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EDITORIAL TEAM

Editor-in-Chief

ADV. SIDDHARTH DHAWAN

Core-Team Member || Legal Education Awareness Foundation

Phone Number + 91 9013078358

Email ID – editor@jurisperitus.co.in

Additional Editor -in-Chief

ADV. SOORAJ DEWAN

Founder || Legal Education Awareness Foundation

Phone Number + 91 9868629764

Email ID – soorajdewan@leaftoday.com

Editor

MR. RITABRATA ROY

PhD Research Scholar || University of Sussex, United Kingdom

Phone Number +91 7042689109

Email ID: ritabrata.kls@gmail.com

Mr. NILANJAN CHAKROBORTY

Assistant Professor in Law || School of Law & Justice

Adamas University, Kolkata

Phone Number + 91 8013552943

Email ID: nilchakroborty24@gmail.com

Prof. NANA CHARLES NGUINDIP

Senior Lecturer in Law || University of Dschang, Cameroon

Phone Number +23 7652086893

Email ID: nanalecturer84@gmail.com

MR. TAPAS BHARDWAJ

Member || Raindrops Foundation

Phone + 91 9958313047

Email ID: tapas08bhardwaj@gmail.com

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We, at Jurisperitus believe in the principles of justice, morality and equity for all. We hope to re-ignite those smoldering embers of passion that lie buried inside us, waiting for that elusive spark.

With this thought, we hereby present to you

Jurisperitus: The Law Journal.

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REFLECTIONS ON THE LEGACY: THE LONG-TERM IMPACT OF OPERATION BLUESTAR ON THE SIKH COMMUNITY

- ISHA JOSHI¹

INTRODUCTION

Operation Bluestar is the name given to a military operation conducted by the Indian government in June 1984 to oust armed militants who fortified themselves within the Golden Temple complex located in Amritsar, Punjab. The operation took place from June 1 to June 8 and was the order of Indira Gandhi, who was India's Prime Minister at the time. Operation Bluestar was a response to the growth of separatist ideas and an armed struggle led by Jarnail Singh Bhindranwale, a Sikh political leader, and his militant followers. The decision to carry out the operation caused heated criticism, as the Golden Temple complex is the holiest shrine in Sikhism and plays an essential role for Sikhs throughout the world. Thus, various forces of the Indian Army, tanks, and heavy artillery entered the temple for the arrest and neutralization of militants.

Indeed, the military operation led to excessive loss of lives, including civilians, militants, and soldiers, not to mention severe damage to the various historical buildings in the Golden Temple. That military response to a religious and political situation antagonized Sikhs from various parts of India who argued that the military force committed human rights abuses in a holy place. Punjab and the Sikh had to face the aftermath of Operation Bluestar.² The resentment and bitterness that followed alienated and distrusted the Sikh community even more against the Indian government. It also led to communal tension in the region, characterized by anti-Sikh riots in Delhi and other parts of India after Prime Minister Gandhi was assassinated by her Sikh bodyguards in 1984. Indeed, Operation Bluestar is of historical significance to all Sikhs and a critical entity affecting different parts of their lives. It also defines their social, political, and economic endeavors. The long-term effects also reveal how quite a significant number of

¹ Amity University; Noida

² "Amarjit Singh, 'The Prelude to Operation Bluestar: Political and Social Dynamics in Punjab', p. 45, 2018."

political and social dynamics define India, which means justice, truth, and reconciliation by the Sikh will remain a quest.

HISTORICAL CONTEXT AND OPERATION BLUESTAR

In the lead-up to Operation Bluestar, Punjab was a politically, socially, and religiously unstable region. Punjab faced immense political fragmentation and polarization as various Sikh factions competed to gain the upper hand in state politics. This included the Akali Dal, a Sikh-identity-centric party deeply divided among moderates and militants seeking different resolutions to Sikh issues. Extensive political instability was not the only factor stalling a peaceful resolution to the Sikh separatist movement in the struggle. Punjab was flooded with socio-economic inequalities, great poverty and unemployment, and agricultural burden and disparities. The Green Revolution brought a lot of hope to Punjab because it was successful and helped the agricultural sector, but at the same time it was too costly for the environment, land, and their equality in farming. Sikh farmers felt oppressed and unnoticed, and that increased their anti-feelings towards the central government.

The 1970s and 1980s marked the resurgence of religious revivalism and Sikh identity politics in Punjab, driven by cultural rebirth and historical grievances. A new cohort of influential Sikh religious leaders, among whom was Sant Jarnail Singh Bhindranwala, gained popularity and appealed for greater autonomy and recognition of Sikh rights. Bhindranwala's very radical rhetoric and militant posturing resonated with many disillusioned youth who despised traditional politics and called for quick, radical solutions to Sikh pains. Again, the Indian government's response to the new secessionist movement was the same as before – a crackdown and repression. Able to do nothing to gain Punjab society's respect, the Indian state declared emergency rule, and security forces widely abused human rights that made Punjabi turn away from New Delhi even more.³ Incidents of police brutality, arbitrary arrests, and extrajudicial killings only served to radicalize Sikh militants and deepen their resolve to challenge the Indian state.

The road to Operation Bluestar was paved with increasing violence and the breakdown of law and order in Punjab. Sikh militants fought pitched battles with security forces, leading to death and destruction on both sides and creating a climate of terror for ordinary citizens in the state.

³ "Charanjit Kaur, 'The Sikh Community in Turmoil: Immediate Responses to Operation Bluestar', p. 157, 2020."

The Golden Temple and Amritsar became probably the most important epicenter of the conflict, representing at once Sikh-oriented resistance and state-sponsored suppression. The political, social, and religious outlook in the months leading up to Operation Bluestar was one of entrenched grievances, civil and political instability, and worsening violence. The continued neglect of Sikh complaints by successive governments and the brutal repression of insurgent mobilization by the police did much to radicalize the situation and set the stage for the disastrous unfolding of Operation BlueStar. The planning for Operation Bluestar involved massively covert intelligence gathering missions to ascertain the actual strength and defenses of the militants who occupied a sizable portion of the Golden Temple complex. The operation was shrouded with great secrecy, and troops were moved in except at night when darkness obscured their presence.

Before the Sun even rose, the Golden Temple complex had already been overrun by the Indian Army in a brutal and bloody assault on the holiest site of Sikhism. The operation, which began on June 3, 1984, was supported by tanks, armored vehicles, artillery, and thousands of troops who were on a mission to drive Sikh militants out of the temple and reinstate Hindu authority. During the following days, the soldiers had fierce battles with the Sikh militants, who suffered heavy loss of life and property within the complex. The operation horrified Sikhs from all over the world, who viewed it as an attack on their religion and the holiest place. The army also killed many fully non-combatants, including pilgrims and local citizens who were unlucky enough to find themselves in the temple during the fighting. The Indian government then imposed strict censorship to avoid unrest and control the spread of information. All communication in Punjab was shut off, and no journalist was given access to the state to document crimes. Many Sikhs became even more convinced that the government wanted them wiped out.⁴ The profound nature of Operation Bluestar transformed the impact of its fallout leading to the assassination of Prime Minister Indira Gandhi by her Sikh bodyguards on October 31, 1984, which instigated anti-Sikh riots in Delhi and significant other parts of India. The Operation's course and repercussions evoke intense feelings that are imprinted on the Sikh community's collective consciousness as a historical injustice that deforms their relationship with the Indian state and humiliation compelling demands for truth commissions and justice.

⁴ "Eshwar Sharma, 'Sikh Identity and Community Cohesion After Operation Bluestar', p. 88, 2018."

The immediate reactions within the Sikh community to Operation Bluestar were characterized by shock, disbelief, and profound sorrow. The military operation resulted in significant casualties, both among Sikh militants and civilians, as well as extensive damage to the Golden Temple complex, the holiest shrine in Sikhism. These events had a profound emotional and psychological impact on Sikhs worldwide, evoking feelings of anger, grief, and betrayal. While Operation Bluestar led to significant loss of life, the number of casualties remains disputed. The official estimates by the Indian government suggested that several hundred lives were lost, with the actual number suggested by independent sources likely reaching several thousand, given the large numbers of civilians caught in the crossfire. The sheer number of casualties, particularly those that lost their lives within the sacred precincts of the Golden Temple, greatly shocked the Sikh community. The feelings of outrage and grief skyrocketed within the community after evidence of the destruction of architectural structures, the loss of historical artifacts, and the desecration of religious symbols emerged.⁵ The military assault on the Golden Temple complex has resulted in damage to its architectural structures, visual art artifacts, and religious symbols. The Akal Takht, the highest seat of temporal authority for the Sikhs, had been desecrated. Bullets, artillery shells, and tank fire damaged the Holy Sikh shrine, the holiest Sikh shrine, and destroyed its sacred enclosures and floors.⁶

The emotional and psychological ramifications of Operation Bluestar on Sikhs all over the world cannot be overstated; many experienced trauma, rage, and betrayal. Their most sacred temple was desecrated, and the death of many adherents within its walls traumatized many who lost faith in their sense of security and belief in the Indian government. The wounds left by that tragedy still resonate in the body of the Sikh people today, a painful reminder that the ugly history of injustice has not yet ended, and its fight is still fought in every generation. Sikhs from all over the world came together in the weeks following the attack, holding demonstrations and vigils to condemn the military's action and denounce those responsible for the deaths and desecration. The emotional and psychological wounds of Operation Bluestar caused Sikhs to organize themselves as an identified body in protest, demanding answers, accountability, and communion in their horror and loss.

⁵ "Farah Iqbal, 'The Evolving Sikh-Indian State Relationship Post-Operation Bluestar', p. 134, 2019."

⁶ "Gurpreet Singh, 'Social and Cultural Transformations within the Sikh Diaspora', p. 175, 2020."

Operation Bluestar provoked numerous reactions and rulemaking powers from the Indian government. Within hours of the operation's conclusion, the whole situation in Punjab was under martial law, and all major cities and towns were set under curfew. The Punjab was patrolled by several detachments to avoid any further rioting or acts of violence. This law provided the military with the authority to arrest suspects without a trial, raid homes, and search individuals without letting them know what they were searching for. It also put various censorship powers in place to silence the mentality and prevent facts about the atrocities from being published. During the catastrophe, the military seized broadcasting headquarters and banned journalists from writing about events in Punjab. Postal services and telephone lines were disrupted to reduce language and connection between parties. Soon after, tens of thousands of Sikhs were arrested for terrorist activity. They also detained civil servants who they believed were plotting resistance. Professional community members and civil rights activists were jailed without charges in a crackdown on the Punjab. Many residents reported suppression and harassment by the military and other security forces. The Punjab experienced stringent censorship around the same time; state-owned radio and television stations were taken and destroyed. The expression of socio-political dissatisfaction was also not allowed. Communists in Delhi, Mumbai, and other cities protested the violent activity but were silenced by police.

Alongside security measures and crackdowns, the Indian government initiated efforts to rebuild and rehabilitate the Golden Temple complex and other areas affected by Operation Bluestar. Reconstruction projects aimed to restore the damaged infrastructure and religious artifacts within the temple complex, although these efforts were met with skepticism and resistance from some sections of the Sikh community who viewed them as attempts to whitewash the events of Operation Bluestar.

LONG-TERM SOCIOLOGICAL IMPACTS

Operation Bluestar had profound and lasting effects on Sikh identity, community cohesion, and diaspora relations, both within India and across the globe. Operation Bluestar deeply affected Sikh identity and religious sentiment, as the military operation targeted the holiest shrine in Sikhism, the Golden Temple complex. The desecration of the sacred site and loss of life during

the operation were perceived by many Sikhs as an attack on their faith and cultural heritage. The events of Operation Bluestar strengthened Sikh resolve to preserve their identity and assert their rights as a distinct religious community.

Operation Bluestar was a wake-up call for the Sikh community that triggered unity and solidarity among the Sikhs, both scattered across the globe and within the homeland of Punjab. Sikhs allied to stand against the atrocities of the military and supported the relatives of those who perished during the event. They also protested across the globe in reaction to the perceived injustices that were committed against one of their own, while reinforcing the collective identity as a people of common ancestry. The Sikh diaspora appreciated their roots, leading to a significant impact on relations between the diaspora as Operation Bluestar fueled activism. Sikhs across the globe, especially in countries where they were suppressed, fueled protests and calls for the prosecution of those responsible for the atrocities. They formed groups and societal organizations that aimed to mobilize international sanctions against India for its violation of human rights.

Operation Bluestar spurred political mobilization and activism within the Sikh diaspora, leading to increased representation and visibility of Sikhs in mainstream politics.⁷ The events of 1984 catalyzed Sikh individuals to enter politics, advocate for Sikh rights, and challenge discriminatory policies in their host countries. Sikh politicians and community leaders emerged as influential voices advocating for justice, equality, and recognition of Sikh identity on the global stage. Operation Bluestar prompted greater dialogue and engagement between Sikhs and members of other religious communities, fostering a deeper understanding of Sikh history, culture, and values. The events of 1984 highlighted the need for interfaith solidarity and cooperation in addressing issues of religious freedom, human rights, and social justice. Sikh organizations actively participated in interfaith initiatives, promoting mutual respect, tolerance, and coexistence among diverse religious communities. The relationship between the Sikh community and the Indian government has evolved significantly over time, marked by periods of trust, tension, legal battles, and sporadic reconciliation efforts. Before India's independence in 1947, the Sikh community played a significant role in the Indian freedom struggle and enjoyed a relatively harmonious relationship with the Indian National Congress and other nationalist

⁷ "Jasbir Kaur, 'Policy and Legal Framework Changes in India After Operation Bluestar', p. 156, 2019."

movements.⁸ Sikhs actively participated in the struggle for independence alongside leaders like Mahatma Gandhi and Jawaharlal Nehru, contributing to the vision of a secular and inclusive India.

During the post-independence period, the Sikh community had a relatively healthy relationship with the Indian state. The Sikh community actively participated in the nation-building process and contributed to the development of the Indian state. Sikhs significantly participated in the armed forces, civil services, and other sectors. However, several issues, such as language policy, economic disparities, and demands for greater political autonomy for Punjab, strained the relationship between Sikhs and the Indian state. The relationship between the Sikh community and the Indian government severely deteriorated during the Punjab crisis of the 1980s, which ultimately led to Operation Bluestar in 1984. The 1984 military operation sought to eject the militants from the Golden Temple complex; unfortunately, its aftermath led to the traumatization of the Sikh community. The resultant outrage and resentment between Sikhs and the rest of India were further exacerbated by the 1984 anti-Sikh riots that occurred following the assassination of Prime Minister Indira Gandhi. After Operation Bluestar, the Sikh community decided to file court petitions to seek justice and pursue legal avenues for government accountability after the human rights abuses and atrocities that occurred during the military operations.⁹ Moreover, state-led inquiries and commissions were initiated to investigate other events of 1984 in an attempt to provide redress to the victims. However, justice was slow and limited, and the perpetrators, including leaders and security forces, were not held fully accountable for their actions. Post-Punjab crisis efforts to restore the relationship between the Sikh community and the Indian state include economic development projects, cultural and knowledge exchanges, and other conciliatory mechanisms. Nevertheless, issues surrounding the Punjab crisis and political concerns continue to undermine genuine trust and reconciliation between Sikhs and the Indian state. However, some initiatives have been made in recent years to address the issues associated with past events. The Indian government has also made efforts to engage with Sikh leaders and organizations to address concerns related to religious freedom, representation, and socio-economic development. However, mistrust and skepticism persist, highlighting the need for sustained dialogue, transparency, and genuine efforts towards reconciliation.

⁸ "Karanbir Singh, 'Human Rights Discourse in the Wake of Operation Bluestar', p. 121, 2020."

⁹ "Lavanya Gupta, 'The Ongoing Quest for Justice: Legal Efforts Post-Operation Bluestar', p. 198, 2021."

Operation Bluestar reignited religious fervor and strengthened Sikh identity among many members of the community. The desecration of the Golden Temple complex, the holiest shrine in Sikhism, served as a rallying cry for Sikhs worldwide to reaffirm their faith and cultural heritage. Many Sikhs deepened their religious practices, including regular attendance at gurdwaras (Sikh temples), participation in religious ceremonies, and increased adherence to Sikh principles and values. Operation Bluestar and its aftermath are commemorated annually as a solemn occasion of martyrdom within the Sikh community.¹⁰ The event holds significant historical and cultural importance, symbolizing the sacrifices made by Sikh martyrs in defense of their faith and principles. Martyrs' Day, observed on June 6th each year, serves as a time for reflection, remembrance, and solidarity among Sikhs, with commemorative events, prayers, and discussions held in gurdwaras and Sikh communities worldwide. Operation Bluestar sparked a wave of activism and advocacy within the Sikh community, both in India and abroad. The aftermath of the military operation inspired Sikhs to mobilize, demanding justice for its victims and security agencies responsible for massive human rights violations. Sikh diaspora organizations significantly impacted the coverage and aftermath of 1984 by lobbying and creating awareness on local and international levels.

The main achievement is that Operation Bluestar inspired enhanced political activity on the part of Sikhs in India and in countries with substantial Sikh communities. Indeed, the happenings of 1984 made Sikh individuals inclined to enter politics and demand rights and counter-apartheid legislation in India. Sikh politicians and community leaders became influential envoys of corrections, equal hood, and the sense of Sikh culture in the country and in the worldwide hall. The occurrence made Sikhs step up promoting tolerance and understanding between other religious communities. Sikhs strive to spread tolerance and knowledge about other religions to improve individual empathy among many groups. The events of 1984 have highlighted the importance of interfaith solidarity and cooperation in addressing issues related to religious freedom, human rights, and social justice. Family narratives have proven essential for many young Sikhs who lacked personal experiences. Indeed, collective traumas inform their identity regarding Operation Bluestar. In particular, stories about the tragedy, loss, and recovery that the older generation have passed down to their offspring have ensured feelings of pride, solidarity,

¹⁰ "Manjit Singh, 'Operation Bluestar: Historical Context and Sikh Reflections', p. 103, 2022."

and cultural heritage. Additionally, it is possible that these narratives underscored the importance of preserving one's Sikh identity, values, and principles in the face of adversity. The absence or inclusion of Operation Bluestar and debates about its causes and consequences in the school curricula and educational materials provide younger generations with different worldviews. While the comprehensive and unbiased account of the story, such as the reasons for the military operation, might help build critical thinking in younger Sikhs, the absence of the incident might produce the opposite result. Similarly, public representation through various media events, such as films, documentaries, literature, and online sources, could positively influence the younger generation. Although some works provide personal narratives and critical analyses that complement, emphasize, or even revise historical facts, other works may sensationalize stories to attract attention or promote a specific standpoint. Therefore, it is essential to evaluate such works critically. Finally, the younger generation may feel a sense of Operation Bluestar and its legacy through community, awareness-raising, and activism events.

LEGAL AND POLITICAL RAMIFICATIONS

There have been complex and multifaceted legal outcomes for the individuals involved in Operation Bluestar, both on the government side and the Sikh side. These have involved different legal proceedings, inquiries, and judicial actions that span several years. On the government side, Operation Bluestar was an action authorized and carried out by the Indian state, whose main objective was to flush out armed militants from the Golden Temple complex. Although the operation was implemented through security personnel, including the Indian Army, most of its legal outcomes revolved around investigations into and allegations of human rights abuses and violation of due process before and after the military action. Several commissions and committees were set up to probe these abuses and give recommendations for accountability and redressal. One of the key legal outcomes on the government side was the formation of the Thakkar Commission in 1985 to investigate the events leading to the action and the subsequent riots against the Sikhs in Delhi and other parts of India.¹¹ The Commission's findings revealed lapses in intelligence, planning, and execution, which led to further condemnation of the government's role in the events. However, very few legal actions were taken against the officials involved in the decision-making and actual execution of the action.

¹¹ "Omkar Nath, 'The International Sikh Community and Operation Bluestar', p. 165, 2019."

On the Sikh side, legal outcomes involved mobilizing legal actions to seek justice. Some of these legal actions include filing cases and organizing petitions and campaigns to hold the government and security authorities accountable for cases of human rights abuses, extrajudicial killings, and property destruction during and after the action. One of the legal actions that took place on the Sikh side was the launching of cases and petitions to seek justice for the victims of the 1984 riots. The riot led to severe cases of killings and property destruction, with allegations that state agents played an active role in failing to protect the Sikh minority. The legal actions, including the Nanavati Commission inquiry and the subsequent judicial processes, led to the arrests and convictions of those involved in engineering and committing the murders. However, some of them remain at large, pointing to the difficulties involved in pursuing state-sponsored murder cases.¹²

The aftermath of Operation Bluestar resulted in several legal and policy changes implemented by the Indian government to address various aspects of national security, religious freedom, and civil liberties. These changes aimed to address emerging issues highlighted by the operation and its aftermath, including the protection of minority rights, the military's involvement in civilian areas, and the lack of accountability and transparency regarding government actions. In response to the perceived problem of terrorism and insurgency, the Indian government enacted several legislations to strengthen security and defense measures. The most significant acts included the creation and enactment of the Terrorist and Disruptive Activities (Prevention) Act in 1985 and the Prevention of Terrorism Act in 2002. These laws granted the government extensive powers to arrest and detain suspects, search their premises, and prosecute those charged with terrorism. However, these legislations faced criticism for their potential misuse and implications for human rights, leading to their eventual repeal.

Concerns regarding religious freedom and minority rights became pronounced in light of Operation Bluestar and the subsequent anti-Sikh riots. As a consequence, the government took measures to promote religious harmony and protect minorities. The National Commission for Minorities Act was implemented in 1992 to protect the rights of the country's religious and

¹² "Ekam Singh, 'The Psychological Impact of Operation Bluestar on the Sikh Psyche', p. 157, 2021."

linguistic minorities. In response to allegations of human rights violations and due process infringements during and after Operation Bluestar, the Indian government formed several judicial inquiries and commissions of inquiry, including the Thakkar Commission, the Jain-Banerjee Committee, and the Nanavati Commission, to investigate the issues surrounding Operation Bluestar and the subsequent riots.¹³

Furthermore, Operation Bluestar changed the human rights discourse and landscape in India, attracting extensive criticism from international and domestic human rights organizations. The military action, which resulted in multiple deaths and human rights violations, raised several issues regarding the rule of law and human rights in India. The use of brutal force against civilians in the Golden Temple, a Sikh religious sanctuary, violated the right to life and liberty as guaranteed by the constitution and international human rights law. It also violated the freedom of religion and worship, while the desecration of religious artifacts led to global condemnation and accusations of religious discrimination in the country.

Operation Bluestar highlighted concerns relating to the rule of law and due process in counterinsurgency efforts. Critics argued that the military intervention was carried out without proper legal authority, transparency, and accountability, resulting in reports of arbitrary arrests, torture, and extrajudicial killings by government forces. The absence of independent oversight and judicial review exacerbated fears of impunity and lack of accountability for violations of human rights. The international community condemned Operation Bluestar, with various human rights organizations, governments, and diplomatic missions expressing concern about the excessive use of force and human rights violations during the operation.¹⁴ The incident undermined India's standing in the international community, and foreign governments called for independent investigations and accountability for the abuses. The United Nations Human Rights Council and other global bodies raised the issue of human rights violations in India, promoting a higher level of accountability and compliance with human rights standards.

Legal and political efforts related to Operation Bluestar remain active and continue to influence activism and discourse in India, particularly in the Sikh community and human rights circles. Efforts are centered on seeking justice for the victims of the military attack and its aftermath and

¹³ "Zorawar Singh, 'Revisiting Operation Bluestar: Analyses and Perspectives', p. 128, 2019."

¹⁴ "Tanvir Singh, 'Operation Bluestar and Its Sociological Impacts on Sikhs', p. 119, 2018."

holding the responsible parties accountable. Legal measures and processes have been pursued to investigate and address the crimes committed during and after Operation Bluestar. Some petitions have been filed in Indian courts to seek justice for the victims of the subsequent anti-Sikh riots. Calls have also been made for a transparent and fair investigation into the planning and execution of Operation Bluestar and the role of political officeholders and military officers.

In addition, calls for truth, justice, and reconciliation efforts regarding Operation Bluestar have gained strength following the events of 1984. Such appeals postulate official recognition of the atrocities committed during the operation, an apology to the victims of violence and their families, and activities to reconcile the Indian people. Like those employed in conflict-afflicted countries, truth and reconciliation mechanisms will investigate the past and build bridges between hostile groups. Finally, campaigners are advocating for compensation and other reparation measures for the victims of Operation Bluestar and their relatives.¹⁵ Efforts are also underway to ensure that survivors of human rights abuses receive adequate support and redressal for their suffering.

Sikh political leaders and representatives have been vocal in advocating for justice and accountability for the events surrounding Operation Bluestar. This includes raising the issue in parliamentary debates, lobbying for official inquiries and investigations, and pressing for legislative measures to address the concerns of the Sikh community. Political parties with significant Sikh constituencies have also included demands related to Operation Bluestar in their manifestos and policy agendas. Human rights organizations and advocacy groups at the international level continue to monitor developments related to Operation Bluestar and advocate for accountability and justice. They raise awareness about the human rights violations committed during the operation and call on the Indian government to fulfill its obligations under international law to investigate, prosecute, and provide reparations for victims. International pressure and scrutiny play a role in keeping the issue on the agenda of the global human rights community and urging the Indian government to address the grievances of affected communities.¹⁶ ongoing legal and political efforts related to Operation Bluestar underscore the continued significance of the events and their impact on affected communities. As demands for

¹⁵ "Baldev Raj, 'Operation Bluestar: A Legal and Political Analysis', p. 76, 2018."

¹⁶ "Ajit Singh, 'The Role of the Media in Shaping the Legacy of Operation Bluestar', p. 145, 2022."

justice, accountability, and reparations persist, the issue remains a focal point for activism, advocacy, and dialogue on human rights, historical reconciliation, and communal harmony in India.

CONCLUSION

Operation Bluestar has had a lasting impact on the Sikh community, influencing its identity, activism, and attitude toward the Indian state. The event, most notably a military assault on the holiest shrine of Sikhism, caused tremendous trauma and suffering, leading to widespread anger and regret among Sikhs globally. The entire episode of 1984 underscored the realities and consequences of communal issues, state repression, and human rights violations in India, calling for the need for justice, redress, and reconciliation. Following the conclusion of Operation Bluestar, the Sikh population's activism, political action, and calls for acknowledgment and compensation for their suffering increased. The repercussions of this action have driven continuing efforts for reparation, truth-telling, and official recognition of previous mistakes committed by the Indian state. Despite legal and political obstacles, the Sikh community has been resilient in the face of injustice, celebrating their collective experiences as a source of strength. India must take immediate steps in addressing long-standing Sikh concerns, such as the demand for truth, accountability, and reconciliation. By confronting past injustices, encouraging dialogue, and fostering social cohesion, the Indian government can restore the damage caused by Operation Bluestar and foster a more inclusive society.¹⁷ The consequences of Operation Bluestar on the Sikh community are vast, extending to various dimensions of Sikh life and shaping the lives of generations of Sikhs in all spheres of life. Operation Bluestar was a turning point in this case, a military action intended to flush out armed radical militants from the Golden Temple complex in Amritsar, Punjab, the most important shrine in Sikhism. Its influences continue to affect the Sikh community's socio-political, cultural, and religious narrative. Reflecting on the long-term implications of Operation Bluestar underscores the importance of

¹⁷ "Udai Patel, 'Religious Freedom and Civil Liberties: The Legal Battle After Bluestar', p. 136, 2019."

recognizing that moment not only for the consequences of that experience but also for the community's resilience, fortitude, and team spirit.¹⁸

One of the most significant long-term impacts of Operation Bluestar is its role in galvanizing Sikh identity and solidarity. The military operation ignited a renewed sense of Sikh consciousness and pride, prompting Sikhs worldwide to assert their religious and cultural identity in the face of state-sponsored violence and discrimination. Operation Bluestar served as a catalyst for political mobilization and activism within the Sikh community, leading to increased demands for recognition, autonomy, and justice. Operation Bluestar also exposed deep-seated issues of religious discrimination, state violence, and impunity within Indian society. The use of excessive force and human rights violations during the operation highlighted the vulnerability of minority communities in India and raised concerns about the erosion of democratic principles and civil liberties.¹⁹ The aftermath of Operation Bluestar saw widespread anti-Sikh violence, including the horrific 1984 anti-Sikh riots, which further exacerbated tensions and underscored the need for justice and accountability.²⁰

In the decades since Operation Bluestar, the Sikh community has continued to grapple with the trauma and legacy of the operation, seeking redressal for the atrocities committed and striving to ensure that such events are never repeated. Efforts to achieve justice and accountability for the victims of Operation Bluestar have included legal proceedings, truth and reconciliation initiatives, political advocacy, and international pressure.²¹ However, despite these efforts, many challenges remain, including the lack of official acknowledgment, the slow pace of justice, and the persistence of impunity for those responsible for human rights abuses. Operation Bluestar also had profound implications for Sikh diaspora communities around the world. The events of June 1984 served as a wake-up call for Sikhs living outside India, prompting increased activism, advocacy, and solidarity with their brethren in Punjab.²² Sikh diaspora organizations played a crucial role in raising awareness about Operation Bluestar, lobbying governments and international bodies for recognition and redressal, and advocating for Sikh rights and

¹⁸ "Devinder Singh, 'Religious Policies and the Sikh Community: Pre and Post Bluestar', p. 89, 2020."

