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The Engineering Project Organization Society and Megaprojects: Literature Analysis Using Keywords

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THE ENGINEERING PROJECT ORGANIZATION SOCIETY AND MEGAPROJECTS: LITERATURE ANALYSIS USING KEYWORDS

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ABSTRACT

The purpose of this paper is to analyse how Engineering Project Organization Society (EPOS) has addressed the issue of megaprojects at their annual conferences organized from 2006 to 2016. Literature analysis used in this paper is a form of content analysis. It focuses on the usage of a particular term in scientific papers. In this case, the key term is “megaprojects” or “mega-projects.” Papers in which this term appears are selected for further analysis. The main findings show that the main keyword “megaproject” or “mega-project” appears 22 times in the identified papers. It appears in ten titles and nine abstracts. Most important for this literature analysis, it appears in seven lists of keywords. Literature analysis proceeded by analysing the associated keywords in the seven papers in which the main keyword “megaproject” or “mega-projects” can be found in the listed keywords. The analysis shows that the main associated keywords are “governance,” “complexity” and “trust.” This research provides a view of the collective understanding of megaprojects within the EPOS community and helps to shape further research in this field. In addition, the results of this research can be seen as one step forward for scholars and practitioners to discuss and develop a new theoretical framework for better understanding of megaproject governance.

KEYWORDS:

megaproject, governance, complexity, trust, Engineering Project Organization Society (EPOS),

INTRODUCTION

The reason for addressing the megaproject topic in this paper is that this year EPOS organizes a joint meeting with the Fifth Megaprojects Workshop at the EPOC annual conference. For this special occasion it is interesting to assess the major outputs of megaprojects research of the EPOS community. There are numerous research papers written over the last two decades about megaprojects. They focus on a wide range of topics starting from different definitions of megaprojects (*e.g.* Flyvbjerg, 2003; Brooks and Locatelli, 2015), project planning and delivery

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(Lundrigan *et al.*, 2015), risk management (Miller and Lessard, 2000; Flyvbjerg, 2003), different case studies (Mahalingam, 20008; Ruuska *et al.* 2009; Chi *et al.*, 2011), stakeholder management (Yang *et al.*, 2014), project complexity (Brockman and Girmscheid, 2007; Sertic, 2013) and project governance (Biesenthal and Wilden, 2014; Pelham and Duffield, 2016).

The most comprehensive literature analysis of megaprojects can be found in the paper written by Hu *et al.* (2015) and published in the *Journal of Management in Engineering* (ASCE). It analysed the major outputs of megaproject research published in top peer-reviewed journals, such as the *International Journal of Project Management* (IJPM), the *Journal of Construction Engineering and Management* (JCEM), *Construction Management and Economics* (CME), *Proceedings of the Institution of Civil Engineers - Civil Engineering* (PICE - CE), *Leadership and Management in Engineering* (LME), and the *Project Management Journal* (PMJ). Common keywords searched were “megaproject,” “mega project,” “large project,” “major project” and “complex project.” Topics of megaproject research by Hu *et al.* (2015) identified in 85 papers were organization and stakeholder management, project planning and procurement, cost and schedule management, construction and site management, risk analysis and management, IT innovation and utilization, leadership and professional development, complex project management and project monitoring and control.

This paper is organized in four sections. First, the research methodology is considered. This section focuses on the identification of papers published by EPOS researchers at annual conferences that contain the main keyword megaproject or mega projects. Second, the key findings are presented. In this section, a detailed analysis of the identified papers is presented. Also, it analyses the associated keywords and their interconnection with the main keyword. Third, guidelines for further research are suggested. And fourth, conclusions and limitations of this research are offered.

RESEARCH METHODOLOGY

The analysis of literature presented in this paper is based on Ceric (2016). It proceeded in four distinct steps. First, analysis started by identifying papers from the conferences organized by EPOS: Leadership and Management in Construction (LEAD) and Engineering Project Organization Conference (EPOC). Second, for purposes of this literature analysis, the online archives from the 2006 to 2016 of the LEAD and EPOC conferences were searched for the leading keyword “megaprojects” or “mega-projects” that appeared anywhere in the papers. It should be noted here that keywords have become essential in the literature search, which nowadays guides the academic community in any field of research. This explains their key role in literature analysis. Third, the identified papers were analysed to identify the associated keywords listed by the authors. Only the papers with the leading keyword were selected for further analysis. Fourth, all keywords from the identified papers were analysed for their interconnections, which suggest connections between different concepts, as well.

