Yellowstone Wealth Advisors
Privacy Notice

Last Updated: June 12, 2023

At Yellowstone Wealth Advisors ("YWA", "we", "us", or "our"), we are committed to protecting the privacy of our clients' personal information. This Online Privacy Policy outlines how we collect, use, share, and protect the information you provide to us through our website and related services. By using our website or engaging with our services, you consent to the practices described in this policy.

1. Information Collected:
We may collect the following types of personal information from clients and visitors to our website:

- Contact Information: Names, addresses, phone numbers, email addresses, and other relevant contact details.
- Financial Information: Assets, liabilities, income, expenses, investment objectives, and other financial data necessary for providing wealth management services.
- Login Credentials: Usernames, passwords, or other authentication details for accessing our online platforms.
- Communication History: Records of communications between clients and our representatives.

2. Use of Information:
We use the collected information for the following purposes:

- Wealth Management and Financial Planning Services: To provide personalized investment advice, financial planning, and related services.
- Account Administration: To establish and maintain client accounts, process transactions, and respond to client inquiries.
- Communication: To communicate with clients regarding their accounts, provide updates, and share relevant information.
- Internal Operations: For internal record-keeping, compliance, auditing, and data analysis purposes.
- Legal Compliance: To comply with applicable laws, regulations, and reporting obligations.

3. Information Sharing:
We may share clients' personal information in the following circumstances:

- Financial Institutions: We may disclose information to third-party service providers who assist us in delivering our services, such as custodians, account administrators, or technology partners.

- Legal and Regulatory Requirements: We may disclose information as required by law, regulatory authorities, or in response to legal processes or governmental requests.
- Business Transfers: In the event of a merger, acquisition, or sale of assets, client information may be transferred as part of the transaction.
- Client Consent: We may share information with third parties if we have obtained the client's explicit consent.

4. Data Security:
We employ physical, technical, and administrative safeguards to protect personal information from unauthorized access, loss, or misuse. These measures include encryption, firewalls, access controls, regular security assessments, and employee training on data privacy and security.

5. Cookies and Tracking Technologies:
Our website may use cookies and similar technologies to enhance user experience, analyze website usage, and personalize content. By using our website, you consent to the use of these technologies. You can manage your cookie preferences through your web browser settings.

6. Client Rights:
Clients have the following rights regarding their personal information:

- Access and Review: Clients can request access to and review the personal information we hold about them.
- Updating and Correcting: Clients can request updates or corrections to their personal information.
- Deletion: Clients can request the deletion of their personal information, subject to legal and regulatory requirements.
- Opt-out: Clients can opt-out of receiving certain communications from us.
- Legal Compliance:
- We are committed to complying with applicable data protection and privacy laws, including the Texas Privacy Protection Act and federal regulations such as the Gramm-Leach-Bliley Act (GLBA) and Securities and Exchange Commission (SEC) requirements.

8. Contact Information:
If you have any questions, concerns, or requests regarding this privacy policy or our privacy practices, please contact us at info@yweatlhadvisors.com or by mail at:

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