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Household Financial Portfolio Review Documents

There are a few documents you'll need to bring to our initial financial portfolio review. Be prepared to answer questions regarding your age, current incomes, hobbies, goals, family medical history, philosophy on money, philosophy on investments, your thoughts on the current state of the economy, your current tax planning and optimization strategies in place, current asset protection strategies, current estimated retirement withdrawal rate, inflationary concerns, investment restrictions, and business outlook.

Current Financial Conditions

- ☐ Checking/Savings Accounts Statements (most recent 3 months)
- ☐ Employment Pay Stubs (most recent)
- ☐ List of Business Interests (if applicable)
- ☐ Personal Loans Statements* (most recent)
  - Mortgage
  - Vehicle/Boat/RV
  - Student loans
  - Other loans
  - Credit Cards Statements

*Please include the following information: original loan date, APR, current balance owed, term of the loan, monthly payment amount.

Protection Planning

- ☐ Insurance Policies
  - Life
  - Disability
  - Long-Term Care
  - Homeowners
  - Auto/Boat/RV
  - Umbrella

Wealth Accumulation/Retirement Planning

- ☐ All Investment Accounts Statements (most recent)
  - IRA and/or Roth IRA
  - Brokerage Accounts
  - Annuities
  - College Savings Accounts
  - Health Savings Account
  - Workplace Retirement Accounts (ex: 401k, 403b, 457, pension, etc.)
  - Stock Options
  - Social Security Statement (found on www.ssa.gov)
- ☐ Workplace Benefits
  - Benefits Handbook (if available)
  - Your Elected Benefits Statement

Tax Planning

- ☐ Tax return (most recent 2 years)

Estate Planning

- ☐ Copy of Current Will/Trust/Powers of Attorney

Please remember that all personal information you provide is retained as confidential, in compliance with state and federal privacy regulations for Registered Investment Advisory firms.

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