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Financial Portfolio Review Documents

There are a few documents you'll need to bring to our initial financial portfolio review. Be prepared to answer questions regarding your age, current incomes, hobbies, goals, family medical history, philosophy on money, philosophy on investments, your thoughts on the current state of the economy, your current tax planning and optimization strategies in place, current asset protection strategies, current estimated retirement withdrawal rate, inflationary concerns, investment restrictions, and business outlook.

Current Financial Situation

- ☐ Checking/Savings Accounts Statements (most recent 3 months)
- ☐ Credit Cards Statements (most recent 3 months)
- ☐ Pay Stubs (most recent)
- ☐ Personal Loans Statements* (most recent)
    - Mortgage
    - Student loans
    - Other loans

*Please include the following information: original loan date, APR, current balance owed, term of the loan, monthly payment amount.

Protection Planning

- ☐ Insurance policy information
    - Life
    - Disability
    - Long Term Care
    - Homeowners
    - Auto
    - Umbrella
    - Other

Wealth Accumulation/Retirement Planning

- ☐ All Investment Accounts Statements (most recent)
    - IRA
    - Non-qualified Brokerage
    - Annuities
    - 401(k)/Workplace Retirement Accounts
    - Social Security Statement (found on www.ssa.gov)
- ☐ Workplace Benefits
    - Benefits Handbook (if available)
    - Total Economic Package Summary Statement (if available)

Tax Planning

- ☐ Tax return (most recent 2 years)

Estate Planning

- ☐ Copy of Current Will/Trust/Powers of Attorney