



Temporary Restraining Order and Other Emergency Relief [ECF No. 12]; (b) Asset Freeze Order [ECF No. 14]; and (c) Order Appointing Receivership [ECF No. 17] (together the “Orders”), the Court orders as follows:

### **FINDINGS OF FACT**

1. Defendant acknowledges having been served with the Orders, and having been properly served with the SEC’s Complaint in this matter.

2. Defendant agrees to the issuance of a preliminary injunction in this matter in the form set forth below, which shall remain in full force and effect during the pendency of this litigation absent further order of this Court.

3. Defendant acknowledges his continuing obligations with respect to aspects of the Orders set forth above, including but not limited to cooperation with the Court-appointed Receiver in this matter; an interim accounting; the preservation of evidence; the Asset Freeze Order; repatriation of assets; and the prohibition on travel outside the United States, during the pendency of this litigation absent further order of this Court.

### **PRELIMINARY INJUNCTIONS**

#### **I. ORDER PRELIMINARILY ENJOINING DEFENDANT FROM VIOLATING SECTION 10(B) AND RULE 10B-5 OF THE SECURITIES EXCHANGE ACT OF 1934**

Defendant Manjit Singh Sahota, his agents, servants, employees, attorneys, and those persons in active concert or participation with Defendant who receive actual notice of this Order, by personal service or otherwise, and each of them, are hereby restrained and enjoined from, directly or indirectly, in violation of Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and Rule 10b-5 thereunder [17 C.F.R. § 240.10b-5], and in connection with the purchase or sale of securities, by the use of any means or instrumentality of interstate commerce or of the mails, or of any facility of any national securities exchange:

- A. employing any device, scheme or artifice to defraud;
- B. making any untrue statement of material fact or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- C. engaging in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

**II. ORDER PRELIMINARILY ENJOINING DEFENDANT FROM VIOLATING SECTION 17(a)(1), (2) and (3) OF THE SECURITIES ACT OF 1933**

Defendant Manjit Singh Sahota, his agents, servants, employees, attorneys, and those persons in active concert or participation with Defendant who receive actual notice of this Order, by personal service or otherwise, and each of them, are hereby restrained and enjoined from, directly or indirectly, in violation of Section 17(a) of the Securities Act [15 U.S.C. §§ 77q(a)(1), 77q(a)(2), and 77q(a)(3)], and in the offer and sale of securities, by the use of the means and instruments of transportation or communication in interstate commerce or by use of the mails, directly or indirectly, from:

- A. employing devices, schemes and artifices to defraud;
- B. obtaining money and property by means of untrue statements of material fact and by omitting to state material facts necessary to make the statements made, in light of the circumstances under which they were made, not misleading; or
- C. engaging in transactions, practices, and courses of business which operated or would operate as a fraud or deceit upon the purchasers of such securities.

**III. ORDER PRELIMINARILY ENJOINING DEFENDANT FROM ENGAGING IN OFFERS OR SALES OF OIL AND GAS INVESTMENTS**

Defendant Manjit Singh Sahota, his officers, agents, servants, employees, attorneys, and all persons in active concert or participation with Defendant who receive actual notice of this

Order by personal service or otherwise, are hereby restrained and enjoined from directly or indirectly, including, but not limited to, through any entity owned or controlled by Defendant, participating in the issuance, purchase, offer, or sale of any security related to the production, exploration, extraction, purchase or sale of oil or gas, provided, however, that such injunction shall not prevent Defendant from purchasing or selling securities listed on a national securities exchange for Defendant's own, personal accounts.

**SO ORDERED on this 10th day of December, 2021.**

  
Reed O'Connor  
UNITED STATES DISTRICT JUDGE