

CUSTOMER DUE DILIGENCE REPORT FOR REAL ESTATE BROKERS

[In respect of a Corporate Client (including receivers)]

PART 1: NAME AND DETAILS OF CLIENT

Company/Body Corporate name:	
Business/Company address	
Property Traded	
Type of Customer	<input type="checkbox"/> Buyer <input type="checkbox"/> Seller <input type="checkbox"/> Landlord <input type="checkbox"/> Tenant

PART 2: DETAILS OF CDD CARRIED OUT IN RESPECT OF THE CLIENT

Has the company's Memorandum and Articles of Association been provided? (Mandatory Requirement)	<input type="checkbox"/> YES <input type="checkbox"/> NO	
Has the list of company directors been provided? (Mandatory Requirement)	<input type="checkbox"/> YES <input type="checkbox"/> NO	
Has proof of identity for one company director been provided? (Mandatory Requirement)	<input type="checkbox"/> YES <input type="checkbox"/> NO	
Has proof of identity for one signatory on the company's bank account been provided? (Mandatory Requirement)	<input type="checkbox"/> YES <input type="checkbox"/> NO	
Have all beneficial owners of the company been identified? (Mandatory Requirement)	<input type="checkbox"/> YES <input type="checkbox"/> NO	

Have all the directors been screened against NACTA, UNSCR lists of proscribed persons?	<input type="checkbox"/> YES <input type="checkbox"/> NO	Attach latest screening reports
Have all the directors been screened against PEPs	<input type="checkbox"/> YES <input type="checkbox"/> NO	Attach latest screening reports
Where a company director is a PEP, close associate or immediate family member of a PEP;		
Has approval been obtained by senior management to establish a business relationship with the client?	<input type="checkbox"/> YES <input type="checkbox"/> NO	Name of Approver: _____ Signature: _____
Has the source of wealth or funds been determined?	<input type="checkbox"/> YES <input type="checkbox"/> NO	

PART 3: DECLARATION OF CDD BY REAL ESTATE BROKER

I am satisfied that sufficient customer due diligence has been carried out in respect of this Corporate Client.

Signed: _____	Dated: _____
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