AHA/ASA SCIENTIFIC STATEMENT

Perioperative Neurological Evaluation and Management to Lower the Risk of Acute Stroke in Patients Undergoing Noncardiac, Nonneurological Surgery

A Scientific Statement From the American Heart Association/American Stroke Association

The American Academy of Neurology affirms the value of this statement as an educational tool for neurologists.

The American Association of Neurological Surgeons/Congress of Neurological Surgeons Cerebrovascular Section affirms the educational benefit of this document.

ABSTRACT: Perioperative stroke is a potentially devastating complication in patients undergoing noncardiac, nonneurological surgery. This scientific statement summarizes established risk factors for perioperative stroke, preoperative and intraoperative strategies to mitigate the risk of stroke, suggestions for postoperative assessments, and treatment approaches for minimizing permanent neurological dysfunction in patients who experience a perioperative stroke. The first section focuses on preoperative optimization, including the role of preoperative carotid revascularization in patients with high-grade carotid stenosis and delaying surgery in patients with recent strokes. The second section reviews intraoperative strategies to reduce the risk of stroke, focusing on blood pressure control, perioperative goal-directed therapy, blood transfusion, and anesthetic technique. Finally, this statement presents strategies for the evaluation and treatment of patients with suspected postoperative strokes and, in particular, highlights the value of rapid recognition of strokes and the early use of intravenous thrombolysis and mechanical embolectomy in appropriate patients.

Previously published guidelines have provided recommendations for the cardiovascular evaluation and management of patients undergoing noncardiac surgery.^{1,2} This scientific statement focuses on the cerebrovascular complications of noncardiac surgery and summarizes the current literature concerning the preoperative neurological risk stratification and management of patients before undergoing noncardiac, nonneurological surgery; intraoperative strategies to mitigate the risk of stroke; and the identification and treatment of patients who experience a perioperative stroke.

Each member of the writing group contributed to the initial outline for this article and to all drafts and revisions. The initial literature review used the following search terms: *perioperative stroke, stroke, ischemic stroke, noncardiac surgery, neurological complications, acute stroke treatment, endovascular treatment,* and *thrombectomy*, all limited to publications within the previous 10 years. Additional citations were generated in an iterative process from the original list of references, with contributions from the writing group focusing on each member's area of expertise and familiarity with the published literature. Priority for inclusion in the article emphasized publications based on randomized, controlled trials, followed by those describing meta-analyses, very large administrative databases and quality registries, and relevant, smaller observational studies. When possible,

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Key Words: AHA Scientific Statements complications = embolectomy ischemic stroke = stroke = surgical procedures, operative = therapeutics thrombectomy

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we sought to clearly inform the reader on the content source of the suggestions and observations included in this summary statement. For many of the clinical situations addressed in this statement, high-quality evidence is lacking. Therefore, many of the recommendations in this statement reflect the consensus of experts and our desire to provide pragmatic guidance to practitioners who, despite a lack of definitive evidence, must make real-world decisions every day in clinical practice.

PREOPERATIVE EVALUATION AND MANAGEMENT TO REDUCE RISK OF STROKE

Definition and Risk of Perioperative Stroke

Perioperative stroke can be defined as any embolic, thrombotic, or hemorrhagic cerebrovascular event with motor, sensory, or cognitive dysfunction lasting at least 24 hours, occurring intraoperatively or within 30 days after surgery. As with nonperioperative cerebrovascular events, most perioperative strokes are ischemic rather than hemorrhagic.³

The incidence of perioperative stroke in patients undergoing noncardiac, nonneurological surgery is between 0.1% and 1.0% according to retrospective studies of 2 large databases^{4–6} (Table 1).

In a recent large, prospective international study of >40 000 patients undergoing noncardiac surgery, the rate of perioperative stroke was 0.3% overall, with higher risk associated with vascular and neurosurgical operations.⁷ Other studies have demonstrated a lower perioperative stroke risk (0.028%–0.075%), but these analyses were limited to experiences at single institutions.^{8,9}

Using the National Inpatient Sample from 2004 to 2013 in the United States, Smilowitz et al¹⁰ reported that despite an overall decrease in the composite of major adverse cardiovascular and cerebrovascular events after noncardiac surgery between 2004 and 2013, the rate of perioperative stroke increased from 0.52% in 2004 to 0.77% in 2013 (Figure 1). Although patients undergoing vascular surgery were at the highest risk of major adverse cardiovascular and cerebrovascular events, some of these patients may have undergone surgery as a result of an ischemic stroke. After the exclusion of those patients undergoing vascular surgery, the increase in perioperative stroke risk persisted. The upward trend in stroke risk was also evident in both men and women and across races and ethnic groups.¹⁰ It is important to note that for all of these studies, investigators did not perform independent clinical assessments of patients or account for potential temporal changes in the use of magnetic resonance imaging (MRI) to evaluate for stroke; the true incidence of clinical stroke may be higher or lower than these estimates.

 Table 1. Incidence of Stroke for Noncardiac, Nonvascular, Nonneurological Surgeries

	Stroke, all ages, % (n)	Stroke, age ≥65 y, % (n)
Bateman et al, ⁴ 2009; Nationwide Inpatient Sa	mple	
Hip arthroplasty (n=1568)	0.4 (6)	0.5 (5)
Lung resection (n=1484)	0.3 (5)	0.7 (5)
Colectomy (n=33426)	0.4 (130)	0.7 (100)
Mashour et al, ⁵ 2011; American College of Sur Quality Improvement Program	geons–Nation	al Surgical
Hepatobiliary-biliary tree (n=43289)	0.1 (36)	0.2 (23)
Excisional breast (n=36793)	0.0 (16)	0.1 (11)
Hernia–ventral/umbilical/incisional/other (n=32 638)	0.1 (28)	0.3 (21)
Hernia–inguinal/femoral incisional mesh (n=26448)	0.1 (17)	0.1 (10)
Colorectal–appendectomy (n= 26046)	0.0 (6)	0.2 (4)
Esophagogastric-bariatric (n=23766)	0.0 (5)	0.0 (0)
Head and neck-tumor (n=20057)	0.0 (7)	0.1 (3)
Minor vascular-chest/extremity (n=5883)	0.0 (2)	0.1 (1)
Small intestine-resection/ostomy (n=5860)	0.5 (27)	0.6 (14)
Small intestine–lysis of adhesions, other (n=5683)	0.3 (17) American Heart	0.7 (14)
Abdominal-exploration (n=5760)	0.5 (26)	0.9 (18)
Hepatobiliary-pancreas (n=4832)	0.3 (15)	0.5 (10)
Musculoskeletal-amputation (n=4800)	0.8 (37)	1.1 (29)
Esophagogastric–gastric (n=4749)	0.3 (16)	0.7 (12)
Esophagogastric (n=4635)	0.0 (1)	0.1 (1)
Hysterectomy (n=4454)	0.1 (3)	0.2 (2)
Musculoskeletal-arthroscopic (n=4255)	0.0 (0)	0.0 (0)
Musculoskeletal-spine (n=3480)	0.1 (4)	0.3 (3)
Colorectal-abdominoperineal resection (n=3169)	0.0 (0)	0.5 (5)
Musculoskeletal-knee (n=2970)	0.1 (4)	0.2 (4)
Anorectal-abscess (n=2508)	0.0 (0)	0.0 (0)
Simple skin and soft tissue (n=2383)	0.3 (6)	0.6 (4)
Colorectal-low anastomosis (n=2293)	0.2 (4)	0.2 (2)
Hepatobiliary–liver (n=2144)	0.3 (6)	0.8 (6)
Anorectal-resection (n=2103)	0.0 (1)	0.0 (0)
Musculoskeletal–fracture repair (n=2065)	0.1 (3)	0.3 (3)
Biopsy skin and soft tissue (n=2014)	0.1 (2)	0.2 (1)

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Numerous studies have consistently identified advancing age, renal disease, and prior transient ischemic attack/stroke as key risk factors for perioperative stroke.^{4,5,8,9,11,12} Other risk factors have been identified as independent predictors,^{4,5,8,9} such as myocardial infarction within 6 months, atrial fibrillation, hypertension, chronic obstructive pulmonary disease, current smoking, female sex, and diabetes mellitus, with the presence

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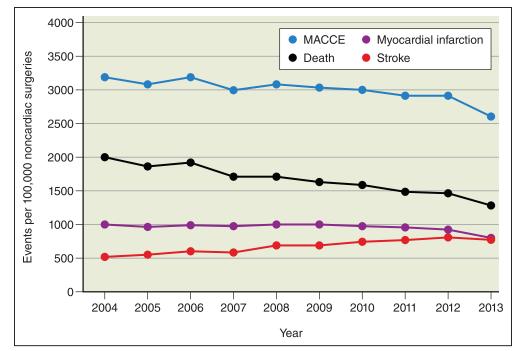


Figure 1. Rates of perioperative major adverse cardiovascular and cerebrovascular events (MACCEs) over time. Reprinted from Smilowitz et al¹⁰ with permission. Copyright © 2017, American Medical Association. All rights reserved.

of multiple risk factors further increasing the risk of perioperative stroke.⁵ In addition, patients undergoing emergency surgery or certain types of surgical procedures (thoracic, head and neck, intra-abdominal, vascular, transplant, orthopedic) were at higher risk.^{8–10,13}

