



Client Information Form ("KYC") - Basic

Company Information																				
Company Registered Name:																				
Company Trade Name:																				
License Number: (No Expire)										Expiry Date		D	D	/	M	M	/	Y	Y	
Registration Number: (No Expire)										Expiry Date		D	D	/	M	M	/	Y	Y	
Year of Incorporation									Country of Establishment:											
VAT/TRN Number																				
Business Activity:																				
Registered Address:																				
P.O. Box No.											City:									
Country:										Telephone Contact No.										
E-mail address																				
Website																				

Business/Mailing Address:																				
P.O. Box No.												City:								
Country:										Telephone Contact No.										

Parent Company / Branch Name (if applicable):																			
Year of Incorporation:									Country of Establishment:										

Additional information regarding your Company you wish to share:																			



شركة سرومي العالمية القابضة ذ.م.م. - منطقة حرة

SRUMI GLOBAL HOLDINGS LLC-FZ

Details of Ultimate Beneficial Owners basic information.

(Ultimate Beneficial Owners must be individuals. Please complete the individual's Information sheet for details)

Name	Ownership	Nationality	Passport Number	Contact Number
	%			
	%			
	%			
	%			

Authorized Signatories

(List all individuals who are fully authorized to sign and transact for and on behalf of the Company and provide support in writing of such Authority. Please complete the individual's Information sheet for details. Also please provide any relevant Powers of Attorney)

Name	Title/Designation	Passport Number	Contact Number

Trade Reference, Companies/Suppliers you worked with

(Please provide information with reference to your precious metal transactions)

Name of supplier	Country	Commodity type (Gold or Silver) (Jewellery melted bars)	Type of metal (Fine/Recycled/ Mined)	Country of origin	Gold purity range	Quantity range per shipment

Intended Business with SRUMI GLOBAL HOLDINGS LLC FZ

(Please provide information about your intended business with us. What services you are looking from our side)

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sRUMI GLOBAL HOLDINGS LLC-FZ

Bank Details (Payments will be made only to the bank details provided in this form or its supplements)

Bank Name:

Account Name/Beneficiary Name:

Currency (use single currency):

Swift Code:

Account Number:

IBAN Number

Bank Name:

Account Name/Beneficiary Name:

Currency (use single currency):

Swift Code:

Account Number:

IBAN Number

Please provide us with the correspondent bank details in case of international transfers

Correspondent Bank Name:

Currency (use single currency):

Swift Code:

Account Number:

IBAN Number

DECLARATION

By signing this form, we (the Company, its directors, and Ultimate Beneficial Owners) declare that:

- We are aware of (i) the latest *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas*, (ii) the latest *LBMA Responsible Gold Guidance*, and (iii) the latest *DMCC Rules for Risk Based Due Diligence in the Gold and Precious Metals Supply Chain*
- We have read and understood the Srumi global holdings llc fz Policy and commit to maintain similar standards. We are also aware that the Srumi global holdings llc fz team is available at any time for summarized or in-depth discussions regarding their policies, the guidance mentioned above or any other compliance related topics.
- We undertake that all the information provided to Srumi global holdings llc fz in this form or future documents is true to the best of our knowledge, and that we will promptly notify Srumi global holdings llc fz Policy of any mistakes or changes to the information provided.
- We commit to dealing only with legitimate gold which is free from being directly or indirectly linked to criminal or unethical activity such as (but not limited to) money laundering, war, terrorism, human rights abuse, fraud, or corruption.

Name of the Authorized Signatory (As mentioned in the passport)	
Title / Designation	
Passport Number	
Signature	Company Stamp

PLEASE RETURN THIS ORIGINAL KYC FORM BY POST OR COURIER

Important Links:

OECD: <https://www.oecd.org/daf/inv/mne/OECD-Due-Diligence-Guidance-Minerals-Edition3.pdf>

DMCC: https://www.dmcc.ae/application/files/3116/0083/7591/DMCC_Rules_RBD_GPM_-_v2_Final_002.pdf

LBMA: http://www.lbma.org.uk/assets/downloads/responsible%20sourcing/Responsible_Gold_Guidance_V7.pdf



Individual's Information Sheet

Please complete the form with information about the Ultimate Beneficial Owner (UBO) / Partner or Authorized-Signatory.

Beneficial Owner/Partner

☐

Authorized Signatory

☐

Beneficial Owner's Name	:				
Partnership Percentage	:		Are you a PEP	:	Yes / No
Date of Birth	:		Nationality	:	
Birth Country	:		Country City	:	
Passport Number	:		Expiry Date	:	
Emirates ID / National ID	:				
E-Mail Address	:				
Mobile Number	:		Phone Number	:	
Current Address	:				
Permanent Address	:				
Source of funds	:				

Signature:
(As per the ID provide)

Date

AML- CFT Declaration

We as a responsible company, confirms that we understand the importance of Anti Money Laundering and Combating the Financing of Terrorism. We committed to follow rules and regulations of our governing authority and UAE central bank guidelines for AML-CFT requirements. We do not support any illegal activity/transaction and dis-engage with the client/supplier, if found involved is any suspicious or illegal activities and commit to inform immediately to our governing body. We are willing to cooperate with government body/agencies with all possible ways as and when required. Here by The Company with all its capacity commits to:

- abiding by the law, that regulates our activity
- communicating law and standards to our client/supplier
- avoid/minimizing cash transaction.
- rejecting and disengage with client or supplier if we believe any of their activity may support criminal activity, money laundering, terrorism, abuse of human rights, bribery, corruption, or involved in any suspicious activity.
- providing necessary awareness and training to all our staff, clients, and suppliers.
- follow compliance standards based on Organization for Economic Co-operation and Development (OECD), Dubai Multi Commodities Centre (DMCC), and London Bullion Market Association (LBMA).
- not to tolerate any form of criminal activity, including but not limited to money laundering, extortion, corruption, or law-breaking activity.
- not to accept or support any form of forced or compulsory labour, including the worst forms of child labour and combat, violations of international humanitarian law, crimes against humanity.
- not to tolerate any direct or indirect support to non-state armed groups or their affiliates
- not offering, promising, giving, or demanding any bribes, and we will resist the solicitation of bribes.
- report immediately to the relevant authorities if we notice any suspicious activity/transaction.
- to provide necessary awareness and training to our staffs and management.
- to perform compliance due diligence process as a part of our Know Your Customer/Client (KYC) process.

We hereby confirm that the funds being used for any transactions are legitimate and are free from any conflict; This form has been completed accurately and in full to the best of my knowledge and is in accordance with the standard AML-CFT requirements.

Authorized Signatory Name: Designation:	Signature: Date: Company Stamp:
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