

# CUSTOMER DUE DILIGENCE REPORT FOR REAL ESTATE BROKERS

[In respect of a Client who is an Individual]

## PART 1: NAME AND DETAILS OF CLIENT

Full Name	
Home Address	
Property Traded	
Type of Customer	<input type="checkbox"/> Buyer <input type="checkbox"/> Seller <input type="checkbox"/> Landlord <input type="checkbox"/> Tenant

## PART 2: DETAILS OF CDD CARRIED OUT IN RESPECT OF THE CLIENT

Has photo ID been provided? (Mandatory Requirement)	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> NICOP <input type="checkbox"/> CNIC <input type="checkbox"/> PASSPORT
Has official proof of address been provided?	<input type="checkbox"/> YES <input type="checkbox"/> NO	
Has the individual been screened against NACTA, UNSCR lists of proscribed persons?	<input type="checkbox"/> YES <input type="checkbox"/> NO	Attach latest screening report
Has the individual been screened against PEPs?	<input type="checkbox"/> YES <input type="checkbox"/> NO	Attach latest screening report
Is the individual a Politically Exposed Person (PEP), a Close Associate of a PEP or an Immediate Family member of a PEP?	<input type="checkbox"/> YES <input type="checkbox"/> NO	

Where the individual is a PEP, close associate or immediate family member of a PEP;		
Has approval been obtained by senior management to establish a business relationship with the individual?	<input type="checkbox"/> YES <input type="checkbox"/> NO	Name of Approver: _____
		Signature: _____
Has the source of wealth or funds been determined?	<input type="checkbox"/> YES <input type="checkbox"/> NO	

**PART 3: DECLARATION OF CDD BY REAL ESTATE BROKER**

I am satisfied that sufficient customer due diligence has been carried out in respect of this individual and with regard to their associated risk.

Signed: _____	Dated: _____
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