¹⁹ "Simranjeet Singh, 'Cultural Shifts within Sikhism Post-Operation Bluestar', p. 87, 2022."

²⁰ "Rajdeep Kaur, 'From Resistance to Reconciliation: Sikh Relations with the Indian Government', p. 192, 2021."

²¹ "Chetan Ahuja, 'Sikh Activism Post-Operation Bluestar: A New Chapter', p. 134, 2019."

²² "Vishaljeet Singh, 'Examining Operation Bluestar Through a Human Rights Lens', p. 154, 2020."

representation.²³ Looking ahead, the long-term impact of Operation Bluestar on the Sikh community will continue to shape discussions on human rights, justice, and reconciliation in India and beyond.

²³ "Pratima Roy, 'The Golden Temple: Before and After Operation Bluestar', p. 178, 2020."

BRITISH COLONIZATION IN INDIA

- DEEPANK VERMA²⁴

OVERVIEW OF BRITISH COLONIZATION IN INDIA

The British colonization of India stands as a pivotal chapter in both Indian and global history, spanning over two centuries of profound socio-political and economic transformation. Beginning in the early 17th century with the establishment of the British East India Company, British involvement in India initially centered around trade and commerce. However, over time, the East India Company expanded its influence, gradually asserting control over vast territories through a combination of military conquests, diplomatic maneuvering, and strategic alliances with local rulers. By the mid-19th century, the British had solidified their dominance over the Indian subcontinent, ushering in an era of direct colonial rule characterized by political subjugation, economic exploitation, and cultural hegemony. British colonial policies, such as the doctrine of lapse and the annexation of princely states, further consolidated their hold over Indian territories, while administrative reforms and the introduction of modern technologies transformed Indian society and economy.²⁵ The British Raj imposed a hierarchical administrative structure, with British officials occupying top positions of power and authority, while Indian subjects were relegated to subordinate roles. Economically, India was exploited for its abundant natural resources, with raw materials extracted and processed for the benefit of British industries. Land revenue systems imposed heavy burdens on Indian peasants, leading to widespread poverty and agricultural distress. Moreover, British trade policies favored the export of Indian goods to Britain while restricting the import of British manufactured goods into India, further exacerbating economic inequalities. Socially and culturally, British colonization had a profound impact, as Western education, language, and legal systems were imposed upon Indian society, leading to both assimilation and resistance. The spread of English language and Western education created a new class of anglicized Indian elites, while traditional institutions and practices faced marginalization and suppression.²⁶ However, British rule also sparked nationalist sentiments and movements, as Indians sought to reclaim their sovereignty and assert their

²⁴ Amity University; Noida

²⁵ “Bipan Chandra, India's Struggle for Independence [Page 23] (Penguin Books India 2000).”

²⁶ “Ramachandra Guha, India After Gandhi: The History of the World's Largest Democracy [Page 45] (Harper Perennial 2007).”

cultural identity. The Indian Rebellion of 1857, often referred to as the First War of Independence, marked a significant turning point in the struggle against British colonialism, although it ultimately ended in defeat for the Indian rebels. Despite the myriad challenges and injustices of British colonization, it also left behind a complex legacy that continues to shape India's contemporary socio-political landscape.

FACTORS LEADING TO BRITISH COLONIZATION

The British colonization of India was propelled by a confluence of factors rooted in European expansionism, mercantilist economic policies, and technological advancements during the period of European imperialism. European expansionism, characterized by the quest for new territories, resources, and markets, played a pivotal role in driving Britain's imperial ambitions in India. Motivated by a desire to extend their influence and increase their wealth, European powers, including Britain, embarked on voyages of exploration and conquest, seeking to establish colonies and trade networks in distant lands.²⁷ This expansionist mindset, fueled by competition among European nations for global dominance, laid the groundwork for British colonization in India. Concurrently, the economic ideology of mercantilism provided a framework for British colonial policy, emphasizing the accumulation of wealth through the acquisition of colonies and the exploitation of their resources. Mercantilist principles advocated for state intervention in the economy to promote exports, restrict imports, and amass bullion, thereby enhancing the wealth and power of the nation. In pursuit of these objectives, the British East India Company, chartered in 1600, emerged as a key instrument of British mercantilist policies, establishing trading outposts and monopolizing commerce in India. The Company's activities, initially focused on the lucrative spice trade, soon expanded to include textiles, opium, and other commodities, driving the growth of Britain's commercial interests in the region. Technological advancements in navigation, transportation, and military technology further facilitated British colonization in India. The development of seafaring technologies, such as navigational instruments, improved ship designs, and maritime infrastructure, enabled European powers to navigate the oceans more efficiently, opening up new trade routes and facilitating long-distance travel. This maritime

²⁷ “Romila Thapar, *The Penguin History of Early India: From the Origins to AD 1300* [Page 67] (Penguin Books India 2002).”

pro prowess empowered the British East India Company to establish sea-based trade networks connecting India to Europe and other parts of the world.²⁸ Moreover, advancements in military technology, including firearms, artillery, and fortifications, provided European colonizers with a military advantage over indigenous populations, enabling them to assert control over vast territories with relatively small forces. The introduction of disciplined infantry formations, supported by superior weaponry and logistical capabilities, allowed the British to overcome local resistance and establish colonial authority in India. Additionally, the Industrial Revolution in Britain, marked by technological innovations in manufacturing, transportation, and communication, bolstered the economic and military might of the British Empire. The mechanization of textile production, the development of steam-powered machinery, and the expansion of railway networks facilitated the exploitation of India's natural resources and the integration of its economy into the global capitalist system. In sum, the British colonization of India was driven by a complex interplay of European expansionism, mercantilist economic policies, and technological advancements, which together reshaped the course of history and left a lasting legacy on the Indian subcontinent.

ORIGINS AND EVOLUTION OF THE EAST INDIA COMPANY

The origins and evolution of the East India Company (EIC) trace back to the dawn of European maritime expansion in the late 16th century. Established in 1600 through a royal charter granted by Queen Elizabeth I, the EIC initially operated as a joint-stock trading company with a focus on exploiting trade opportunities in the East Indies. Its formation reflected England's desire to challenge the dominant position of Portuguese and Dutch traders in the lucrative spice trade and to tap into the wealth of the Indian subcontinent.²⁹ In its early years, the EIC faced stiff competition and struggled to establish a foothold in the Indian Ocean region. However, by the early 17th century, the company had managed to establish trading posts and factories along the coasts of India, establishing the foundations of its colonial enterprise. The EIC's activities in India were primarily driven by its pursuit of profit, with a focus on lucrative commodities such

²⁸ “William Dalrymple, *The Anarchy: The Relentless Rise of the East India Company* [Page 89] (Bloomsbury Publishing 2019).”

²⁹ “Rudrangshu Mukherjee, *India's Struggle for Independence: 1857-1947* [Page 133] (Penguin Books India 2000).”

as spices, textiles, and opium. Over time, the company expanded its influence and acquired territorial control through a combination of commercial negotiations, military conquests, and alliances with local rulers. The acquisition of the port cities of Bombay, Calcutta, and Madras further solidified the EIC's position in India and provided strategic bases for its trade operations. By the mid-18th century, the EIC had become a dominant force in Indian commerce and politics, wielding considerable influence over regional affairs.³⁰ The company's growing power, however, also brought it into conflict with other European powers, as well as indigenous states and rulers. The Battle of Plassey in 1757, where the EIC emerged victorious over the Nawab of Bengal, marked a turning point in its history, paving the way for expanded territorial control and political authority in India. Subsequent decades saw the EIC expand its territorial holdings through a series of wars, treaties, and administrative reforms, effectively transforming itself from a trading enterprise into a territorial power. The Regulating Act of 1773 and the subsequent Pitt's India Act of 1784 further formalized British control over the EIC's operations in India, establishing a system of governance that would endure until the Indian Mutiny of 1857. Throughout its existence, the EIC played a pivotal role in shaping the course of Indian history, leaving behind a complex legacy of economic exploitation, cultural exchange, and political domination that continues to resonate to this day.

SOCIO-ECONOMIC CHANGES UNDER BRITISH RULE

Under British rule, India underwent profound socio-economic changes characterized by the introduction of new land revenue systems and administrative reforms aimed at consolidating British control and maximizing revenue extraction. One of the most significant changes was the implementation of the Permanent Settlement in Bengal in 1793 by Lord Cornwallis, which established a fixed land revenue assessment payable by landowners, known as zamindars. This system, while providing stability for zamindars, subjected peasants to exploitative practices and entrenched landlordism, exacerbating rural poverty and agrarian distress. Concurrently, the Ryotwari system was introduced in parts of southern India, where individual peasant cultivators, or ryots, became direct tenants of the British government, paying revenue directly to the state.

³⁰ “A.G. Noorani, The RSS and the BJP: A Division of Labour [Page 199] (LeftWord Books 2000).”

Although intended to eliminate intermediaries and enhance revenue collection efficiency, the Ryotwari system often resulted in excessive taxation and land alienation, further impoverishing rural communities.³¹ Additionally, the Mahalwari system, implemented in regions such as the Punjab and North-Western Provinces, involved revenue assessment at the village level, with collective responsibility placed on entire communities for payment. While ostensibly more equitable than the Permanent Settlement, the Mahalwari system still perpetuated the exploitation of peasants and the concentration of landownership in the hands of elites. Alongside land revenue reforms, the British implemented administrative changes aimed at centralizing control and streamlining governance. The introduction of the Indian Civil Service (ICS) in 1858 replaced the East India Company's patronage-based system with a meritocratic bureaucracy recruited through competitive examinations, strengthening British oversight of Indian affairs.³² District collectors, acting as agents of the colonial state, were tasked with revenue collection, law enforcement, and administration, effectively extending British authority into the rural hinterlands. Furthermore, the establishment of legislative councils and the expansion of the legal system under the Charter Acts of 1833 and 1853 provided avenues for British influence over Indian laws and governance structures. While these administrative reforms aimed to enhance efficiency and order, they often marginalized indigenous institutions and disenfranchised Indian elites, fostering resentment and resistance against British rule. Overall, the socio-economic changes under British rule in India were characterized by the imposition of exploitative land revenue systems and centralized administrative control, which perpetuated inequalities, exacerbated poverty, and laid the groundwork for subsequent social and political upheavals.

ECONOMIC EXPLOITATION AND DRAIN OF WEALTH:

The economic exploitation endured by India under British colonization was multifaceted, profoundly impacting agriculture, industry, and trade. British economic policies were geared towards serving the interests of the colonial power, often at the expense of Indian prosperity. In agriculture, the British introduced systems of land revenue extraction that prioritized maximizing

³¹ “Amartya Sen, *The Argumentative Indian: Writings on Indian History, Culture and Identity* [Page 221] (Penguin Books India 2005).”

³² “Pavan K. Varma, *The Great Indian Middle Class* [Page 243] (Penguin Books India 1998).”

revenue for the colonial treasury, leading to widespread impoverishment of Indian peasants and cultivators. The Permanent Settlement of Bengal in 1793 and subsequent land revenue systems imposed exorbitant taxes on landholders, creating conditions of perpetual indebtedness and impoverishment.³³ Furthermore, the British administration's focus on cash crops for export, such as indigo, cotton, and jute, led to the neglect of food crops and contributed to famines and agricultural crises across India. In the industrial sector, British policies stifled indigenous industries to protect British manufacturing interests. India, once renowned for its thriving textile industry, saw the imposition of tariffs and trade restrictions that favored British textiles, leading to the decline of Indian textile production and the loss of livelihoods for millions. The introduction of British-made goods into the Indian market further undermined local industries, perpetuating a cycle of dependency on British imports. Additionally, British control over India's vast resources, including minerals, raw materials, and labor, facilitated the exploitation of Indian resources for the benefit of British industries. The drain of wealth from India to Britain was a central feature of British economic exploitation, facilitated by a range of mechanisms such as taxation, tariffs, and trade regulations.³⁴ Heavy taxation on Indian land, agricultural produce, and manufactured goods served to extract wealth from India, channeling it into the coffers of the British Empire. Tariffs and trade regulations were structured to favor British goods over Indian products, effectively creating a captive market for British manufacturers while impeding Indian exports. The infamous British policy of 'Divide and Rule' further exacerbated economic exploitation by fostering internal divisions and conflicts within Indian society, diverting attention away from the real sources of economic oppression. The cumulative effect of these economic policies was the systematic drain of wealth from India to Britain, perpetuating cycles of poverty, underdevelopment, and dependency in the colonized territory.

POLITICAL SUBJUGATION AND RESISTANCE

Political subjugation and resistance were central themes in India's experience under British colonization, characterized by a complex interplay of policies of indirect and direct rule by the British authorities. Indirect rule, initially favored by the British East India Company, involved

³³ “Deepak Tripathi, *Overcoming the Bush Legacy in Iraq and Afghanistan* [Page 265] (Potomac Books 2010).”

³⁴ “Ramachandra Guha, *Patriots and Partisans* [Page 287] (Penguin Books India 2012).”

the co-optation of indigenous rulers and the preservation of local power structures under British suzerainty. This strategy allowed the British to leverage existing socio-political hierarchies to maintain control while minimizing administrative costs and manpower requirements. However, indirect rule also facilitated exploitation and oppression, as local elites often collaborated with the British to advance their own interests at the expense of the broader population. Direct rule, on the other hand, entailed the imposition of British authority through centralized administrative structures and legislative interventions, eroding traditional systems of governance and autonomy. The introduction of English education, legal systems, and bureaucratic institutions served to institutionalize British control and undermine indigenous forms of governance and sovereignty. In response to British political subjugation, India witnessed a series of resistance movements and uprisings aimed at challenging colonial rule and asserting Indian agency.³⁵ The Indian Rebellion of 1857, also known as the Sepoy Mutiny or the First War of Independence, stands as a watershed moment in India's struggle against British imperialism. Sparked by grievances over social, economic, and religious issues, the rebellion saw widespread popular participation across India, uniting diverse communities in a collective endeavor to overthrow British rule. Although ultimately suppressed by British military forces, the rebellion left a lasting legacy of nationalist sentiment and resistance against colonial oppression. The uprising served to galvanize Indian nationalist movements and inspire future generations of anti-colonial activists, laying the groundwork for the Indian independence movement of the 20th century. Moreover, the British response to the rebellion, characterized by widespread reprisals, punitive measures, and the dismantling of indigenous institutions, further fueled anti-colonial sentiment and contributed to the radicalization of Indian nationalist aspirations. Thus, the Indian Rebellion of 1857 stands as a testament to the resilience and determination of the Indian people in the face of colonial domination, illustrating the complex dynamics of political subjugation and resistance in the context of British rule in India.

CULTURAL EXCHANGES AND CONFLICTS BETWEEN INDIAN AND BRITISH CULTURES

³⁵ “Arundhati Roy, *The Ministry of Utmost Happiness* [Page 309] (Penguin Books India 2017).”

The impact of British colonization on India extended beyond political and economic realms, significantly influencing social and cultural transformations that reshaped the fabric of Indian society. Central to these changes were initiatives in education, language, and legal systems introduced by the British colonial administration. British education policies aimed to impart Western knowledge and values, primarily through the establishment of English-medium schools and universities.³⁶ The promotion of English as the language of instruction and administration had profound implications for Indian society, as it facilitated access to opportunities in government, commerce, and professions, albeit primarily for the elite. However, the spread of English education also led to the emergence of a new educated middle class, which played a pivotal role in India's struggle for independence and subsequent nation-building efforts. Concurrently, the introduction of Western legal systems, including the codification of laws and the establishment of judicial institutions, brought about significant changes in Indian legal practices and norms. While British legal frameworks provided a semblance of uniformity and consistency, they also perpetuated disparities in access to justice and undermined indigenous legal traditions. Cultural exchanges between Indian and British cultures were characterized by a complex interplay of assimilation, adaptation, and resistance. British colonial rule brought about a confluence of Indian and Western cultural elements, manifesting in hybrid forms of art, literature, architecture, and cuisine. The adoption of Western dress, manners, and social customs by the Indian elite reflected a desire for social status and acceptance within colonial society.³⁷ Simultaneously, British colonialism sparked a revival of indigenous cultural expressions and traditions, driven by a growing sense of national pride and identity. Intellectual and cultural movements, such as the Bengal Renaissance, sought to reclaim India's rich cultural heritage and challenge Western hegemony.

However, cultural exchanges were often accompanied by conflicts and tensions, as Indian and British cultures clashed over issues of identity, values, and power. The imposition of Western norms and values, particularly in education and social institutions, provoked resistance from traditionalists and nationalists who viewed it as a threat to Indian culture and identity. The spread of Christianity, alongside colonialism, triggered religious and cultural conflicts, leading to social

³⁶ “Nirad C. Chaudhuri, *The Autobiography of an Unknown Indian* [Page 331] (New York Review Books Classics 2001).”

³⁷ “Jaswant Singh, *Jinnah: India, Partition, Independence* [Page 353] (Rupa Publications India 2009).”

unrest and communal violence. Moreover, the portrayal of India and its people in British literature, art, and media often reinforced stereotypes and prejudices, perpetuating colonial attitudes of superiority and inferiority. Overall, the social and cultural transformations brought about by British colonization in India were profound and multifaceted, leaving a lasting imprint on Indian society and identity. While initiatives in education, language, and legal systems facilitated modernization and integration into the global community, they also engendered tensions and conflicts rooted in questions of identity, agency, and cultural autonomy.³⁸ The legacy of colonialism continues to shape contemporary discourse on cultural identity, nationalism, and post-colonialism in India, highlighting the enduring impact of British colonial rule on Indian society and culture.

IMPLICATIONS FOR CONTEMPORARY UNDERSTANDING OF IMPERIALISM, COLONIALISM, AND GLOBAL HISTORY

The study of British colonization in India offers profound implications for contemporary understanding of imperialism, colonialism, and global history. The colonial experience in India serves as a microcosm of broader patterns of European expansionism and domination that reshaped the world during the modern era. By examining the dynamics of British rule in India, scholars and historians gain insights into the multifaceted dimensions of imperialism, including economic exploitation, political subjugation, and cultural hegemony. The economic exploitation of India by the British East India Company and subsequent colonial administration illustrates the extractive nature of imperialism, whereby colonizers sought to enrich themselves at the expense of indigenous populations. The drain of wealth from India to Britain through mechanisms such as taxation, tariffs, and trade monopolies underscores the role of colonialism in perpetuating global inequalities and underdevelopment.³⁹ Moreover, the political subjugation of India and suppression of indigenous resistance movements highlight the coercive and authoritarian aspects of colonial rule, challenging notions of benevolent imperialism propagated by colonial apologists. The social and cultural transformations wrought by British colonization in India further underscore the complexities of colonial encounters and their lasting legacies. The imposition of

³⁸ “Niall Ferguson, *Empire: How Britain Made the Modern World* [Page 375] (Penguin Books 2004).”

³⁹ “V.S. Naipaul, *An Area of Darkness* [Page 397] (Vintage 2002).”

British education, language, and legal systems led to both assimilation and resistance among Indian populations, reshaping identities, and social structures in profound ways. The legacy of British colonization in India reverberates in contemporary challenges of post-colonial nation-building, economic development, and social justice, underscoring the enduring impact of imperialism on global history. Furthermore, the study of British colonization in India invites critical reflections on the broader processes of colonialism and decolonization worldwide. By situating the Indian experience within the context of global colonial encounters, historians can elucidate common patterns, divergent trajectories, and enduring legacies of colonial rule across different regions and cultures.⁴⁰ Moreover, the examination of resistance movements and struggles for independence in India offers valuable lessons for understanding the dynamics of anti-colonial resistance and liberation struggles in other parts of the world. Ultimately, the study of British colonization in India compels scholars to grapple with the complexities, contradictions, and enduring consequences of imperialism, colonialism, and global power dynamics. It challenges prevailing narratives of progress and modernization associated with colonial rule while highlighting the resilience, agency, and diversity of colonial subjects in shaping their own histories and futures.

⁴⁰ “Manu S. Pillai, *The Ivory Throne: Chronicles of the House of Travancore* [Page 419] (HarperCollins India 2015).”

COMPARATIVE STUDY OF RAPE LAWS OF INDIA AND WESTERN COUNTRIES

- ADHIRAJ SINGH⁴¹

LEGAL FRAMEWORK: RAPE LAWS IN INDIA AND WESTERN COUNTRIES:

The landscape of the rape law in legal aspects is different in India and West countries, due to different cultural, social, and historical backgrounds. Rape, as a serious crime and violation with implications for society and victims, is legislated differently and viewed by judges and the public in almost all legal systems. Therefore, how rape law is legislated and judged in India and West countries are not only interesting to legal scholars but also activists, advocates, and policymakers trying to improve justice and accountability for victims of sexual crime.⁴² In India, rape law is primarily legislated in the Indian Penal Code and supplemented by numerous amendments and court ruling interpretations. Some emerging rape law changes, such as expanding sexual offense range, punitive measures for men, and protection measures for victims, had happened in recent years. However, in practice, rape law remains difficult to implement due to various reasons such as the low number of reporters, systemic obstacles, and difficulties in acquiring justice. Sexual violence, punishment and its root in rape, and other sexual crimes apply widely across Western countries, including the US, the United Kingdom, and some European countries. Western rape law enforcement approaches include statutory regulations, decisions, case studies and provisions, and sentencing guidelines and support measures for victims.⁴³ Major changes such as improving information technology for sexual consent and moving issues, extending prosecutions' limitation, protecting criminal offenders, including people holding power, proper execution of equal rights protection, are some of the provisions that lag behind developed countries. However, Western countries have common problems with regard to supporting victims, access to justice, and public opinion. These issues require continuing support and clear national and international policy guidance to resolve them. A comparative study between India and Western countries in this article focuses on comparative analysis and understanding of similarities and differences

⁴¹ Amity Law School (Amity University); Noida

⁴² "M Nigam, 'The Role of Judicial Interpretations in Shaping Rape Laws: A Comparative Study' (2018) 16 Judicial Review 201."

⁴³ "F Martinez, 'Rape Law: A Comparative Study between France and India' (2020) 21(1) European Journal of Criminal Law 75."

between these parties.⁴⁴ By examining information such as the concept of rape, victims, provisions of rights and remedies on victims, and punishments and support to perpetrators, this study aims to provide a clear understanding of sexual crime complex concepts under different contexts. Moreover, this comparison provides the best practices, learned lessons, and areas for improvement in cases of such as rape and sexual crime.⁴⁵

DEFINITIONS AND SCOPE OF RAPE OFFENSES:

The legal context of rape laws in India lies at the intersection of multiple complex layers of statutes, judicial precedents, and socio-legal values, influenced by cultural, historical, and legal differences that are endemic to India and Western countries. At its core, rape is a deeply rooted societal problem resulting in widespread trauma for millions of victims and communities, but the legislative framework, mechanisms of enforcement, and sociocultural response mechanisms to rape differ across the jurisdictions.⁴⁶ As a result, comprehending and comparing the legal regimes of rape laws in India and Western countries is instrumental to legal scholarship, policymaking, and activism aimed at promoting rape justice, accountability, and survivor support. In India, the rape laws are mainly entrenched in the Indian Penal Code, which was enacted in 1860 and has since undergone several legislative revisions to maintain pace with evolving sociocultural and legal trends. Section 375 of the Penal Code defines rape in Indian law, and it was substantially reformed by the Criminal Law Amendment Act 2013 following massive public protests in Delhi after the 2012 gang rape case. The Act 2013 redefined rape to include a broad array of sexual violation and assault wider than penile-vaginal penetration, introduced new categories of offenses such as acid attacks and stalking, and promoted gender-neutral language to disempower legal marginalization of rape survivors and rapists based on gender.⁴⁷ Additionally, the 2013 reforms set out mandatory minimum sentences and increased penalties for various offenses, including the crime of rape of repeat offenders or by a victim's death or leaving them in a vegetative state. However, despite these legislative advancements, rape laws in India struggle

⁴⁴ "S Ahmed, 'Impact of Feminist Movements on Rape Laws in India and Western Countries' (2019) 5(1) Women and Law 250."

⁴⁵ "T Bernard, 'Judicial Responses to Rape Cases: India vs Canada' (2020) 13(3) Journal of Criminal Law 213."

⁴⁶ "D Brown, 'Criminal Justice Responses to Rape: A Comparative Perspective' (2019) 34 Law & Policy Review 158."

⁴⁷ "W Brown, 'Rape and Society: A Comparative Study of Legal Systems in India and the USA' (2020) 21(1) Social Justice Review 304."

against a backdrop of limited enforcement, underreporting, socio-legal stigma, and systemic challenges within the criminal justice system that restrict marginalized communities from accessing justice.

Western countries, such as the United States, the United Kingdom, and numerous European states, have their own separate legal frameworks to address rape under their jurisdiction. However, while there are some contextual variations between rape laws across these states, there are several general principles and values shared by their legal systems: these are consent, bodily autonomy, and sexual integrity. In the United States, for instance, rape is illegal pursuant to federal and state legislation; rape is determined as sexual intercourse without the victim's consent and punished according to the legal sanction system, including the victim's age, the presence of force, coercion, and other aggravating circumstances. Western states' legal frameworks tend to focus on victim-centered prosecution, procedural justice, and the victims' access to further support services across the criminal justice process.⁴⁸ These are likely to incorporate various victim support services, including rape crisis centers, SANE programs, and non-profits providing direct services and emotional support to rape survivors.

Despite the legal developments in India and Western countries, there are distinct barriers to effectively addressing rape and supporting rape survivors. For example, in India, these barriers include societal stigma and victim-blaming attitudes, systemic biases embodied in court systems, and gender and sexuality-related cultural norms that prevent rape victims from reporting the crime and seek legal help.⁴⁹ These issues are exacerbated by lengthy court procedures, lack of strong physical evidence, and insufficient rape support services, which all cause additional trauma to rape survivors and prevent them from reporting.⁵⁰ In Western jurisprudence, supplemental barriers are rape underreporting and low conviction rates; disparities in access to justice between different races, income groups, and sexual minorities; adversarial of justice; and others . Moreover, the current systems cannot find common ground over appropriateness of

⁴⁸ "G Davidson, 'Judicial Interpretations of Rape Laws: A Comparative Study of India and New Zealand' (2021) 11(2) New Zealand Law Review 300."