Table 1 shows all LEAD and EPOC papers listed by year. Altogether, there are 385 of them. The literature analysis presented in this paper covers only full papers that are available in the online EPOS archives. Namely, only abstracts are available in some cases, which is why they were excluded from further analysis.

Table 1: LEAD and EPOC papers from 2006 to 2016

Year	Conference	Papers
2006	LEAD	50
2008	LEAD	15
2009	EPOC	23
2010	EPOC	37
2011	EPOC	34
2012	EPOC	50
2013	EPOC	50
2014	EPOC	38
2015	EPOC	43
2016	EPOC	45
Total		385

MAIN FINDINGS

Table 2 shows the list of identified papers containing the main keyword “megaproject” or “mega-project” in the listed keywords, titles, abstracts or anywhere in the text of published papers from 2006 to 2016. It should be noted that neither LEAD nor EPOC conference was held in 2007.

In 385 papers published between 2006 and 2016, the main keyword “megaproject” or “mega-project” appeared seven times in the listed keywords, nine times in the titles, nine times in the abstracts and twenty-two times anywhere in the text of the selected papers. Table 3 shows incidence of the keyword “megaprojects” or “mega-projects” in LEAD and EPOC conference proceedings.

Table 3: Incidence of the keyword “megaprojects” or “mega-projects” in LEAD and EPOC conferences

Conference	Keywords	Title	Abstract	Text
LEAD	0	0	0	2
EPOC	7	9	9	20
Total	7	9	9	22

Brockman (2009) published the first paper that contained the main keyword “megaproject” in the listed keywords. Two such papers were published in 2010 and 2016, and one paper in 2011. In 2015, a special attention was given to megaprojects with keynote paper presented by Gil (Lundrigan *et al.*, 2015). Unfortunately, keywords for this paper are not provided (see Table 2). Also, three of the above papers deal with case studies from China.

Table 4 shows all papers published from 2006 to 2016 with the main keyword “megaproject” or “mega-project.” Here, all 22 papers are listed in four categories, depending on where the main keyword appears – namely, keywords, title, abstract, and anyplace else in the text.

Table 2: Identified papers with the main keyword “megaproject” or “mega-project” from 2006 to 2016

Paper Number	Keywords	Title	Abstract	Text	Associated Keywords
2006-1	0	0	0	1	Keywords are not provided
2008-1	0	0	0	1	India, Infrastructure, Private Public Partnerships, Bottlenecks, Strategies
2008-2	0	0	0	1	Keywords are not provided
2009-1	0		0	1	Renegotiation, Arbitration, Public - Private Partnership, Culture
2009-2	1	1	1	1	Mega-Project, Complexity, Success Factors, Cognitive Maps
2010-1	0	0	0	1	Keywords are not provided
2010-2	1	1	1	1	Megaproject, Decision Support System, Project Appraisal, Genetic Algorithms, Hybrid Approach
2010-3	1	1	1	1	Megaprojects, Size & Complexity, Performance, Integration
2010-4	0	1	0	1	Globalization, Internationalization, OLI Paradigm, Uppsala Model, Strategy
2011-1	1	1	1	1	Relational Governance, Megaprojects, China, Beijing Airport Terminal 3, Beijing National Stadium, Bird's Nest
2011-2	0	0	0	1	Agile Management, Agile Software Development, Agility, Command-and-Control, Decentralization, Discipline, Governance, Human Resources Management, Lean Management, Power to the Edge, Project Management, Project Enterprise, Project Management Body of Knowledge, Participative Management, Responsiveness, Small Group Dynamics, Web 2.0.