Silent Cerebral Ischemia

Silent brain infarctions, sometimes known as covert strokes, are acute ischemic events that are not clinically apparent. These infarcts are typically identified by brain imaging and have been associated with cognitive decline, dementia, increased risk of stroke, and increased mortality in population-based studies.^{14–17} The incidence of perioperative silent brain infarctions is variable according to the type of operation and is likely higher in patients undergoing vascular or cardiac surgery. Silent cerebral ischemia after carotid endarterectomy (CEA) occurs in up to 17% of patients, and rates may be as high as 30% to 50% in patients undergoing carotid artery stenting (CAS) or cardiac surgery.^{18–20} Silent cerebral infarcts seen after CAS have been associated with increased risk of recurrent stroke or transient ischemic attack, and this risk increases with a larger number of lesions.²⁰ Studies of patients undergoing cardiac surgery have reported rates of new infarcts on MRI ranging from 25% to 55%, with the highest risk for patients undergoing open surgical valve replacement, and rates as high as 90% in those undergoing transcatheter aortic valve replacement.^{21,22} Although the data are mixed overall, some studies have reported that silent cerebral ischemia after cardiac surgery is associated with cognitive decline and that the risk correlates with a larger number and volume of lesions.^{19,21}

The incidence of silent cerebral infarcts after noncardiac surgery, however, may be as high as 10% according to postoperative MRI findings in a prospective, multicenter pilot study of 100 patients >65 years of age.²³ In a larger, multicenter prospective study of 1114 patients undergoing elective, noncardiac surgery, 7% had a silent cerebral infarction based on MRI findings obtained between day 2 and 9 after surgery.²⁴ Furthermore, the risk of cognitive decline at the 1-year follow-up was nearly 2-fold higher in patients with a silent perioperative stroke compared with those without a silent perioperative stroke. Overt stroke and perioperative delirium were also higher in the perioperative silent cerebral infarct group.²⁴ These findings underscore the need for additional studies to determine which factors lead to silent cerebral ischemia and how to mitigate that risk to decrease potential cognitive impairment.

Impact of Perioperative Stroke

Despite its relatively low incidence, perioperative stroke represents a significant public health burden. With >5 million patients >45 years of age undergoing noncardiac surgery each year in the United States, >25000 people annually may have a stroke attributable to perioperative causes.²⁵ Furthermore, the individual impact of a perioperative stroke can be devastating. The 30-day mortality rates in patients who experience a perioperative stroke are up to 8-fold higher than in controls, with absolute rates ranging between 21% and 26%.^{5,12,26} Length of stay and the likelihood of discharge to a long-term care facility are also increased in patients with a perioperative stroke.^{11,13,26}

Pathophysiology of Perioperative Stroke

Although it is well established that the vast majority of perioperative strokes are ischemic rather than hemorrhagic, the cause of ischemic stroke is variable and likely attributable to a number of factors. In patients undergoing cardiac surgery, nearly two-thirds of ischemic strokes are the result of proximal sources of embolism, either from direct cardiac/arterial manipulation or the bypass pump at the time of the procedure, or from delayed complications such as atrial fibrillation or myocardial infarction (MI).^{27–29} In patients undergoing noncardiac, nonvascular surgery, however, the cause of stroke is less clear; stroke subtypes have not been ascertained in most studies because many of the earlier studies did not include advanced diagnostic testing such as MRI or vessel imaging.^{3–5,30}

Recent studies of single-institution experiences in patients undergoing either noncardiac or nonvascular surgery indicate that \approx 50% of strokes in the perioperative setting occur within the first 24 hours and up to 93% occur within the first 72 hours.^{9,12} A large, multicenter analysis of patients undergoing noncarotid, major vascular surgery, however, found that only up to 15% of strokes occurred on postoperative day 0 to 1, with another 50% occurring between postoperative day 2 and 8.¹¹ These observations suggest that factors contributing to stroke risk in patients undergoing noncardiac, nonvascular surgery are distinct from other types of surgery and appear to be temporally related to the intraoperative and immediate postoperative periods.

Putative mechanisms of perioperative stroke in patients undergoing noncardiac, nonneurological surgery may include hypotension/low-flow states, previously undisclosed large-artery stenosis, anemia-associated tissue hypoxia, thromboembolism (including cardiac and transcardiac), fat embolism, and enhanced coagulability/thrombosis in the setting of systemic inflammation, endothelial dysfunction, and recent stoppage of antithrombotic medications.^{31,32} Subsequent sections in this document address potential approaches to each of these mechanisms.

Hemorrhagic stroke in the perioperative period is rare and may represent only up to 5% of cases.^{3,29} Uncontrolled hypertension and the use of antithrombotic medications, along with reperfusion injury and hyperperfusion syndrome observed after CEA, are potential contributors to the risk of perioperative hemorrhagic stroke.^{30,33,34} The presence of an unruptured cerebral aneurysm did not result in an increase of perioperative hemorrhage in patients undergoing cardiovascular surgery,³⁵ which is almost certainly true for noncardiac, nonneurological surgery given the high prevalence of unruptured aneurysms in the population, although data are limited.³⁵

Preoperative Risk Stratification

Numerous cardiovascular risk stratification tools have been used to predict perioperative complications in patients undergoing surgery, including the Revised Cardiac Risk Index,³⁶ the MI or cardiac arrest calculator,³⁷ and the American College of Surgeons (ACS) surgical risk calculator,³⁸ but these tools were not designed to specifically predict perioperative stroke risk. Two other scales, the CHADS₂ and CHA₂DS₂-VASc risk scores,^{39,40} were initially developed and validated to predict annualized stroke risk in patients with nonvalvular atrial fibrillation but have also been shown to predict perioperative stroke risk in patients undergoing cardiac procedures, even in the absence of atrial fibrillation.^{41,42}

All of these risk stratification tools were compared in a large retrospective cohort of patients (n=540717) undergoing noncardiac surgery using the ACS National Surgical Quality Improvement Program registry.²⁶ The overall stroke risk incidence was 0.27%, with the highest incidence in patients undergoing vascular or neurosurgical procedures. The ACS surgical risk calculator and the MI or cardiac arrest risk calculator exhibited significantly better predictive accuracy compared with the other risk prediction models, despite not having been developed to predict stroke risk.²⁶ Because predictions are different for some patients depending on which risk calculator is used, we believe that it is important to recommend a single best risk score to use for risk stratification.⁴³ We suggest that risk stratification be performed with the web-based ACS surgical risk calculator to identify patients with elevated stroke risk who may benefit from targeted approaches that minimize the risk of perioperative stroke.44

These predictions can be discussed with patients to inform shared decision making with the caveat that although the ACS surgical risk calculator does not directly predict the risk of stroke, patients predicted to be at high risk of serious complications are more likely to experience a stroke perioperatively.

Preoperative Stroke Prevention Strategies

Timing of Surgery After Stroke

Patients with a history of stroke are at increased risk of perioperative stroke, and the timing of surgery relative to the most recent event modulates this risk. Jørgensen et al⁴⁵ analyzed data from a large Danish national health care database and reported that the risks of ischemic

Source	Crude events, n	Sample size, n	Event rate, %	Odds ratio (95% CI)		
No prior stroke	368	474046	0.078	1 (Reference)		
Prior stroke anytime	210	7137	2.94	16.24 (13.23–19.94)		
Stroke <3 mo prior	103	862	11.95	67.60 (52.27–87.42)		
Stroke 3–<6 mo prior	21	469	4.48	24.02 (15.03–38.39)		
Stroke 6–<12 mo prior	16	898	1.78	10.39 (6.18–17.44)		
Stroke ≥12 mo prior	70	4908	1.42	8.17 (6.19–10.80)		

 Table 2.
 Adjusted Odds Ratios of 30-Day Ischemic Stroke Events Stratified by Stroke Before Surgery and Time Elapsed Between Stroke and Surgery

Adapted from Jørgensen et al⁴⁵ with permission. Copyright © 2014, American Medical Association. All rights reserved.

stroke and other major adverse cardiovascular events (MI and cardiovascular death) were significantly higher in patients undergoing noncardiac surgery after a prior stroke. Patients who underwent elective noncardiac surgery within 3 months of a prior stroke were at the highest risk of ischemic stroke (Table 2). Although the risk of major adverse cardiovascular and cerebrovascular events, 30-day mortality, and ischemic stroke was higher for patients undergoing noncardiac surgery within 12 months of prior stroke overall, the increased odds ratio for each of these end points leveled off at ~9 months.⁴⁵ Using the same data, these authors also showed that the odds of stroke were >20-fold higher in patients undergoing emergency noncardiac surgery within 3 months of a prior stroke.⁴⁶ Similar temporal trends in stroke risk are seen after incident stroke in patients who do not undergo surgery, but the absolute rate of events in these studies of perioperative patients is higher than seen in observational studies or clinical trials of nonoperative patients with recent transient ischemic events or minor stroke.47-49 Although the evidence between surgical timing and stroke risk is limited to only these 2 studies, we suggest that elective noncardiac surgery be deferred at least 6 months after a prior stroke, and possibly as long as 9 months to reduce the risk of perioperative stroke in patients undergoing noncardiac surgery. Alternatively, patients who stand to gain significant improvements in guality of life with elective surgery may consider waiting only 6 months after a prior stroke.