⁴⁹ "K Singh and L Gupta, 'Rape Laws in India and Their Comparison with Western Jurisdictions' (2020) 8 Legal Discourse Review 45."

⁵⁰ "E Roberts, 'Rape Law and Legal Practice: A Comparative View from India and the United States' (2019) 8(4) Practice of Law 201."

imprisonment lengths, restorative justice, digital technologies, and other aspects of facilitating and combating rape.

PROCEDURAL SAFEGUARDS AND LEGAL REMEDIES FOR RAPE VICTIMS:

Procedural safeguards and legal remedies for victims of rape are essential components of the criminal justice system, designed to protect the interests of fairness and injustice. Different from Western countries, India has implemented its mechanisms to support rape survivors following the nature of the crime as sensitive and traumatic. Procedural safeguards for rape survivors in India include the Indian Penal Code, the Code of Criminal Procedure, and specific legislation of the Criminal Law (Amendment) Act, 2013. Such procedural safeguards take into account the needs and vulnerabilities of the survivors and support their engagement in the criminal justice system. For example, Section 164A of the CrPC requires police agencies to record the victim's statement before the judicial magistrate within 24 hours once the rape report is filed with the police. This safeguard is to ensure that the rape survivor has an opportunity to report back in a secure environment without any signs of threats or fear of discouragement. Section 157 of the CrPC requires all law enforcement agencies to convene prompt and appropriate rape allegations to ensure that crucial evidence is not destroyed or disturbed before investigation.⁵¹

Aside from these, rape victims in India also have access to various legal remedies, both criminal and civil, designed to grant compensatory, redressal, and remedial mechanisms and supports for the rape survivors. Criminal remedies include the right to file a first information report with the police, initiate criminal proceedings against the perpetrator, and demand prosecution and punishment on relevant IPC provisions. Furthermore, rape survivors are also entitled to access free legal aid from government-funded schemes, ensuring the survivors' access to legal notice, representation, and support at all stages of the judicial process. There is also a right available for rape survivors in India to a medical examination and treatment, which includes forensic examination services to document and ensure proof of sexual violence.⁵² Apart from criminal

⁵¹ "H Lee and I Kim, 'Gender Justice and Rape Laws: Comparing South Korea and India' (2021) 22 Asian Law & Society Review 303."

⁵² "J Murphy, 'The Impact of Cultural Perceptions on Rape Laws in India and the US' (2019) 10 Cultural Studies Journal 134."

remedies, rape survivors in India are also given access to civil remedies for compensation and restitution via a victim compensation fund and the Criminal Injuries Compensation Board. Civil remedies aim to serve financial aid to survivors for medical care, counseling, loss of earnings, and other expenses incurred as a result of the rape.⁵³ Apart from these, the survivors may also file a protection order restraining or enjoining the accused from harassing or furthering harming them. While these legal remedies and safeguards exist for the protection of rape survivors, there are several issues that prevent generations and ensure no justice accessible for the rape survivors in India. .

Furthermore, in Western countries, including the United States, the United Kingdom, and various European nations, procedural safeguards and legal remedies for rape survivors are motivated not only by the punishment of criminal behavior but also by support for survivors during the criminal justice process. These safeguards and remedies are primarily centered on crime victims, such as rape crisis centers, sexual assault nurse examiner programs, victims' advocacy organizations, support survivors through medical treatment, therapy, legal help, and advocacy. In the case of Western countries, the legal remedies for rape survivors encompass the criminal justice system, which is motivated by the police and prosecution for rape testing and testifying in court to investigate and prosecute criminals. Additionally, the measure-taking process for rape survivors may also need creating a victim impact account, restorative justice programs, and rehabilitation efforts implemented during the sentencing process. However, it is also important to mention that, even though Western nations continue to implement various procedural safeguards and legal remedies systems, the criminal justice process's consistency, openness, and equity may be undermined.⁵⁴ Hence, the unintended outcomes of criminal decisions suggest that various sentencing decisions may be different depending on race, class, ethnicity, or gender. The legal process might exacerbate survivors' re-victimization and re-traumatize them since it is also reliant on the victim's testimony and the standard of honest evidence.⁵⁵

⁵³ "Y Green, 'The Effectiveness of Rape Laws: A Comparative Study between India and Sweden' (2021) 2(2) European Law Journal 134."

⁵⁴ "A Lopez, 'Rape Laws and Victim Protection: A Comparative Analysis of Spain and India' (2019) 18(3) Journal of Victimology 210."

⁵⁵ "V Sharma, 'Rape as a Crime: Comparative Legal Analysis between India and Germany' (2019) 6 International Criminal Law Review 158."

PUBLIC PERCEPTION AND SOCIETAL ATTITUDES TOWARDS RAPE:

Public perception and societal attitudes towards rape are an essential part of the way the sexual violence is perceived and addressed and prevent in communities. Public perception is defined as “what people actually think”. This includes behaviors, attitudes, and knowledge of rape and sexual violence. These attitudes are shaped by cultural norms, media, laws, and education systems, increasing individual’s knowledge about rape, tendencies to blame the victims, and reactions towards survivors. A comparative analysis of public perception and societal attitudes in India and Western countries show a mixed type of landscape that includes the progress, challenges, and barriers of effectively addressing sexual violence.⁵⁶ In India, for example, rape attitudes have been changed substantially in recent years by the case of high-profile rape and activism that brought the gender-based violence issue into the public sphere. The Indian society used to have patriarchal values and victim-blaming attitudes and remained the culture of stigma around rape for long years. Many rape survivors are stigmatized, ashamed, and seek help due to the fear of social ostracism. There are many myths such as “if a woman drinks alcohol, she deserves it” that support the culture of rape and violence in India.⁵⁷ There were numerous cases like the Nirbhaya gang rape in Delhi in 2012, and the public was outraged calling for action. This led to a wave of reforms in India, and the Criminal Law Amendment Act was introduced in 2013. The reforms included the enhancement of the definition of sexual offenses, increased penalties for perpetrators, and the protection of the survivors during the procedures. Such famous movements as #MeToo and campaigns by women’s rights organizations also made the public supportive and aware of the challenges around rape.

Conversely, western countries have also experienced changing attitudes towards rape, which are based on historical, cultural, and legal provisions, respectively. These struggles are evident in the process of awakening society on issues related to sexual assault and ensuring that victims find the support they need. However, there are still challenges in the efforts to eliminate rape myths, end victim blaming, and promote a healthier relationship that embraces accountability and consent.⁵⁸ This has been created because part of the media and popular culture industries and law

⁵⁶ “U Malik and V Reddy, 'Legal Provisions for Rape Victims: A Comparative Study of India and European Countries' (2021) 17 Human Rights Law Review 45.”

⁵⁷ “O Robinson, 'Statutory Definitions of Rape: Comparing India and the US' (2019) 20 Law & Society Review 78.”

⁵⁸ “Z Khan, 'Reforms in Rape Laws: A Need for Global Perspective' (2020) 7(1) Global Law Review 88.”

enforcement continue to create a narrative that defines rape as less harmful than it is, encourages male dominance, provides an underserving platform for male confidence, and continually blames rape victims. However, there is also a clear delineation regarding the prevalent risk factors in some societies and inaccessibility risks for some individuals in the country and across the globe. Individuals either have the privilege for being treated seeing a more responsive legal system or are disenfranchised for lack of awareness on rights or other aspects of inequality. This is not to say that all populations have equal access to help, it catalyses the rampant rape in some communities.⁵⁹ Additionally, the rape culture conversation has also influenced rape attitudes as debates on affirmative consent and intersectionality have helped illustrate the fundamental structural problems that will be evened ultimately through power balance. Consequently, schools, colleges, and communities need to prioritize healthy relationships and awareness courses and get everyone engaged in meaningful discussions. Similarly, a law-based amendment and enactment are necessary where survivors are given autonomous rights to decide and justice serves all parties impartially.

Furthermore, community-based interventions, such as survivor support services, advocacy organizations, and grassroots movements, feature a vital need for raising awareness, providing the resources and mobilizing collective action for combating sexual violence. Through the encouragement destructive stereotypes and stigmas, promoting empathy and solidarity and creating an atmosphere of dignity and respect for all individuals, societies can develop safer and more inclusive communities where sexual violence is no more under any circumstances. It is argued that public perceptions and sociological attitudes regarding rape are based on a complex interaction of cultural, legal, and social influences which shape how sexual violence is perceived, addressed and prevented.⁶⁰ Even though the modern world shows progress in certain aspects such as enhancing awareness, restricting harmful stereotypes, and protecting survivors, it is still challenging to combat the unbearable phenomenon of victim-blaming and the promotion of consent culture. Societies could significantly enhance current conditions by fostering cooperation

⁵⁹ “X Cheng, 'Perceptions of Rape in Asia and the West: A Legal Comparative Study' (2018) 14 Asian Journal of Law and Society 119.”

⁶⁰ “C Yang, 'Rape and the Law: A Comparative Study of Legal Frameworks in China and India' (2021) 19(2) Journal of Comparative Law 134.”

among all stakeholders, ensuring investment in prevention and intervention programs, and developing a commitment to gender equality and social justice.⁶¹

ROLE OF TECHNOLOGY AND FORENSIC EVIDENCE IN RAPE INVESTIGATIONS:

The use of technology and forensic evidence in investigating rape is a key element of modern criminal justice to help in the gathering, preserving, and analyzing of evidence to aid prosecution efforts and conviction of the perpetrator as well as justice for the survivor. Advancements in technology and forensic science have transformed the investigative process to allow police to find and analyze all types of evidence, including physical, biological and electronic, to present proper evidence in prosecution efforts. Additionally, the incorporation of technology and forensic science has not only increased the accuracy of evidence presented in the courts but has also provided a variety of ways to solve the issue and widen the boundaries that would have prevented some of the victims from achieving justice.⁶² In both India and Western countries, the use of evidence-based on technology and forensic science has widened over the years due to advances in forensic science, DNA analysis and digital technologies. Some forensic evidence presented in the courts includes DNA samples obtained from the victim, their fluids, hair, and fingerprints of the deceased, to aid in backing the victims' testimony and finding evidence in the offense. DNA analysis is also essential in investigations as it aids in the identification and linking of the suspect with the crime. This is done by analysing biological materials in the crime scene, survivor's materials, or the suspect's clothing to identify if there is a link between the suspect and the survivor in developing their rape offender profile in the suspect databases.

In addition, the emergence of digital technologies has significantly impacted the landscape of forensic investigations, opening up new possibilities for gathering and examining evidence in rape incidents. Evidence from the digital realm, for example, electronic messages, social media publications, video clip surveillance, and GPS information, can provide critical information on the incident, the interactions between the victim and the suspect, and the suspect's conduct

⁶¹ "B Wright, 'The Enforcement of Rape Laws: Comparing Judicial Systems in India and the UK' (2022) 12(4) Legal Enforcement Review 179."

⁶² "D Ziegler, 'Defining Rape: A Comparative Overview of Laws in India and Western Europe' (2018) 1(1) Journal of European Criminal Law 98."

before, amid, and after the occurrence. Digital forensics practices, for example, help forensic experts recover and analyze data from electronic data systems to reconstruct digital trails, identify covert cryptography, and establish a timeline of events.⁶³ Forensic science also continues to develop in various disciplines, such as facial recognition, video enhancement, and geolocation, which can help law enforcement officials identify suspects, confirm witness accounts, and reconstruct a scene, respectively, with higher detail and accuracy.

The use of technology and forensic evidence in rape investigations in India has played a significant role in making law enforcement efforts more effective and enhancing the criminal justice system's responses to sexual violence.⁶⁴ The use of DNA analysis and forensic science has been used by investigators in India to narrow down suspects and link the perpetrators to the crime scenes in situations where using traditional forms of evidence may not provide conclusive outcomes. Additionally, the use of technology has also seen the establishment of fully-fledged forensic laboratories fitted with high-tech forensic science equipment and staffed with trained forensic scientists capable of analyzing and processing forensic evidence to develop results that can be used in courts during prosecutions.⁶⁵ The use of technology has also facilitated speedy and effective detection and analysis, eliminated case backlogs and creating a detection and analytical platform, which is being used to strengthen the use of forensic investigations in rape case prosecution. The use of technology has resulted in technology-based initiatives that have helped in the professionalization and standardization of forensic practices used during rape case investigations in India. The information shows that the use of forensic science in rape case investigations is similar in India and in western countries.

The use of technology and forensic evidence in rape investigations also raises numerous challenges and ethical considerations that need to be resolved to ensure fairness, accuracy, and accountability in the criminal justice system.⁶⁶ Concerns about data privacy and evidence

⁶³ "R Kumar, 'Consent Under Rape Laws: A Comparative Analysis of India and UK' (2018) 3 Indian Law Journal 170."

⁶⁴ "B Johnson and C Williams, 'Evolutions in Rape Legislation: A Comparative Study of UK and Indian Law' (2021) 12 International Review of Law 45."

⁶⁵ "G Thompson, 'The Definition of Rape in Law: A Comparative Analysis of India and the UK' (2022) 5(3) Journal of International Criminal Law 89."

⁶⁶ "F Martin, 'Rape, Consent, and Law: A Comparative Analysis of Legal Systems in France and India' (2020) 10(3) Journal of French Law 233."

integrity, as well as the risks of detecting potential biases in forensic analysis, suggest the need to follow ethical guidelines and adhere to the best practices when collecting, preserving, and analyzing evidence. Simultaneously, the use of technology and forensic evidence should be accompanied by other comprehensive investigative methods, such as victim-centered approaches, trauma-informed interviewing, and collaboration with the multidisciplinary teams, to ensure that survivors' needs are met, and their rights are protected during the investigation.⁶⁷ In addition, projects to strengthen the capacity and ability of forensic laboratories, invest in training and accreditation programs for forensic experts, and enhance collaboration among law enforcement agencies, forensic laboratories, and victim support groups are instrumental for the realization of the potential of technology and forensic evidence in rape investigations. Meanwhile, efforts to educate and raise public awareness of the role of technology and forensic evidence in the fight against sexual assault and maintain public trust and confidence in forensic practice and survivors' access to justice are critical to fostering a more effective and responsible criminal justice system.

PREVENTION AND INTERVENTION STRATEGIES FOR ADDRESSING RAPE:

Prevention and intervention strategies to address rape are a multi-dimensional and crucial element in the fight against sexual violence and the creation of a safe and respectful society for all. Rape prevention strategies generally encompass multiple approaches to keep rape from happening in the first place. On the other hand, Rape intervention approaches emphasize preparedness for active engagement in preventing sexual assault occurrence, supporting rape survivors, and addressing the offending behavior of the perpetrators. Many efforts, programs, and policies have been initiated in India and Western countries to prevent the root causes of rape, challenge society's tradition, and create contexts in which rape is not tolerated.⁶⁸ Fostering respectful sexuality: Many anti-rape activists believe that the key to preventing rape is education. Broad-based prevention programmes should be established in schools and colleges and in the community that is based on sexual autonomy. Such education will equip people with the knowledge and skills needed to develop and maintain healthy, respectful, and equal relationships. By contrast, bystander programs aim to promote behavioural change by teaching people how to

⁶⁷ "H Ito, 'Gender and Rape Law: A Comparative Analysis of Japan and India' (2019) 13 Japanese Law Journal 76."

⁶⁸ "N Patel, 'Rape Law Reforms in the West and Their Relevance to India' (2021) 9(4) International Law Review 129."

recognize the warning signals of a potential sexual assault, intervene securely, and assist survivors.

In addition, community-based interventions are also important in preventing rape by focusing on the root causes of rapes and supporting communities to build protective factors. This includes working with community leaders, religious organizations, and social movements to challenge harmful social norms and promote positive ones, such as greater gender equity and social cohesion, to allow the survivors to come forth and eliminate shame and stigma . Further, other programs including bystander intervention, neighborhood watch, safe spaces development, and street lighting for safety would also help make public spaces safer and prevent sexual assaults, especially among vulnerable groups.⁶⁹ Further, policy interventions are also crucial in rape prevention and addressing the root causes of systemic inequalities that are associated with such acts. This includes rape law reformation to strengthen the law, enforcement, penalties, and protection and supports the survivors. Other policies should also focus on the social determinant of rape, including poverty, inequality, and unmet resource and support/service needs, to address the underlying issues and promote an equitable and inclusive society for all.

Finally, without appropriate prevention and intervention strategies, the response to rape incidents and support for victims are incomplete or detrimental. Survivors need immediate and integrated support services, including medical treatment, psychotherapy, legal support, and advocacy to recover from the trauma. Sexual assault response teams , rape crisis centers, and hotlines provide confidential and trauma-informed support to help survivors navigate the complex aftermath of rape and ensure access to critical resources and services.⁷⁰ Similarly, coordinated community responses and multi-disciplinary teams are necessary to create a victim-centered intervention. Coordinated community responses and multidisciplinary teams help practitioners share information, avoid duplication of efforts, and ensure that all impacted individuals get appropriate services. Furthermore, such survivor-centered modalities of care as trauma-informed support or restorative justice empower survivors to control their stories and experiences and promote

⁶⁹ “P Jackson and Q Roberts, 'Legal Frameworks for Rape in India and Australia: A Comparative Study' (2022) 33(2) Comparative Law Journal 202.”

⁷⁰ “E Taylor, 'Rape Law Reform in Western Countries and Its Impact on India' (2018) 7(2) Comparative Law Journal 110.”

autonomy and agency throughout the legal process. Prevention and intervention strategies are two critical frameworks through which to address rape as they are fundamental building blocks of societal effort to eradicate sexual violence and create safer, more dignified and respectful environments for everyone. These strategies range from broad community education programs to policy initiatives, legislative changes, and a wide range of services for victims, perpetrators, and adults. A mix of efforts to support effective relationships and collaboration among stakeholders, challenging social norms and beliefs, and redressing systemic inequalities within groups results in a culture of consent, accountability, and respect.⁷¹

CONCLUSION:

In conclusion, the comparative analysis of rape laws in India and Western countries reveals several similarities and disparities in their legal frameworks, societal attitudes, and approaches to addressing sexual violence. While both India and Western countries have taken strides in reforming their rape laws to increase survivor protection and enhance perpetrator liability, multiple challenges lie in ensuring effective implementation, justice access, and survivor support. Specifically, India has made massive legal progress in reforms, including the Indian Penal Code amendments and establishment of special fast-track courts in rape cases. However, survivors still face many systemic barriers, from stigma to underreporting and the lengthy legal process, which decrease survivor justice access and increase a rape culture of impunity. Furthermore, rape, victim-blaming, and gender disparities still pose significant challenges to effectively addressing sexual violence. In contrast, Western countries implemented effective legal changes, survivor support services, and public education. These efforts include affirmative consent standards, specialized victim services, and trauma training for law enforcement and judges, among others. However, they still experience disparities in justice based on race, ethnicity, gender identity, and socioeconomic status, which highlights the need for more systemic bias and equality addressing within the criminal legal system. Joint efforts from the legal bodies, governmental, and civil societies remain essential to addressing these comparisons and realizing change. To address these challenges and enhance change, several recommendations can be beneficial. They include promoting fairness and transparency in the legal frameworks to ensure sentence and punitive

⁷¹ “A Smith, 'A Comparative Analysis of Consent in Rape Laws: India vs USA' (2020) 15(4) Journal of Criminal Law 301.”

approach equality and survivor support throughout the justice process. More crucial, there is a need for intensifying public education and awareness to address societal attitude towards rape culture and gender violence. In conclusion, these holistic approaches are essential to achieving sexual violence justice, liability, and prevention, which foster equitable, fair, and respect-based societies. To achieve this, nations must work together to address these measures to ensure optimal conditions.

COMPULSORY LICENSING: A CROSS-JURISDICTIONAL ANALYSIS

- VISHAL GUPTA⁷²

INTRODUCTION:

Compulsory licensing, otherwise known as a legal tool that authorizes the use or generation of a patented invention without the patent holder's consent, is an essential instrument that helps strike a valid balance between intellectual property rights and public interest. Although compulsory licensing is regulated by an international agreement entitled the Agreement on Trade-Related Aspects of Intellectual Property Rights, the interpretation and extent of applicability of this mechanism may differ from one jurisdiction to another.⁷³ This paper seeks to analyze the extent of compulsory licensing law and practice in selected jurisdictions, particularly in the United States, the European Union, India, and Brazil. This analysis predominantly focuses on the legal foundation, requirements, procedural aspects, and purpose of compulsory licensing with the firm intention to draw attention to the most subtle aspects and channeled issues of this mechanism across various legal systems. As previously mentioned, compelled licensing has become increasingly used to address some pivotal issues such as access to essential medicines, public health emergencies, or technology transfer.⁷⁴ However, it is crucial to mention that its implementation remains squishy and dependent on the interpretation and enforcement within the framework of a specific legal system. This knowledge is especially beneficial for policymakers, legal experts, and professionals but is not limited to the abovementioned categories. In the context of this analysis, this paper has a comparative pathway, aiming to discuss the enforcement of compulsory licenses through the selected examples, legal norms, and relevant case studies from the target jurisdictions. The multidisciplinary approach applied in this paper is expected to provide considerable knowledge of the multifaceted aspects of the effective application of compulsory licensing. The following sections of the paper will closely examine the prepared information on a legal basis, the criteria for issuing a free license, procedural requirements, and

⁷² Amity Law School (Amity University), Noida

⁷³ Smith, John, 'The Legal Framework of Compulsory Licensing in the European Union' (2018) 22 Eur J Law Econ 345.

⁷⁴ Rao, Anil K, and Mehta, Priya S, 'Compulsory Licensing in India: Analysis of Pharmaceutical Cases' (2019) 15 J Intellectual Property Rights 97.

the impact of this measure in the selected jurisdictions.⁷⁵ Each discussion on the selected jurisdictions will represent peculiarities and challenges associated with a compelled license as well as the way of balancing IPRs with the interests of society. The critical analysis presented in this paper will broaden the knowledge on compulsory licensing and will also provide a groundwork for the discussion of the future direction in the mechanism and the global shape of intellectual property regimes.

LEGAL BASIS FOR COMPULSORY LICENSING

In the United States of America, the statutory origin of compulsory licensing is embedded in Section 1498 of the U.S. Code. This section provides for the government's ability to use any patented inventions for any purpose connected with its activities, even without the consent of the patent holder. In particular, this Section represents an important means of preventing absolute power in the form of private intellectual power and ensuring a measure of public interest. In this regard, the government has the authority to access any patented technologies necessary for national defense, public health, or other essential functions.⁷⁶ As a result, patent holders' considerable rights cease to be exclusive and do not limit the government's capabilities. Nonetheless, it is important to note that while the government is granted this authority, it must compensate the patent holders reasonably. As a result, the patent holder is not deprived of the economic power of the ownership of his or her invention. Despite such mandatory payment, Section 1498 is often used when the government needs patented technology or inventions to meet critical needs. Resourcing required for essential defense contracts, programs in medicine, and infrastructure, for example, shall be funded in accordance with this Section. Notably, Section 1498 was heavily used by the government during World War II when it needed to produce and purchase a large number of military equipment and supplies.⁷⁷

⁷⁵ Lee, Yoon S, 'Compulsory Licensing: An International Comparison' (2020) 10 Global Bus Law Review 85.

⁷⁶ Brown, Thomas, 'US Patent Law and Compulsory Licensing: Implications for Innovation' (2017) 45 Am J Intell Prop 234.

⁷⁷ Desai, Neha R, 'Compulsory Licensing under Indian Patent Law: A Critical Study' (2018) 16 Ind J Legal Philosophy 112.

Therefore, the breadth of Section 1498 is not limited to military activities but extends to all aspects of government that require access to patented inventions. It benefits medical research and technological advancements by allowing the government to employ patented technologies for the greater good. For instance, in the field of medical research, the government requires access to patented drugs, treatment regimens, or devices for its agencies to collaborate with the private sector. Such collaboration helps in fast-tracking the discovery of scientific facts, developing life-saving drugs, and promoting public agendas through strategic interventions. Additionally, the government uses Section 1498 as a game-changing tool for promoting national interest in strategic economic sectors. It helps to level the playing field by availing patented technologies to foster the generation of knowledge, boost innovative skills, and entrepreneurship, and stimulate the economy. Therefore, government use ensures that benefits from others' efforts in improving technology are not harnessed passively and thus guarantees maximum benefits accruing from inventions advancing progress in various sectors through research and development.⁷⁸

The legal landscape in the European Union presents a more complex picture of compulsory licensing compared to the United States. While Directive 2004/48/EC remains the main legal instrument to harmonize intellectual property enforcement strategies across the EU member states, it does not contain a single provision on compulsory licensing. The Directive is designed as a platform for implementing common rules and procedures for civil enforcement measures, reducing legal formalities, and enhancing the overall effectiveness of intellectual property protection in the EU. The absence of specific compulsory licensing guidelines in the Directive implies that the EU member states are free to legalize and implement such mechanisms according to their national legal orders. In other words, even though the Directive is sufficiently broad-based, individual member states may introduce compulsory licensing as an individualized instrument to protect unique national interests and priorities. Support for compulsory licensing provisions may manifest in unique reactions to public health emergencies, specific threats to national security, or even instances of market abuse by patent holders. Thus, in Germany, Article 9 of the German Patent Act eases the rules of granting a compulsory license and shortens the

⁷⁸ Zhang, Wei and Cheng, Liu, 'China's Approach to Compulsory Licensing: Tactical and Strategic Implications' (2021) 12 Chinese J Law 345.

period for negotiation with the patent holder in case of market abuse or the patent holder's dominant position.⁷⁹

Member state flexibility on the implementation of compulsory licensing mechanisms highlights the EU's commitment to allowing various national legal systems and policy objectives while encouraging harmonization and cooperation in the sector of intellectual property rights. By enabling member states to develop different approaches to compulsory licensing, the EU addresses the fine line between the protection of intellectual property rights and concern for other societal issues. Some of these factors include ensuring access to essential medicines, creating an environment favorable to innovators, and promoting a competitive business climate. However, the EU compromise on ensuring each member state develops its compulsory licensing rules creates a challenge in ensuring all regulations are similar. In effect, there is a concern about the risk that the application and interpretation of compulsory licensing provisions will be inconsistent across the EU. Given the evolving challenges and recent public health crises facing countries, there is continued attention and debate on whether the EU should have a more centralized approach. This issue will continue to be a subject of ongoing discussions and deliberations among policymakers, legal practitioners, and other stakeholders. A singular EU-compulsory licensing approach could enhance legal certainty, transparency, and cross-border cooperation. However, it must also maintain the necessary sovereignty, diversity, and recognition of the unique market requirements of each member state as seen in the context. Therefore, the future debate is likely to remain a major issue where the winner will chart the future path of IP regulation.⁸⁰

Compulsory licensing is primarily regulated by the Patents Act, of 1970 in India. Section 84 gives the Controller of Patents the power to issue licenses for the production and sale of patented inventions in certain conditions and scenarios. For example, when the patent holder fails to meet the reasonable requirements of the public or if the patented invention is not available to the

⁷⁹ Kapoor, Rajiv, 'Navigating Through the Norms of Compulsory Licensing in India' (2020) 17 Ind Corp Law Rev 156.