2012-1	1	1	1	1	Program Organization, Construction Megaprojects, Client Organizations, China
2013-1	0	0	0	1	Project Management, Stakeholder, Issue, Value Exchange, Network Analysis
2013-2	0	0	1	1	Projects, Complexity, Infrastructure, Project Architecture, Project Shaping, Risks
2014-1	0	0	0	1	Infrastructure, Interdependencies, Management of Projects, Project Front-End
2014-2	0	0	0	1	National Culture, Viability, Infrastructure Delivery Systems
2015-1	0	0	0	1	Front-End Planning, Industrial Construction, Small Projects, Complexity
2015-2	0	1	1	1	Keywords are not provided (Keynote)
2016-1	1	1	1	1	Project Governance, Corporate Governance, Mega Project
2016-2	1	1	1	1	Relational Governance, Political Intervention, Trust, Megaprojects, China
2016-3	0	0	0	1	Keywords are not provided
2016-4	0	0	0	1	Management of Projects, Project Complexity, Complexity Framework
Total	7	9	9	22	

Table 4: Papers cited containing the main keyword “megaprojects” or “mega-projects”

Keywords	Brockman (2009); Haidar & Ellis Jr. (2010); Li <i>et al.</i> (2010); Chi <i>et al.</i> (2011); Hu <i>et al.</i> (2012); Pelham & Duffield (2016); Zhai <i>et al.</i> (2016)
Title	Brockman (2009); Haidar & Ellis Jr. (2010); Li <i>et al.</i> (2010); Ho <i>et al.</i> (2010); Chi <i>et al.</i> (2011); Hu <i>et al.</i> (2012); Lundrigan <i>et al.</i> (2015); Pelham & Duffield (2016); Zhai <i>et al.</i> (2016)
Abstract	Brockman (2009); Haidar & Ellis Jr. (2010); Li <i>et al.</i> (2010); Chi <i>et al.</i> (2011); Hu <i>et al.</i> (2012); Lessard <i>et al.</i> (2013); Chi <i>et al.</i> (2013); Lundrigan <i>et al.</i> (2015); Pelham & Duffield (2016); Zhai <i>et al.</i> (2016)
Text	Mulva (2006); Mahalingam (2008); Harty & Whyte (2008); Chan & Levitt (2009); Brockman (2009); Davies <i>et al.</i> (2010); Haidar & Ellis Jr. (2010); Li <i>et al.</i> (2010); Ho <i>et al.</i> (2010); Chi <i>et al.</i> (2011); Levitt (2011); Hu <i>et al.</i> (2012); Fend <i>et al.</i> (2013); Lessard <i>et al.</i> (2013); Edkins & Zerjav (2014); Awuzie & McDermott (2014); Collins <i>et al.</i> (2015); Lundrigan <i>et al.</i> (2015); Pelham & Duffield (2016); Zhai <i>et al.</i> (2016); Morris (2016); Edkins & Smith (2016)

Seven papers that contain the main keyword “megaproject” in keywords listed by the authors are selected for further analysis. Other keywords from the identified papers were analysed for their interconnections, which suggest connections between different concepts, as well. The analysis shows that the most important associated keywords in seven selected papers are “relational governance” (twice), “project governance” (once), “corporate governance” (once), “complexity” (twice) and “trust” (once). In addition, associated keywords “governance” and “trust” appear together in one list of keywords. Figure 1 shows interconnections between these associated keywords and the main keyword “megaproject.”

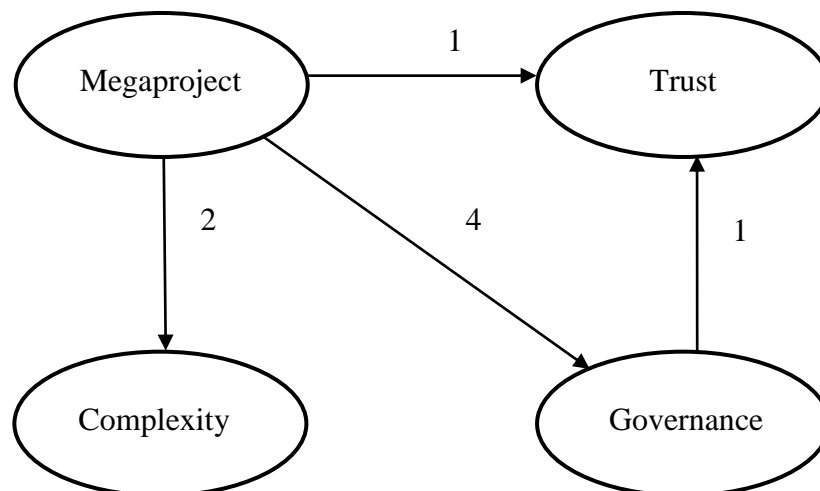


Figure 1: Interconnections of the associated keywords in the seven selected papers with the main keyword “megaproject”

The associated keywords listed by the authors in twenty-two selected papers that contain the keyword “megaproject” or “mega-project” anywhere in the text are as follows: “infrastructure” (four times), “public-private partnership” (twice), “project complexity” (once), complexity framework” (once), “complexity” (four times), “project management” (four times), “project governance” (once), “corporate governance” (once), “relational governance” (twice), “stakeholder” (once), “trust” (once) and “culture” (twice).

