

Trade-Based Sanctions and Export Controls Immersive Training

Learning Outcomes

The training is a combination of lecture style learning interlaced with developing immersive scenarios. Designed to test knowledge, understanding and application of the learning that they have received. Exercises include understanding the use of trade sanctions, identifying export and trade based risks, and undertaking trade-based due-diligence.

Syllabus

Overview of Trade Sanctions and Export Controls

Examination of what trade-based sanctions are, how they are used, what export controls are and how the two differ.

- ⚙️ What are trade-based sanctions and why and when are they used
- ⚙️ What are export controls and how do these differ from trade-based sanctions
- ⚙️ Examination of Export Control Regimes, including MTCR, NSG, and Wassenaar etc.
- ⚙️ Considering Export Control Schedules
- ⚙️ Wider factors that apply

Identifying Goods, Managing Risks

Amongst the most difficult elements when it comes to trade-based sanctions and export control is identifying goods and risks and then managing these.

- ✦ Understanding the importance of identifying goods accurately and establishing if controls apply
- ✦ Considering risks such as: Geographical, Terrorism, and WMD Proliferation.
- ✦ Transit and Transshipment risks

- ✚ What tools can be used
- ✚ Understanding the impact of ownership and control within a trade basis
- ✚ Paperwork, licencing and the importance of humanitarian considerations

Sectoral Concerns

Specific sectors carry particular risks, vulnerabilities and nuances. These often impact how sanctions and export controls are managed.

- ✦ Oil and Gas sector, including the Oil Price Cap on Russia
- ✦ Logistics and the Maritime space
- ✦ Common High Priority List
- ✦ Trade-Finance

Investigations and Enforcement

The day concludes by looking at exploring investigations, evasion and enforcement issues.

- ⚖️ How to investigate and build advisory cases on trade-based sanctions and export controls
- ⚖️ Understanding the role of differing enforcement agencies and what enforcement in this space looks like
- ⚖️ Understanding the risks and impact of evasion activities

