



Supplier KYC Document Request Checklist

As part of our due diligence and compliance requirements, we kindly request all suppliers to provide the following KYC documents depending on the type of gold supplied.

1. For Scrap Gold / Pure Gold

- Sample supplier invoices with custom clearance / import documents (if sourced from an international supplier).

2. For Dore Gold

- Business Registration Certificate of Supplier Company (Required)
- Articles & Memorandum of Association of Supplier Company (Required)
- Export License of Supplier Company (Required)
- Mining License of Supplier Company (Required)
- Notarized Passport Copy of All Shareholders & Directors (Required)
- Tax Registration Certificate (Required)
- Royalty & Taxes Payment Receipt Proof of Mined Gold (Required) Sourcing Practice Declaration – Statement outlining ethical sourcing and responsible supply chain practices.
- AML-CFT Declaration – A formal declaration confirming adherence to Anti-Money Laundering and Counter Financing of Terrorism regulations. (Required)
- Chain of Custody Documents – Full documentation outlining the movement of the product from origin to point of delivery, ensuring traceability and due diligence compliance. (Required)
- Latest Audited Financial Statement Report (Required)
- Images of Mining Location & Office Premises (if possible)
- Mine Site Visit Report (if possible)





شركة سروي العالمية القابضة ذ.م.م. - منطقة حرة sRUMI GLOBAL HOLDINGS LLC-FZ

Declaration

All documents submitted must be:

- Clear, valid, and current.
- Certified copies where applicable.
- Provided in English or accompanied by a certified translation.

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