Proliferation Finance and Sanctions

Sanctions SOS: Immersive Training Series

Delivery: In-person

Course code: SOSIP-PF

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Course Description

This course provides a practical introduction to proliferation finance and its relationship with sanctions and wider financial crime. Participants will explore key typologies and case studies, undertake risk assessments, examine investigative techniques and OSINT tools, and develop an understanding of relevant reporting obligations and enforcement frameworks.

This one-day course includes breakfast, buffet lunch, coffee/tea, and refreshments throughout the day.

Learning Outcomes

By completing this training day, you will be able to:

- Explain counter-proliferation and proliferation finance
- Identify and assess proliferation-financing risks
- Conduct and apply proliferation-financing risk assessments
- Design and evaluate compliance controls
- Investigate suspected proliferation activity
- Engage in practical scenario-based learning to reinforce real-world decision-making

enquiries@sanctionssos.com
www.sanctionssos.com

Participants receive a certificate of attendance, Sanctions SOS credits, and access to all course materials.

Syllabus

Key topics	Learning points
What is Proliferation Finance *Examining what is meant by Proliferation Finance, how this is defined and specifically FATF's role*	• ·What is meant by Proliferation Finance? • ·Why does Proliferation Finance matter? • ·What is the role of Proliferation Finance within different jurisdictions and across multilateral bodies • FATF's role on Proliferation Finance
Proliferation Finance Typologies Understanding the common proliferation finance typologies, and examining real-world case studies.	• ·Understanding different proliferation finance typologies. • ·Examining how finance and transactions can be caught in proliferation finance, including crypto. • ·Understanding some of the key trade/maritime and wider typologies. • Exploring a number of real-world proliferation finance case studies.
Proliferation Finance Risk Assessments Looking at the importance of jurisdictional risk assessments, and those completed by the private sector	• ·Understanding what a proliferation finance risks assessment is. • ·Exploring how a jurisdictional national risk assessment should be completed. • Examination of private sector proliferation finance risk assessments and where this overlaps with sanctions and wider financial crime concerns.
Proliferation Finance Investigations Deep-dive into how to undertake specific proliferation finance investigations and how to use OSINT in these.	• ·Different types of triggers for investigations. • ·Differences between structured and unstructured triggers. • ·Investigation life cycle. • ·OSINT tools and techniques. • Pulling an investigation together.
Proliferation Finance Reporting and Enforcement Understanding the importance of accurate reporting, including what the reporting obligations are. Examining enforcement of proliferation finance cases.	• ·Examining reporting obligations around proliferation finance. • ·Understanding how reporting should be completed. • ·Exploring the enforcement powers of relevant agencies in connection to proliferation finance. • Understanding enforcement of proliferation finance, and why this matters.