Extracranial Carotid Artery Stenosis

Current guidelines recommend that patients with highgrade extracranial carotid artery stenosis (>70%) and ipsilateral symptoms of ischemic stroke or transient ischemic attack within the past 6 months should be strongly considered for revascularization by CEA or CAS.⁵⁰ Symptomatic patients with moderate stenosis (50%–69%) should also be considered for revascularization provided that the surgical risk is <6%.⁵⁰ Some consideration of baseline risk factors is important because CAS is associated with a slightly higher risk of stroke and CEA with a slightly higher risk of MI.⁵¹ The US Preventive Services Task Force does not recommend

routine carotid screening in patients without symptoms, and this remains true for patients planning noncardiac surgery.⁵² Recommendations for patients with known, asymptomatic, high-grade carotid stenosis undergoing noncardiac, nonneurological surgery are uncertain. However, for patients with known high-grade carotid stenosis in the general population who are asymptomatic, American Heart Association guidelines recommend that they be considered for CEA if the risk of perioperative stroke, MI, and death is <3% and may be considered for CAS if the stenosis is >70% by ultrasonography or >60% by catheter angiography.53 However, these guidelines are based on older trials that did not incorporate current intensive medical management that has resulted in markedly lower stroke rates in contemporary medically treated cohorts.⁵⁴ CREST-2 (Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial) is currently underway to test whether carotid revascularization (either CEA or CAS) plus optimal medical therapy is superior to optimal medical therapy alone for patients with asymptomatic high-grade carotid stenosis (PMC5987521). Elective surgical procedures may be postponed if carotid artery revascularization treatment is planned (for either symptomatic or asymptomatic high-grade carotid stenosis), but the optimal duration of this delay is unknown and may be informed predominantly by timing of the most recent cerebrovascular event (see the Timing of Surgery After Stroke section). The optimal approach for patients with symptomatic, high-grade carotid stenosis who also require emergency surgery such as coronary artery bypass grafting (CABG) is unclear and may include a reversed-stage approach (CABG followed by CEA) or a combined approach (simultaneous CABG and CEA).^{29,55} For patients with asymptomatic, high-grade stenosis who require CABG, it is unclear whether those patients should undergo carotid revascularization before cardiac surgery. A recent randomized trial comparing isolated CABG with synchronous CABG and CEA showed nearly a doubling of the perioperative stroke and death rate at 30 days in the combined treatment group (18.5% versus 9.7%), but these differences in rate were not statistically significant (P=0.12).⁵⁶

Intracranial Stenosis

Symptomatic intracranial stenosis (50%–99% by catheter angiography) carries a stroke risk of 15% over the first year after symptom identification,⁵⁷ but the overall risk of perioperative stroke in this population is unknown. Intracranial stenosis is routinely managed medically, with antithrombotic therapy and assiduous risk factor modification⁵⁸; stenting of intracranial stenosis is limited to select patients who fail maximal medical therapy, and no evidence supports its prophylactic use in the preoperative setting.⁵⁹ A small retrospective study of 38 patients with severe intracranial vertebral or basilar artery stenosis undergoing surgery (vascular, cardiac, or general) reported a perioperative stroke rate of 6%.⁶⁰

Patent Foramen Ovale

A patent foramen ovale (PFO) is present in $\approx 25\%$ of the population and in most patients is not associated with any morbidity.⁶¹ Recent evidence from 3 clinical trials, however, now supports a potential association between a PFO and cryptogenic stroke in patients <60 years of age and the beneficial role of PFO closure in select patients.^{62–64}

In a retrospective study of >150000 patients undergoing noncardiac surgery with general anesthesia, Ng et al⁶⁵ found that the diagnosis of a PFO before surgery significantly increased the likelihood of a perioperative ischemic stroke. Perioperative strokes in patients with a PFO were also more severe and more likely to occur in a large vessel territory. In a large study of patients undergoing total hip arthroplasty in the Nationwide Inpatient Sample, perioperative stroke risk was 29 times greater (7.14% versus 0.26%; P<0.001) in patients with an atrial septal defect/PFO compared with control subjects.⁶⁶ These studies did not control for the possibility that the patients diagnosed with a PFO before surgery must have had a concern for cardiac disease or stroke, which in turn would lead to higher perioperative risk; that is, a workup bias. Further study is needed to better characterize this association between PFO and perioperative stroke risk to determine optimal diagnostic and treatment approaches in this population.⁶⁷ Patients already determined to need PFO closure may consider undergoing this procedure before elective surgery, although urgent and emergency surgery should not be delayed to address a PFO.

Perioperative Medication Management

β-Blockers

The use of β -blockers in the perioperative setting has been shown to reduce adverse cardiac events, but in 2008, a large randomized controlled trial showed increased mortality and higher stroke rates in patients treated with preoperative metoprolol compared with

placebo.⁶⁸ In this trial, subjects randomly assigned to receive metoprolol (extended release, 100-mg dose) 2 to 4 hours before surgery (and continued for next 30 days) were less likely to reach the composite end point of cardiovascular death, nonfatal MI, and nonfatal cardiac arrest compared with control subjects. Patients in the metoprolol group, however, had significantly higher overall mortality rates and were twice as likely to experience a perioperative stroke (1.0% versus 0.5%; hazard ratio, 2.17 [95% CI, 1.26–3.74]; P=0.0053).68 In addition, patients in the metoprolol group were more likely to experience clinically significant hypotension and bradycardia. Post hoc multivariate analyses further suggested that hypotension may be a mechanism by which β -blockers increase the risk of stroke.68

Mashour et al⁶⁹ reported a significant increase in perioperative stroke rate in patients taking metoprolol over those taking atenolol preoperatively and in patients receiving intraoperative metoprolol over labetalol or esmolol. This study also demonstrated that intraoperative hypotension was associated with perioperative stroke risk, but these episodes of hypotension were not associated specifically with metoprolol.⁶⁹ A subsequent large cohort study, however, failed the subsequent ence in risk of all-cause mortality or major adverse cardiac events across β -blocker subtypes.⁷⁰

The "2014 ACC/AHA [American College of Cardiology/American Heart Association] Guideline on Perioperative Cardiovascular Evaluation and Management of Patients Undergoing Noncardiac Surgery" strongly supports continuing β -blockers in patients who are on β -blockers long term.² These guidelines also state that it may be reasonable to begin β -blockade in patients who are at high risk according to preoperative testing or who have \geq 3 of the risk factors in the Revised Cardiac Risk Index but that β -blockers should not be initiated on the day of surgery.

Statins

For patients already taking a statin (3-hydroxy-3-methylglutaryl coenzyme A reductase inhibitor) before undergoing noncardiac surgery, perioperative continuation is recommended to lower the risk of cardiovascular events.^{1,2} Multiple cohort studies and results from a recent meta-analysis of patients undergoing noncardiac surgery (study populations included vascular and neurosurgical procedures) demonstrate that perioperative statin use is associated with a significant reduction in a variety of cardiovascular end points: postoperative MI,^{71,72} all-cause mortality,^{71,73} new-onset atrial fibrillation,⁷² and the composite end points of all-cause mortality, myocardial injury, and stroke.72,73 None of these studies, however, found a significant decrease in perioperative stroke risk associated with perioperative statin use.

AND GUIDELINES

Table 3. Risk Stratification for Perioperative Thromboembolism

	Indication for VKA therapy	Indication for VKA therapy				
Risk stratum	Mechanical heart valve	Atrial fibrillation	VTE			
High*	Any mitral valve prosthesis Any caged-ball or tilting disc aortic valve prosthesis Recent (within 6 mo) stroke or transient ischemic attack	CHADS ₂ score of 5 or 6 Recent (within 3 mo) stroke or transient ischemic attack Rheumatic valvular heart disease	Recent (within 3 mo) VTE Severe thrombophilia (eg, defi- ciency of protein C, protein S, or antithrombin); anti-phospholipid antibodies; multiple abnormalities			
Moderate	Bileaflet aortic valve prosthesis and ≥1 of the following risk factors: atrial fibrillation, prior stroke or transient ischemic attack, hyper- tension, diabetes, congestive heart failure, age >75 y	CHADS ₂ score of 3 or 4	VTE within the past 3–12 mo Nonsevere thrombophilia (eg, heterozygous factor V Leiden or prothrombin gene mutation) Recurrent VTE Active cancer (treated within 6 mo or palliative)			
Low	Bileaflet aortic valve prosthesis without atrial fibrillation and no other risk factors for stroke	CHADS ₂ score of 0–2 (assuming no prior stroke or transient isch- emic attack)	VTE >12 mo previously and no other risk factors			

VKA indicates vitamin K antagonist; and VTE, venous thromboembolism.

*High-risk patients may also include those with a prior stroke or transient ischemic attack occurring >3 months before the planned surgery and CHADS₂ score <5, those with prior thromboembolism during temporary interruption of VKAs, or those undergoing certain types of surgery associated with an increased risk for stroke or other thromboembolism (eg, cardiac valve replacement, carotid endarterectomy, major vascular surgery).

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Antithrombotic Therapy

Patients with risk factors for cerebrovascular disease are often taking antithrombotic medications, and numerous guidelines have addressed the approach to managing these medications in patients requiring surgery. Clinicians face the challenge of potentially increasing thromboembolic risk by adjusting/holding antithrombotic medications in the perioperative period while trying to mitigate perioperative bleeding risk. Validated scales may help quantify risk of thromboembolism and bleeding in select circumstances.^{40,74} Several indications requiring anticoagulant therapy have been stratified across low-, moderate-, and high-risk categories for thromboembolism (Table 3).

Recently published guidelines from the ACS include strategies for anticoagulant management across these categories of thromboembolic risk according to perioperative bleeding risk^{76,77} (Table 4). The ACS guidelines also provide the most recent summary of broader approaches to antithrombotic management in the perioperative setting (Table 5).

