



**Dykema Gossett PLLC**

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**Deborah D. Williamson**

Board Certified Business Bankruptcy

October 2, 2024

**VIA U.S. FIRST CLASS MAIL**

Re: *Securities and Exchange Commission v. The Heartland Group Ventures, LLC, et al.*, United States District Court for the Northern District of Texas, Case No. 4-21CV-1310-O-BP.

**DISCLAIMER:**

**Neither I nor my counsel are in a position to provide legal or tax advice and suggest that you consult with legal and/or tax professionals for more information on legal and/or tax issues that may arise from this case, including Court-approved disbursements. Neither I nor my counsel will communicate verbally or in writing with any financial advisor, tax advisor, or other third parties outside legal counsel. Documentation submitted by any financial advisor, tax advisor, or other third party outside legal counsel will not be accepted.**

Dear Allowed Claimant:

On July 1, 2024, United States District Judge Reed O'Connor entered *Orders* granting the motion for approval of the "Net Investment" or "Net Loss" distribution methodology and distribution plan in the above-referenced case (the "Heartland Receivership"). See ECF Nos. 559, 560. Specifically, the Court authorized distributions to Class 4a (Heartland investor claims) allowed claimants only upon receipt of a completed and signed IRS Form W-9. You received a copy of the *Orders*, the motion for approval of the distribution methodology and distribution plan, and the investor notice and claim form on or about November 20, 2023.

On or around August 1, 2024, you received a letter informing you of the failure to submit a completed and signed IRS Form W-9. On or around September 10, 2024, you received an email to the listed investor address and/or a phone call regarding the continuing failure to submit the IRS Form W-9. On or around September 23, 2024, you received another call and my office either left or attempted to leave a voicemail to advise you of the October 1, 2024 submission deadline.

**You are receiving this letter because you still have not submitted a completed and signed IRS Form W-9.** The IRS Form W-9 is accessible online at <https://www.irs.gov/pub/irs-pdf/fw9.pdf>. If you do not have access to the internet, you may also call (210) 554-5845 to request a mailed IRS Form W-9.

Your completed and signed IRS Form W-9 can be submitted by mail to Dykema Gossett PLLC c/o Heartland Receivership, 112 E. Pecan, Suite 1800, San Antonio, Texas 78205 or email to [heartlandreceivership@dykema.com](mailto:heartlandreceivership@dykema.com).

Pursuant to Court Order, I will not issue any distribution check without the receipt of a completed and signed IRS Form W-9. If my office does not receive a completed and signed IRS Form W-9 from you on or before October 29, 2024, **then I will seek Court approval to forfeit any current or future Court-approved distribution to you in the Heartland Receivership.**

**I do not currently intend to send another notice regarding submission of a signed and completed IRS Form W-9.**



VIA U.S. FIRST CLASS MAIL  
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Sincerely,

**DEBORAH D. WILLIAMSON, RECEIVER**

A handwritten signature in black ink, appearing to read "Deborah D. Williamson", followed by a horizontal line.

Deborah D. Williamson