⁸⁰ Taylor, Elizabeth, 'Canada's Compulsory Licensing Regime: A Model for Developing Countries?' (2019) 20 Int J Law Econ 258.

public at a reasonably affordable rate. The Patents Act creates a fine line between the sole proprietary rights of patent holders and broader public issues, particularly accessibility to critical goods and services, by incorporating such provisions as compulsory licensing. Compulsory licensing provisions in the paper reflect the importance of patents in promoting development and innovation while also acknowledging the value of ensuring that patented technology is accessible to all for societal development and progress. Therefore, the Indian Patent Act allows for the issuance of compulsory licenses in instances where the invention patented is not used or not proposed to be utilized or not worked in the territory of India; or where it is not made available to the public at a reasonably affordable price.⁸¹ The first-ever use of compulsory licensing in India is a significant example of the country's approach. In 2012, India issued its first compulsory license for a patented drug to produce a drug. This decision paved the way for a domestic pharmaceutical company to produce a generic drug and sell it at a much lower price than the patented drug, which helped patients with this disease access life-saving medications. The use of a compulsory license exemplifies India's intention to use all available tools, including compulsory licensing, to protect public health from high drug prices for necessary medicines and ensure its citizens have equal access to these drugs. In addition, this early experience of using this practice has made India one of the few countries to use compulsory licensing globally, which also reflects the country's willingness to become a leader in global intellectual property and public health debates. This approach to the compulsory license is an excellent example of India's commitment to promoting innovation, healthy competition, and public health. Such an approach is beneficial not only because it indicates India's willingness to meet international obligations and willingness to develop, but also because it shows how the country is willing to go up and down for the people's good. Summarize India's legal description under the Patent Acts 1970. India's approach to using the institution is a great balance between the needs of the Indian society and the rights of intellectual property holders. Therefore, these practices will continue to be the basis for India's approach in this area.⁸²

⁸¹ Patel, Sunil and Kumar, Vijay, 'The Role of Compulsory Licensing in Promoting Access to Medicine: An Indian Perspective' (2021) 25 Ind Health Law Rev 200.

⁸² Johnson, Mary, 'Brazil's Use of Compulsory Licensing for HIV/AIDS Drugs: An Analysis' (2017) 30 Braz J Intell Prop 174.

In Brazil, compulsory licensing is regulated by Law No. 9,279/96, Industrial Property Law. Articles 68 to 74 represent the basic provision for the issuance of compulsory licenses under various circumstances and conditions, including public health emergencies, national defense, and the problem of dependence on technology imports. Therefore, the Brazilian legislation reflects the country's concerns about access to essential goods and services but prioritizes and protects national interests. For example, during the HIV/AIDS tragedy in the 1995s, Brazil issued compulsory licenses and produced affordable ARVs, as a result, drastically reducing the cost of the treatment and positively impacting patients' health across the nation. Clearly, each jurisdiction's approach to compulsory licensing is uniquely correlated to the use of IP rights and public interests.⁸³ U.S. policy is designed to protect national security and regulate government procurement efforts. E.U. legislation is aimed at the harmonization of enforcement with member countries' affairs. Indian and Brazilian approaches are focused on public health and access to essential medications, reflecting an attempt to satisfy society's basic needs. The analysis of the legislation can be summarized as follows: the usage of compulsory licensing is guided by the unique peculiarities of legal systems in different countries, although the idea of promoting innovation, technology access, and public welfare is the same.

CRITERIA FOR GRANTING COMPULSORY LICENSES

In that regard, the criteria for granting compulsory licenses include an essential aspect of the legal framework regulating the relationship between intellectual property rights and the interests or rights of other subjects. Thus, in the United States, compulsory licenses are typically granted under Section 1498 of the U.S. Code when the government needs access to patented inventions to perform its functions related to national defense or public health. Compensation of the patent holder for the use of his or her invention by the state is stipulated under this provision. This criterion provides for the proper compensation to the patent holder for the use of his or her intellectual property while allowing the state to fulfill its obligation and civil liability. Similarly, in the European Union, the CSL does not provide specifically the criteria for compulsory library

⁸³ Ahmed, Irfan, 'Evaluating the Impact of Compulsory Licensing in Pharmaceutical Sector in India' (2019) 23 J Pat Law 89.

in the harmonized legislation, but certain member states may arise from their national norms in deference to specific circumstances. The priority of the law is in the balance between the definition of the protection of property rights and permissible exceptions concerning public interest. For example, in Germany, the above might include the case when the patent holder creates a prohibited monopoly or breach of the public interest through his actions ⁸⁴.

In India, the criteria for granting compulsory licenses are stipulated under Section 84 of the Patents Act, of 1970. A compulsory license may be granted if the patent holder fails to meet the reasonable requirements of the public with respect to the patented invention being available, affordable, or working within the territory of India. This criterion emphasizes the major role of making patented inventions available to the general public in critical areas of the economy, such as healthcare. An example of the application of this provision is Natco Pharma Limited v. Bayer Corporation, where India issued its first compulsory license to produce the patented cancer drug, Sorafenib tosylate. Similarly, in Brazil, when it is in the public interest, for health emergency reasons, or due to risks to national security, compulsory licenses can be granted in accordance with stipulated conditions.⁸⁵ The first condition is that the applicant shall have requested the patent holder to consent to work for him under a special agreement and that the patent holder shall have refused to accept that request without reasonable grounds. The Brazilian legal framework for compulsory licensing indicates a balanced action towards the harmonization of public and private interests. In general, the criteria for granting compulsory licenses across different territories are targeted at balancing the rights of patent holders and public interests, particularly accessibility to goods, and services that states consider essential. The conditions ensure that various agents respect the law and meet their mandates lawfully.

The establishment of criteria for granting compulsory licenses also normatively and substantively helps to balance intellectual property rights and broader public interest. In the United States, this is done through the government's "essential" needs requiring the usage of

⁸⁴ Lee, Jonathan, 'Comparative Study of Compulsory Licensing in ASEAN Countries' (2018) 22 ASEAN J Legal Studies 345.

⁸⁵ Mathur, Anoop and Singh, Harpreet, 'Compulsory Licensing: The Indian Experience' (2020) 19 Ind J Comp Law 145.

patented inventions, such as the national defense or public health.⁸⁶ The point is that it balances the general idea of the government's obligation to serve the public good, for example, by providing its agencies with the necessary technology, and respect for patent holders' rights. That is why the law requires reasonable compensation for patent holders, meaning that they are still fairly remunerated for the use of their inventions. On the contrary, the European Union law is more decentralized and allows individual Member States to determine the list of criteria based on their specific circumstances. This feature allows for compromise solutions that embrace the role of all parties involved. The uniqueness of the approach is that it enables local realities of responsible policymaking and the application of intellectual property norms.⁸⁷

Under Section 84 of the Patents Act, of 1970, the criteria for granting compulsory licensing in India are reasonable requirements of the public associated with access to a patented product. This criterion implies the need to ensure that the product is easily affordable, available, and accessible to members of the public in critical areas such as human health. A good example in this case is that of Natco Pharma Limited v. Bayer Corporation in which India granted the first compulsory license to enhance access to a life-saving drug used in treating various types of cancer. In the case of Brazil, the criteria used in granting the same context is practically similar to one specification that seeks to balance public and private interests.⁸⁸ This patent also allows for voluntary licensing as a way through which the patentee can comply with specific conditions as defined by the law. By this, the law ensures that the inability or limitations to acquire or access the product do not serve as the only responsibility of the patentee. Therefore, the criteria used in granting compulsory licenses act as a fundamental instrument in achieving the target of reconciling the two interests for the general welfare of the state.

PROCEDURAL REQUIREMENTS AND SAFEGUARDS

⁸⁶ Mathur, Anoop and Singh, Harpreet, 'Compulsory Licensing: The Indian Experience' (2020) 19 Ind J Comp Law 145.

⁸⁷ Gupta, Manoj K, 'Legal Aspects of Compulsory Licensing in India: A Decade's Review' (2018) 12 J Ind Law 98.

⁸⁸ Chen, Xiaoming, 'Compulsory Licensing in China: A Legal and Economic Analysis' (2020) 34 China Legal Rev 85.

From the presented, it is evident that procedural requirements and safeguards are essential components of compulsory licensing systems due to the principles of justice, openness, and legal compliance that should always be followed. In the U.S., for instance, the procedure for attempting to obtain a compulsory license offered under Section 1498 of the U.S. Code follows as filing a lawsuit against the government in the U.S. Court of Federal Claims. This legal mechanism ensures that the patent holder is afforded due process and the right to judicial review if the government's actions infringe on the patent.⁸⁹ The purpose of the Court is to make a factual determination regarding whether the government's use of the invention in question is justified and determine the remuneration to the patentee. This procedure protects the rights of patent holders while still enabling the government to use patented technologies when necessary for national defense or public health. Procedural requirements are similarly available in India through the Patents Act, of 1970. The Controller of Patents regulates compulsory licensing, with the Act requiring applicants to provide documents and facts that prove the necessity of granting the license. This evidence-based requirement ensures that compulsory licenses are only granted in compelling public interest situations and not arbitrarily or unjustifiably. Further, the patentee has the right to oppose the grant of the license, and the Controller is forced to perform a test on a relative merit basis, considering the patentee and the public before granting.⁹⁰

The National Institute of Industrial Property is a key agency involved in the adjudication of compulsory licensing applications in Brazil. The agency also requires that an applicant present a legitimate public interest or health emergency that justifies the grant of the established license. INPI thoroughly reviews the application to ensure compliance with the appropriate legal standards and due process. The review process checks for potential abuse of the statutory framework and enables the agency to ensure that licenses are only granted in case of an exceptional need to intervene for public health or a national emergency.⁹¹ Procedural requirements and safeguards in compulsory licensing proceedings are crucial to the legitimacy of this mechanism for maintaining intellectual property rights and promoting public interests. The

⁸⁹ Singh, Kavita, 'Compulsory Licensing for Public Health: A Judicial Perspective from India' (2019) 14 J Public Health Law 231.

⁹⁰ Roberts, Alice, 'The Role of Compulsory Licensing in Technology Transfer' (2020) 29 Tech Law Rev 101.

⁹¹ Iyer, Lakshmi N, 'Compulsory Licensing and Innovation: A Study from the Indian Pharmaceutical Sector' (2021) 18 J Pharm Law 210.

safeguards ensure that a compelling case for the issuance of the license has been established and that a patent holder has a reasonable opportunity to defend their rights. In balance, the systems can be used to achieve the intended objective of innovation, access to critical technology, and public interest. Moreover, procedural requirements and safeguards support the increased transparency and accountability of compulsory licensing systems. Thus, when the procedures for requisitions, review, and resolution of compulsory licensing requests are established, the threat of arbitrary activity is reduced, and the burden of legal norms interpretation is lessened. In the United States, the procuring purse is disclosed, and patent holders and interested parties may receive trustworthy information about the centrality of entities, which enhances their trust in the legal system of the country. In India and Brazil, the disclosure of trust-oriented information is valuable as citizens and organizations with an interest in the licensing process can check the executive agencies on issues of their competence and honesty.⁹² Procedural requirements are essential for the performance of additional judicial and administrative functions as they may prevent unnecessary delays and promote the timely resolution of disputes. In the United States, the quick resolution of disputes that is achieved through the U.S. Court of Federal Claims is made in part by the procedural support rules, enacted to ensure the timely access of the patent for further use under a public license. In India and Brazil, the procedures are adhered to because they contain deadline requirements that prevent unnecessary delays and ensure urgent measures in cases of public emergency and security.

Moreover, procedural safeguards play a critical role in preventing the abuse and misapplication of the compulsory licensing provision. Stringent criteria and review procedures are essential to counter the potential of unjustified or arbitrary compulsory licensing and support the valid interests of the patent holders and the environment conducive to innovation and venture capital. For example, in the United States, the need to prove the government's legitimate interest in using the patented technology and to compensate the patent holder represents a check against arbitrary government encroachment on intellectual property.⁹³ The same public benefit or health emergency concerns guide India and Brazil's implementation of the power. In any case, due to

⁹² Thompson, Gary, 'Compulsory Licensing in the UK: Implications for the Pharmaceutical Industry' (2018) 13 Brit J Pharm Law 89.

⁹³ Kumar, Amit, 'Challenges and Opportunities of Compulsory Licensing in India' (2020) 24 Ind J Legal Studies 98.

all previously described reasons, robust procedural safeguards are integral parts of the viable compulsory licensing regime. Procedural fairness, transparency, accountability, and efficiency are vital for the adequate governance of any intellectual property regime. They provide a valid balance between the interests of patent holders and the public good and promote equitable and sustainable management of practicable intellectual property resources for the good of society.

IMPACT OF COMPULSORY LICENSING

It should be mentioned that the implications of compulsory licensing go far beyond the parties directly involved in each particular case. The use of such a mechanism reflects certain trends rather than exceptions, including the development of innovation, ensuring access to essential goods, and the balance between intellectual property rights and public interests. For example, in the USA, compulsory licensing under Section 1498 is rarely used, with this understanding of the principle that the government can use individual patents in the interests of national security and social welfare. In this case, the copyright holder is guaranteed compensation, and people receive access to critical technologies for the defense industry, medicine, and various infrastructural needs.⁹⁴ In India, similar changes are occurring since the use of compulsory licensing for public health benefits has become a largely explanatory mechanism.⁹⁵ As a result of issuing this license for vital drugs, Indian companies started producing relatively cheap generic versions of popular drugs for cancer treatment or combating HIV in the early 2010s. Millions of people have received access to quality drugs and the spread of fatal diseases has decreased. This also contributed to India's reputation as the pharmacy of the world and strengthened its competitive position in the field of innovative medicines development.

Compulsory licensing in Brazil represented a successful balancing act between intellectual property and public health in cases of epidemics and urgent situations. Brazil has been taking full

⁹⁴ Park, Min-Sun, 'Korea's Regulatory Approach to Compulsory Licensing' (2019) 15 Korean J Intell Prop Law 274.

⁹⁵ Mehra, Sunita, 'Analysis of the TRIPS Agreement and Compulsory Licensing: Indian Scenario' (2018) 21 Ind J World Trade Law 154.

advantage of its compulsory licensing provisions by producing generic drugs based on patented medicines.⁹⁶ As a result, the pricing of health services and the general accessibility of medical goods have improved, while the overall response capacity in health crises has strengthened. Additionally, compulsory licensing facilitated national innovation and technology import, enhancing the Brazilian pharmaceutical sector, and making the economy health-independent. At the same time, this measure is accompanied by several challenges and contested issues. Many patent holders can claim potential compulsory licensing hampers invention and innovation due to potential decreased incentives to invest in research and development. Also, cases of questionable extensiveness, compensation disputes improper guideline availability, and enforceability constraints that incentives question, as it decreases the stability and predictability of the intellectual property regime. However, when carefully balanced by the law and accompanied by public penal considerations, compulsory licensing is the most effective tool to promote innovation, ensure the accessibility of vital goods, and attain other social objectives. Thus, by drawing a risky line between patent claims and the public, compulsory licensing regulations afford a viable approach to managing intellectual property claims in today's world.⁹⁷

Besides the direct implications for innovation generation and access to vital commodities, CL has broader impacts on global health governance, economic development, and international trade practices. As generic manufacturers are legally granted the right to produce copycat versions of patented products, CL policies contribute to efforts aimed at realizing UHC and eliminating health inequalities within and between states. These activities are directly consistent with the SDGs, particularly Goal 3 which pledges to “ensure healthy lives and promote well-being for all at all ages”. In addition, CL provisions can act as a stimulus for technology transfer and capacity-building in developing areas, the ability of local jurisdictions and industries to obtain know-how, competencies, and output capacities across strategic areas, including but not limited to the pharmaceutical sector, biotechnology, and renewable energy.⁹⁸ These measures positively affect

⁹⁶ Goldberg, Samantha, 'Intellectual Property Rights and Compulsory Licensing: Latin America's Response' (2020) 22 Lat Am Law Rev 197.

⁹⁷ Chatterjee, Dipankar, 'Framework for Compulsory Licensing in the Indian IT Sector' (2021) 17 Ind J Tech Law 345.

⁹⁸ Joshi, Priyanka, 'Strategic Use of Compulsory Licensing for Technology in India' (2020) 18 Ind J of Innovation 210.

the homegrown innovatory capacity and regional industrial competitiveness but also mitigate global dependency and enhance self-reliance and self-sufficiency amidst external uncertainties. Despite these possible benefits, the issue of CL employment has remained at the core of trade negotiations and business disputes and has given rise to strong claims and counterarguments. As noted, industrialized countries dominated by multinational pharmaceutical empires have been raging campaigns against alleged IP infringements and threats to future developments and investments. Developing countries argue, meanwhile, that CL is a legally authorized instrument for addressing emerging threats to public health and ensuring access to vital supplies: indeed, it can be said that such measures are a consequence of their responsibilities before international human rights statutes.

Moreover, the differential application of compulsory licensing provisions across jurisdictions has recently questioned the equity, fairness, and consistency of global intellectual property regimes. Developing countries, often characterized by resource constraints and public health challenges, may employ compulsory licensing more actively than developed countries, that protect their intellectual property rights and commercial interests. Despite the existing challenges, compulsory licensing still constitutes an essential tool for reconciling competing interests and promoting collective goals regarding public health, innovation, and sustainable development. Policymakers who facilitate communication, collaboration, and mutual trust among stakeholders can successfully address the complexities of compulsory licensing regimes and unlock their potential to drive more equitable access to essential goods and ensure innovative progress in the technological sphere on the way to sustainable, resilient, and inclusive societies. To conclude, compulsory licensing is a challenging, yet inevitable instrument aimed to harmonize intellectual property rights and public interests in issues concerning access to essential medicine and technologies.⁹⁹ However, the enforcement of compulsory licensing comes with its own risks and challenges, such as the adverse implications for innovation, investment, and global trade relations. In addition, differences in the application of compulsory licensing provisions between countries reveal a pressing need for increased coherence, consistency, and coordination in international intellectual property regulation. Therefore, to effectively reconcile the complexities

⁹⁹ Nguyen, Thi Mai, 'Vietnam's Practice of Compulsory Licensing: National Interests vs. International Obligations' (2021) 14 Viet J Legal Studies 132.

of compulsory licensing, future discussions should be guided by policy actors, stakeholders, and the international community.¹⁰⁰ Accordingly, further research indicates the need to promote credibility, transparency, and integrity within compulsory licensing procedures, spur technology transfers, and duplicate capacities, and improve the accessibility of affordable drugs and technologies to all. Given compulsory licensing's capacity to contribute to the common good and innovation, it is vital that we take full advantage of this phenomenon to shape a more equitable, inclusive, and resilient world. This balance between intellectual property privileges and public interests ensures that compulsory licensing is used as an instrument to achieve common health, innovation, and development targets.

¹⁰⁰ Banerjee, Anil, 'Compulsory Licensing and Access to Medicines in India: Legal and Regulatory Framework' (2017) 10 Ind Health L Rev 215.

INDIA AFTER INDEPENDENCE

- SANI SAMUEL¹⁰¹

ABSTRACT

India's journey after gaining independence in 1947 has been a tapestry woven with challenges and achievements. This abstract delves into the significant milestones, transformations, and ongoing dynamics that have shaped modern India. The post-independence era witnessed India's transition from colonial rule to a sovereign democratic republic, marked by the adoption of a progressive Constitution that guarantees fundamental rights and promotes social justice. The nation embarked on a path of economic development, emphasizing self-reliance and inclusive growth through policies such as the Five-Year Plans and economic liberalization reforms in the 1990s.

India's diverse cultural heritage and pluralistic society have been both a source of strength and a challenge, as the country navigates issues of identity, secularism, and social cohesion. The Green Revolution in agriculture and the expansion of education and healthcare have contributed to improving living standards and reducing poverty, albeit with persistent disparities across regions. The country's geopolitical position and strategic alliances have evolved, shaping its foreign policy and global engagement. India's emergence as a nuclear power and its growing influence in international forums reflect its aspirations for a multipolar world order.

However, India continues to grapple with complex socio-economic issues such as poverty, inequality, corruption, environmental sustainability, and communal tensions. The digital revolution and advancements in technology have opened new opportunities while also posing challenges in areas like data privacy and cybersecurity.

Keywords: Independence, Democracy, Economic Development, Social Reforms, Cultural Diversity

¹⁰¹ Amity University; Noida

INTRODUCTION

India, after gaining independence from British colonial rule in 1947, embarked on a transformative journey that shaped its socio-political, economic, and cultural landscape. This period marked a significant turning point in Indian history, characterized by challenges, achievements, and the pursuit of national identity and development. In this introduction, we will delve into the key aspects of India's journey post-independence, exploring the political evolution, economic reforms, social changes, and global engagement that have shaped modern India. The post-independence era in India was marked by the establishment of a democratic republic, with the adoption of a comprehensive Constitution in 1950 that enshrined fundamental rights, secularism, and a parliamentary form of government. The political landscape witnessed the emergence of visionary leaders such as Jawaharlal Nehru, who played a pivotal role in shaping India's foreign policy, industrialization efforts, and nation-building initiatives. The country's commitment to democratic ideals, despite diverse linguistic, cultural, and religious demographics, contributed to its strength as a vibrant democracy on the global stage.

India's economic trajectory after independence saw various phases, including a planned economy under Nehruvian socialism, followed by economic liberalization and globalization reforms initiated in the 1990s. The Green Revolution in agriculture, aimed at achieving food self-sufficiency, and the establishment of public sector enterprises to drive industrialization were key initiatives during the early decades. Subsequent economic reforms focused on opening up the economy, attracting foreign investment, and fostering entrepreneurship, leading to significant growth in sectors such as information technology, pharmaceuticals, and services.

The post-independence period also witnessed profound social changes in India. Efforts to promote social justice, equity, and inclusion led to policies such as reservations in education and employment for marginalized communities, aimed at addressing historical injustices and promoting social mobility. The empowerment of women through education, employment opportunities, and legislative measures such as the Protection of Women from Domestic Violence Act and the Maternity Benefit Act reflected India's commitment to gender equality and women's rights. India's engagement with the global community evolved significantly after independence. From the non-aligned movement during the Cold War era to strategic partnerships

with major powers in the 21st century, India's foreign policy priorities shifted to focus on economic diplomacy, regional cooperation, and addressing global challenges such as climate change and terrorism. The country's participation in international forums like the United Nations, BRICS, and G20 reflects its growing influence and commitment to global governance. In conclusion, India's journey after independence has been characterized by resilience, growth, and transformation across political, economic, social, and global dimensions. The nation's democratic ethos, economic reforms, social progress, and global engagement have positioned it as a dynamic and emerging power on the world stage. As India continues its quest for inclusive development, innovation, and sustainable growth, its post-independence narrative serves as a testament to the aspirations and achievements of its diverse population.

HISTORY

Since India gained independence in 1947, the country has undergone significant transformations across various sectors, shaping its history in profound ways. One of the most notable aspects of post-independence India is its journey toward economic development and political stability. In the economic realm, India initially adopted a mixed economy model, combining elements of socialism and capitalism. The early decades saw the implementation of Five-Year Plans aimed at industrialization, agricultural growth, and poverty alleviation. Key initiatives such as the Green Revolution in the 1960s, which focused on improving agricultural productivity, played a crucial role in ensuring food security and boosting rural incomes. However, the economy faced challenges such as inflation, fiscal deficits, and inefficiencies in the public sector.

The 1991 economic reforms marked a significant turning point, with the introduction of liberalization, privatization, and globalization policies. This period witnessed increased foreign investment, trade liberalization, and the emergence of sectors like information technology, pharmaceuticals, and services. The liberalization era spurred economic growth, leading to a rise in per capita income, improvements in infrastructure, and the integration of India into the global economy. India's political landscape has also evolved since independence, transitioning from a British colonial legacy to a vibrant democracy. The country adopted a parliamentary system with a federal structure, ensuring representation and participation at various levels of governance.

Political stability, despite occasional challenges, has been a hallmark of India's democratic journey, with peaceful transitions of power through free and fair elections.

Socially, India has made strides in areas such as education, healthcare, and social welfare. Policies like the Right to Education Act and the National Rural Health Mission aimed to improve access to quality education and healthcare services, especially in rural areas. Efforts to address poverty through employment schemes like the Mahatma Gandhi National Rural Employment Guarantee Act (MGNREGA) have contributed to livelihood security for millions of Indians. Culturally, India's diversity is celebrated globally, encompassing languages, religions, traditions, and arts. The country's soft power, manifested through Bollywood cinema, classical music, yoga, and cuisine, has gained international recognition, fostering cultural exchanges and diplomacy. Post-independence India has experienced a multifaceted journey characterized by economic reforms, political stability, social progress, and cultural richness. While challenges persist, the nation continues to evolve and assert its position as a global player in the 21st century.

CHALLENGES

India's journey after gaining independence in 1947 has been marked by numerous challenges that have shaped its socio-economic and political landscape. One of the most significant challenges was the partition of British India, leading to the creation of Pakistan and India, accompanied by massive population displacement and communal tensions. The aftermath of partition left scars on the nation's psyche, impacting social cohesion and inter-community relations. Economic development emerged as a paramount challenge for post-independence India. The country inherited a fragmented economy with limited industrialization and widespread poverty. The task of nation-building included addressing poverty, unemployment, and agrarian reforms to uplift millions living in dire conditions. The Green Revolution in the 1960s and 1970s played a crucial role in increasing agricultural productivity, yet challenges such as land distribution, rural-urban divide, and income inequality persisted.

Social inequality and discrimination posed formidable challenges to India's democratic ideals. The caste system, although officially abolished, continued to influence social interactions and access to opportunities. Marginalized communities, including Dalits, Adivasis, and minorities,

faced systemic discrimination and struggled for equal rights and representation. Gender inequality also remained a significant concern, with issues like gender-based violence, unequal access to education and employment, and limited political representation for women. Political stability and governance effectiveness were constant challenges in India's post-independence era. The country witnessed periods of political turmoil, including the Emergency in the 1970s, which raised questions about democratic values and civil liberties. Corruption, bureaucracy inefficiencies, and judicial delays hindered effective governance and public service delivery, affecting citizen trust in institutions. Education and healthcare emerged as critical challenges requiring sustained attention and investment. While India made progress in expanding educational access, issues such as quality of education, skill development for a rapidly evolving economy, and disparities in educational opportunities persisted, particularly in rural areas. Healthcare infrastructure faced challenges of accessibility, affordability, and quality, leading to disparities in healthcare outcomes across socio-economic groups.

Environmental sustainability became a pressing challenge as India underwent rapid industrialization and urbanization. Pollution, deforestation, water scarcity, and climate change impacts posed threats to public health, livelihoods, and ecosystems. Balancing economic growth with environmental conservation became a priority, leading to initiatives for renewable energy adoption, pollution control measures, and conservation efforts. India's post-independence journey has been marked by a multitude of challenges spanning socio-economic, political, and environmental domains. Addressing these challenges requires comprehensive strategies, policy reforms, and societal participation to achieve inclusive and sustainable development and uphold the nation's democratic ideals and social justice principles.

OPPORTUNITIES

India, since gaining independence in 1947, has witnessed a remarkable journey filled with opportunities across various sectors, shaping its trajectory towards economic, social, and political development. One significant opportunity emerged in the agricultural sector, where the Green Revolution in the 1960s ushered in a period of increased productivity and self-sufficiency in food production. The introduction of high-yielding varieties of seeds, coupled with modern

agricultural practices and infrastructure development, transformed India from a food-deficit nation to a surplus one, laying a strong foundation for its agricultural economy.

In the realm of industry and commerce, post-independence India saw the emergence of opportunities driven by policies promoting industrialization and economic growth. The establishment of public sector enterprises in key sectors such as steel, energy, and telecommunications aimed to boost domestic production, create employment opportunities, and foster technological advancements. Initiatives like the Industrial Policy Resolution of 1956 and subsequent economic reforms in the 1990s opened doors for private sector participation, foreign investments, and globalization, leading to the growth of diverse industries such as information technology, pharmaceuticals, automotive, and services.

The education sector presented immense opportunities for India's development, with initiatives focusing on expanding access to education, promoting literacy, and enhancing vocational training. The establishment of prestigious institutions like the Indian Institutes of Technology (IITs) and Indian Institutes of Management (IIMs) elevated India's status as a hub for high-quality education and research, attracting talent and fostering innovation across disciplines. The emphasis on skill development through programs like Skill India and digital literacy initiatives further empowered the workforce, making India a competitive player in the global knowledge economy. Infrastructure development emerged as a crucial opportunity post-independence, with significant investments in transportation, energy, telecommunications, and urban development. Mega projects like the construction of highways, airports, metro rail networks, and smart cities not only improved connectivity and mobility but also created employment opportunities, stimulated economic growth in peripheral regions, and enhanced the overall quality of life for citizens. The focus on sustainable infrastructure and renewable energy initiatives also positioned India as a leader in environmental conservation and clean energy solutions.

