



Dykema Gossett PLLC

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Deborah D. Williamson
Board Certified Business Bankruptcy

August 1, 2024

VIA U.S. FIRST CLASS MAIL

Re: *Securities and Exchange Commission v. The Heartland Group Ventures, LLC, et al.*, United States District Court for the Northern District of Texas, Case No. 4-21CV-1310-O-BP.

DISCLAIMER:

Neither I nor my counsel are in a position to provide legal or tax advice and suggest that you consult with legal and/or tax professionals for more information on legal and/or tax issues that may arise from this case, including Court-approved disbursements. Neither I nor my counsel will communicate verbally or in writing with any financial advisor, tax advisor, or other third parties outside legal counsel. Documentation submitted by any financial advisor, tax advisor, or other third party outside legal counsel will not be accepted.

Dear Allowed Claimant:

On July 1, 2024, United States District Judge Reed O'Connor entered *Orders* granting my motion for approval of the "Net Investment" or "Net Loss" distribution methodology and distribution plan in the above-referenced case (the "Heartland Receivership"). See ECF Nos. 559, 560. Specifically, the Court authorized distributions to Class 4a (Heartland investor claims) allowed claimants only upon receipt of a completed and signed IRS Form W-9.

You are receiving this letter because you have not submitted a completed and signed IRS Form W-9. The IRS Form W-9 is accessible online at <https://www.irs.gov/pub/irs-pdf/fw9.pdf>. If you do not have access to the internet, you may also call (210) 554-5845 to request a mailed IRS Form W-9.

Your completed and signed IRS Form W-9 can be submitted by mail to Dykema Gossett PLLC c/o Heartland Receivership, 112 E. Pecan, Suite 1800, San Antonio, Texas 78205 or email to heartlandreceivership@dykema.com.

Pursuant to Court Order, I will not issue any distribution check without the receipt of a completed and signed IRS Form W-9. If my office does not receive a completed and signed IRS Form W-9 from you on or before October 1, 2024, then I will seek Court approval to forfeit any Court-approved distribution to you in the Heartland Receivership.

Sincerely,

DEBORAH D. WILLIAMSON, RECEIVER

Deborah D. Williamson