These guidelines also reflect more recent evidence that suggests limiting the use of perioperative

Table 4. Recommended Perioperative Anticoagulation Management Strategies

Category	High-bleeding-risk procedure	Low-bleeding-risk procedure						
High thromboen	High thromboembolic risk							
Warfarin	Give last dose 6 d before operation, bridge with LMWH or UFH, resume 24 h postoperatively	Give last dose 6 d before operation, bridge with LMWH or UFH, resume 24 h postoperatively						
DOAC	Give last dose 3 d before operation, resume 2–3 d postoperatively*	Give last dose 2 d before operation, resume 24 h postoperatively*						
Intermediate thr	omboembolic risk							
Warfarin	Give last dose 6 d before operation, determine need for bridging by clinician judgment and current evidence, resume 24 h postop- eratively	Give last dose 6 d before operation, determine need for bridging by clinician judgment and current evidence, resume 24 h postop- eratively						
DOAC	Give last dose 3 d before operation, resume 2–3 d postoperatively*	Give last dose 2 d before operation, resume 24 h postoperatively*						
Low thromboem	bolic risk							
Warfarin	Give last dose 6 d before operation, bridging not recommended, resume 24 h postoperatively	Give last dose 6 d before operation, bridging not recommended, resume 24 h postoperatively						
DOAC	Give last dose 3 d before operation, resume 2–3 d postoperatively*	Give last dose 2 d before operation, resume 24 h postoperatively*						

DOAC indicates direct oral anticoagulant; LMWH, low-molecular-weight heparin; and UFH, unfractionated heparin.

*In patients with creatinine clearance <50 mL/min on dabigatran, the last dose should be given 3 days before the procedure for low-bleeding-risk surgery and 4 to 5 days before the procedure for high-bleeding-risk operation.

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Table 5. Summary of Guidelines for Perioperative Management of Antithrombotic Medications

Clinical area	Guideline		
Preoperative thromboembolic risk stratification			
Nonvalvular atrial fibrillation thromboembolic	risk Stratify thromboembolic risk with the CHA ₂ DS ₂ -VASc score		
Prosthetic heart valve thromboembolic risk	Stratify risk according to valve type, location, and individual thromboembolic risk factors (atrial fibrilla- tion, history of thromboembolism)		
VTE thromboembolic risk	Stratify according to time elapsed since VTE diagnosis and individual risk factors (cancer, thrombophil- ia); elective operation should be deferred for ≥3 mo after VTE diagnosis		
Coronary artery disease coronary thromboembolism risk	Elective operation should be deferred for ≥14 d for balloon angioplasty, 30 d for bare metal stent placement, and 1 y for drug-eluting stent placement		
Stroke thromboembolic risk	Elective operation should be deferred for ≥9 mo after an ischemic stroke		
Peripheral arterial disease thromboembolic ris	Patients presenting for surgical evaluation who receive antithrombotic medication for symptomatic periph- eral arterial disease should be managed in close consultation with a vascular specialist or vascular surgeon.		
Procedural bleeding risk stratification			
Bleeding risk inherent to patient characteristi	c Stratify risk with the HAS-BLED score		
Bleeding risk inherent to procedure	Largely a subjective decision on behalf of the operating surgeon; most operations under the purview of the general surgeon will be classified as at least low risk		
Perioperative bridging therapy			
Antiplatelet therapy	Currently, there is no evidence to suggest a benefit from the use of antiplatelet bridging therapy peri- operatively.		
DOAC therapy	Currently, there is no evidence to suggest a benefit from the use of heparin bridging in patients taking DOACs.		
Warfarin therapy	Use for those classified as high VTE risk; discontinue warfarin 5 d before an elective procedure, and when the INR falls below the patient's therapeutic range, begin LMWH at a therapeutic dose until 24 h before the procedure; reinitiate warfarin 12–24 h after operation; reinitiate LMWH 48-72; hafter the operation		
Perioperative antithrombotic medication manage	jement strategy		
Unfractionated heparin			
Intravenous	Hold 4–6 h before elective operation		
Subcutaneous	Hold 12–24 h before elective operation		
LMWH	Hold 24 h before operation; resume 48–72 h after operation		
Warfarin	Hold for 5 d before an elective operation; resume at previous dosing levels 12–24 h after operation		
Dabigatran			
Normal renal function	Hold for 2 d before high-bleeding-risk operation and 1 d before low-bleeding-risk operation; resume 2–3 d after high-bleeding-risk operation and 1 d after low-bleeding-risk operation		
Impaired renal function	Hold for 4 d before high-bleeding-risk operation and 2 d before low-bleeding-risk operation		
Rivaroxaban, apixaban, edoxaban	Hold for 2 d before high-bleeding-risk operation and 1 d before low-bleeding-risk operation; resume 2–3 d after high-bleeding-risk operation and 1 d after low-bleeding-risk operation		
Aspirin	Hold aspirin for 7–10 d before high-bleeding-risk operation in patients who have not had a PCI; resum- when bleeding risk has diminished; in patients with recent PCI, consult with cardiologist		
	Hold 5-7 d before low- and high-bleeding-risk operation; resume when bleeding risk has diminished		
Clopidogrel, prasugrel, ticagrelor			
Clopidogrel, prasugrel, ticagrelor Consideration in the nonelective setting			
	Administer vitamin K and 4-factor PCC to patients with an elevated INR secondary to warfarin who are actively bleeding or require urgent operation		
Consideration in the nonelective setting			
Consideration in the nonelective setting Vitamin K antagonist	actively bleeding or require urgent operation Administer idarucizumab to patients with evidence of significant dabigatran levels (by history of inges-		

DOAC indicates direct oral anticoagulant; INR, international normalized ratio; LMWH, low-molecular-weight heparin; PCC, prothrombin complex concentrate; PCI, percutaneous coronary intervention; and VTE, venous thromboembolism.

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bridging therapy primarily to patients at high risk of thromboembolism⁷⁷ and suggest that continuation of low-dose aspirin in patients without prior percutaneous coronary intervention leads to higher perioperative bleeding risk without a reduction in nonfatal MI or mortality.⁷⁸

CLINICAL STATEMENTS

and guidelines

Table 6. Summary of Preoperative Strategies to Reduce Perioperative Stroke Stroke

All patients undergoing evaluation before surgery should be assessed for perioperative stroke risk in terms of key risk factors (age, renal disease, and history of transient ischemic attack/stroke) with additional emphasis on timing of surgery relative to prior stroke, overall cardiovascular risk, and type of surgery planned. The presence of a PFO may also be associated with higher perioperative stroke risk. We suggest that clinicians use the web-based ACS-SRC to identify patients with elevated risks of perioperative stroke.⁴⁴

If history of stroke exists, consider delaying elective surgery at least 6 mo and preferably 9 mo from time of incident stroke.

Perform carotid revascularization (CEA vs CAS) in patients with symptomatic (stroke or transient ischemic attack within last 6 mo) carotid artery stenosis (>70%) before planned surgery. Perioperative management of patients with high-grade asymptomatic carotid stenosis is uncertain but should be informed by existing guidelines for carotid revascularization and contemporary medical treatment in this population.

Use or adjustment of medications such as statins, β -blockers, and antithrombotic agents should be based on existing guidelines but tailored to individual patient characteristics. In particular, aspirin should be held unless patients have had a prior PCI. Patients with a mechanical heart valve receiving a vitamin K antagonist should be bridged with LMWH or intravenous heparin. Patients at high risk for thromboembolism taking a vitamin K antagonist (eg, atrial fibrillation with high CHA₂DS₂-VASc score, recent venous thromboembolic disease) may be bridged with full-dose (therapeutic) LMWH or intravenous heparin.

Consider nonsurgical treatment in discussion with patient and caregivers as an alternative to surgery in patients with elevated risk of stroke.

ACS-SRC indicates American College of Surgeons surgical risk calculator; CAS, coronary artery stenting; CEA, carotid endarterectomy; LMWH, lowmolecular-weight heparin; PCI, percutaneous coronary intervention; and PFO, patent foramen ovale.

Table 6 summarizes preoperative strategies to reduce perioperative stroke.

INTRAOPERATIVE MANAGEMENT TO REDUCE RISK OF STROKE

Blood Pressure Management

Maintaining adequate end-organ perfusion to the heart, brain, and other vital organs is the cornerstone of anesthetic management. Without the ability to directly measure blood flow to critical organs such as the brain, anesthesiologists monitor blood pressure as an indirect measure of end-organ perfusion. Drops in blood pressure are very common during surgery, with mean arterial pressures (MAPs) falling below 20% of baseline occurring in up to 90% of surgical cases.⁷⁹ Hypotension is caused by the direct effects of anesthetics on heart (myocardial depression) and vasculature (drop in systemic vascular resistance and vasodilatation), anesthesia-mediated decreases in sympathetic tone, and volume shifts. Hypotension is treated with volume administration, boluses of short-acting adrenergic agonists, and, less commonly, continuous infusions of vasoactive drugs. Although a review identified 140 different definitions of intraoperative hypotension, the most common definitions of hypotension are a systolic blood pressure <80 mmHg and a decrease in systolic blood pressure >20% below baseline.⁷⁹

