

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
FORT WORTH DIVISION**

**UNITED STATES SECURITIES AND
EXCHANGE COMMISSION,**

Plaintiff,

v.

**THE HEARTLAND GROUP
VENTURES, LLC, et al.,**

Defendants.

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Civil Action No. 4:21-cv-01310-O-BP

**ORDER SUSTAINING RECEIVER’S OMNIBUS OBJECTION TO CLAIM
SUBMISSIONS PURSUANT TO COURT-APPROVED CLAIMS PROCEDURE**

Came on to be heard the *Receiver’s Omnibus Objection to Claim Submissions Pursuant to Court-Approved Claims Procedure* (the “Objection”).¹ After considering the Receiver’s Objections, all responses thereto, if any, all evidence submitted to the Court, and the arguments of counsel, the Court is of the opinion that the Objection should be **SUSTAINED** as detailed herein.

IT IS THEREFORE ORDERED that:

1. Investors in this Case are not entitled to allegedly due amounts relating to interest (paid and/or unpaid), attorney’s fees, or any other amounts claimed, whether or not such amounts are based on Heartland investment documentation. Investor claim amounts shall be solely determined in accordance with the “net” transaction calculation method approved in the Claims Procedure Order.
2. Jerry D. Mercer’s allowed claim amount in this Case is \$25,000.00.
3. Cory J. Dawkins’ allowed claim amount in this Case is \$70,000.00.

¹ Capitalized terms used but not otherwise described herein shall have the meaning ascribed in the Objection or Receivership Order, as applicable.

4. Nichole M. Dawkins' allowed claim amount in this Case is \$192,228.70.
5. Sheri Partington's allowed claim amount in this Case is \$99,056.04.
6. James L. "Jim" Mundus' allowed claim amount in this Case is \$317,247.88.
7. Marvin Christensen's allowed claim amount in this Case is \$47,874.98.
8. Jerald C. Katzer's allowed claim amount in this Case is \$230,707.19.
9. April McNeeley's allowed claim amount in this Case is \$24,822.91.
10. Patrick J. Jordan's allowed claim amount in this Case is 96,458.35.
11. Jeffery Vincent's allowed claim amount in this Case is \$23,937.52.
12. Earl Richardson's allowed claim amount in this Case is \$180,000.00.
13. John P. Dawkins' allowed claim amount in this Case is \$197,147.58.
14. Matthew Mohn's allowed claim amount in this Case is \$34,752.08.
15. Stephen Riker's allowed claim amount in this Case is \$23,937.52.
16. Lauren Faye Walker & Paul Carlin McCabe Jr.'s allowed claim amount in this Case is \$60,000.00.
17. Paul McCabe's allowed claim amount in this Case is \$1,600,000.00.
18. Charlotte H. Carson's allowed claim amount in this Case is \$39,010.00.
19. Donald P. Albertson's allowed claim amount in this Case is \$425,624.97.
20. Tae Kim's allowed claim amount in this Case is \$280,000.00.
21. Jeffrey Darrach's allowed claim amount in this Case is \$412,999.99.
22. Michael Everette Moore shall not have an allowed claim in this Case.
23. Phyllis Macy shall not have an allowed claim in this Case.
24. Edward O. Hoem shall not have an allowed claim in this Case.
25. Paula S. Guthrie shall not have an allowed claim in this Case.


26. James Irwin's allowed claim amount in this Case is \$24,347.50.
27. Thomas and Suzanne Nickens' allowed investor claim amount in this Case is \$98,937.49.
28. Flying A Pumping Services, LLC shall not have an allowed claim in this Case.
29. Jeff Michael Haydon shall not have an allowed claim in this Case.
30. Angela J. Ruffino shall not have an allowed claim in this Case.
31. Sabine Royalty Trust, whose claim was submitted by Argent Trust Company, shall not have an allowed claim in this Case, as all royalty payments due by Receivership Party Barron Petroleum LLC through the effective date of abandonment were satisfied in full.
32. Tri-Best Inc. shall not have an allowed claim in this Case.
33. Cowhand Saddlery, LLC dba Cowhand Services shall not have an allowed claim in this Case.
34. Richard H. Spicer shall not have an allowed claim in this Case.
35. John Rogers's allowed claim amount in this Case is \$767,372.54.
36. Thomas and Suzanne Nickens shall not have an allowed non-investor claim in this Case. The Nickens's sole allowed claim in this Case relates to their Heartland investments in the amount of \$98,937.49.

IT IS FURTHER ORDERED that there shall be no further allowed claims in this Case, and all outstanding disputed claims are resolved.

IT IS FURTHER ORDERED that the Receiver may take any action necessary with respect to the relief granted herein.

IT IS FURTHER ORDERED that this Court retains exclusive jurisdiction with respect to this Order.

SO ORDERED this 1st day of **July, 2024**.


Reed O'Connor
UNITED STATES DISTRICT JUDGE