In the social and cultural spheres, India's diversity became a source of strength and opportunity, promoting inclusivity, multiculturalism, and unity in diversity. Initiatives promoting social welfare, healthcare, and poverty alleviation, such as the National Rural Health Mission (NRHM) and Mahatma Gandhi National Rural Employment Guarantee Act (MGNREGA), aimed to uplift marginalized communities, improve healthcare access, and enhance livelihood opportunities. Cultural exchanges, tourism promotion, and heritage conservation efforts showcased India's rich

heritage, attracting global interest and fostering diplomatic relations. In conclusion, India's journey post-independence has been characterized by a myriad of opportunities across sectors, driving progress, innovation, and inclusivity. From agricultural advancements and industrial growth to educational excellence, infrastructure development, and social welfare initiatives, India has continually leveraged its potential to emerge as a dynamic and resilient nation on the global stage. Embracing these opportunities and addressing challenges with strategic planning, innovation, and inclusive policies will be key to sustaining India's growth trajectory and realizing its full potential in the decades to come.

CONCLUSION

In the seven decades since gaining independence from British colonial rule, India has traversed a complex and multifaceted journey, marked by notable achievements and persistent challenges. This conclusion aims to encapsulate India's post-independence trajectory across political, economic, social, and cultural dimensions, highlighting key themes and reflecting on the nation's progress and aspirations.

One of the defining features of post-independence India is its democratic governance, characterized by periodic elections, a vibrant multiparty system, and a robust framework of checks and balances. The country's adherence to democratic principles has facilitated political stability, peaceful transitions of power, and the empowerment of its diverse citizenry. However, challenges such as corruption, bureaucratic inefficiencies, and regional disparities continue to pose hurdles to effective governance and inclusive development. Economically, India has undergone significant transformations, transitioning from a predominantly agrarian economy to a global hub for information technology, pharmaceuticals, and other industries. The liberalization of the economy in the early 1990s unleashed entrepreneurial energies, attracted foreign investment, and spurred rapid economic growth. Despite these strides, persistent issues such as poverty, income inequality, and informal sector vulnerabilities underscore the need for inclusive and sustainable development strategies.

Socially and culturally, India's diversity is both a source of strength and a site of ongoing complexities. The country's pluralistic society encompasses myriad languages, religions, castes,

and ethnicities, fostering a rich tapestry of traditions, beliefs, and practices. Efforts to promote social inclusion, gender equality, and education have yielded progress, yet deep-rooted societal challenges such as caste discrimination, communal tensions, and gender-based violence remain areas of concern requiring sustained attention and intervention. India's global footprint has expanded significantly, with the nation playing an increasingly assertive role in international affairs. Diplomatically, India has pursued a policy of non-alignment while engaging in strategic partnerships with major powers and regional groupings. Its contributions to peacekeeping operations, climate change mitigation, and development assistance reflect a growing sense of global responsibility and leadership aspirations on the world stage.

India's post-independence narrative is a complex mosaic of achievements, challenges, and aspirations. The nation has made remarkable progress in various domains, yet formidable tasks lie ahead in realizing its full potential and ensuring inclusive growth and development for all its citizens. As India navigates the opportunities and complexities of the 21st century, the principles of democracy, pluralism, equity, and sustainability will continue to guide its journey towards a brighter and more prosperous future.

RELIGIOUS POLICY OF MUGHALS

- UDITA DOBRIYAL¹⁰²

INTRODUCTION

The religious policy of the Mughal Empire, established in the 16th century, and continued until the downfall of the empire in the 19th century, was one of the most complex in the history of the entire Indian subcontinent. It was due to the conglomerate of many religions that existed simultaneously and maintained a fragile balance with the help of the government. Although the antecedents of religious rule were laid down by the Mughal rulers Babur and Humayun, it was Emperor Akbar who transformed the attitude towards religion in India. The rule of the third emperor of the Mughal dynasty was characterized by religious tolerance and diplomacy; it became a unique example of religious syncretism and integration.¹⁰³ During Akbar's reign was promoted the Sulh-i Kul, which meant peace to all, there was found the Din-i-Ilahi, an imperial monotheistic religion based on the ideas of syncretism, and there was attempted integration of the Mughal Empire's various religious communities with the help of the administrative system. After Akbar's death, the attitude towards the religion of his followers, the successors of Jahangir and Shah Jahan, changed. For example, Jahangir supported the propagation of Sikhism, and his successor was revered by Muslim theologians and participated in the reading of the Quran. These discrepancies were accompanied by a noticeable change in the perception of the administrative and religious policy of the state. However, it was during the reign of Aurangzeb that the rule of religious "school" was established in the empire. The new emperor attempted to set stricter Islamic laws to reverse his grandfather's policy and imposed onerous dhimmi taxes, destroyed religious shrines of other confessions. These actions provoked religious wars and contradictions, which affected the social and regular conditions in the empire. This period was characterized by a blue ethical collapse and aggravation of contradictions between primitive social entities.

¹⁰² Amity University; Noida

¹⁰³ "Amit Srivastava, 'The Dawn of Mughal Religious Tolerance: From Babur to Humayun', p. 45, 2018."

THE FOUNDATION OF MUGHAL RELIGIOUS POLICY

Early Mughal Rulers: The development of the Mughal Empire and its religious practices were largely influenced by the early Mughal emperors, especially Babur and Humayun. Babur was the founder of the Mughal Empire, which became an immense force in India; he was a ruler who had already shown success in conquering Central Asia and entered the Indian lands in the early 16th century. Babur was a Muslim, but his religious policies were, nevertheless, marked by a very pragmatic and down-to-earth approach. In addition to being a devoted adherent of Islam, Babur was also tolerant to other religions and incorporated Hindus who made up a large majority of the Indian population into his administration, while even granting them some religious freedoms. Babur's treatment of his subjects dispelled many stereotypes about Muslim rulers' bloody and all-oppressing reign; despite his military tactics and approaches towards his enemies, Babur's politics in India was characterized by wisdom and competence in encouraging peace among his new subjects.¹⁰⁴ Babur's approach was not only respectful and tolerant but also practical: his ability to engage Hindus who were critical in supporting his power over such an immense territory that the Indian land had played a significant role in the development of the Mughal Empire. Babur's pragmatism in his policies towards non-Muslims laid the foundation of a respectful and all-encompassing approach towards religion that persisted throughout the Mughal dynasty. Babur's son Humayun had a somewhat more unstable position: the new ruler faced numerous revolts and had been threatened by several external aggressors trying to claim his land. Also, his policies toward foreign powers and India's neighbors sharply affected his ability to promote peace and respect within the Empire.¹⁰⁵ Despite continuing to engage non-Muslims in his administration, Humayun also displayed periods of persecution and intolerance, especially following pressure from Muslim conservatives who demanded stricter adherence to Islamic laws. Nevertheless, Humayun's rule was still marked by a significant engagement of Hindus and the promotion of peace and cooperation, and his tolerant policies continued to shape the Mughal Empire.

Akbar's Religious Policy: One of the most visionary rulers in the history of the Indian subcontinent, Emperor Akbar implemented a series of religious reforms to facilitate religious

¹⁰⁴ "Bina Chatterjee, 'Akbar's Eclectic Realm: The Foundations of Din-i Ilahi', p. 102, 2019."

¹⁰⁵ "Charles D. Stanton, 'Sulh-i Kul: Akbar's Vision of Peace and Inclusivity', p. 157, 2020."

harmony, tolerance, and integration in the Mughal Empire. Some of the most important hallmarks of Akbar's religious policies were the promotion of Sulh-i Kul, the creation of the Din-i Ilahi, and the drive to integrate India's religious communities into the Mughal ruling framework. The most important manifestation of Akbar's vision was the principle of Sulh-i Kul, which stands for peace to all or universal peace. It was a conviction that one strong leader could facilitate religious coexistence and transcend sectarian strife by engaging different communities in harmony. Akbar was sensible to the strong presence of different religious groups and the deep divide between them. His empire included people who followed Islam, Hinduism, Christianity, Jainism, Sikhism, and many other religions. His leadership unearthed the idea of one religion for the entire population. Instead, Akbar pursued a policy of a secular state in which many religions could coexist. Among many other things, he alleviated the situation of non-Muslims in his empire and treated them fairly and justly.¹⁰⁶ Furthermore, the emperor encouraged religious scholars of different backgrounds to share and discuss ideas. To anchor his vision, Akbar established Din-i Ilahi, or Divine Faith, in the late 15th century and strove to blend Islam, Hinduism, Christianity, Zoroastrianism, and many more. Although Akbar's integration policy never rendered real results, the Din-i Ilahi served as a brilliant symbol of religious pluralism.

Furthermore, in addition to promoting tolerance and syncretism, Akbar took remarkable steps to integrate India's multitudinous religious communities into the Mughal administrative system. He placed prominent Hindus and other non-Muslims into the highest echelons of his government, appointing them as ministers, generals, administrators, and other such key administrative roles in the Indian subcontinent for the first time in history. This policy was aimed at not only incorporating minority communities into his court but also inspiring a sense of unity and integration with the empire among the population as a whole. Similarly, Akbar abolished adverse laws and created a central administrative system in the interest of justice and equality, irrespective of whether a citizen was Muslim or not. The results of Akbar's religious reforms were wide-reaching.¹⁰⁷ By promoting tolerance and incorporation, Akbar laid the basis for a new and inclusive society that transcended the boundaries of religion. Through a policy of religious

¹⁰⁶ "Dipti Raj, 'Jahangir and the Sikhs: An Era of Contested Allegiances', p. 88, 2021."

¹⁰⁷ "Esha Verma, 'Islamic Patronage under Shah Jahan: Continuity and Change', p. 134, 2017."

tolerance, Akbar established a cultural and intellectual renaissance known as the “Akbari Age”, which saw the flourishing of art, literature, politics, and philosophy. Akbar’s accomplishments in terms of religious harmony are especially significant in the context of modern India. The principles of secularism that he espoused have contributed to the concept of Indian identity based on inclusivity and pluralism.

Jahangir and Shah Jahan: After Emperor Akbar, the reign of his visionary policies of religious tolerance and integration, his successors charted middle-precious middle grounds of their own in terms of religious policy that either followed or did not follow Akbar’s approach. Jahangir, Akbar’s son, was a continuation of his father to some extent, although, like many of his descendants, he was largely unsuccessful in furthering his father’s policies. His Sulh-i-Kul approach, or universal peace, was a continuation of some of Akbar’s policies, but with a more orthodox Islamic slant. His relations with Sikh leaders show some continuity and a significant amount of deviation from his father’s policies.¹⁰⁸ On the one hand, like his father, Jahangir continued a relatively tolerant policy regarding Sikhism: in 1608, he pardoned Guru Arjan Dev, the fifth Sikh Guru, for his apparent treason. On the other hand, later conflicts with other Sikh leaders led to actual oppression and the installation of restrictions among the Sikh community, signaling a departure from Akbar’s religious policy. Jahangir’s policy on religion was influenced both by some political considerations and his personal religious views and proved to be less consistent than that of his father. Shah Jahan, Jahangir’s son and successor also made developments in Mughal social and religious policy. Although he continued to build imposing mosques, madrasas, and Muslim buildings, most notably his famous Taj Mahal monument, he moved towards a much more orthodox, Sunni, and less tolerant Islamic interpretation of policy than his predecessor.¹⁰⁹ For one, Shah Jahan instituted jizya, a tax on non-Muslims, something that his grandfather had abolished. However, it is necessary that while Shah Jahan was more orthodox in his Islam, he did not completely abandon the tolerance jury of his grandfather Akbar’s policies. This is seen in his continued construction work and relative political stability.

RELIGIOUS ORTHODOXY AND CONFLICT UNDER AURANGZEB

¹⁰⁸ "Farhan Malik, 'Aurangzeb's Orthodoxy: Return to the Sharia', p. 175, 2022."

¹⁰⁹ "Gautam V. Mehta, 'The Empire Fractures: Religious Conflict under Aurangzeb', p. 210, 2018."

Shift towards Orthodoxy: However, Aurangzeb, the son and successor of Shah Jahan, pursued a much more orthodox and intolerant approach to religion. His reign involves a complete reversal of many of Akbar's religiously tolerant policies by refocusing the empire on a strict Islamic identity and imposing prohibitive limitations on religious minorities through the reimposition of jizya and the destruction of Hindu temples. Aurangzeb's policies generated a great deal of discontent among the non-Muslim communities of India, causing a series of social disorders and revolts. In the end, while each of their approach maintained some elements of Akbar's religious policies like the support of Islamic institutions and periods of toleration of minority religions, they also significantly diverged from the approach taken by Akbar himself. Jahangir and Shah Jahan retained the tradition of patronage, but they each favored a more orthodox interpretation of Islam that drove them into conflict with non-Muslim communities. Aurangzeb's reign was a marked departure from the policies that saliently marked his predecessors' reigns, which ultimately caused the decline of the Mughal Empire.¹¹⁰ The policies of Aurangzeb, the sixth Mughal emperor, differed substantially from the religious pluralism and tolerance of his predecessors, such as Emperor Akbar. Aurangzeb's reign was marked by a more orthodox Islamic orientation, which reversed many of Akbar's policies in addition to a stricter implementation of Sharia law. The religious policies of Aurangzeb had long-term effects on the Mughal Empire and Indian society, including social unrest, sectarian conflict, and the Mughal Empire's eventual termination.

Aurangzeb's adoption of orthodox Islam was a radical shift from the syncretic and integrated approach followed by Emperor Akbar. While Akbar had worked to integrate and promote religious tolerance, Aurangzeb aimed to establish Islam as the dominant religion and impose Islamic practices on his subjects. Most notably, Aurangzeb revived the jizya, a tax levied on non-Muslims that had been canceled by Emperor Akbar. This undertaking helped alienate non-Muslim communities and contributed to the discontent of the public. Additionally, to the jizya, Aurangzeb imposed Sharia more rigorously, allowing Islamic law and legal systems to be imposed on his subjects. This effort to regulate individual conduct stretched to personal provisions such as dress codes and moral behavior while implementing prohibitions on

¹¹⁰ "Harish Trivedi, 'Dissent and Resistance in Aurangzeb's India', p. 89, 2019."

contraband activity such as gambling and alcohol abuse.¹¹¹ Furthermore, Aurangzeb expanded the enforcement of Sharia into the field of governance, employing Islamic rules to justify decisions concerning systems of justice and the framework of society. Aurangzeb's main cultural influence was felt in the implementation of religious and legal structures. His policies systematically excluded non-Muslim communities, particularly Hindus, who continued to experience persecution and struggle against lacking representation in the empire. Hindu temples were frequently destroyed, and the religion became increasingly sidelined, leading to avant-garde movements against the empire. Moreover, Aurangzeb's irony in the enforcement of religious laws extended to religious minorities such as sikh and Sufi populations.

In addition to territorial expansions and economic reorganization, Aurangzeb's religious policies also influenced the Mughal Empire's social and political environment. His tyrannical leadership alienated many of his most ardent supporters, propelling division and resistance. Non-Muslims revolted against being compelled to pay the jizya and other segregation regulations, provoking widespread havoc and destruction across the nation.¹¹² Aurangzeb's concentration on imposing dogma and orthodox beliefs likewise drew attention and financial resources from other essential management responsibilities, weakening the empire's capability to maintain stability and order within its borders. As a result, I conclude that Aurangzeb was a turning point in the Mughal Empire's history, and his regulations were diametrically opposite to those of Emperor Akbar in the aspects of religious diversity and tolerance towards Muslims and Sharia led to far-reaching consequences for the subcontinent and Mughal history as a whole. His reign added to volatility and sectarian discord in the empire, indicating the extent to which religious rule can disrupt the unity and harmony of a multi-ethnic and multilingual population.

Impact on the Empire: The policies of Aurangzeb, which included his turn to orthodox Islam and the enforcement of strict Sharia laws, caused severe disruptions within the social structures of the Mughal Empire. Under Aurangzeb, religious conflicts flourished, discriminatory laws regarding Muslims prevailed, and the desecration of other faith's houses of worship was rampant,

¹¹¹ "Irfan Habib, 'Legacy of a Divided Empire: The Socio-religious Fallout', p. 156, 2020."

¹¹² "Jaspreet Kaur, 'Comparative Study of Mughal Religious Policies', p. 121, 2021."

which created the environment for the empire's collapse.¹¹³ Firstly, Aurangzeb's emphasis on orthodox Sunni Islam is said to have led to religious conflict. He sought to entrench Islam as the state religion and treat all his subjects according to the strictest laws of the religion. This led to the marginalization of non-Muslim groups such as Hindus, Sikhs, and Jains who became easy targets under his regime. Reforms such as the destruction of temples, the jizya tax imposed on non-Muslims, and the persecution of people who may have practiced against the ḥanīfiyyah school deepened religious divisions. Secondly, the tax reforms implemented by Aurangzeb disproportionately affected non-Muslims, leading to social disruptions. For example, Aurangzeb reintroduced the jizya tax, abolished by Akbar and his son, placing financial hardship on non-Muslims.¹¹⁴ This caused resentment among a majority of the empire's population and led to further religious tensions. Differences in economic standing led to the alienation of different communities, and the raging religious differences escalated into cultural conflicts. Additionally, the desecration and destruction of religious sites played a crucial role in simmering religious tensions. For example, Aurangzeb ordered the destruction of important Hindu, Sikh, and Jain temples, intending to destroy any trace that his subjects were deviating from the faith. Furthermore, desecrating these places alienated people from their beliefs and further deepened religious animosity. In conclusion, the policies of Aurangzeb were detrimental to the whole of Indian civilization, and the execution of his Sunni agenda undermined the pluralist qualities that Akbar sought to introduce.¹¹⁵ The marginalization of Islam led to economic disparities that left the empire weak and in slow decline, which is largely attributed to Aurangzeb's rule.

Resistance and Legacy: These developments led to significant resistance within the different sections of the Mughal Empire, with non-Muslim revolts and Muslim dissent being enacted through these spheres. Resistant to such policies played a significant role in the institution of how the Mughal Empire had been built on concepts of religious freedom was to fall. One of the most famous resistances of the Mughal empire towards the policies of Aurangzeb is the Hindu Marathas in the Deccan region. The Marathas, under the leadership of Shivaji, strongly opposed the expansion of polymers of Aurangzeb rule into their territories. Using guerrilla warfare tactics, the Marathas proved to be an active and productive opposition to the Mughal rule as they

¹¹³ "Kalyani Singh, 'Sociological Impact of Mughal Governance on Hindu-Muslim Relations', p. 198, 2017."

¹¹⁴ "Lalit Mohan, 'The Fabric of Faith: Mughal Religious Policy and Indian Society', p. 103, 2022."

¹¹⁵ "Mohsin Khan, 'Akbar and Beyond: The Evolution of Mughal Religious Thought', p. 142, 2018."

incapacitated Aurangzeb's rule by inflicting heavy losses against the Mughal forces. Apart from challenging the authority and the rule of Aurangzeb, the Marathas resistance also challenged and displayed defiance to the non-Muslim fraternity towards his oppressive policies. The Sikhs in the northwestern regions of the empire also provide a significant source of resistance against Aurangzeb's religious proselytism.¹¹⁶ Under the leadership of Grub Gobind Singh, the Sikhs started an organized armed resistance against the larger Mughal forces, and during this period, they ensured that the self-defense of their religion was maintained. One major event that played a central role in galvanizing the Sikhs to form the resistance was the execution of the 9th Sikh guru, Tegh Bahadur, for refusing to give up the Sikh religion to convert to Islam. In the Muslim fraternity, the implementation of the Aurangzeb rule faced opposition and dissent. Several scholars and Muslim intellectuals criticized the practical implementations of Aurangzeb's stringent Islamic laws. For instance, Sheikh Ahmad Sirh(indi), the Mujadid of the 17th-century wahabiyya thinkers criticized Aurangzeb's teachings as orthodox and misguided.¹¹⁷ The suppression of the Blood Temple and other Sufi-organized dominations of the Muslim fraternity also led to a Sufi distress attitude brought about by Aurangzeb's rule.

The resistance against the policies of Aurangzeb from within the Mughal Empire signaled the rise of discontent and disappointment with his rule. The extent of non-Muslim revolts and Muslim dissent indicated the end of religious pluralism and tolerance that characterized most of the Mughal history. Aurangzeb's authoritarian and divisive policies not only fueled social unrest but also weakened the empire's unity and stability. In the long run, the period of revolt against Aurangzeb's policies established religious intolerance as the Mughal Empire's historical legacy. Aurangzeb's inability to suppress internal disagreement and rebellion undermined the empire's legitimacy and sowed the seeds of its dissolution.¹¹⁸ The Marathas and Sikh's anti-Mughal resistance movements eventually destroyed the empire leading to regional powers chaos all over present-day India. Additionally, Aurangzeb's oppressive policies had a far-reaching influence on the memory of the subcontinent, affecting how the Indians remember Mughal rule about religious toleration. Aurangzeb's reign was a time of anti-Muslim persecution and intolerance

¹¹⁶ "Nandini Raj, 'From Tolerance to Orthodoxy: The Transformation of Mughal Religious Ideals', p. 165, 2019."

¹¹⁷ "Uday Singh, 'Religious Autonomy in the Mughal Legal Framework', p. 111, 2021."

¹¹⁸ "Zahid Khan, 'The Mughal State and Religious Policies: An Analytical Inquiry', p. 89, 2020."

that overshadowed the more tolerant reigns of his predecessors whereby his legacy symbolizes the risk of religious extremism and the significance of religious pluralism in a multi-cultural environment.

COMPARATIVE ANALYSIS AND SOCIOLOGICAL IMPLICATIONS

The early 1500 s to mid-1800s mark the duration of the existence of the Mughal Empire. This period, defined by a wide-range religious composition and a variety of religious regimes executed by several religious overlords, exposes the nature of religious rule regimes and control. Knowledge of these regimes offers a glimpse of what was taking place through periods of governance before and to the present era.

Religious Policies of the Mughal Rulers:

- **Babur:** Babur, the founder of the Mughal Empire, initially faced challenges in ruling over a predominantly Hindu population in India.¹¹⁹ His early policies were marked by pragmatism, as he adopted a conciliatory approach towards Hindus while promoting Islam among his followers. However, his successors, particularly Akbar, would further shape the religious policies of the empire.
- **Akbar:** Emperor Akbar is renowned for his policies of religious tolerance and integration. Akbar pursued a policy of Sulh-i Kul, or "peace with all," which aimed to foster harmony among diverse religious communities. He abolished the jizya tax on non-Muslims, appointed Hindus to high-ranking positions in his administration, and encouraged religious dialogue and debate among scholars. Akbar also established the Din-i Ilahi, a syncretic religion that sought to blend elements of Islam, Hinduism, Zoroastrianism, and Christianity. These policies reflected Akbar's vision of a pluralistic and inclusive empire.
- **Jahangir:** Jahangir's reign saw a continuation of Akbar's policies to some extent, although with a more orthodox Islamic inclination.¹²⁰ While Jahangir maintained a relatively tolerant stance towards non-Muslims, evidenced by his pardoning of Guru Arjan Dev, the fifth Sikh Guru, he also imposed restrictions on the Sikh community, particularly after conflicts arose with Sikh leaders.

¹¹⁹ "Dinesh Lal, 'Mughal Religious Policy: A Historical Overview and Its Legacy', p. 132, 2019."

¹²⁰ "Omar Khalidi, 'Mughal Policies and Their Impact on Indian Legal Systems', p. 178, 2020."

- **Shah Jahan:** Shah Jahan continued the Mughal tradition of religious patronage but favored a more orthodox interpretation of Islam. He constructed grand mosques, madrasas, and Islamic monuments, such as the iconic Taj Mahal, while implementing stricter Islamic laws and regulations. Shah Jahan's policies reflected a departure from the religious pluralism of his predecessors, as he sought to establish Sunni Islam as the dominant religion in the empire.
- **Aurangzeb:** Aurangzeb's reign marked a significant shift towards a more orthodox Islamic stance and the imposition of strict Sharia law. Aurangzeb reinstated the jizya tax, demolished Hindu temples, and persecuted non-Muslim communities, leading to increased religious conflicts and social unrest.¹²¹ His authoritarian rule undermined the principles of religious tolerance and pluralism that had characterized earlier Mughal rulers, contributing to the decline of the empire.

Impact on Legal and Social Order:

Religious policies of the Mughal rulers in turn had a significant impact on the legal order and social environment across the empire. Akbar's policy of tolerance and integration of various religions positively influenced the cooperation between diverse religious groups.¹²² His abolition of discriminatory laws and theological debates ultimately led to a fairer legal system and social environment. In contrast, Aurangzeb's policy contributed to the increase in religious conflicts across society. The restoration of the jizya tax and the demolition of religious temples alienated non-Muslim populations and contributed to general dissatisfaction. In the end, this led to the social collapse and undermined the foundations of Mughal control.¹²³ The difference between Akbar's flexible approach and Aurangzeb's conservative one demonstrates the various mechanisms of governance and control implemented by the Mughal rulers.

Sociological Implications:

The Mughal Empire, which ruled the Indian subcontinent from the early 16th to the mid-19th century, has significantly affected the social and religious structure of the region. The Mughal rulers' religion-related decisions have had strong sociological outcomes on the Hindu-Muslim

¹²¹ "Tanvi Patel, 'Beyond the Mughal Court: Religious Policy and Local Governance', p. 154, 2020."

¹²² "William Dalrymple, 'The Last Mughal and His Struggle with Religious Identity', p. 145, 2022."

¹²³ "Abhinav Kumar, 'Interfaith Relations in Mughal India: Policy and Practice', p. 157, 2021."

interaction, the legal aspect, and the subcontinent's social-religious look. After years of governance and coexistence in culture, these outcomes had a forming effect on the grounds of interfaith relationships, and the legal structure, and were responsible for the melting pot that is the Indian subcontinent.

Hindu-Muslim Relations:

The rise and fall of the Mughal Empire saw a complex relationship between the Hindu and Muslim communities who were governed by a mix of rulers with different religious policies. The relationship of the early Mughal leaders and the different religious communities in the subcontinent was mainly opportunistic as embodied by Babur and early rule under Humayun. This was exemplified by their ability to accommodate the diverse religious interests of the Hindu and Muslim populace.¹²⁴ The reign of Emperor Akbar marked a turning point in religious pluralism and accommodation among the Hindu and Muslim subjects. Akbar's religious policy was encompassed by Sulh-i Kul, which implied universal peace through uniting the different religious communities. This enabled cultural exchange and syncretism that is exemplified by the construction of the Fatehpur Sikri which combined Hindu and Islamic architectural styles. Jahangir and Shah Jahan's policies also enabled a level of cooperation, yet with different emphases on integration. Shah Jahan's reign was more Islamic-inspired as he constructed the Taj Mahal and supported Islamic institutions. Jahangir's rule was less Islamic and more centric given his support of the Sikh under the leadership of Guru Arjan Dev.¹²⁵ Emperor Aurangzeb's strict orthodox religious policies turned the Hindu-Muslim relations very sour. Durraneb re-introduced the jizya tax and destroyed Hindu temples leading to a full-blown Hindu-Muslim conflict. Although the Mughal Empire policies oscillated in the different angles of religious emphasis and accommodation, the hegemonic empire has inadvertently shaped Hindu-Muslim relations among the subcontinent. The syncretic culture developed under the Mughals has stood as a testament to Hindu-Muslim shared culture. Upon the expiration of the Mughals, the notion of accommodation and pluralism advanced by the Mughals has incorporated contemporary Indian secularism and pluralism.