Hypotension may be a modifiable risk factor for perioperative stroke. Orthostatic hypotension was associated with a 2-fold higher risk of ischemic strokes in a large cohort study of middle-aged adults without atherosclerotic disease.⁸⁰ Many anesthesiologists routinely use an MAP of 60 mm Hg and a systolic blood pressure of 100 mm Hg as target blood pressures because healthy normotensive patients without cerebrovascular disease will maintain a constant cerebral blood flow when the MAP is between 60 and 150 mmHg.⁸¹ An accumulating body of evidence links intraoperative hypotension with myocardial injury, kidney injury, and death.82-87 However, most of the evidence supporting a causal link between intraoperative hypotension and perioperative strokes is not very strong with the exception of results from POISE (Perioperative Ischemic Evaluation Trial).⁶⁸ This study, which was designed to test whether perioperative β blockade improves cardiovascular outcomes in patients with atherosclerotic disease, unexpectedly showed that patients receiving metoprolol were more likely to die and were twice as likely to have a stroke.⁶⁸ In particular, patients with clinically significant potension perioperatively (defined as a systolic blood pressure <90 mm Hg requiring treatment) had a 2-fold higher odds of stroke (hazard ratio, 2.17 [95% CI, 1.26–3.74]; P=0.0053),⁶⁸ suggesting that optimal blood pressure management may play an important role in stroke prevention.⁶⁸ However, 3 observational studies each showed at most only a weak association between intraoperative hypotension and stroke. Bijker et al⁸⁸ reported that the stroke risk increased by 1.14-fold for every 10 minutes of hypotension, defined as a mean blood pressure 30% less than baseline. Hsieh et al⁸⁹ reported no association between mild hypotension (MAP <70 mm Hg) and stroke. Finally, Sun et al^{90} reported a 1.13-fold higher risk of stroke in patients undergoing cardiac surgery with MAPs <64 mmHg for every 10 minutes during cardiopulmonary bypass. The inability to detect a strong association between stroke and hypotension may be attributable to a number of factors, including the low incidence of recognized strokes for patients undergoing noncardiac surgery, which is between 0.1% and 1%,^{4,5,11} and the observation that many patients are discharged before the defined period of 30 days of perioperative stroke expires, which may lead to unrecognized strokes. In addition, the incidence of unrecognized cerebral ischemia may be much higher than the incidence of clinically recognized strokes, as discussed in the Silent Cerebral Ischemia section.^{23,24}

Although we lack sufficient evidence to fully identify blood pressure targets to specifically prevent cerebral

ischemia, there are data addressing blood pressure thresholds associated with overall end-organ damage and death. A recent systematic review reported moderate increases in the risk of end-organ injury and mortality (odds ratio/relative risk/hazard ratio between 1.4 and 2.0) at MAPs <65 mmHg lasting >10 minutes.⁹¹ This review did not identify significant associations between MAP thresholds and stroke. In addition, recent data from a multicenter randomized controlled trial showed that a standardized approach to treating intraoperative hypotension leads to fewer complications in patients undergoing predominantly abdominal surgery.⁹² This study found that maintaining the systolic blood pressure within 10% of baseline was associated with a 30% reduction in postoperative organ dysfunction compared with using a less aggressive approach that targeted systolic blood pressure <80 mmHg or systolic blood pressure <40% of baseline.⁹² However, this study has been criticized because the blood pressure targets in the control group are much lower than those used by most anesthesiologists.93

Using the best available evidence, a recent consensus statement from the Perioperative Quality Initiative concluded that systolic blood pressures <100 mmHg and MAPs below 60 to 70 mmHg may be associated with myocardial injury and kidney injury.93 The results from POISE-III (URL: ClinicalTrials.gov. Unique identifier: NCT03505723), a multicenter trial with a planned enrollment of 10000 patients that will compare the incidence of a composite of MI, nonhemorrhagic stroke, peripheral arterial thrombosis, and venous thromboembolism in patients randomized to a treatment strategy targeting an intraoperative MAP ≥80 mm Hg compared with 60 mmHq, may help inform future guidelines on the management of intraoperative hypotension. The results from a planned substudy on cognitive decline, which is associated with silent cerebral infarctions, may be particularly useful in defining intraoperative MAP thresholds for avoiding brain injury. Recognizing that there are still insufficient data to establish firm parameters for intraoperative blood pressure targets, we suggest that clinicians consider maintaining the MAP above 70 mmHg intraoperatively to reduce the risk of perioperative stroke. Although evidence to specify a safe upper limit of MAP during noncardiac surgery is lacking, care should be taken to avoid extremes of hypertension, which can provoke myocardial ischemia, cerebral edema, or other end-organ injury.

Finally, we support the recommendation from the Society for Neuroscience in Anesthesiology and Critical Care that the difference in blood pressure between the brachial artery and the brain in patients undergoing surgery in the sitting position (eg, shoulder surgery, cervical spine surgery) should be considered.⁶ In cases in which the intra-arterial blood pressure is monitored, the blood pressure transducer should be zeroed at the level of the auditory meatus. For patients whose blood pressure is

monitored with a noninvasive cuff, the MAP target should account for the 0.8–mmHg gradient between the brain and the brachial artery for every 1-cm difference in height between the blood pressure cuff and the auditory meatus.

Perioperative Goal-Directed Therapy

Targeting blood pressure as a means to improve overall surgical outcomes and to reduce the risk of strokes may oversimplify the goal of perioperative hemodynamic management, which is to optimize end-organ perfusion and oxygen delivery, not just to achieve specific blood pressure targets. Thirty years after the seminal work by Shoemaker et al⁹⁴ first describing the use of goaldirected therapy to optimize global perfusion in highrisk surgical patients, and despite controversy surrounding its benefit, goal-directed therapy is widely used.⁹⁵ A Cochrane meta-analysis published in 2013, based on 31 randomized trials with 5092 participants, showed that administration of fluids and vasoactive drugs targeted to increase global blood flow did not significantly reduce mortality but did reduce the overall rate of complications by 32%, including a 29% reduction in the incidence of renal impairment and 49% reduction in the incidence of respiratory failure/acute respiratory distress syndrome.⁹⁶ Subsequently, OPTIMISE (Effect of a Perioperative, Cardiac Output-guided Hemodynamic Therapy Algorithm on Outcomes Following Major Gastrointestinal Surgery), a pragmatic multicenter randomized controlled trial of cardiac output-guided therapy during the postoperative period in 734 high-risk patients undergoing major gastrointestinal surgery, reported a 6.8% lower absolute risk of the composite outcome of complications and 30-day mortality (95% CI, -0.38% to 13.9%; P=0.07). Although the findings from this study were not statistically significant, the use of a hemodynamic intervention algorithm was found to be associated with improved outcomes when these results were included in an updated meta-analysis (risk ratio, 0.77 [95% CI, 0.71–0.83]).97 More recently, FEDORA (Effect of Goal-directed Haemodynamic Therapy on Postoperative Complications in Low-moderate Risk Surgical Patients), a prospective multicenter randomized trial in 450 low- and moderate-risk patients undergoing noncardiac surgery, reported nearly 50% fewer moderate or severe postoperative complications in patients randomized to intraoperative goal-directed therapy.⁹⁸ There was no significant difference in mortality rates at 180 days between groups.98 None of these trials described significant reductions in strokes, most likely because of the low incidence of strokes and insufficient statistical power. Moreover, there is insufficient literature to make any firm suggestions on the use of goal-directed therapy to prevent strokes. Although it is unlikely that randomized trials to examine the effect of goal-directed therapy on overt strokes are feasible because of the low incidence

of overt strokes in noncardiac surgery, it may be possible to perform such a trial using silent cerebral ischemia as the end point given the much higher incidence of silent cerebral infarcts compared with overt strokes.²⁴

Perioperative Blood Transfusion Management

Oxygen delivery to the brain is a function of cerebral blood flow, arterial oxygen saturation, and hemoglobin levels. Decreases in hemoglobin levels lead to cerebral arterial vasodilation, increases in sympathetic outflow, and increases in venous return, contractility, and heart rate, which together compensate for reduction in oxygen content by increasing cardiac output and cerebral blood flow.⁹⁹ In addition, oxygen extraction fraction can increase from a baseline of 40% up to 80% in low-flow conditions.¹⁰⁰ Healthy volunteers will exhibit reversible cognitive dysfunction after isovolumic reductions of blood hemoglobin concentrations to 6 g/dL and will maintain adequate global perfusion at blood hemoglobin concentrations as low as 5 g/dL, suggesting that these adaptive responses start to fail at hemoglobin concentrations <6 g/dL.¹⁰¹ However, patients with cerebrovascular disease¹⁰² or a recent stroke do not have the same cerebrovascular reserve as healthy volunteers and may develop brain hypoxia at hemoglobin thresholds >6 g/dL.¹⁰²⁻¹⁰⁴ Because patients with significant internal carotid artery disease or intracranial stenosis may have partially exhausted their vascular and oxygen extraction reserves, they may not be able to increase cerebral perfusion to vulnerable brain areas to compensate for decreases in arterial oxygen content.^{102,103,105}

Although anemia would be expected to increase the risk of stroke during the perioperative period, 2 large observational trials of patients undergoing noncardiac surgery did not show increases in the incidence of adverse central nervous system outcomes in patient with preoperative anemia.^{106,107} These negative findings may have resulted from targeted perioperative clinical interventions that mitigated the risk of anemia. However, this is not likely because patients with preoperative anemia were found to have a significantly higher risk of mortality, cardiac complications, and respiratory complications compared with patients without anemia.¹⁰⁶⁻¹⁰⁹ Using data from >227000 patients, Musallam et al¹⁰⁶ found that patients with moderate to severe anemia had a 44% higher odds of mortality, a 52% higher odds of cardiac complications, and a 41% higher odds of respiratory complications but no difference in central nervous system complications compared with patients without anemia. Saager et al¹⁰⁷ also reported similar findings using data on nearly 575000 patients. Anemia, however, has been reported to increase the risk of stroke in nonsurgical patients.^{110–114} Anemia is also associated with a higher risk of poor functional outcomes and mortality in patients presenting with an acute stroke.^{115–120} Together, these studies paint a somewhat confusing picture that anemia is a risk factor for stroke in the general population but not in patients undergoing noncardiac surgery.