Megaprojects are defined as temporary projects characterized by large investment and complexity (Brooks and Locatelli, 2015). Often enough, they are thus described as large and complex projects. In their comprehensive analysis of the literature, Hu *et al.* (2015) also searched for these common keywords associated with megaprojects. Therefore, the on-line archive from the 2006 to 2016 was searched once again for the common keywords connected to megaprojects such as “large project” and “complex project.” The analysis shows that out of 385 papers published from 2006-2016 at LEAD and EPOC annually conferences, only 17 papers contain the phrase “large and complex” anywhere in the text, 87 papers contain common keywords connected to megaprojects such as “large” and 70 papers contain the term “complex.”

Keeping in mind that the term “megaproject” was introduced to the construction management field about twenty years ago, it can be concluded that results from the analysis presented above do not show evidence that EPOS community uses the term “megaproject” as the common term for large and complex projects. It can be expected that this will change in the future. Lately, megaprojects are attracting increasing attention from the research community in many fields, including project management and governance.

GUIDELINES FOR FURTHER RESEARCH

Several directions for further research on the megaproject topic are suggested in the previous section. They are based on the literature analysis of the EPOS community papers, as well as several papers published in peer-review journals. A special attention in defining guidelines for further research is given in EPOS Vision Paper (Arditi *et al.*, 2015), where ten leading thinkers in the field of Engineering Project Organization (EPO) were asked to share the Vision Statement of Grand Challenges for research and practice in EPO. The analysis presented in the main findings section shows that governance, complexity and trust are the main associated keywords with the keyword “megaproject.” However, their interconnections are not studied sufficiently. Further research should focus on governance, complexity and trust and their inter-relations.

1. Governance

Project governance supports an organization in aligning its project objectives with its organizational strategies, achieving set project activities and monitoring performance (Biesenthal and Wilden, 2014). Governance is a multi-level phenomenon that facilitates interactions between organizational actors within and across organizational levels. It is

important to note that trust plays a critical role in governance literature regard the managing the relationships between various actors. For instance, the term “relational governance” is often described as a form of governance in which arrangements based in trust complement complex contracts (Poppo and Zenger, 2002).

Megaprojects are usually undertaken by international construction joint ventures (ICJVs) that comprise at least two parties, which implies that their organization is more complex than the organization of a single contractor or a client (Brockman and Girmscheid, 2007). As the number of project parties grows, the number of contractual relationships between them grows linearly, while the number of non-contractual relationships grows exponentially. This is shown by the following two equations, respectively:

$$y = 4x - 2 \tag{Equation 1}$$

$$y = 2x^2 - 2x + 2 \tag{Equation 2}$$

Therefore, the gap between contractual and non-contractual relationships between stakeholders becomes ever larger, as shown by the shaded area in Figure 2. That gap cannot be closed by additional contracts, however. This makes trust between all project parties ever more important as projects grow. In very large and complex projects, often called megaprojects, the role of trust is consequently paramount (Ceric, 2016; Zhai *et al.*, 2016).