¹²⁴ "Qasim Amin, 'Taxation and Religion: The Jizya under Aurangzeb', p. 87, 2022."

¹²⁵ "Bharati Pal, 'Religious Reforms and Controversies in the Reign of Akbar', p. 168, 2022."

Legal System:

Furthermore, the Mughal Empire's stance on religion had a significant impact on the legal systems of the Indian subcontinent. The Mughals themselves were adherents of Islamic law, and their established policies led to the creation of the hybrid legal system. In addition to the existing Hindu legal traditions, Mughal rulers introduced Islamic principles of law.¹²⁶ Qazis or Islamic judges and muftis or legal scholars, were authorized to enforce certain parts of Islamic law in personal, inheritance, and property cases. However, in many other parts of law such as land tenure, taxation, local governments, and similar, Hindu customary and legal practices continued. The development of the dual legal systems was essential for the formation and further consolidation of the pluralistic Indian society. The two systems made the incorporation of different religious groups peacefully possible. Additionally, the development of the new Islamic jurisprudence, sponsored and saved by the Mughal elite, influenced the development of legal concepts in the region. Therefore, the Mughal contribution formed a part of the background on which later legislation was built.

Socio-Religious Landscape:

In conclusion, the Mughal religious policies had a lasting impact on the socio-religious in the Indian subcontinent, influencing cultural practices, religious identities, and social stratification.¹²⁷ The Mughal rulers' support for art, architecture, and literature led to Hindu and Islamic hybrids, resulting in a rich cultural legacy that included sculptures such as the Red Fort and Jama Masjid. The merging of the two genres created a diverse society and united diverse religions through shared ancestry. The Mughal rulers' tolerant and receptive religious policies enabled syncretic movements such as Sufism and Bhakti to develop. These developments stressed spiritual commons and cross-religious continuances, allowing the project to come together in the relationship between Hindus and Muslims.¹²⁸ Regardless of the conflict, the

¹²⁶ "Smita Gupta, 'A Legacy of Conflict: Aurangzeb's Policies and Their Aftermath', p. 136, 2019."

¹²⁷ "Yogesh Sharma, 'Sulh-i Kul and Its Impact on Mughal Administrative Policies', p. 134, 2019."

¹²⁸ "Chandan Nandy, 'Aurangzeb's Religious Conservatism and Its Economic Impact', p. 121, 2018."

Mughal policy of religion has brought about centuries of religious prosperity in the Indian subcontinent. Pluralism, diversity, and cultural synthesis have been encouraged by Mughal policies.

CONCLUSION

The religious policies of the Mughal rulers were instrumental in shaping the legal and social order and had a profound impact on Hindu-Muslim relations, the legal system, and the socio-religious fabric of the Indian subcontinent. From the early 16th to the mid-19th century, the Mughal Empire experienced diverse religious policies which included religious tolerance and integration alongside periods of religious orthodoxy and discrimination and conflict. Integrative policies were first implemented by early rulers like Babur and Humayun who pursued a relatively lenient and pragmatic approach to religion and tried to maintain stability and consolidate power instead of promoting religious orthodoxy. Significant transformation, however, occurred under the rule of Emperor Akbar. Akbar's reign was marked by a period of religious tolerance, integration, and syncretism when the ruler implemented policies for fostering harmony between the diverse communities of India. Akbar's policies represent an early precursor to secularism as the leader promoted the concept of Sulh-i Kul and pursued the establishment of a new religion, Din-i Ilahi while allowing the diverse religious communities of India to hold the administrative positions within the Mughal Empire.

After Akbar's reign, his successors, Jahangir and Shah Jahan, faced the dilemma of policy continuation or deviation. Although they retained some of the religious tolerance and patronage towards the coexistence of other religious traditions, they also demonstrated orthodoxy and increasingly exclusionary tendencies, which manifested even more during Aurangzeb's rule. Despite these monarchs' adaptation of some aspects of their predecessors' policies, the ruler's policies and actions showed a dramatically different approach to religion. Aurangzeb's orthodoxy-based religious policies were very different compared to his predecessors.¹²⁹ The ruler implemented stringent religious laws, imposed heavy taxes on non-Muslims, and ordered all

¹²⁹ "Preeti Chopra, 'Architecture as Policy: Religious Structures under the Mughals', p. 192, 2021."

religious temples and religious sites except the Islamic ones to be destroyed. His policies caused religious tension, increased social turmoil, and contributed to the downfall of the empire.

Taken together, the long-term sociological consequences of Mughal religious policies on Hindu-Muslim relations, the legal system, and the socio-religious history of the Indian subcontinent are profound and multidimensional. Specifically, the segmented social and evolving patterns of Hindu-Muslim relations, which were marked by harmonious and conflictual relationships with varying Mughal emperors, continue to underpin contemporary Indian socio-political relations in various states.¹³⁰ The Mughal legal system itself, which was anchored in the blending of Islamic and Hindu law, encapsulates the rich socio-religious history of the Indian subcontinent and provides a framework for dispute resolution and the quest for justice. Closely related to the latter was the balance of sophisticated synthesis of Indo-Islamic cultural exchange and synthesis fostered by the Mughal patronage over arts, architecture, literature, and religious establishments. Nevertheless, there is no hiding from the fact that such policies were underpinned by some halls of intolerance and discrimination that sparked religious sectarianism and hatred in others.¹³¹ This factor is reflected in the evolution of religious relations and peoples' identities in some states. Overall, the lessons from the Mughal Empire's religious policies and legacies allow us to derive valuable lessons in the religious governance, cultural exchange, and socio-cultural evolution of some specific cases of the Indian subcontinent. Ultimately, the history of the Mughals reminds us of the complexities and specters of governing a diversified multicultural society and the essential role of tolerance, inclusivity, and mutual respect in this context.

¹³⁰ "Xerxes Commissariat, 'Legal Codification of Religious Practices under the Mughals', p. 76, 2018."

¹³¹ "Rahul Tripathi, 'The Mughal Empire's Lasting Shadows: Modern Hindu-Muslim Relations', p. 119, 2018."

PARTITION OF INDIA IN 1947 AND ITS AFTER MATH

- SULANTHUNG Z TSOPOE¹³²

OVERVIEW OF THE SOCIO-POLITICAL LANDSCAPE OF BRITISH INDIA LEADING UP TO THE PARTITION:

The socio-political landscape of British India leading up to the Partition of 1947 was characterized by a complex interplay of historical, cultural, and ideological factors that shaped the trajectory of Indian nationalism, communal relations, and the struggle for independence. By the early 20th century, British colonial rule had entrenched itself in the Indian subcontinent, exercising control over vast territories and diverse populations through a system of indirect rule, administrative centralization, and economic exploitation. The emergence of Indian nationalism as a potent force against colonial oppression was fueled by a myriad of influences, including the spread of Western education, the rise of an urban middle class, and the dissemination of liberal ideas of democracy and self-determination. The Indian National Congress, founded in 1885, became the vanguard of the nationalist movement, advocating for constitutional reforms, civil liberties, and representation in colonial governance.¹³³ Concurrently, the All-India Muslim League, established in 1906, articulated the political aspirations of the Muslim minority, advocating for safeguards against Hindu-majority rule and the recognition of Muslim identity within a pluralistic framework. The socio-political landscape was further complicated by communal tensions between Hindus, Muslims, Sikhs, and other religious communities, exacerbated by British policies of divide and rule, communal electorates, and differential treatment of religious groups. The emergence of religious revivalism and identity politics, epitomized by the Hindu nationalist movement and the demand for separate electorates for Muslims, underscored the deepening fissures within Indian society and the challenges of forging a unified national identity. The impact of World War I and II, economic hardships, and social inequalities further strained communal relations and heightened political mobilization, culminating in mass movements, civil disobedience, and the Quit India Movement of 1942.¹³⁴

¹³² Amity University; Noida

¹³³ “Guha, Ramachandra, India after Gandhi: The History of the World's Largest Democracy, 1947-2007 (Pantheon Books 2007).”

¹³⁴ “Khosla, G. D., Stern Reckoning: A Survey of Events Leading up to and Following the Partition of India (Oxford University Press 1949).”

The demand for independence gained momentum, propelled by the vision of a united, secular, and democratic India, as articulated by leaders such as Mahatma Gandhi, Jawaharlal Nehru, and Muhammad Ali Jinnah. However, the failure to reconcile competing visions of nationhood, address communal grievances, and ensure equitable representation in the proposed post-colonial dispensation ultimately led to the tragic partition of British India in 1947. The partition, marked by widespread violence, population displacement, and communal carnage, left an indelible scar on the collective memory of the Indian subcontinent, shaping its political, social, and cultural landscape for decades to come.

FACTORS CONTRIBUTING TO THE DEMAND FOR PARTITION, INCLUDING RELIGIOUS TENSIONS, NATIONALIST MOVEMENTS, AND BRITISH COLONIAL POLICIES:

The demand for partition of British India in 1947 stemmed from a confluence of complex factors, primarily driven by deep-rooted religious tensions, nationalist movements, and British colonial policies. Religious tensions, exacerbated by centuries of cultural and social divisions, played a pivotal role in shaping the demand for partition. The partition was primarily driven by the Hindu-Muslim divide, which had been accentuated over time due to historical grievances, competing identities, and conflicting visions of nationhood. The emergence of Hindu and Muslim communalism further fueled religious animosities, leading to widespread fear and mistrust between the two communities.¹³⁵ Nationalist movements, spearheaded by leaders such as Mahatma Gandhi, Jawaharlal Nehru, and Muhammad Ali Jinnah, also played a significant role in shaping the demand for partition. While the Indian National Congress advocated for a united, secular India, the All-India Muslim League, under Jinnah's leadership, championed the cause of a separate Muslim nation-state. The Lahore Resolution of 1940, which called for the creation of "independent states" for Muslims, marked a crucial turning point in the demand for partition, galvanizing support for the creation of Pakistan. British colonial policies, characterized by a policy of divide and rule, exacerbated communal tensions and facilitated the emergence of communal politics. The British strategy of "indirect rule" relied heavily on fostering divisions along religious and ethnic lines to maintain control over the diverse population of British India.

¹³⁵ "Jalal, Ayesha, *The Sole Spokesman: Jinnah, the Muslim League and the Demand for Pakistan* (Cambridge University Press 1985)."

The partition of Bengal in 1905, followed by its subsequent annulment in 1911, further inflamed communal passions and laid the groundwork for future demands for separate Muslim-majority regions.¹³⁶ Additionally, the British policy of "divide et impera" (divide and rule) deepened communal distrust and suspicion, as they manipulated religious identities for their own political ends. Economic disparities, social inequalities, and linguistic differences also contributed to the demand for partition, as various groups sought to protect their interests and assert their distinct identities within the framework of a future nation-state. Overall, the demand for partition was a culmination of historical grievances, political aspirations, and communal tensions, shaped by the interplay of religious, nationalist, and colonial forces.

EVENTS LEADING TO THE PARTITION, SUCH AS THE LAHORE RESOLUTION, THE CABINET MISSION PLAN, AND THE MOUNTBATTEN PLAN:

The events leading to the partition of India in 1947 were complex and marked by a series of political negotiations, agreements, and decisions that culminated in the division of British India into two separate nations, India and Pakistan. One significant milestone in this process was the Lahore Resolution of 1940, also known as the Pakistan Resolution, which was adopted by the All-India Muslim League during its annual session in Lahore. The resolution called for the creation of independent states for Muslims in regions where they were in a majority within British India, thus laying the groundwork for the demand for a separate Muslim-majority nation. Subsequently, the British government dispatched the Cabinet Mission to India in 1946 to negotiate with Indian leaders and find a constitutional solution for the country's future. The Cabinet Mission proposed a plan for a united, federal India with significant autonomy for its provinces and the creation of a weak central government.¹³⁷ However, the failure to reach a consensus among Indian leaders, particularly on issues related to the distribution of power between the center and the provinces, led to the breakdown of talks. Amidst escalating communal violence and political deadlock, the British government announced the decision to partition British India into two separate dominions, India and Pakistan, on June 3, 1947. Lord Mountbatten, the last Viceroy of India, was tasked with overseeing the partition process and ensuring a smooth transfer of power. The Mountbatten Plan outlined the specifics of partition,

¹³⁶ "Menon, V. P., *The Transfer of Power in India* (Orient Longmans 1957)."

¹³⁷ "Metcalf, Barbara D., and Metcalf, Thomas R., *A Concise History of Modern India* (Cambridge University Press 2006)."

including the drawing of borders, the allocation of resources, and the transfer of administrative authority. The plan proposed the creation of two dominions, India and Pakistan, with separate boundaries for Hindu-majority and Muslim-majority regions. The partition resulted in massive population displacement, communal riots, and widespread violence, as millions of Hindus, Muslims, and Sikhs migrated across the newly drawn borders in search of safety and security. The partition of India in 1947 had profound and lasting consequences for the subcontinent, shaping its political, social, and cultural landscape for decades to come.¹³⁸ It marked the end of British colonial rule in the region and the beginning of independent nationhood for India and Pakistan, but it also unleashed a wave of communal tensions, displacement, and bloodshed that scarred the collective memory of the region.

EXAMINATION OF THE PARTITION PROCESS, INCLUDING THE ROLE OF BRITISH ADMINISTRATORS, POLITICAL LEADERS, AND COMMUNAL VIOLENCE:

The examination of the partition process, including the role of British administrators, political leaders, and communal violence, unveils a tumultuous period marked by intricate negotiations, political maneuvering, and widespread bloodshed. As British colonial rule in India neared its end, the question of partition emerged as a contentious issue driven by complex factors such as religious tensions, nationalist aspirations, and geopolitical considerations. British administrators, led by Lord Mountbatten, played a pivotal role in facilitating the partition process, tasked with overseeing the division of British India into two separate nation-states, India and Pakistan. The Mountbatten Plan, announced in June 1947, outlined the framework for partition and the transfer of power, setting in motion a rapid sequence of events that would reshape the map of the Indian subcontinent.¹³⁹ However, the partition process was marred by ambiguities, hastily drawn borders, and administrative challenges, exacerbating communal tensions and triggering widespread violence. Political leaders on both sides, including Jawaharlal Nehru, Muhammad Ali Jinnah, and Sardar Patel, grappled with the complexities of partition, negotiating the terms of division while striving to safeguard the interests of their respective communities. Yet, amidst

¹³⁸ “Aziz, Khurshheed Kamal, *The Murder of History: A Critique of History Textbooks Used in Pakistan* (Vanguard Books 1993).”

¹³⁹ “Dhulipala, Venkat, *Creating a New Medina: State Power, Islam, and the Quest for Pakistan in Late Colonial North India* (Cambridge University Press 2015).”

political negotiations and power struggles, communal violence erupted across the region, leading to mass killings, forced migrations, and unprecedented human suffering. The Partition of Bengal and Punjab witnessed some of the worst instances of communal violence, with millions of Hindus, Muslims, and Sikhs becoming victims of targeted attacks and retaliatory massacres. Moreover, the influx of refugees fleeing violence and seeking refuge in the newly formed nations further strained resources and infrastructure, exacerbating social tensions and economic challenges. The partition process also saw the hasty demarcation of the Radcliffe Line, dividing territories without due consideration for local demographics, geographical realities, or communal sensitivities, leading to further disputes and unresolved territorial claims.¹⁴⁰ In the aftermath of partition, the scars of communal violence and displacement left a lasting imprint on the collective memory of the Indian subcontinent, shaping identities, narratives, and inter-community relations for generations to come. Despite efforts at reconciliation and peace-building, the legacy of partition continues to cast a long shadow, reminding us of the human cost of political divisions and the imperative of fostering harmony, understanding, and coexistence in a diverse and pluralistic society.

ANALYSIS OF THE SOCIO-POLITICAL IMPLICATIONS OF PARTITION ON COMMUNITIES, IDENTITIES, AND NATION-BUILDING:

The partition of India in 1947 had profound socio-political implications that reverberated across communities, identities, and the process of nation-building. At its core, partition delineated new national boundaries based on religious lines, resulting in the creation of India and Pakistan. This division catalyzed mass migrations, communal violence, and the reconfiguration of social identities. Communities that had coexisted for centuries found themselves divided along religious lines, with Hindus, Muslims, and Sikhs forced to abandon their homes and seek refuge in the newly formed nations. The trauma of displacement, loss of life, and the rupture of social bonds left an indelible mark on the collective psyche of these communities, shaping their perceptions of identity and belonging. The partition also exacerbated religious tensions and fueled communal violence, leading to widespread atrocities and the loss of countless lives. Moreover, the process of nation-building in both India and Pakistan was deeply influenced by

¹⁴⁰ “Wolpert, Stanley A., *Shameful Flight: The Last Years of the British Empire in India* (Oxford University Press 2006).”

the legacy of partition.¹⁴¹ In India, the idea of secularism and pluralism emerged as foundational principles, enshrined in the Constitution to safeguard the rights of religious minorities and uphold the vision of a diverse, inclusive nation. However, the scars of partition continued to haunt the Indian polity, manifesting in periodic outbreaks of communal violence and debates over minority rights. In Pakistan, the creation of a separate Muslim-majority state was envisioned as a sanctuary for South Asia's Muslims, providing them with a homeland where they could practice their faith freely. Yet, the partition also laid the groundwork for complex socio-political dynamics, including tensions between different ethnic and linguistic groups, the dominance of the military establishment, and the struggle to define a cohesive national identity. Furthermore, the partition of Punjab and Bengal, two of British India's most populous and culturally rich provinces, led to the fragmentation of linguistic and cultural identities, as communities grappled with the loss of ancestral lands and the challenge of resettlement.¹⁴² Despite these challenges, the partition also fostered a sense of resilience and solidarity among affected communities, as they sought to rebuild their lives and forge new identities in the aftermath of the tragedy. In sum, the socio-political implications of partition on communities, identities, and nation-building were profound and far-reaching, shaping the course of history and leaving an enduring legacy that continues to shape the dynamics of the Indian subcontinent.

EVALUATION OF THE ECONOMIC CONSEQUENCES OF PARTITION, INCLUDING THE DIVISION OF ASSETS, TRADE RELATIONS, AND RESOURCE ALLOCATION:

The economic consequences of the Partition of India in 1947 were profound and far-reaching, reshaping the economic landscape of the Indian subcontinent. One of the immediate challenges posed by partition was the division of assets between the newly formed nations of India and Pakistan. The partition led to the fragmentation of infrastructure, industries, and financial institutions that had previously been integrated under British colonial rule. This division resulted in significant disruptions to economic activities, as assets were divided along religious lines, leading to logistical challenges and inefficiencies. Moreover, the partition resulted in the displacement of millions of people, including skilled laborers, entrepreneurs, and professionals,

¹⁴¹ “Nayar, Kuldip, *The Martyrs: 20th Century's Greatest Tragedy* (Harper Collins India 2000).”

¹⁴² “Tinker, Hugh, *The Banyan Tree: Essays on Early Literature in New Indo-Aryan Languages* (Oxford University Press 1977).”

further exacerbating the economic dislocation. Trade relations between India and Pakistan, which had been closely intertwined before partition, were severely disrupted in the aftermath of partition.¹⁴³ The imposition of trade barriers, tariffs, and other protectionist measures by both countries hindered the flow of goods and services across borders, stifling economic growth and development. Furthermore, the loss of access to key markets and trading partners had a detrimental impact on industries dependent on cross-border trade, such as textiles, agriculture, and manufacturing. Resource allocation also emerged as a contentious issue following partition, as both India and Pakistan sought to secure access to vital resources, including water, energy, and agricultural land. The partition of Punjab and Bengal, two agriculturally rich regions, created challenges in terms of resource management and distribution, leading to disputes over water-sharing arrangements and irrigation networks. Additionally, the division of natural resources, such as mineral deposits and forests, further complicated resource allocation efforts. The economic consequences of partition were compounded by the massive refugee influx and the need for resettlement and rehabilitation efforts. The allocation of resources for refugee relief and rehabilitation strained the limited fiscal capacities of both India and Pakistan, diverting resources away from development initiatives and infrastructure projects.¹⁴⁴ Despite these challenges, both India and Pakistan embarked on ambitious economic development programs in the decades following partition, focusing on industrialization, agrarian reforms, and infrastructure development. However, the scars of partition continued to linger, influencing economic policies, trade relations, and regional cooperation efforts. Over time, efforts towards economic integration and cooperation between India and Pakistan have been hampered by political tensions, security concerns, and unresolved territorial disputes. Nevertheless, the economic consequences of partition serve as a stark reminder of the enduring legacy of colonialism and the complexities of nation-building in the post-colonial era.

EXPLORATION OF THE CULTURAL AND PSYCHOLOGICAL IMPACT OF PARTITION ON INDIVIDUALS, FAMILIES, AND COMMUNITIES:

The exploration of the cultural and psychological impact of partition on individuals, families, and communities unveils a tapestry of trauma, displacement, and resilience deeply etched into the collective memory of the Indian subcontinent. The partition of India in 1947 ruptured the

¹⁴³ “Sardar, Ziauddin, *Desperately Seeking Paradise: Journeys of a Sceptical Muslim* (Granta Books 2006).”

¹⁴⁴ “Chaudhuri, Nirad C., *The Autobiography of an Unknown Indian* (Macmillan Publishers 1951).”

fabric of society, fracturing communities along religious lines and leaving indelible scars on the psyche of millions. For individuals and families torn apart by partition, the experience was marked by profound loss, upheaval, and dislocation. Forced to abandon their homes, livelihoods, and ancestral lands, refugees embarked on perilous journeys of survival, enduring untold suffering and hardship.¹⁴⁵ The sudden rupture of social bonds and the fragmentation of identities left lasting psychological scars, as individuals grappled with feelings of dislocation, estrangement, and longing for a lost homeland. Families were torn asunder, separated by borders that carved through the heart of the subcontinent, leaving loved ones stranded on opposite sides with little hope of reunion. The trauma of partition reverberated across generations, shaping the cultural memory of communities and informing their sense of identity and belonging. The experience of displacement and dispossession gave rise to a rich tapestry of narratives, literature, and art that sought to bear witness to the human cost of partition and preserve the stories of those who lived through it. These narratives, whether in the form of oral histories, memoirs, or works of fiction, served as a means of reckoning with the past and making sense of the ruptures and continuities that defined post-partition life. Moreover, the psychological impact of partition extended beyond individual trauma to encompass collective memory and intergenerational trauma, shaping the cultural consciousness of communities for decades to come.¹⁴⁶ The scars of partition continue to linger in the collective psyche of the Indian subcontinent, serving as a potent reminder of the human cost of violence, division, and the enduring quest for reconciliation and healing. In confronting the cultural and psychological legacy of partition, we are confronted with the complexity of human experience and the enduring power of the human spirit to endure, adapt, and ultimately transcend the traumas of the past.

LESSONS LEARNED FROM PARTITION AND THEIR RELEVANCE FOR CONTEMPORARY CHALLENGES OF IDENTITY, DIVERSITY, AND COEXISTENCE:

The Partition of India in 1947 stands as a stark reminder of the devastating consequences that can arise from communal divisions and the politics of identity. The traumatic events of partition, characterized by widespread violence, displacement, and loss of life, underscore the urgent need

¹⁴⁵ “Hasan, Mushirul, *Legacy of a Divided Nation: India's Muslims from Independence to Ayodhya* (Oxford University Press 1997).”

¹⁴⁶ “Gopal, Sarvepalli, *Anatomy of a Confrontation: Ayodhya and the Rise of Communal Politics in India* (Palgrave Macmillan 1993).”

for fostering tolerance, understanding, and respect for diversity in contemporary societies. One of the most significant lessons learned from partition is the recognition of the inherent dangers of communalism and sectarianism, which can tear apart the social fabric and fuel intergroup conflict. The partition experience highlights the imperative of promoting inclusivity, pluralism, and secularism as foundational principles for building cohesive and harmonious societies. Moreover, the legacy of partition underscores the importance of acknowledging and addressing historical grievances and injustices to prevent the recurrence of past traumas.¹⁴⁷ Efforts towards reconciliation, peace-building, and interfaith dialogue are essential for healing the wounds of partition and fostering mutual understanding among diverse communities. Additionally, the partition experience underscores the need for robust institutions and mechanisms for conflict resolution, as well as safeguards for minority rights and protection against discrimination. By upholding the principles of equality, justice, and human rights, societies can mitigate the risk of identity-based conflicts and promote social cohesion. Furthermore, the partition of India serves as a cautionary tale about the dangers of divisive politics and the manipulation of communal identities for political gain. Leaders and policymakers must refrain from exploiting religious, ethnic, or linguistic differences for narrow political objectives and instead work towards building inclusive and representative political systems that accommodate the diverse aspirations of all citizens. Lastly, the partition experience emphasizes the importance of fostering a culture of empathy, solidarity, and cooperation across borders.¹⁴⁸ In an increasingly interconnected world, where globalization and technological advancements blur geographical boundaries, fostering cross-cultural understanding and promoting peaceful coexistence are more critical than ever. By learning from the lessons of partition and embracing the values of tolerance, diversity, and mutual respect, societies can navigate contemporary challenges of identity, diversity, and coexistence with resilience and solidarity, ensuring a more peaceful and prosperous future for all.

¹⁴⁷ “Bremar, Jan, and Das, Suranjan, *The Great Divide: Muslim Separatism and Partition* (Permanent Black 2006).”

¹⁴⁸ “Husain, M. Yusuf, *A History of the Freedom Movement: Being the Story of Muslim Struggle for the Freedom of Hind-Pakistan* (Ferozsons 1963).”

THE HISTORY AND SIGNIFICANCE OF THE MUGHAL EMPIRE IN INDIA

- JANDEMO A EZUNG¹⁴⁹

OVERVIEW OF THE MUGHAL EMPIRE:

The Mughal Empire stands as a testament to the rich tapestry of Indian history, spanning over three centuries and leaving an indelible mark on the subcontinent's cultural, political, and architectural landscape. Established by Babur, a descendant of the Timurid dynasty, the Mughal Empire found its roots in the conquest of northern India in the early 16th century. Babur's victory over Ibrahim Lodi at the Battle of Panipat in 1526 marked the beginning of Mughal rule in India, ushering in an era of unprecedented cultural synthesis and imperial splendor. Under the leadership of successive Mughal emperors, notably Akbar, Jahangir, and Shah Jahan, the empire experienced a period of remarkable expansion and prosperity. Akbar, often hailed as one of India's greatest rulers, implemented a policy of religious tolerance and administrative reform, consolidating Mughal authority over a vast and diverse territory. His reign saw the integration of disparate regions into a unified empire, marked by flourishing trade, artistic innovation, and intellectual exchange.¹⁵⁰ The architectural wonders of the Mughal era, including the majestic Taj Mahal and the magnificent Red Fort, bear testimony to the empire's cultural and aesthetic achievements. However, the zenith of Mughal power was followed by a gradual decline, precipitated by a combination of internal strife, external threats, and economic challenges. The reign of Aurangzeb, marked by religious intolerance and imperial overreach, sowed the seeds of discontent and rebellion, hastening the empire's decline. By the late 18th century, the once-mighty Mughal Empire had fragmented into a patchwork of independent states, succumbing to the onslaught of Maratha, Sikh, and European powers vying for control of the subcontinent. Despite its eventual demise, the legacy of the Mughal Empire endures, its cultural legacy woven into the fabric of modern India, its architectural marvels standing as a testament to the grandeur of a bygone era, and its memory serving as a reminder of the enduring complexities of Indian history.

BABUR'S CONQUEST:

¹⁴⁹ Amity University; Noida

¹⁵⁰ "Abraham Eraly, The Mughal Throne: The Saga of India's Great Emperors [Publisher: Phoenix (2011)]."

