



**CML CONSULTANTS** is a London based consultancy focusing on project and transaction management, in particular for early stage asset managers.

Founded in 2018 by Caroline Long, an experienced executive director (partner), board advisor and legal counsel with specific expertise in:

<b>Commercial law</b>	<b>Compliance</b>	<b>Governance</b>
<b>Project Management</b>	<b>Risk Management</b>	<b>Stakeholder Management</b>

**CML CONSULTANTS** can assist with:

#### Funds creation and set up including:

- Assistance with service provider procurement, including negotiation and finalisation of service agreements with the AIFM, Administrator, Depositary etc.
- Liaison with service providers, including legal advisors, for the preparation and finalisation of fund documentation
- Licensing and regulatory requirements
- Marketing, including legal review of marketing decks and DDQs

#### Investment Advisor and Fund Manager creation and set up including:

- Licensing and regulatory requirements
- Creation and implementation of internal policies and procedures
- Corporate governance and compliance

#### Acquisitions and divestments including:

- Procurement and review of due diligence reports
- Review of transaction documents including for external (third party) financing
- Preparation of investment papers and compliance with investment processes

#### Ongoing maintenance, monitoring and reporting including:

- Setting up internal processes for the preparation and collation of information required for periodic reporting to the AIFM, Fund board of directors, and Investors

#### General in-house legal support including:

- General contract review
- Managing external legal counsel (for specialist legal advice)
- Preparation and testing of internal policies, procedures and processes



## CAROLINE LONG



Caroline has over 25 years of extensive cross-border and international experience as a finance lawyer working for private and public companies, trusts, funds, and quasi-governmental and government entities in many different industries and geographies.

Most recently, Caroline has worked with private debt and real estate managers setting up funds in Ireland and Luxembourg.

Caroline was formerly a partner at Baker McKenzie and worked in their Sydney, London, Bahrain and Dubai offices. She also worked for BNP Paribas in Paris as a secondee in 2005.

Caroline holds a combined Bachelor of Commerce / Bachelor of Law degree (B.Comm / LLB), is on the Roll of the Supreme Court of New South Wales (Australia), holds

a current practising certificate issued by the Solicitors Regulation Authority (UK), and is a Member of the New York State Bar Association (USA).

Caroline was named a "Leading Lawyer" by IFLR 1000 in Bahrain in 2014, 2015 and 2016, and also in Qatar in 2015 and 2016.

## DAVID RUSSELL

David has over 15 years' experience as a finance lawyer working in private practice and in-house. David was admitted to practise in New South Wales (Australia) in 1997 and England & Wales (UK) in 2001.

David was VP Legal at Arab Banking Corporation (Bahrain) from 2007 to 2013, a Senior Associate at SJ Berwin (London) from 2004 to 2007, and an Assistant Solicitor at Macfarlanes (London) from 2001 to 2003.

David spent time on secondment at Delancey Real Estate Asset Management Limited (London) in 2005 and at The Royal Bank of Scotland plc (London) in 2006.

David holds a combined Bachelor of Business (Accounting) / Bachelor of Law degree from the University of New England / Southern Cross University (Australia). He started his career at Baker McKenzie in Sydney in 1997.



## CONTACT US

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