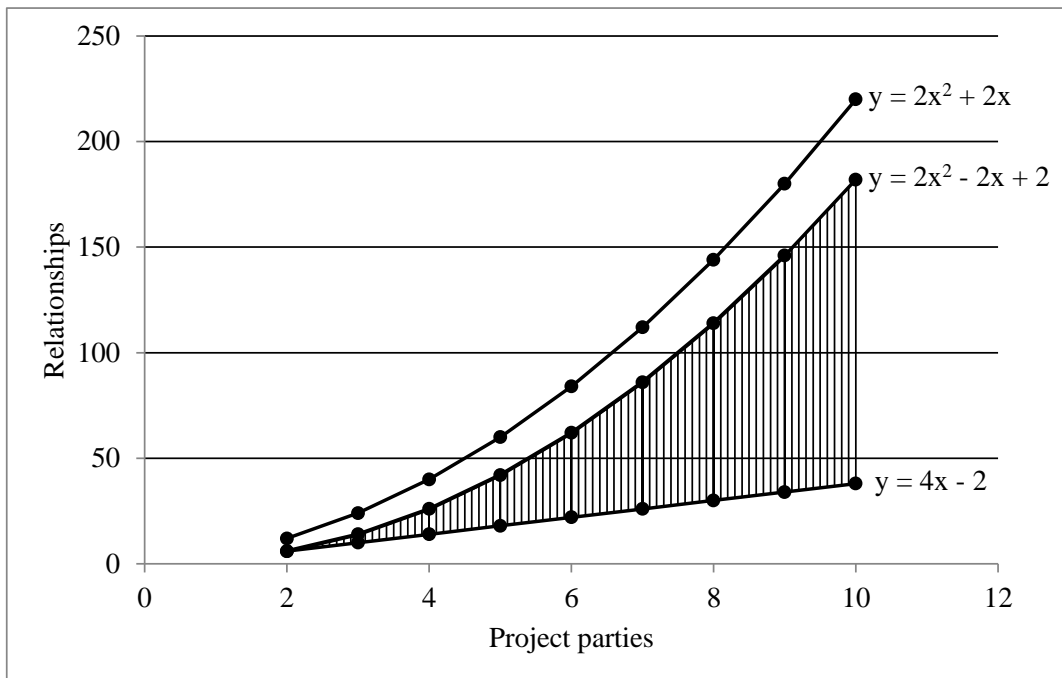


Figure 2: Project parties and relationships with up to ten parties (shaded area represents the non-contractual gap) (Ceric, 2016:107)

2. Complexity

There has been growing academic interest in how complexity affects the management of megaprojects. Some of the authors has suggested that increasing complexity in projects could be a significant factor in project failure (Miller and Lessard, 2001; Flyvbjerg, 2003). Also, complex projects are subject to high levels of uncertainty.

Project complexity is discussed in the literature in many different ways, but a simple definition relevant to project organization is still lacking. One way to address this problem is to define project complexity in terms of the network of project parties engaged. In network analysis, a useful measure is that of network connectivity. The so-called Gamma index of network connectivity is a ratio between the actual number of links (e) and the potential number of links given the number of nodes (v) in a network. It varies between zero and one (Rodrigue *et al.*, 2009:31). Simply put, the higher the Gamma index, the greater the project complexity from an organizational perspective. Also, network analysis provides many other measures of this nature, such as indices of centrality and density. This definition would be useful in the context of governance, which was discussed above.

The network of project parties is directly related to project governance. The parties include all stakeholders in a project. The more complex a project, the more complex is its governance structure. As will be shown below, such projects also require greater levels of trust between all the stakeholders.

3. Trust

Stakeholders play an important role in defining organizational strategy and change over time. According to Schlichter and Rose (2013), trust in an implementation project cannot be absolute or permanent, but will vary dynamically over time and between stakeholders. Companies are now beginning to engage with stakeholders at a much earlier stage of a project than in the past. This is especially true for larger and more complex or controversial projects, where companies are initiating engagement at the very early pre-feasibility or pre-exploration phases, signalling to communities and other local stakeholders that their views and well-being are considered important (IFC, 2007). Stakeholders have their own objectives, interests, and expectations, which may conflict and cause challenges to project management (Yang *et al.*, 2014).

A conceptual model of dynamics of trust among stakeholder over time is shown in Figure 3. Point A is a bit above zero at the beginning. As the project goes forward, trust gradually increases as stakeholders become better acquainted with one another. However, a major conflict midway to project completion can lead to the breakdown of trust. As the drop of trust between points B and C shows, it can be rather sharp. It is essential to stop the fall into distrust as soon as possible, and to establish a new point of departure for all stakeholders. This is depicted by the section of a circle between points C and D. Having returned to “normal”, stakeholders do their best to develop trust once again, thus returning to a trustful relationship by project completion. Major conflict between stakeholders can lead to a rapid

decline of trust, and thus represent a major risk in every project. Once in the distrust territory, stakeholders need to make an effort to develop trust one more time. Distrust endangers project completion, pushing it forward in time. This is where communication between all stakeholders is of central importance.