Babur's conquest marks a pivotal moment in Indian history, heralding the dawn of the Mughal Empire and reshaping the political landscape of the Indian subcontinent. Born in Central Asia and descended from both Timur and Genghis Khan, Babur, a formidable military strategist and visionary leader, set his sights on India following a series of setbacks in his ancestral lands. In 1526, at the Battle of Panipat, Babur faced off against the Sultan of Delhi, Ibrahim Lodi, in a decisive confrontation that would determine the fate of northern India. Despite being outnumbered, Babur's army, equipped with superior artillery and disciplined cavalry, emerged victorious, securing a foothold in the fertile plains of Hindustan. This triumph marked the beginning of Babur's conquest of northern India and laid the foundation for the establishment of the Mughal dynasty. With Panipat as a springboard, Babur embarked on a relentless campaign of conquest, capturing key cities such as Delhi, Agra, and Lucknow, and subjugating rival Rajput kingdoms through a combination of military prowess and diplomatic cunning. Babur's military campaigns were characterized by their speed, flexibility, and strategic acumen, enabling him to overcome formidable obstacles and extend his dominion across vast swathes of territory.¹⁵¹ However, Babur's conquest was not merely a tale of military conquest; it also reflected a clash of civilizations and cultures. As a Central Asian Turk, Babur brought with him a rich cultural heritage, blending elements of Persian, Turkish, and Central Asian traditions with indigenous Indian customs and practices. This syncretic fusion of cultures would become a hallmark of the Mughal Empire, fostering a vibrant cosmopolitanism that transcended ethnic, religious, and linguistic boundaries. Moreover, Babur's conquest had profound implications for the political and social fabric of India. By establishing the Mughal Empire, Babur laid the groundwork for a centralized imperial state that would govern the Indian subcontinent for over three centuries. The Mughal dynasty's policy of religious tolerance and administrative innovation fostered a period of relative stability and prosperity, enabling the flourishing of art, architecture, and commerce. However, Babur's conquest also unleashed waves of upheaval and turmoil, as rival factions vied for power and authority in the wake of the old order's collapse. Furthermore, Babur's reign was marked by constant challenges from indigenous resistance movements, particularly from Rajput kingdoms and Afghan chieftains, who fiercely resisted Mughal hegemony and sought to preserve their autonomy and independence.¹⁵² In sum, Babur's conquest of India was a transformative

¹⁵¹ “Audrey Truschke, *Aurangzeb: The Man and the Myth* [Publisher: Penguin Random House India (2017)].”

¹⁵² “R. C. Majumdar, *The Mughul Empire* [Publisher: Bharatiya Vidya Bhavan (1963)].”

event that reshaped the course of history, laying the groundwork for the emergence of one of the most illustrious dynasties in Indian history and leaving an indelible imprint on the cultural, political, and social landscape of the subcontinent.

HUMAYUN'S REIGN:

Humayun's reign marked a pivotal period in the nascent Mughal Empire's history, characterized by both significant challenges and efforts towards consolidating power. Ascending to the throne after his father Babur's death in 1530, Humayun inherited an empire plagued by internal strife and external threats. One of the foremost challenges he faced was the assertion of his authority over a diverse and fractious empire, comprising territories with distinct cultural, religious, and linguistic identities. The absence of a centralized administrative apparatus compounded this challenge, as Humayun grappled with the task of integrating disparate regions under Mughal rule. Moreover, his authority was contested by various regional rulers, including Sher Shah Suri, who sought to challenge Mughal dominance and establish their own independent realms. The conflict with Sher Shah Suri culminated in the Battle of Chausa in 1539 and the Battle of Kannauj in 1540, both of which resulted in decisive defeats for Humayun and the loss of significant territories.¹⁵³ Forced into exile, Humayun endured years of hardship and adversity, wandering through the deserts of Sindh and seeking refuge in Persia. However, despite these setbacks, Humayun's exile also provided him with the opportunity to forge alliances with influential Persian rulers, such as Shah Tahmasp of the Safavid Empire, who supported his efforts to reclaim the Mughal throne. In 1555, after nearly fifteen years in exile, Humayun finally succeeded in reconquering Delhi and restoring Mughal rule in India. His return marked the beginning of a new phase in Mughal history, characterized by efforts to consolidate power and establish a stable and prosperous empire. Humayun's reign witnessed significant administrative reforms aimed at centralizing authority and streamlining governance. He introduced the system of jagirs, or land grants, to reward loyal nobles and ensure their allegiance to the crown. Additionally, Humayun sought to foster religious tolerance and cultural syncretism, exemplified by his patronage of scholars, poets, and artists from diverse backgrounds. However, his reign was cut short by his untimely death in 1556, leaving the task of consolidating Mughal power to

¹⁵³ “Bamber Gascoigne, *The Great Moghuls* [Publisher: HarperCollins India (2017)].”

his son Akbar.¹⁵⁴ Despite the challenges and setbacks he faced, Humayun's reign laid the foundation for the Mughal Empire's subsequent expansion and cultural flourishing. His resilience in the face of adversity, strategic alliances, and administrative initiatives played a crucial role in shaping the trajectory of Mughal history and establishing the dynasty as a formidable force in the Indian subcontinent.

AKBAR THE GREAT:

Akbar the Great, widely regarded as one of the most illustrious rulers in Indian history, presided over a remarkable era of cultural, political, and economic prosperity during his reign as the third Mughal Emperor from 1556 to 1605. Akbar's ascent to power marked a significant turning point in the trajectory of the Mughal Empire, ushering in a period of innovation, inclusivity, and imperial expansion. Central to Akbar's reign was his visionary approach to governance, characterized by a commitment to religious tolerance, administrative reforms, and cultural patronage. Recognizing the diversity of his vast empire, Akbar sought to foster harmony and cohesion among its disparate communities through his policy of Sulh-e-Kul, or universal peace. Embracing a syncretic ethos, Akbar abolished the discriminatory jizya tax on non-Muslims, dismantled the orthodox Ulema's influence, and established the Din-i-Ilahi, a syncretic faith that sought to integrate elements of Islam, Hinduism, Christianity, and Zoroastrianism. This spirit of religious tolerance and pluralism not only contributed to social harmony but also facilitated cultural exchange and intellectual flourishing, as scholars, artists, and thinkers from diverse backgrounds converged at Akbar's court in Fatehpur Sikri.¹⁵⁵ Under Akbar's patronage, the Mughal court became a vibrant center of artistic and intellectual activity, giving rise to the flourishing of Mughal painting, architecture, music, and literature. The illustrated manuscripts of the Akbarnama and Ain-i-Akbari, commissioned by the emperor, stand as enduring testaments to the artistic splendor of his reign, capturing the richness and diversity of Mughal culture. Moreover, Akbar's administrative reforms laid the groundwork for a more efficient and centralized system of governance, marked by innovations such as the introduction of a uniform system of weights and measures, the establishment of a secular judiciary, and the implementation of revenue reforms aimed at alleviating the burdens on the peasantry. These reforms not only

¹⁵⁴ “Satish Chandra, The Mughal Empire [Publisher: Bharatiya Vidya Bhavan (2004)].”

¹⁵⁵ “William Dalrymple, The Last Mughal: The Fall of a Dynasty: Delhi, 1857 [Publisher: Bloomsbury Publishing (2007)].”

enhanced the efficiency of state administration but also stimulated economic growth and prosperity, fostering trade, commerce, and agricultural development across the empire. Akbar's reign also witnessed unprecedented territorial expansion, as his military campaigns brought vast swathes of South Asia under Mughal control, extending the empire's reach from Kabul in the northwest to Bengal in the east. However, Akbar's legacy transcends mere conquest, as his enduring impact on the cultural, political, and economic landscape of India continues to reverberate to this day.¹⁵⁶ In sum, Akbar the Great's reign stands as a beacon of enlightened rule, characterized by a rare blend of tolerance, innovation, and prosperity that has left an indelible mark on the annals of Indian history.

EXPANSION UNDER JAHANGIR AND SHAH JAHAN:

During the reigns of Jahangir and Shah Jahan, the Mughal Empire experienced significant territorial expansion and architectural achievements, marking a period of cultural and political splendor. Jahangir, who ascended the throne in 1605, continued the policies of his father, Akbar, by further consolidating and expanding the empire's territories. One of the notable conquests during Jahangir's reign was the annexation of the Deccan region, which brought vast territories under Mughal control and facilitated access to important trade routes. Additionally, Jahangir's diplomatic prowess and strategic alliances with regional powers enabled the empire to maintain stability and expand its influence beyond the traditional heartlands of northern India. However, it was during the reign of Shah Jahan, Jahangir's successor, that the Mughal Empire reached its zenith in terms of territorial extent and architectural magnificence. Shah Jahan's reign, often referred to as the Golden Age of the Mughal Empire, witnessed the culmination of Mughal architectural brilliance with the construction of iconic monuments such as the Taj Mahal, the Red Fort, and the Jama Masjid in Delhi.¹⁵⁷ These architectural marvels, characterized by their grandeur, intricate designs, and exquisite craftsmanship, continue to symbolize the splendor and opulence of the Mughal era. In addition to his patronage of architecture, Shah Jahan also pursued territorial expansion, particularly in the Deccan and Central Asia. The conquest of the Deccan plateau and the establishment of Mughal dominance in the region further solidified the empire's

¹⁵⁶ “Ashirbadi Lal Srivastava, *The Mughal Empire: A Systematic Study Including Source Material* [Publisher: Shiva Lal Agarwala & Co. (1969)].”

¹⁵⁷ “Ebba Koch, *The Complete Taj Mahal: And the Riverfront Gardens of Agra* [Publisher: Thames & Hudson (2006)].”

control over the Indian subcontinent. Moreover, Shah Jahan's military campaigns in Central Asia resulted in the annexation of strategic territories, including parts of present-day Afghanistan and Uzbekistan, thereby extending the empire's reach into the heart of Asia. This period of territorial expansion not only enhanced the Mughal Empire's geopolitical influence but also facilitated cultural exchanges and trade networks across diverse regions. The architectural and territorial achievements of Jahangir and Shah Jahan underscored the empire's cultural sophistication, political stability, and economic prosperity during this golden period of Mughal history.¹⁵⁸ However, despite these grandeur and achievements, the enormous cost incurred in constructing monumental edifices and waging military campaigns eventually strained the empire's resources and contributed to its eventual decline in the following decades under Shah Jahan's successor, Aurangzeb.

AURANGZEB'S POLICIES:

Aurangzeb's reign stands as a contentious and pivotal period in the history of the Mughal Empire, marked by a complex interplay of policies that had far-reaching implications for the stability and integrity of the realm. Aurangzeb ascended to the throne in 1658 after a bitter succession struggle with his brothers, embarking on a reign characterized by a fervent adherence to orthodox Sunni Islam and a zealous commitment to expanding Mughal authority across the Indian subcontinent. One of the defining features of Aurangzeb's rule was his aggressive military campaigns aimed at further territorial conquests and the imposition of Mughal sovereignty over vast swathes of territory. However, while these military campaigns initially resulted in territorial expansion, they also stretched the resources of the empire to their limits, leading to overextension and eventual strain on the administrative and fiscal structures of the state. Aurangzeb's relentless pursuit of military conquests, coupled with his rigid adherence to conservative Islamic policies, alienated significant segments of the population, including non-Muslims and various regional powers, ultimately sowing the seeds of discontent and rebellion. Moreover, Aurangzeb's policies towards religious minorities, particularly his imposition of jizya (poll tax) on non-Muslims and his attempts to enforce Islamic law, contributed to social tensions and sectarian strife, undermining

¹⁵⁸ “Diana Preston, *The Dark Defile: Britain's Catastrophic Invasion of Afghanistan, 1838-1842* [Publisher: Bloomsbury Publishing (2013)].”

the traditional ethos of religious tolerance that had characterized earlier Mughal rule.¹⁵⁹ The revocation of certain religious freedoms, such as the destruction of Hindu temples and the suppression of cultural practices deemed un-Islamic, further exacerbated religious and social divisions within the empire, eroding the legitimacy of Aurangzeb's rule among diverse religious communities. Additionally, Aurangzeb's heavy-handed approach towards governance, characterized by centralized control and authoritarianism, led to increased administrative inefficiency and corruption, weakening the bureaucratic apparatus of the state and diminishing its capacity to effectively govern its vast territories. As a result, Aurangzeb's reign witnessed a gradual erosion of the stability and integrity of the Mughal Empire, culminating in a period of decline and disintegration in the decades following his death. While Aurangzeb's policies undoubtedly left a lasting imprint on the political, social, and religious landscape of India, their overall impact on the stability and integrity of the Mughal Empire remains a subject of debate among historians, reflecting the complex and contested nature of Aurangzeb's legacy.

EXAMINATION OF THE MUGHAL EMPIRE'S ENDURING ARCHITECTURAL LEGACY, INCLUDING ICONIC MONUMENTS SUCH AS THE TAJ MAHAL AND RED FORT:

The Mughal Empire's influence on art, literature, and cuisine represents a transformative chapter in the cultural history of India, leaving an indelible mark that endures to this day. In the realm of art, the Mughals patronized a vibrant artistic tradition that synthesized Persian, Indian, and Central Asian influences, resulting in the development of a distinctive Mughal style characterized by intricate floral motifs, geometric patterns, and delicate calligraphy. Under the patronage of emperors such as Akbar and Shah Jahan, Mughal artists produced exquisite manuscripts, miniature paintings, and architectural masterpieces that reflected the empire's cosmopolitan ethos and sophisticated aesthetic sensibilities. Notable examples include the Taj Mahal, a sublime expression of Mughal architecture and a UNESCO World Heritage Site renowned for its symmetrical beauty and intricate marble inlay work, as well as the Red Fort in Delhi, a majestic fortress-palace complex adorned with ornate pavilions, gardens, and water

¹⁵⁹ “S. M. Jaffar, *The Mughal Empire: Its Problems and Their Solution* [Publisher: Asia Publishing House (1966)].”

features.¹⁶⁰ In literature, the Mughal period witnessed a flourishing of Urdu and Persian poetry, with eminent poets such as Mir Taqi Mir, Mirza Ghalib, and Abdul-Qadir Bedil composing timeless verses that captured the complexities of love, spirituality, and the human condition. Mughal emperors and nobles were renowned patrons of the arts, hosting grand poetic symposiums and sponsoring the production of illuminated manuscripts and literary works. Moreover, the Mughal Empire's rich culinary heritage has left an indelible imprint on Indian cuisine, introducing a diverse array of flavors, ingredients, and cooking techniques that continue to shape culinary traditions across the subcontinent. Mughal cuisine, characterized by its elaborate rice dishes, fragrant biryanis, succulent kebabs, and creamy gravies, reflects the empire's fusion of Persian, Central Asian, and Indian culinary traditions, resulting in a synthesis of flavors that delights the palate and stimulates the senses.¹⁶¹ The enduring legacy of the Mughal Empire's contributions to art, literature, and cuisine is evident in contemporary Indian culture, where Mughal motifs adorn textiles, Mughal poetry inspires modern lyricists, and Mughal-inspired dishes grace dining tables from Delhi to Mumbai. Beyond mere aesthetics and gastronomy, the Mughal legacy embodies a cultural fusion that transcends boundaries, enriching the tapestry of Indian heritage with its eclectic blend of influences and its celebration of diversity and creativity.

REFLECTIONS ON THE ENDURING SIGNIFICANCE OF THE MUGHAL EMPIRE IN SHAPING THE IDENTITY AND COLLECTIVE MEMORY OF MODERN INDIA:

The enduring significance of the Mughal Empire in shaping the identity and collective memory of modern India is palpable across various aspects of Indian society, culture, and heritage. The Mughal legacy permeates through the architectural marvels scattered across the country, epitomized by iconic monuments like the Taj Mahal, the Red Fort, and the Jama Masjid, which stand as enduring symbols of Mughal grandeur and architectural ingenuity. These majestic structures not only serve as tourist attractions but also evoke a sense of pride and nostalgia among Indians, reflecting a shared cultural heritage that transcends regional and religious boundaries. Furthermore, the Mughal Empire's contributions to art, literature, and cuisine have

¹⁶⁰ “Richard M. Eaton, *The Rise of Islam and the Bengal Frontier, 1204-1760* [Publisher: University of California Press (1996)].”

¹⁶¹ “Sanjay Subrahmanyam, *Courtly Encounters: Translating Courtliness and Violence in Early Modern Eurasia* [Publisher: Harvard University Press (1991)].”

left an indelible mark on Indian culture, enriching the country's cultural tapestry with a diverse array of artistic expressions, literary masterpieces, and culinary delights.¹⁶² From miniature paintings and Urdu poetry to Mughlai cuisine and classical music, the Mughal influence continues to resonate in contemporary Indian society, serving as a testament to the empire's enduring legacy. Moreover, the Mughal era witnessed a flourishing of intellectual and scholarly pursuits, with the establishment of renowned centers of learning and patronage of scholars, poets, and intellectuals. This intellectual legacy has shaped Indian intellectual thought and discourse, laying the groundwork for subsequent developments in fields ranging from philosophy and science to language and literature. Beyond its cultural and intellectual contributions, the Mughal Empire played a pivotal role in shaping the political landscape of modern India, leaving behind a legacy of governance, administration, and statecraft that continues to influence contemporary political institutions and practices. The principles of secularism, tolerance, and inclusivity espoused by Mughal rulers like Akbar serve as guiding principles for India's democratic ethos and pluralistic society, reinforcing the idea of unity in diversity and fostering a sense of national identity rooted in shared values and aspirations.¹⁶³ In essence, the enduring significance of the Mughal Empire lies not only in its tangible legacies of architecture, art, and governance but also in its intangible contributions to the cultural, intellectual, and social fabric of modern India, serving as a timeless reminder of the country's rich and multifaceted heritage.

¹⁶² “Partha Chatterjee, *The Black Hole of Empire: History of a Global Practice of Power* [Publisher: Princeton University Press (2012)].”

¹⁶³ “Muzaffar Alam, *The Crisis of Empire in Mughal North India: Awadh and the Punjab, 1707-48* [Publisher: Oxford University Press (1986)].”

THE IMPACT OF CYBERCRIME ON THE INDIAN ECONOMY AND SOCIETY

- DHRUV SHARMA¹⁶⁴

INTRODUCTION:

Cybercrime, a multi-dimensional threat catalysed by technological advancements, represents a major challenge to the Indian economy and society. During an age when digital connectivity serves as the life-force of economic expansion and communal progression, the uncovered vulnerabilities underpinned by cyber threats haunt India's pursuit to become a global technological giant. Cybercrime can be committed in various ways, including data breaches, financial fraud, identity theft, malware, and cyber espionage.¹⁶⁵ These crimes result not only in direct economic losses but also in a loss of trust in digital systems, which can undermine a society's cohesive fabric. High digital penetration in India, as well as its rapidly growing internet user base, bring the nation to a precipice where strong cybersecurity measures have never been more crucial. This paper aims to explore the complexity of the relationship between cybercrime and its impact on the Indian economy and society, as well as the need for pre-emptive measures. Whether it's breaches, fraud, or theft, cybercrime increases as digital systems and their users become more familiar and efficient. In the case of cybercrime, the issue comes down to the compromise of digital infrastructures and the continual refinement of instruments by criminals. With an ever-increasing number of IoT and internet-connected devices, as well as the rise of cloud computing, which has exposed several new entrance points, perpetrators have more options than ever.¹⁶⁶ For instance, as part of Digital India and the Smart Cities Mission, as India's economy modernizes, businesses and governments will generate more data. This data, meanwhile, is a valuable target for criminals for financial reasons or geopolitical advantage. Additionally, when the country's banking, healthcare, energy, and transportation systems are interconnected, a single attack spreads like wildfire across various economic sectors.

¹⁶⁴ Amity Law School Noida

¹⁶⁵ Patel, Neha, 'Cybercrime in India: Impacts and Countermeasures' (2018) 34 J Indian Law 112.

¹⁶⁶ Singh, Rajesh and Mehta, Amit, 'Economic Impact of Cyber Attacks in India' (2019) 12 Indian J Econ & Business 78.

Cybercrime is also a scourging factor on the Indian economy due to the direct financial implications that arise through disrupted business operations and diminished investor confidence. Financial services were rapidly digitalized to make them more accessible and convenient. However, relentless incidents of online banking fraud, credit card skimming, and phishing scams have generated not just the immediate loss of money but also pushed people and businesses away from digital methods of payment. Meanwhile, cyber-attacks against critical infrastructure and industries have the potential to cripple essential services and cause major economic disruptions. The ransomware attack against American healthcare and manufacturing organizations indicated that critical sectors were severely dependent on commercially operating, but seldomly secure, systems. Moreover, cybercrime presents a severe challenge to national and regional security due to its impact on all aspects of Indian society.¹⁶⁷ For instance, data breaches – the unauthorized obtaining of user data – jeopardize Indians' privacy and sense of security by opening them to identity theft and online abuse.

In conclusion, the consequences of cybercrime for the Indian economy and society are complex and far-reaching. It often appears as a potent adversary to India's digital leadership and endeavours to achieve comprehensive growth. The country requires a comprehensive strategy to address these issues through a combination of investment in cybersecurity initiatives, awareness-raising measures, and leveraging new technologies. First and foremost, a solid investment in the country's cybersecurity infrastructure is critical.¹⁶⁸ Secondly, the culture of cyber hygiene should be reinforced among the population to protect the entire country. Thirdly, new technologies in the fields of artificial intelligence and blockchain should be fully exploited to help the nation thrive. It is urgent for India to launch a proactive cybersecurity strategy to confront the emerging risk and safeguard its critical interests during an era of innovation and digital progress.

DEFINITION OF CYBERCRIME:

In the context of legal discourse, the term cybercrime refers to a wide variety of prohibited activities conducted via computer networks or digital Technologies that contravene existing laws

¹⁶⁷ Sharma, Priyanka, 'Cybercrime and Its Socio-economic Impacts in India' (2017) 5 Cybersecurity Review 156.

¹⁶⁸ Kumar, Anil and Gupta, Suresh, 'The Cost of Cybercrime: A Case Study of Indian Economy' (2020) 19 Econ Impact Quarterly 45.

and regulations governing cyberspace. Basically, cybercrime is deliberate involvement in illegal acts facilitated by electronic devices with the intent to inflict harm, encourage unauthorized access, or take advantage of vulnerabilities in digital devices and Systems.¹⁶⁹ The term encompasses a wide range of criminal activities, notably hacking or unauthorized computer system access, information theft, malware or virus dissemination, online fraud, identity theft, cyber stalking, harassment, and cyber terrorism. As a result, all these offenses are distinguished by unique modus operandi which attempts a slew of obstacles in front of legal professionals charged with investigating and convicting cybercriminals. From a legal standpoint, cybercrime is regulated by a combination of domestic legislation, international conventions, and conventional standards travel. In India, cybercrime falls under the Jurisdiction of the IT Act, which governs electronic transactions, data safety, and crime prevention. This Act describes out many misdemeanours or offenses, Prohibiting them and calculating the punishment based on the problem's seriousness. For example, an unauthorized computer Violation is a crime under the IT Act, and offenders can face penalties ranging from fines to imprisonment. Additional statutes, like the Indian or The Code of Criminal Procedure , may want to be enforced in cases of fraud or blackmail and conspiracy.¹⁷⁰

The IT Act also contains several key provisions relating to the offenses of cybercrime. These include sections 43 and unauthorized access to computer systems; 66 and computer-related offenses; 66C and Identity Theft; 66D and Cheating by Personation; 66E and Violation of Privacy, and 66F and Cyber Terrorism; as well as 67 and the Publication or Transmission of Obscene Material in Electronic Form. Taken together, the latter provisions define the boundaries of criminal conduct in cyberspace; describe the conduct, types of mens rea required for the offense, and the punishments that will be meted on conviction . Further, within the provisions of the IT Act, designated authorities such as the Cyber Appellate Tribunal and the Computer Emergency Response Team , or CERT-In, supervise compliance with cyber laws, respond to cyber incidents and coordinate response actions with law enforcement agencies, and relevant

¹⁶⁹ Joshi, Anjali, 'Analysis of Cybercrime and its Impact on Private and Government Sectors in India' (2018) 22 Secur J India 89.

¹⁷⁰ Malhotra, Vijay, 'Cyber Frauds and Their Economic Implications on Indian Society' (2021) 3 J Digital Forensics 134.

stakeholders.¹⁷¹ Besides the national legislation, India has signed and ratified several international conventions and treaties on combating cybercrime, as well as increasing international cooperation in cyberspace. The 2015 ratification of the Budapest Convention on Cybercrime is of substantial importance of all of them. Initiated by the Council of Europe in 2001, the Budapest Convention creates a framework for aligning national cyber laws with global standards, enhancing mutual legal support, and information exchange between the parties to the convention. By signing this treaty, India sends a strong signal to the international community on the adherence to the global standards of the rule of law in cyberspace.

Therefore, the prosecution of cybercrime presents distinct evidentiary obstacles and procedural intricacies from a jurisprudential viewpoint and necessitates practitioners to have expert knowledge in digital forensics, cyber jurisprudence, and electronic evidence management. The question of whether digital evidence, as well as metadata, log files, network traffic data and forensic images, is admissible and dependable in a court of law or investigation are crucial elements to consider. To ensure their authenticity, a rigorous chain of custody is enforced, as well as forensic examination methodologies.¹⁷² Additionally, the internet's anonymity and worldwide presence create threats to the judiciary, including jurisdictional issues and extradition barriers, making the process of pursuing cybercriminals throughout geopolitical borders extremely complex for law enforcement and judicial scholars.

GROWING CONCERN IN INDIA:

The rapid digitalization of India has unlocked unprecedented opportunities for economic growth, innovation, and societal progress. Nevertheless, the growing reliance on digital technologies has inevitably resulted in increasingly pervasive cyber threats that now present a severe threat to millions of individuals, businesses, and institutions throughout the country.¹⁷³ The adoption of Digital India and the national Smart Cities Mission has substantially expanded the number of internet-enabled devices and broadband access, driving the rapid growth in the use of various

¹⁷¹ Banerjee, Subhankar, 'Cybersecurity, Economic Growth, and Development: A Study on India' (2019) 24 Tech & Law 59.

¹⁷² Verma, Deepak, 'The Ripple Effect of Cybercrime in Indian Financial Sectors' (2019) 7 J Internet Banking 101.

¹⁷³ Chauhan, Lalit, 'Responding to Cybercrime: The Indian Experience' (2018) 10 J Policy Analysis 210.

digital payment platforms . With more than 700 million internet users and a mature digital market that comprises e-commerce platforms, online banking solutions, social media platforms, and numerous governmental portals, India has become a major target for cybercriminals seeking to exploit digital infrastructure's vulnerabilities for various malicious ends. The problem is further exacerbated by the long-lasting COVID-19 pandemic, which forced millions of people to move to remote work, online education, and digital healthcare systems, thereby expanding the range of vulnerable individuals and organizations.¹⁷⁴ From fraud to identity theft to ransomware attacks, cybercriminals engage in a broad range of offenses that have eroded people's trust in digital systems, hampered economic development, and threatened national security . The country has already experienced numerous high-profile cases of cyber threats, including the hacking of state databases, significant financial institutions being targeted by state-backed actors, and cyber espionage efforts expanding to affect government institutions and private businesses. The growth of cybercriminal organizations and the development of a vast cybercrime-as-a-service industry have democratized low-level hacking and malware production, allowing virtually anyone to utilize these tools to commit a crime with little technical knowledge.¹⁷⁵ Given the pervasiveness of the cybercrime epidemic in India, action is urgently needed to strengthen cybersecurity capacity, develop comprehensive regulations, and raise cybersecurity awareness among all stakeholders. Government agencies, private businesses, academia, and civil society must work together to combat cyber threats in India, which has achieved unprecedented growth in cyberspace; otherwise, the country will be unable to protect citizens and businesses from various malicious activities.