Although anemia is associated with higher rates of mortality and morbidity in patients undergoing noncardiac surgery, observational studies have consistently shown that patients who receive blood transfusions perioperatively have worse outcomes compared with those who do not.121-125 Several randomized trials have been performed to determine whether blood transfusions can be safely avoided with the use of a restrictive transfusion strategy, in which patients with a hemoglobin level <7 or 8 g/dL are transfused, compared with a "liberal" transfusion strategy that uses 9 g/dL as a transfusion threshold in patients undergoing cardiac^{126–129} and noncardiac surgery^{130,131} and in critically ill patients.^{132,133} These trials showed that prior observational studies overestimated the risk of blood transfusions.134 A recent meta-analysis based on 37 randomized controlled trials with >19000 patients showed that the risk of stroke, MI, congestive heart failure, renal failure, and 30-day mortality was not significantly different between restrictive and liberal transfusion strategies.¹³⁵ According to the study authors, the main limitation of this meta-analysis is that it did not include enough information on patients with brain injury, acute coronary syndromes, or congestive heart failure to make recommendations for these very high-risk groups.¹³⁵ To this group, we should add patients with an elevated risk of perioperative stroke. On the basis of the evidence from these large randomized controlled trials, the AABB (formerly known as the American Association of Blood Banks) recommends a 2-tiered approach: a transfusion threshold of 7 g/dL for hemodynamically stable hospitalized patient and 8 g/dL for patients undergoing cardiac or orthopedic surgery or for patients with preexisting cardiovascular disease or symptoms such as hemodynamic instability unresponsive to volume resuscitation.136

We suggest that clinicians use the same transfusion threshold for patients with recent strokes or preexisting cerebrovascular disease that the AABB recommends for patients with preexisting cardiovascular disease: a transfusion threshold of 8 g/dL. The AABB clinical practice guidelines make no recommendations for patients with acute coronary syndromes, citing lack of evidence.¹³⁶ In light of the uncertainty across existing guidelines, we suggest that clinicians should consider a transfusion threshold of 8 g/dL for most patients with elevated stroke risk and a threshold of 9 g/dL for patients with an acute perioperative stroke or known cerebrovascular insufficiency resulting from severe carotid stenosis or occlusion. In considering a higher transfusion threshold in the highest-risk patients, the risk of noninfectious hazards of transfusion such as transfusion-associated

circulatory overload (which can occur in up to 5% of transfusions) should be weighed against the unproven benefit of using a higher transfusion threshold.¹³⁷ Of note, a trial is being planned to evaluate whether a liberal transfusion strategy leads to fewer adverse ischemic outcomes, including strokes, in elderly patients ≥70 years of age undergoing intermediate and highrisk noncardiac surgery (LIBERAL trial [Liberal Transfusion Strategy in Elderly Patients]; URL: ClinicalTrials.gov. Unique identifier: NCT03369210).¹³⁸ Finally, to the best of our knowledge, no trials are currently planned to examine transfusion thresholds limited to patients presenting with acute ischemic strokes, although 2 trials are currently underway for patients with acute coronary syndromes (REALITY [Restrictive and Liberal Transfusion Strategies in Patients With Acute Myocardial Infarction], URL: ClinicalTrials.gov. Unique identifier: NCT02648113; and MINT [Myocardial Ischemia and Transfusion], URL: ClinicalTrials.gov. Unique identifier: NCT02981407).137 Future randomized trials should be considered to compare restrictive and liberal transfusion thresholds in patients presenting with acute strokes.

Effects of the Choice of Anesthetic Technique

Although anesthetic neuroprotection has been studied intensively over the past 50 years, there is no evidence that anesthetics are neuroprotective in humans despite the finding that anesthetics reduce the cerebral metabolic rate and mimic the effects of ischemic preconditioning.^{139,140} Instead of focusing on anesthetic neuroprotection, the question today has shifted to the potential neurotoxicity of anesthetic agents¹⁴¹ in part because of early influential studies suggesting that general anesthesia may be associated with cognitive decline in elderly patients.^{141,142} Because nitrous oxide causes endothelial dysfunction, its use could lead to increases in cardiovascular complications and strokes. However, the results of ENIGMA-II (Evaluation of Nitrous Oxide in the Gas Mixture for Anaesthesia), a large international randomized controlled trial in patients with known or suspected coronary artery disease, showed that nitrous oxide did not lead to increases in the composite outcome of death and cardiovascular complications, including stroke, within 30 days or 1 year after major noncardiac surgery.143,144 The Balanced Anesthesia Study, based on a large international trial of 6644 older patients with significant comorbidity undergoing major surgery, reported no significant difference in 1-year mortality, composite of cardiovascular outcomes, and stroke in patients randomized to light compared with deep general anesthesia.¹⁴⁵ In 2018, the Perioperative Neurotoxicity Working Group, sponsored by the American Society of Anesthesiology Brain Health Initiative, published a set of recommendations on best

practices for brain health and reported that there is little evidence that inhaled anesthetic agents increase the risk of perioperative neurocognitive disorder.¹⁴⁶ The evidence to date suggests that anesthetic agents are neither neuroprotective nor neurotoxic and that the choice of anesthetic agent is unlikely to influence the risk of stroke in the perioperative period.

Since the seminal study by Yeager et al^{147} from >30 years ago showing that patients undergoing major vascular procedures were half as likely to have major complications if they received epidural analgesia, there has been remarkable interest in the use of regional anesthesia to improve outcomes, as well as controversy concerning whether regional anesthesia actually improves surgical outcomes.148 A recent meta-analysis from the Cochrane Library of randomized controlled trials, which included 31 studies with 3231 participants, compared neuraxial anesthesia (spinal or epidural) with general anesthesia in patients undergoing surgery for hip fractures. This study reported no difference in either 30-day mortality or rates of strokes or MIs but concluded that the quality of evidence was too low and the sample size too small to make any definitive conclusion.¹⁴⁹ A second meta-analysis, also from the Cochrane Library, compared general anesthesia with neuraxial anesthesia for patients undergoing lower-limb revascularization. This study, based on 696 participants, also reported no difference in mortality or MIs and cited that the evidence was insufficient to draw conclusions for strokes or postoperative cognitive deficits.¹⁵⁰ With estimated stroke rates <1%, it may not be possible to design randomized controlled trials to arrive at conclusions on the superiority of regional anesthesia compared with general anesthesia.

Two large retrospective studies, one based on 528495 patients and the other based on 182307 patients, suggest that neuraxial anesthesia may be weakly associated with fewer strokes compared with general anesthesia in patients undergoing hip surgery.^{151,152} Smith et al¹⁵³ recently published a meta-analysis comparing neuraxial anesthesia and combined neuraxial and general anesthesia with general anesthesia for major truncal and lower limb surgery using nearly 1.1 million patient records. Neuraxial anesthesia, either alone or in combination with general anesthesia, was not associated with differences in 30day mortality compared with general anesthesia alone.¹⁵³ Neuraxial anesthesia was associated with 60% fewer pulmonary complications but no differences in cardiac complications compared with general anesthesia alone. Combined neuraxial and general anesthesia was not associated with differences in the rate of pulmonary or cardiac complications. This meta-analysis, however, did not examine the association between regional anesthesia and stroke. There is currently insufficient evidence to support the use of regional anesthesia or analgesia versus general anesthesia alone to lower the perioperative risk of stroke.

Table 7. Summary of Intraoperative Management to Prevent Perioperative Stroke

Consider maintaining a mean arterial pressure >70 mm Hg, especially in patients who are at moderate or high risk for perioperative stroke. It is reasonable to consider a transfusion threshold of 8 g/dL for patients with a history of recent stroke or significant cerebrovascular disease (eg, carotid or intracranial stenosis >70%). Clinicians may consider a transfusion threshold of 8–9 g/dL in patients with an acute perioperative stroke, ongoing bleeding, hemodynamic instability, and known cerebrovascular insufficiency attributable to stenosis or occlusion.

There is insufficient evidence to make clear suggestions on the use of general anesthesia vs regional anesthesia.

It is reasonable to consider a lung-protective ventilation strategy as part of an overall strategy to reduce postoperative complications, although there are no data to support this approach for the reduction of perioperative stroke.

Hypocarbia should be generally avoided in patients at high risk for perioperative stroke.

Table 7 provides a summary of intraoperative management to prevent perioperative stroke.

Ventilation Strategies

Although there is little empirical evidence on the association between ventilation strategies and the risk of perioperative strokes, there is substantial evidence that hyperventilation and hypocapnia lead to significant reductions in cerebral blood flow.154,155 Hypocapnia causes the cerebral autoregulatory curve to be shifted downward, leading to reductions in cerebral blood flow, throughout the range of cerebral perfusion pressures.¹⁵⁶ Because hypocapnia can worsen cerebral ischemia, the injured brain may be particularly susceptible to the effects of hypocapnia.¹⁵⁷ These data suggest that avoiding hypocarbia in high-risk patients is reasonable and that hypocapnia may be exceptionally harmful in those patients who experience cerebral ischemia perioperatively.

Since the landmark work showing that the prevention of ventilator-induced lung injury with the use of lower tidal volumes reduces mortality in patients with acute lung injury and the acute respiratory distress syndrome, 158, 159 protective lung ventilation has become a best practice for the care of critically ill patients. These findings have been replicated in patients undergoing noncardiac surgery in which the use of lung-protective ventilation leads to a lower incidence of major pulmonary complications, sepsis, and death.¹⁶⁰ Although stroke was not one of the outcomes studied, preventing pulmonary complications may lead to fewer episodes of hypoxemia perioperatively and decrease the risk of stroke in high-risk surgical patients. Although there are no data to show that lung-protective ventilation reduces the risk of strokes, it is reasonable to use protective lung ventilation as part of an overall strategy to improve perioperative outcomes.

