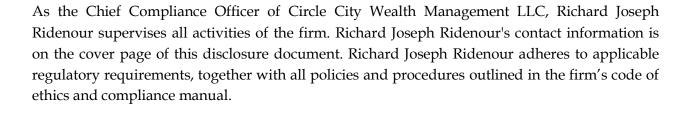
#### **Item 4: Other Business Activities**

Richard Joseph Ridenour is not engaged in any investment-related business or occupation (other than this advisory firm).

#### **Item 5: Additional Compensation**

Richard Joseph Ridenour does not receive any economic benefit from any person, company, or organization, other than Circle City Wealth Management LLC in exchange for providing clients advisory services through Circle City Wealth Management LLC.

## **Item 6: Supervision**



### Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Richard Joseph Ridenour has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Richard Joseph Ridenour has NOT been the subject of a bankruptcy.