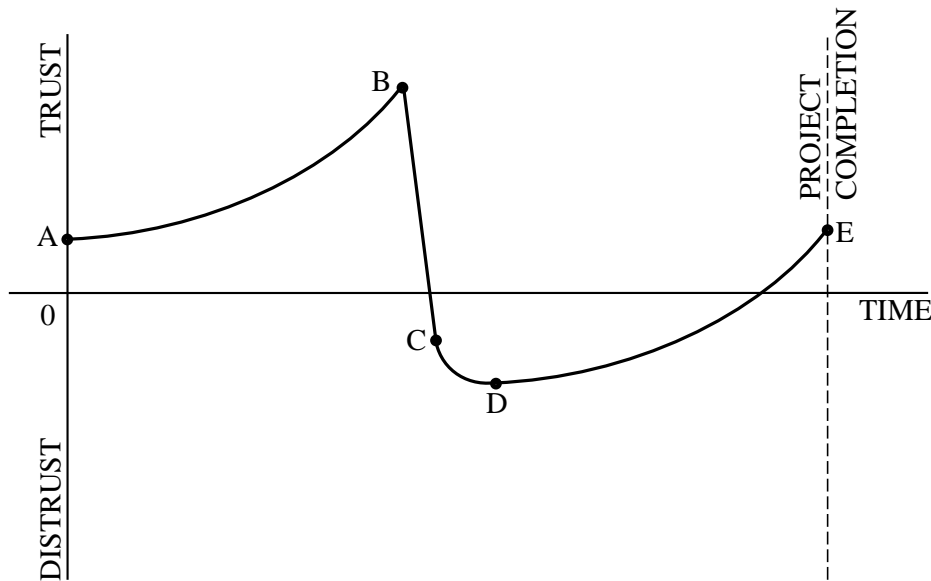


Figure 3: Dynamics of trust among stakeholders (Ceric, 2016:15)

4. Governance, Complexity and Trust

As the above guidelines show, concepts of governance, complexity and trust are deeply inter-related in connection with megaprojects. Future research needs to focus on these relations in the context of megaprojects. However, other concepts also play important roles in this connection. In particular, globalization and multiculturalism are of growing importance in this connection (Arditi *et al.*, 2014). This is especially true of megaprojects involving stakeholders from a number of countries, which may also belong to different cultural backgrounds.

The seven papers that have “megaprojects” or “mega-projects” among their keywords are of special interest in connection with the connections between the above concepts. For instance, Brockman (2009) deals with links between complexity and trust. Pelham and Duffield (2016) deal with the links between governance and complexity. Also, Zhai *et al.* (2016) deal with links between governance and trust. Therefore, links between all three concepts in the context of megaprojects offer many useful pointers for future research in this field.

CONCLUSIONS

The literature analysis has shown that a relatively small number of papers presented at LEAD and EPOC conferences have mentioned megaprojects explicitly. In particular, only 22 out of 385 papers mention megaprojects, and only seven papers contain this term among keywords. As has been argued above, they play an essential part in literature search that is essential in academic research. Nonetheless, the analysis has shown that the interaction between the keyword “megaproject” or “mega-project” and the associated keywords offers useful information. In particular, keyword “governance,” “complexity” and “trust” are shown to be interrelated. The guidelines for future research have therefore focused on these keywords and their interaction.

It should be mentioned in this context that only 17 out of 385 papers refer to “large and complex” projects, which could be understood as the main characteristics of megaprojects. However, 87 papers refer to “large” projects, whereas 70 of them refer to “complex” ones. Given the growing importance of megaprojects in the both research and practice, it would be useful for this term to appear more often in the literature. Also, this would increase the visibility of EPOS community research.

As the guidelines presented above suggest, the interplay between governance, complexity and trust need to be explored in future research. As has been shown, these concepts are deeply inter-related. In terms of future research, it would also be useful to explore megaprojects in line with ideas provided in the EPOS Vision paper (Arditi *et al.*, 2014). In this context, globalization and multiculturalism are likely to play important roles in connection with megaprojects. Indeed, megaprojects increasingly often cross both national and cultural boundaries. This trend is likely to become ever more pervasive in the future.

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