IDENTIFICATION AND TREATMENT OF PERIOPERATIVE STROKE

Stroke Recognition in the Perioperative Setting

Between 4% and 17% of all strokes occur in patients who are already hospitalized for either medical or surgical indications.^{161,162} Even after adjustment for comorbidities, patients experiencing a stroke in the hospital are more likely to have longer hospitalizations, worse outcomes, including higher mortality, and a lower probability of discharge home compared with patients who have a stroke in the community.^{161,163} In a prospective cohort study, patients with in-hospital strokes were also significantly less likely to receive thrombolytic therapy and more likely to have delays in time to brain imaging and time to treatment compared with patients presenting from outside the hospital.¹⁶³ More than 60% of these in-hospital strokes were likely perioperative or periprocedural, occurring on either a surgical service or in the angiography suite.¹⁶³

With nearly half of all perioperative strokes occurring in the first 24 hours after surgery,^{9,12} the identification of stroke symptoms in patients during the perioperative period is challenging if intubation is prolonged, if there are residual effects from anesthesia, or because of the use of opioids and other psychoactive medications postoperatively. The hallmark of acute stroke is typically the sudden onset of focal neurological deficits; in the postoperative period, the exact onset of symptoms often cannot be determined, and the clinical presentation may be nonfocal, such as mental status changes, including agitated delirium, autonomic instability, or delayed emergence from anesthesia.^{12,30} Many medications used in the perioperative period affecting mental status can be reversed in order to facilitate neurological evaluation of patients with suspected stroke.

Postanesthesia care units should consider routinely performing neurological assessments for early identification of stroke in high-risk patients, including evaluation of level of arousal, speech/language, and motor function. Staff members in these units may require additional training in performing neurological assessments. Multiple prehospital and emergency department scales exist to aid in the identification of stroke symptoms, but none have been validated in the perioperative setting.164,165 Abbreviated versions of the National Institutes of Health Stroke Scale have been validated for use in clinical research and prehospital evaluation and may be a reasonable and more expeditious option over the standard National Institutes of Health Stroke Scale in the perioperative setting¹⁶⁵⁻¹⁶⁷ (Figure 2). Alternatively, the Cincinnati Prehospital Stroke Scale is a rapid and easily administered stroke assessment tool that has been validated in prehospital applications and may be considered for use in the perioperative setting¹⁶⁸ (Figure 3).

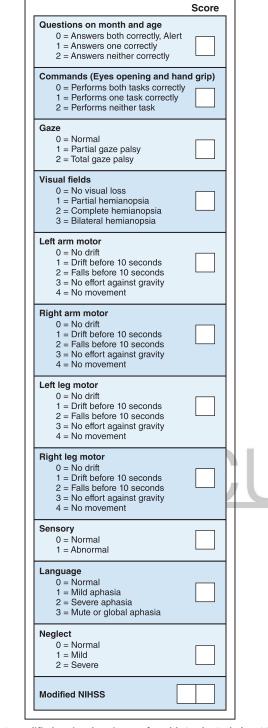


Figure 2. Modified National Institutes of Health Stroke Scale (NIHSS). Adapted from Sun et al¹⁶⁵ with permission. Copyright © 2016, The Author. Published by Oxford University Press on behalf of the *British Journal of Anaesthesia*. All rights reserved.

Emergent Evaluation: "Code Stroke"

Evidence-based guidelines exist for the identification and evaluation of patients presenting with acute stroke in the community or in the emergency room, emphasizing the use of an organized protocol and an acute stroke response team.¹⁶⁹ Similarly, if an acute stroke is suspected in the

in-hospital perioperative setting, an institutional stroke code and a rapid response stroke team should be activated to ensure immediate neurological assessment, facilitate timely imaging, and initiate therapeutic medications and interventions.¹⁷⁰ All health care professionals need to be educated about the signs of stroke and should be empowered to initiate a stroke code.^{171,172} Formal protocols should be used to guide practice, including immediate assessment of clinical parameters such as blood pressure, pulse, temperature, glucose level, and metabolic status, with special attention to pharmacological effects from anesthesia. A noncontrast head computed tomography (CT) scan should be obtained to rule out intracranial hemorrhage immediately, with additional CT angiography and perfusion studies in patients suspected of having a large vessel occlusion (LVO). An abbreviated MRI with diffusion-perfusion sequences may be indicated in select circumstances, but CT scanning is routinely faster, more available, and better tolerated by postoperative patients. Patients eligible for thrombolytic treatment, mechanical embolectomy, or both must be identified as early as possible, and optimal interventions should be determined by members of the surgical and perioperative teams, along with the vascular neurology and interventional teams.

Table 8 provides a suggested algorithm for the evaluation of a patient with suspected stroke in the perioperative setting, adapted from American Heart Association Phase III Target Stroke.¹⁷³

Acute Stroke Treatment: Endovascular Therapy

Patients with LVO are likely to have the most devastating neurological outcomes if the LVO is not treated. The incidence of LVO stroke is >30% in patients presenting with acute ischemic strokes and 10.9% in patients with perioperative ischemic strokes after cardiac surgery.^{174,175} Because mechanical embolectomy does not require anticoagulation and is superior to intravenous alteplase alone for the treatment of LVO strokes, patients who are suspected to have an LVO (eq, National Institutes of Health Stroke Scale score >6 and/or cortical deficits on examination) should undergo immediate CT angiography and possible CT perfusion studies to determine whether they are candidates for mechanical thrombectomy in addition to intravenous thrombolytic therapy. Patients with acute ischemic stroke can be considered for intravenous alteplase as long as treatment is initiated within 4.5 hours of symptom onset or time of last known well and there is no evidence of hemorrhage on the noncontrast CT.¹⁶⁹

Patients experiencing a perioperative stroke are often ineligible for intravenous alteplase because of the risk of surgical bleeding but may otherwise be considered for mechanical thrombectomy provided that they meet existing criteria based on clinical characteristics and imaging findings. Decisions to pursue mechanical embolectomy in

Cincinnati Pre	hospital Stroke Scale (CPSS)
Facial Droop Normal: Abnormal:	Both sides of the face move equally One side of the face does not move as well as the other
Arm Drift Normal: Abnormal:	Both arms move equally or not at all One arm drifts compared to the other
	Patient uses correct words with no slurring Slurred or inappropriate words or mute
	finding in any one of the three components results in a positive CPSS gger activation of the acute stroke team.

Figure 3. Modified Cincinnati Prehospital Stroke Scale (CPSS).

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the perioperative setting should be based on those clinical and imaging determinants, as well as an individualized risk/benefit assessment including current morbidities, type of surgery recently performed, and time from last known well. It is important to emphasize that mechanical thrombectomy for LVO can be performed without systemic anticoagulation. If a surgical procedure is to be performed at a center that is not thrombectomy capable, provisions for transfer to an advanced stroke center if a perioperative stroke is identified should be established beforehand. Procedures with a high risk of perioperative stroke should ideally be done only at thrombectomy-capable centers.¹⁷⁶

Recent evidence has firmly established robust beneficial effects of mechanical thrombectomy in reducing disability in selected patients with LVO up to 24 hours after the onset of stroke symptoms.^{169,177} Current guidelines for patients presenting within 6 hours of symptom onset are based on data from 6 randomized trials that demonstrated significantly improved functional outcomes in patients undergoing mechanical embolectomy (Table 9). Two recent trials of mechanical thrombectomy have further expanded the time window of eligibility up to 24 hours for patients with an LVO and favorable brain perfusion imaging.^{178,179} In the DAWN trial (DWI or CTP Assessment With Clinical Mismatch in the Triage of Wake-Up and Late Presenting Strokes Undergoing Neurointervention With Trevo), patients with symptom onset between 6 and 24 hours and a mismatch between clinical findings and infarct size based on advanced brain imaging who underwent mechanical thrombectomy had significantly improved outcomes at 90 days compared with those who had standard treatment.¹⁷⁸ In DEFUSE 3 (Endovascular Therapy Following Imaging Evaluation for Ischemic Stroke), patients with an internal carotid artery or proximal middle cerebral artery occlusion and favorable brain imaging (mismatch between core infarct and ischemic penumbra) who were treated by mechanical thrombectomy had significantly less disability at 90 days compared with control subjects.¹⁷⁹ Current guidelines now support the use of mechanical thrombectomy in patients with symptom onset between 6 and 24 hours provided that they meet the eligibility criteria used in these 2 trials.¹⁶⁹

Limited evidence exists describing mechanical embolectomy in the perioperative setting, including only small cases series in patients undergoing cardiac surgery^{175,180,181} and 1 case-control study involving 25 patients with perioperative stroke after general surgery, neurosurgery, open heart procedures, or interventional vascular procedures.¹⁸² In the study by Premat et al,¹⁸² successful reperfusion was obtained in 76% of patients with a symptomatic hemorrhage rate of 8%, comparable to results seen in recent major trials. Mortality, however, was significantly higher in cases compared with matched controls (who did not have surgery before their stroke), which may be attributable to other surgical morbidities or differences in treatment. For instance, none of the 25 cases who underwent embolectomy were treated with intravenous alteplase compared with 64% of controls who received thrombolytic therapy.¹⁸²

Although the benefits are unproven, patients with occlusion of other intracranial vessels (anterior cerebral, distal middle cerebral, vertebral, basilar, or posterior

