

FACTS			
<b>WHAT DOES SAPERSTON LEGACY ADVISORS, INC. (SLAI) DO WITH YOUR PERSONAL INFORMATION?</b>			
<b>WHY?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.		
<b>WHAT?</b>	<p>The Types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security number and Date of Birth</li> <li>• Legal Address and Employment Information</li> <li>• Gov't Issued Photo ID and Personal Financial Information</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>		
<b>HOW?</b>	All financial companies need to share customers personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers personal information; the reasons SLAI chooses to share; and whether you can limit this sharing.		
	<b>Reasons we can share your personal information</b>	<b>Does SLAI share?</b>	
	<b>Can you limit this sharing?</b>		
	<b>For our everyday business purposes-</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
	<b>For our marketing purposes-</b> to offer our products and services to you	NO	NO
	<b>For joint marketing with other financial companies</b>	NO	NO
	<b>For our affiliates' everyday business purposes-</b> information about your transaction and experiences	YES	NO
	<b>For our affiliates' everyday business purposes-</b> information about your creditworthiness	NO	NO
	<b>For nonaffiliates to market to you</b>	NO	NO
<b>Questions?</b>	Call 716-649-9800		

Who we are	
<b>Who is providing this notice?</b>	Saperston Legacy Advisors, Inc.
What we do	
<b>How does SLAI protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does SLAI collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>• Enter into and investment advisory contract with us.</li> <li>• Provide account information to us.</li> <li>• Give us your contact information.</li> <li>• Share your investment or retirement portfolio and earnings</li> </ul>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• sharing for affiliates' everyday business purposes- information about your credit worthiness</li> <li>• affiliates from using your information to market to you</li> <li>• sharing for non-affiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.</li> </ul>
Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>• Saperston Asset Management, Inc.</li> </ul>
<b>Nonaffiliates</b>	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <p>Saperston Legacy does not share with non affiliates so they can market to you.</p>
<b>Joint marketing</b>	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <p><i>WE DO NOT PARTICIPATE IN JOINT MARKETING.</i></p>
Other important information	
Saperston Legacy Advisors, Inc. does not share or disclose any non-public personal information about its current or former customers except for purposes of servicing their account(s). All information will remain confidential.	