Table 8. Perioperative In-Hospital Stroke Response Protocol

Time goals	Identification of acute stroke symptoms in hospitalized patients	Simultaneously perform		
Stroke symptom discovery to stroke alert	Initiate code stroke/telestroke consult	Confirm LKW; may be anesthesia induc		
<5 min	Notify anesthesiologist and surgeon	tion time		
Stroke symptom discovery to neurological	Perform screening assessment (CPSS, modified or full NIHSS)	Locate family for history and consent		
expertise <10 min	Fingerstick glucose (treat <60 mg/dL)			
	Blood pressure (notify if >185/110 mm Hg, treat hypotension)			
	Obtain pulse, temperature			
	Continuous cardiac monitor and pulse oximetry (maintain $\rm O_2$ saturation >94%)			
	ECG, laboratory values (PT/INR, PTT, CBC, platelet count, troponin) Place second intravenous line to obtain laboratory values			
	Do not delay CT to obtain ECG or laboratory values			
Stroke symptom discovery to CT/MRI <25	Noncontrast CT of the brain			
min	CT phone number			
	If suspected LVO: additional CT angiography and perfusion studies or abbreviated MRI with perfusion/diffusion sequences if indicated.			
	MRI phone number			
Stroke symptom discovery to CT/MRI	Obtain radiological interpretation			
result <45 min	Contact number			
Stroke symptom discovery to initiation of treatment <60 min (alert to needle)	LKW <4.5 h, consider intravenous alteplase, along with mechanical embolectomy if LVO	Individualized risk/benefit discussion with all health care professionals and		
<75 min (alert to puncture)	LKW <24 h, consider mechanical embolectomy if LVO	the patient/family		
	Unknown time of onset of symptoms noted on awakening, with fa- vorable advanced imaging and no LVO, consider intravenous alteplase	-		
	Reversal of anticoagulation in patients with hemorrhagic stroke	American		
	Transfer to ED/ICU/stroke unit or stroke center	Heart Association.		
	Contact number			

CBC indicates complete blood count; CPSS, Cincinnati Prehospital Stroke Scale; CT, computed tomography; ED, emergency department; ICU, intensive care unit; INR, international normalized ratio; LKW, last known well; LVO, large vessel occlusion; MRI, magnetic resonance imaging; NIHSS, National Institutes of Health Stroke Scale; PT, prothrombin time; and PTT, partial thromboplastin time.

Data derived from American Heart Association website.¹⁷³

cerebral arteries) or who have greater premorbid disability may also be considered for mechanical thrombectomy if their National Institutes of Health Stroke Scale score is ≥ 6 , their Alberta Stroke Program Early CT Score is ≥ 6 , and they can be treated within 6 hours of symptom onset.¹⁶⁹ Additional evidence from meta-analyses supports a potential broadening of the indications for mechanical embolectomy to include patients with larger, more severe strokes (Alberta Stroke Program Early CT Score <6) or with distal middle cerebral artery occlusions.^{183–185}

Acute Stroke Treatment: Thrombolytic Therapy

Patients presenting with a perioperative stroke after major surgery may be considered for treatment with intravenous alteplase within 4.5 hours from the time of last known well. Intracranial or intraspinal surgery within the past 3 months is an absolute contraindication to intravenous alteplase treatment.¹⁶⁹ Several retrospective studies have identified a small number of patients with recent surgery who underwent treatment with intravenous alteplase who had an increase in surgical site hemorrhages but had no major complications.^{186–188} Voelkel et al¹⁸⁹ analyzed 134 patients treated with intravenous alteplase

who had undergone surgery within the previous 90 days, including 49 patients who had surgery within 1 to 10 days. Surgical site hemorrhage occurred in only 9 patients (7%) and was identified as serious in 4 patients (3%). Two of these patients received red blood cell transfusions, and the other 2 were treated by surgical evacuation of the site hematoma or endoscopic clipping of a colonic adenoma.¹⁸⁹ Surgical site hemorrhage was significantly more likely to occur in more recent rather than later surgery.¹⁸⁹ From these observations, intravenous alteplase should be considered in patients with recent surgery after

Table 9. Guidelines for Treatment With Mechanical Thrombectomy With a Stent Retriever

Prestroke modified Rankin Scale score of disability 0–1 (able to carry out all usual activities)
Causative occlusion of distal internal carotid artery or proximal middle cerebral artery
Age ≥18 y
NIHSS score of ≥6
ASPECTS of ≥6
Treatment initiated (groin puncture) within 6 h of symptom onset

ASPECTS indicates Alberta Stroke Program Early CT Score; and NIHSS, National Institutes of Health Stroke Scale.

Adapted from Powers et al.¹⁶⁹ Copyright © 2019, American Heart Association, Inc.

Perioperative Stroke Evaluation and Treatment

a careful, individualized risk-benefit discussion between all health care professionals and the patient. In many cases, the adverse effects of a major stroke may be much greater than the risk of significant surgical bleeding.

Multiple recent trials have examined imaging-guided thrombolysis treatment beyond 4.5 hours. The WAKE-UP trial (Efficacy and Safety of MRI-Based Thrombolysis in Wake-Up Stroke) randomized 503 patients with unknown time of onset and MRI findings of ischemia on diffusionweighted imaging, but no changes on fluid-attenuated inversion recovery, to either intravenous alteplase or placebo.¹⁹⁰ A favorable outcome (modified Rankin Scale score, 0–1) was more likely in those given intravenous alteplase (adjusted odds ratio, 1.61 [95% CI, 1.09-2.36]; P=0.02), although 10 patients in the alteplase group died (4.1%) compared with 3 (1.2%) in the placebo group (odds ratio, 3.38 [95% CI, 0.92–12.52]; P=0.07), and symptomatic intracranial hemorrhage was more common in the intravenous alteplase group than in the placebo group (2% versus 0.4%; odds ratio, 4.95 [95% CI, 0.57–42.87]; P=0.15).¹⁹⁰ In addition, in a patient-level meta-analysis of 3 randomized placebo-controlled trials of intravenous alteplase (EPITHET [Echoplanar Imaging Thrombolytic Evaluation Trial], ECASS 4 [European Cooperative Acute Stroke Study 4–Extending the Time Window for Intravenous Thrombolysis in Acute Ischemic Stroke using Magnetic Resonance Imaging-based Patient Selection] and EXTEND [Extending the Time for Thrombolysis in Emergency Neurological Deficits]), patients >4.5 hours after onset of stroke or with wake-up stroke who were included on the basis of a diffusion-perfusion MRI or perfusion CT showed favorable outcomes for extended-window thrombolysis.¹⁹¹ Among 414 included patients, patients given intravenous alteplase were more likely to have an excellent outcome (modified Rankin Scale score, 0–1) at 3 months (36% versus 29%; adjusted odds ratio, 1.86 [95% CI, 1.15–2.99]; P=0.011). However, symptomatic intracerebral hemorrhage was also more common in the intravenous alteplase group (5% versus <1%; adjusted odds ratio, 9.7 [95% CI, 1.23–76.55]; P=0.031), and there was a numerically higher mortality rate among those who received IV alteplase (14% versus 9%; adjusted odds ratio, 1.55 [95% confidence interval, 0.81–2.96]; *P*=0.66). Taken together, these data suggest that carefully selected patients beyond 4.5 hours from the time of last seen normal may have improved neurological recovery if treated with intravenous alteplase. Current guidelines now support the use of intravenous alteplase when administered within 4.5 hours of stroke symptom recognition in patients who awaken with stroke symptoms or have unclear time of onset >4.5hours from last known well and have diffusion-weighted MRI lesion smaller than one-third of the middle cerebral artery territory and no visible signal change on fluid-attenuated inversion recovery.¹⁶⁹ However, there were numerically higher mortality rates in the patients who received thrombolysis, and it is likely that a recent surgery would also increase the risk for a serious adverse event. Therefore, the

Table 10. Summary of Identification and Treatment of Perioperative Stroke

All centers performing surgery should consider establishing algorithms for the evaluation and treatment of patients with perioperative stroke by stroke code teams, with protocols in place for immediate treatment or transfer to hospitals capable of providing advanced care.

The risk of perioperative stroke (\leq 30 d) is higher within the first 72 h after surgery, with the first 24 h carrying the highest risk and offering the greatest challenge in identification of stroke symptoms because of lingering effects of the procedure and anesthetic medications.

Restoring blood flow to the injured brain is critically important. Patients with a perioperative stroke should be strongly considered for evaluation for mechanical embolectomy and intravenous thrombolysis because both interventions have been shown to be safe in select patients. Mechanical thrombectomy is preferable to intravenous thrombolysis alone in LVO strokes. Obtaining more advanced imaging initially, including CT angiography and perfusion studies, should be considered for patients with more severe stroke symptoms (NIHSS score >6 or cortical deficits) to determine eligibility for mechanical thrombectomy. The risk of bleeding at the surgical site must be considered in relation to intravenous thrombolytic treatment.

CT indicates computed tomography; LVO, large vessel occlusion; and NIHSS, National Institutes of Health Stroke Scale.

use of IV alteplase in this time window in surgical patients should be considered cautiously and undertaken only with selection criteria and high-level imaging similar to those used in these trials with well-documented informed consent. If mechanical thrombectomy an option, that should take precedence (Table 9).

Table 10 provides a summary of the identification and treatment of perioperative stroke.

ARTICLE INFORMATION

The American Heart Association makes every effort to avoid any actual or potential conflicts of interest that may arise as a result of an outside relationship or a personal, professional, or business interest of a member of the writing panel. Specifically, all members of the writing group are required to complete and submit a Disclosure Questionnaire showing all such relationships that might be perceived as real or potential conflicts of interest.

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Disclosures

CLINICAL STATEMENTS AND GUIDELINES

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*Modest.

+Significant.

None

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None

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