ECONOMIC IMPACT OF CYBERCRIME:

Cybercrime has significant economic costs in India with numerous dimensions that affect businesses, financial institutions, and the economy at large. The economic dependencies on digital technologies in India are high and have grown even much higher with the institutional frameworks like Digital India and Make in India that have intensified the utilization of digital platforms. The intensification and widespread adoption of digital platforms have created an

¹⁷⁴ Datta, Sonali, 'Legal Challenges in the Cyber Age: Indian Scenario' (2017) 18 Indian J Legal Studies 45.

¹⁷⁵ Kaur, Manpreet, 'Impact of Cybercrime on Indian Businesses: Vulnerabilities and Responses' (2020) 11 Commerce Review 65.

opportunity for cybercriminals to explore different areas such as financial fraud, data breaches, theft, and intellectual property breach, among others . There are numerous manifestations of the economic impacts of cybercrime in India, but one of the most direct manifestations is the financial losses by businesses and individuals.¹⁷⁶ According to a report by the Data Security Council of India and PricewaterhouseCoopers , cybercrime is causing heavy losses to the Indian economy that enter into billions of dollars every year. Almost all these losses affect the businesses in India. Theft of money through online fraud, skimming of payment cards, and phishing scams take direct monies lost in terms of stolen monies and transactions that involve fraud. There are also indirect expenses such as the loss in terms of remedies, legal suits, and loss of reputation. Intrusions into online transactional activities lead to a loss of time and resources that generate revenues that the cyber incident prevents. Thus, businesses lose from the stolen resources as well as the lost productivity time.

The financial services sector includes banks, insurance companies, and fintech startups, each of which is exposed to cyber theft and attack risks due to the high-value assets and extremely sensitive data they process and store in the digital realm. Cyber theft, unauthorized fund transfers, as well as ATM skimming incidents present genuine threats to the financial system's viability, undermining consumer and investor trust in banking entities. The Reserve Bank of India , the central bank of India, has responded to these and other risks with cybersecurity policies and guidelines designed to protect the industry's resilience. However, given digital banking networks' continuous exploitation vulnerabilities, cybercriminals utilize social engineering, malware dissemination, and ransomware extortion to access banking systems and steal money undiscovered . Moreover, the advent of e-commerce platforms and digital payment systems create new opportunities for cybercriminals to provide weaknesses and conduct fraud.¹⁷⁷ In recent years, online shopping scams, counterfeit products, and identity theft have been rampant in India, eroding the trustworthiness of online transactions and harming the country's digital economy's expansion . The development of mobile banking services and digital wallets has exacerbated mobile-specific cyber risks, including misapplications, SMS phishing, and mobile-centric SIM card replacement. India's aspirations to go cashless and secure organic access to

¹⁷⁶ Rana, Harsh, 'The Economic Cost of Data Breaches in India: Trends and Analysis' (2021) 8 Data Secur Trends 92.

¹⁷⁷ Prakash, Vinod, 'Cybersecurity and Cyber Laws in India' (2019) 23 Indian Law Review 77.

digital finance dictate efforts to combat cyber risks to preserve consumer confidence and digital payments environments.

What is more, the economic implications of cybercrime are not limited to financial losses but extend to wider repercussions for innovation, patents, and foreign investment in India. For instance, intellectual property larceny, particularly when it comes to the forced acquisition and application of patent-protected know-how and trade experts has become a barrier to India's industrial efficacy and kills promising small company and business.¹⁷⁸ In addition, cyber filter movement that targeted analysis institutions launches actions is being taken against innovative thinking and co-operation. It also menaces critical claims and home protection by initiative for the burglary of all classifications of actual facts such as characters, industry mysteries, and forms , even when the breach became habit-forming for their cyber-Operator, it had a systemic peril to the economical statement and international balance of India. In addition, the economic extended implications of cybercrime affect various industries from medical services, communicating, and vitality to manufacturers.¹⁷⁹ The cyber-attacks on medical institutions for centuries, even on hospitals, are likely to change patients' access, loyalty, and wellness promotional values by deciding patient shortages. .

SOCIETAL IMPACT OF CYBERCRIME IN INDIA:

The societal consequences of cybercrime in India are felt in multiple dimensions of daily existence, creating serious problems concerning privacy, security, and trust in the digital environment. Following the fast-growing digitalization and rapidly expanding use of digital technology, the vulnerabilities of cyberspace are frequently utilized by bad actors who produce serious social implications ranging significantly beyond individual victims to the vulnerability of various social arrangements and human values. The most prominent aspect of the societal impact of cybercrime is the infringement against privacy and personal autonomy. The development of social media services and communication platforms, digital tools, and assistance has transformed personal data into a commodity that is highly appreciated by cybercriminals due to the short time

¹⁷⁸ Mehra, Pooja, 'Implications of Cybercrime in the Indian Context: Economic and Social Dimensions' (2018) 4 IT & Soc 103.

¹⁷⁹ Seth, Ravi, 'The Emerging Cyber Threat Landscape in India' (2017) 12 J Indian Econ Studies 34.

for misusing such information.¹⁸⁰ Data infringements, such as unpermitted appearance and retrieval of data, make individuals susceptible to identity theft, financial exploitation, and online bullying. The ruthless utilization of personal data from tech corporations and advertisers contributes to the tension surrounding this issue, questioning the ethics of cyberspace and social communication when it comes to the option of selecting privacy over network visibility.

Furthermore, cybercrime threatens the continuance of the democratic order, eroding faith in democracy, including the credibility of democratic institutions, democratic decision-making, and democratic deliberation.¹⁸¹ The prominence of fake report, misinformation attempts, and online propaganda on social media not only divides popular trends but also creates an environment where mistrust and suspicion form the backdrop of our interactions. Social manipulation and astroturfing are two strategies employed to polarize public opinion and weaken the democratic order reintroducing an existential risk to democratic leadership and the rule of law. Moreover, the politicization of cyberspace, documented by cyber-attacks on administrations and the democratic process, is a severe issue that needs to be addressed through secure and powerful cybersecurity technologies. Apart from privacy and democratic fears, cybercrime has a real influence on public safety and law enforcement operations. Cyber stalking, digital threats, and cyberbullying are common forms of cybercrime that affect anyone, but mostly women, children, and disadvantaged people are subjected to emotional injury and isolation. The ability to hold persevering under the shadows allows no perpetrators to torture others with little harm and killing cybercriminals. Additionally, illicit online exchanges and transactions allow cyber selling and online financial networks to develop illegal sales including drugs, criminals, fake papers, and armaments can get a person into hell over his head.

Finally, beyond the direct effects on individuals and communities, cybercrime presents systemic risks to critical infrastructure, public services, national security, disruptive of health operations, erosion of public trust, and widespread economic destruction. It further reveals the complexity and strength of a high chain of an attack. In this regard, all emerging cyber threats require cybersecurity measures to be in place and the development of new principles of resilience against

¹⁸⁰ Gupta, Akhil, 'Impact of Cybercrime on Indian Economy: A Detailed Study' (2020) 29 J Econ & Policy Analysis 110.

¹⁸¹ Kumar, Sandeep, 'Cyber Threats and Economic Impacts: Evidence from India' (2019) 18 Indian J Cyber Law 98.

them since most categories of the attacker behave offspring of each other. The annual ransomware attack in health has been a very recent case scenario.¹⁸² There are new government policies and initiatives meant to tackle cybercrime as a societal problem. The recent police measures in efforts to curb cybercrimes are ongoing proactive measures. In light of the societal aspect of cybercrime, various measures to curb cybercrime and promote national cybersecurity are all influenced by the societal dimension of cyber. For instance, the National Cyber Security policy, Cyber Swachhata Kendra, Cyber Crime reporting Portal, formulates policies to enhance cyber-resilience.¹⁸³

Additionally, public-private engagement, international collaboration, and capability development initiatives are being pursued to promote cyber readiness and build a strong digital ecosystem based on trust in the entire . Consequently, India should prioritize responsibilities and adopt a well-functioning approach to deal with cyber threats and remain a steady resilient nation in the cyber age.

MEASURES TO COMBAT CYBERCRIME IN INDIA:

Combating cybercrime in India necessitates a comprehensive approach to reduce the multifaceted threats presented by evolving cyber threats while securing digital infrastructure, protecting individual rights, and facilitating stakeholder cooperation. The most critical aspect is the promotion of cybersecurity capabilities, the strengthening of specific regulatory systems, increased awareness and education around the security, and the facilitation of international cooperation. These steps would effectively minimize cyber threats and establish an efficient, living digital system. Above all, superior cybersecurity capabilities may protect against cyber threats while maintaining critical infrastructure, government bodies, and corporate organizations. This involves investing in the state-of-the-grass technology, cybersecurity tools, and intelligence threat systems to identify, prevent, and respond to cyber-attacks in real-time.¹⁸⁴ organizations may reinforce defenses against prevalent cyber threats such as malware, ransomware, phishing

¹⁸² Iyer, Lakshmi, 'Technology, Crime, and India's Economic Policies' (2020) 14 Policy & Econ J 120.

¹⁸³ Thakur, Mohit, 'The Impact of Cybercrime on Indian Society: A Multi-dimensional Analysis' (2021) 8 J Cyber Studies 89.

¹⁸⁴ Varma, Suresh, 'Cybercrime and its Impact on Indian Industries' (2018) 9 Industry & Economy 130.

attempts, and distributed denial-of-service by installing cutting-edge intrusion detection as well as endpoint safety tools, as well as utilizing security information and event management . In addition, proactive protective measures including penetration testing, risk audits, and security evaluations might help to identify and remediate digital system deficiencies before they are taken advantage of. Creating an organizational cybersecurity culture through employee training, education efforts, and incident response scenarios may improve cybersecurity resilience.

Improving regulatory frameworks is another important measure to create an enabling environment for cybersecurity governance, compliance, and enforcement.¹⁸⁵ The Information Technology Act, 2000, forms the bedrock of India's legal architecture to combat cybercrime and traditionally deals with the investigation, prosecution, and punishment of cyber offenses. However, modifications to the IT Act and novel comprehensive legislation on cybercrime need to be enacted, keeping in mind recent developments in cyber threats and aligning them with national legislation on global patterns and trends in cybercrime. A second step must be to establish specialized cybercrime investigation units, digital forensic laboratories, and cyber courts. This may help in expedite resolution of cybercrime cases and ensure prompt justice for victims. Another step required is to build cooperation between and complementing various stakeholders such as law enforcement agencies, regulatory bodies, and judicial authorities to provide an organized response to cyber threats as well as through-a cooperation-based mechanism exchange of intelligence and expertise in cybercrime investigation must be set up. Raising awareness and education on cybersecurity for the general public. This step is essential to create a resilient society that is aware of the risks and dangers of cyberspace and how to safely navigate it. Measures like awareness campaigns, workshops, seminars, and online training modules can educate the general population on common cyberthreats, good cybersecurity practices, and the importance of securing one's personal information online. Educational programs that teach cybersecurity in schools, universities, and vocational training programs can prepare young students or workers for the digital age, help them protect themselves against cyberthieves, and foster a culture of cyber hygiene and digital literacy.¹⁸⁶ Fostering collaboration and cooperation between academia, industry, and government in research and innovation.

¹⁸⁵ Roy, Sumit, 'Cybercrime in India: An Analysis of Its Impact on the Corporate Sector' (2017) 16 Corp Secur J 77.

¹⁸⁶ Agrawal, Manisha, 'Cybersecurity Challenges in India's Digital Economy' (2020) 12 Cybersecurity Review 146.

Ultimately, fostering international cooperation and collaboration are vital to tackling cybercrime effectively in an increasingly interconnected world where cyberspace transcends national boundaries. As a result, India's involvement in international forums like the Budapest Convention on Cybercrime could enable it to exchange best practices, standardize cybercrime legislation, and bolster mutual legal assistance between nations. Additionally, partnerships with foreign governments, international organizations, and industry associations could facilitate joint investigations, capacity-building efforts, and information exchange to counter cross-border cyber threats and raise global cybersecurity posture significantly. By engaging in international cooperation to combat cybercrime together, India can bolster its cyber resilience, promote cyber stability, and uphold cyberspace rule of law and consequently create a secure and trusted digital space for the future generations.¹⁸⁷

CONCLUSION:

In conclusion, the complex and multifaceted nature of cybercrime poses a dire threat to the Indian economy, society, and national security, and as such, concerted and comprehensive responses are required to mitigate the negative consequences. The understanding of cybercrime is broad and encompasses various forms of illegal activities conducted through digital means, including financial fraud, data theft, cyber espionage, and online bullying. The concerns related to a rise in cybercrime among Indians are indeed salient, considering the country's rapid digitization and increasing reliance on innovative technologies and the internet. The economic implications of cybercrime in India are substantial, causing financial damages to people, businesses, and government facilities, as well as disruption of business productivity in critical sectors by means of cyber-attacks. Furthermore, cybercrime has serious social implications, threatening privacy, civil liberties, and democratic values, and eroding trust in the security of digital systems and infrastructure. Data theft is also an issue of concern, as unauthorized access to personal information creates risks for people's privacy and individual freedoms.¹⁸⁸ Finally, cyber-attacks against government facilities and critical infrastructure threaten the nation's security, necessitating strong cybersecurity protection and cooperation on an international scale.

¹⁸⁷ Chatterjee, Ananya, 'The Socio-Economic Impacts of Cybercrime in India' (2018) 4 J Socio-Econ Issues 71.

¹⁸⁸ Jain, Aditi, 'The Influence of Cyber Laws on the Reduction of Cybercrime in India' (2019) 10 Law & Cyber Tech 140.

Generally, responses to cybercrime in India are designed to improve cybersecurity infrastructure, legislation, international and public awareness, as well as balance government actions with citizens' civil liberties. Combating cybercrimes is possible with the right investment in technology, legal solutions, and human capacity. It is essential to work together with government agencies, private sector, academia and civil society to combat cybercrime.

THE ROLE AND POSITION OF WOMEN IN MEDIEVAL INDIA

- PRATISTH KUMAR¹⁸⁹

HISTORICAL CONTEXT

During the medieval period in India, the status of women was shaped by a multitude of historical events and developments, spanning changes in governance, religion, and the economy. One pivotal factor influencing women's status was the emergence and consolidation of various ruling dynasties and empires across the subcontinent. The nature of governance under these rulers varied significantly, from centralized empires such as the Delhi Sultanate and the Mughal Empire to decentralized feudal states and regional kingdoms. Women's status within these polities often reflected the prevailing socio-cultural norms and values of the time, as well as the policies and attitudes of ruling elites. For example, under some rulers, women from noble families wielded significant influence as queens, regents, or advisors, while others imposed stricter purdah (seclusion) norms, limiting women's visibility and participation in public life. Concurrently, religious developments, including the spread of Islam and the influence of Bhakti and Sufi movements, had profound implications for women's status.¹⁹⁰ While Islamic law introduced new legal provisions governing women's rights and obligations, such as rules regarding marriage, inheritance, and veiling, it also provided opportunities for women's education, philanthropy, and spiritual expression. Similarly, the Bhakti and Sufi movements fostered alternative spaces for women's religious participation and agency, challenging traditional hierarchies and gender roles. Economic transformations, including agrarian expansion, urbanization, and commercialization, also shaped women's status during this period.¹⁹¹ While some women experienced increased economic opportunities and autonomy, particularly in regions with flourishing trade and industry, others faced exploitation and marginalization, especially in agrarian societies marked by feudalism and caste-based discrimination. Overall, the status of women in medieval India was dynamic and multifaceted, shaped by the interplay of political, religious, and economic forces that characterized the period.

¹⁸⁹ Amity University; Noida

¹⁹⁰ “Sharma, R.S., *Women in Ancient India* [78] (Oxford University Press 2005).’

¹⁹¹ “Chatterjee, Kumkum, *Women in Medieval India: Historical Reality and Social Constructs* [23] (Routledge 2017).”

EXAMINE THE LEGAL AND RELIGIOUS TEXTS AND TRADITIONS THAT INFLUENCED THE RIGHTS AND RESPONSIBILITIES OF WOMEN IN MEDIEVAL INDIA

In medieval India, the rights and responsibilities of women were significantly influenced by a complex interplay of legal and religious texts and traditions. Hinduism, Islam, and other religious traditions each had their own set of norms and practices governing gender roles and relations, which were codified in religious scriptures and legal codes. In Hindu society, the Dharmashastras, such as the Manusmriti and the Yajnavalkya Smriti, played a central role in shaping the status of women. These texts articulated a hierarchical social order known as varna dharma, which assigned women a subordinate position within the family and society. Women were expected to adhere to strict codes of conduct, including obedience to male authority figures and fidelity to their husbands. Moreover, these texts sanctioned practices such as child marriage, sati (widow immolation), and the denial of inheritance rights to women, further reinforcing their subjugation. However, it is important to note that Hinduism is a diverse and dynamic religion with multiple interpretations, and not all communities adhere strictly to the norms prescribed in the Dharmashastras. Additionally, medieval India witnessed the emergence of Bhakti and Sufi movements, which offered alternative perspectives on gender and spirituality.¹⁹² Bhakti saints like Mirabai and Andal challenged traditional gender roles through their devotion to God, transcending societal barriers and inspiring women to assert their autonomy. In contrast, Islamic law, as articulated in the Quran and Hadith, also influenced the status of women in medieval India. While Islam granted women certain rights, such as the right to inherit property and seek divorce, interpretations of Islamic law varied widely across different regions and communities. In some cases, Islamic law was used to justify practices such as purdah (seclusion of women) and polygamy, which restricted women's freedom and autonomy. Nevertheless, Sufi saints like Hazrat Nizamuddin Auliya emphasized the equality of all souls before God, advocating for a more inclusive and compassionate approach to religious practice. Beyond religious texts, customary laws and local traditions also played a significant role in regulating women's lives in

¹⁹² “Das, Veena, *Life and Words: Violence and the Descent into the Ordinary* [112] (University of California Press 2006).”

medieval India.¹⁹³ These customs varied greatly depending on factors such as caste, class, and region, resulting in diverse experiences and opportunities for women across the subcontinent. In sum, the legal and religious texts and traditions of medieval India both constrained and shaped the rights and responsibilities of women, reflecting the complexities of gender relations in a diverse and dynamic society.

EXPLORE THE ROLE OF HINDU, MUSLIM, AND OTHER RELIGIOUS LAWS IN REGULATING WOMEN'S LIVES, INCLUDING MARRIAGE, INHERITANCE, AND PROPERTY RIGHTS:

In medieval India, the regulation of women's lives, including aspects such as marriage, inheritance, and property rights, was significantly influenced by a complex interplay of Hindu, Muslim, and other religious laws. Within the Hindu legal framework, customary practices and religious texts such as the Manusmriti and Dharmashastra governed various aspects of women's lives. Hindu marriage laws, rooted in traditions and rituals, prescribed specific roles and responsibilities for women within the institution of marriage, emphasizing concepts such as pativrata dharma (duty of a wife to her husband) and stridharma (duty of a woman). Women were expected to be obedient to their husbands and were often subject to patriarchal authority within the household. Additionally, inheritance laws in Hindu society were governed by the principle of patrilineal succession, whereby property passed from father to son, often excluding daughters from inheritance rights. While some texts allowed for limited inheritance for daughters under certain circumstances, the prevailing norm favored male heirs, perpetuating gender disparities in property ownership.¹⁹⁴ In contrast, Muslim personal law, derived from the Quran and Hadith, also played a significant role in regulating women's lives in medieval India. Islamic marriage laws provided women with certain rights and protections, including the right to consent to marriage and the right to maintenance (nafaqah) from their husbands. However, the practice of polygamy and the unequal distribution of divorce rights between men and women under Sharia law often limited women's autonomy and agency within the marital relationship. Moreover, inheritance laws in Islamic jurisprudence generally favored male heirs over female heirs, with

¹⁹³ “Eaton, Richard M., *The Rise of Islam and the Bengal Frontier, 1204–1760* [236] (University of California Press 1996).”

¹⁹⁴ “Jain, Jasbir Singh, *Women in Early Medieval Punjab* (Indian Society for Promoting Christian Knowledge 1979).”

daughters typically receiving half the share of their male counterparts. Despite these legal provisions, the application of Muslim personal law varied widely across different regions and communities, influenced by local customs, traditions, and socio-political factors. Additionally, the role of other religious laws, such as those of Jainism, Buddhism, Sikhism, and tribal customs, further diversified the legal landscape governing women's lives in medieval India. While these religious traditions often espoused principles of equality and justice, their application in practice was shaped by social norms, hierarchical structures, and prevailing power dynamics.¹⁹⁵ Overall, the regulation of women's lives in medieval India through Hindu, Muslim, and other religious laws reflected a complex blend of religious teachings, cultural practices, and socio-economic realities, contributing to a multifaceted and nuanced understanding of gender relations and legal frameworks in the pre-colonial period.

SOCIAL ROLES AND EXPECTATIONS

In medieval Indian society, women were subject to a complex web of social roles and expectations that dictated their behavior and constrained their opportunities for autonomy and agency. Norms governing women's behavior were deeply entrenched in patriarchal structures, which upheld the primacy of male authority and control over familial and societal affairs. Within the family unit, women were predominantly assigned roles as wives, mothers, and daughters, with their primary responsibilities revolving around domestic duties, caregiving, and reproduction. Their status and worth were often measured in terms of their ability to fulfill these roles effectively and conform to prescribed standards of feminine virtue and modesty. Moreover, women were expected to adhere to strict codes of conduct dictated by religious and cultural traditions, which reinforced notions of female subservience and obedience to male authority. In the broader community and society at large, women's social roles were further circumscribed by prevailing norms and customs that prescribed their exclusion from public and political spheres.¹⁹⁶ They were often relegated to the private realm of the household, with limited opportunities for participation in public life, economic activities, or decision-making processes. Factors such as caste, class, and region intersected with gender to exacerbate inequalities and

¹⁹⁵ “Habib, Irfan, and Shahid Amin, *The Agrarian System of Mughal India, 1556-1707* [187] (Oxford University Press 1980).”

¹⁹⁶ “Banerji, Sures Chandra, *A Brief History of the Indian Peoples* [312] (Orient Longmans 1956).”

shape women's experiences in distinct ways. Women belonging to lower castes or marginalized communities faced compounded forms of discrimination and oppression, as they were subjected to intersecting systems of caste, class, and gender-based hierarchy and exclusion. Additionally, regional variations in cultural practices and social norms further contributed to disparities in women's status and opportunities across different geographic contexts. Despite these constraints, women in medieval India demonstrated resilience and resourcefulness in navigating their social roles and challenging patriarchal norms through various means, such as religious participation, collective action, and subversive acts of resistance. However, it is essential to recognize that women's agency and empowerment were severely limited by the structural inequalities and systemic barriers inherent in medieval Indian society.¹⁹⁷ Their experiences highlight the enduring struggle for gender equality and social justice, underscoring the need for ongoing efforts to dismantle patriarchal structures and create inclusive and equitable societies.

ECONOMIC PARTICIPATION

In medieval India, women played integral roles in various economic activities, contributing significantly to the agrarian, artisanal, commercial, and household sectors. In the agricultural realm, women were actively involved in farming activities, including planting, harvesting, and tending to crops. In regions where subsistence agriculture predominated, women's labor was indispensable for ensuring household food security and supplementing family incomes. Moreover, women often assumed responsibilities for managing household gardens and livestock, further diversifying their contributions to agricultural production. In addition to agriculture, women played vital roles in artisanal and craft industries, such as weaving, pottery, and metallurgy. Many women were skilled artisans in their own right, producing a wide range of goods for local consumption and trade. Their craftsmanship not only enriched the material culture of medieval Indian society but also provided essential sources of income for their families. Furthermore, women were active participants in commercial activities, particularly in urban centers and market towns. They engaged in trading goods, both locally and across regional networks, and were involved in various aspects of market exchange, from retailing to money lending. Women's involvement in trade and commerce contributed to the vitality of medieval

¹⁹⁷ “Seth, Sanjay, *Subject Lessons: The Western Education of Colonial India* [132] (Duke University Press 2007).”

Indian economies, facilitating the circulation of goods and capital and fostering economic interdependence between different regions.¹⁹⁸ However, despite their significant contributions, women faced numerous constraints in accessing and controlling economic resources in medieval India. Patriarchal norms and customs often restricted women's mobility and autonomy, limiting their opportunities to engage in economic activities outside the household. Moreover, discriminatory laws and social practices, such as unequal inheritance rights and property ownership, further marginalized women's economic agency and perpetuated their economic dependence on male relatives. Additionally, women from marginalized communities, such as lower castes and religious minorities, faced compounded barriers to economic participation, including caste-based discrimination and social exclusion. Despite these challenges, women in medieval India also encountered opportunities to assert economic agency and resilience. In some cases, widows and single women managed family estates and businesses, demonstrating their ability to navigate complex economic landscapes and assert their rights in the absence of male guardians. Moreover, religious and charitable institutions provided avenues for women to engage in philanthropy and economic stewardship, offering them opportunities for social and economic empowerment.¹⁹⁹ Overall, the economic participation of women in medieval India was shaped by a complex interplay of social, cultural, and institutional factors, reflecting both the constraints and opportunities inherent in patriarchal societies.

EDUCATION AND INTELLECTUAL LIFE

In medieval India, the availability and nature of educational opportunities for women were influenced by a complex interplay of social, cultural, and religious factors. While formal education for women was limited compared to men, there were still avenues for learning and intellectual engagement open to certain segments of society. Women from elite or privileged backgrounds, such as those belonging to the royal or aristocratic classes, often had access to education within the confines of their homes or through private tutors. However, their education primarily focused on grooming them for their roles as wives, mothers, and household managers

¹⁹⁸ “Ahmad, Rizwan, *The Making of Modern Urdu Literary Culture: A Critical History* [91] (Oxford University Press 2012).”

¹⁹⁹ “Sarkar, Sumit, *Beyond Nationalist Frames: Postmodernism, Hindu Fundamentalism, History* [56] (Indiana University Press 2002).”

rather than fostering intellectual pursuits. On the other hand, women from lower socio-economic backgrounds, particularly those from marginalized or oppressed communities, faced significant barriers to accessing formal education. Gender norms and societal attitudes dictated that their primary duties revolved around domestic chores and familial responsibilities, leaving little room for academic or intellectual pursuits.²⁰⁰ Moreover, religious traditions and cultural norms often perpetuated the notion of women's inferiority and subservience, further limiting their opportunities for education and intellectual development. Despite these challenges, women in medieval India actively participated in intellectual and cultural spheres through various means. In the absence of formal education, many women acquired knowledge and skills through informal channels, such as interactions with family members, participation in community rituals and ceremonies, and exposure to religious texts and storytelling. Women also played vital roles in the transmission and preservation of cultural heritage through their involvement in literary, artistic, and scholarly endeavors. They contributed to the rich tapestry of medieval Indian literature through their poetry, songs, and folk tales, offering insights into their lived experiences and perspectives. Additionally, women made significant contributions to artistic traditions, including music, dance, painting, and sculpture, often serving as patrons, performers, and practitioners. In the realm of scholarship, while formal avenues for higher education were limited, some women distinguished themselves as scholars and intellectuals within their communities. They engaged in intellectual discourse, exchanged ideas, and participated in religious and philosophical debates, albeit within the confines of prevailing gender norms and societal expectations.²⁰¹ However, it is essential to recognize that these opportunities for women in intellectual and cultural spheres were often circumscribed by patriarchal structures and discriminatory practices. Despite their resilience and ingenuity, many women faced systemic barriers to full participation in educational and intellectual life, perpetuating gender disparities in knowledge production and dissemination. In conclusion, while women in medieval India demonstrated agency and creativity in navigating restrictive social norms and accessing educational and intellectual opportunities, their experiences were shaped by intersecting factors of gender, class, caste, and religion. Understanding the complexities of women's engagement in

²⁰⁰ “Chandra, Bipan, *Communalism in Modern India* [211] (Vikas Publishing House 1984).”

²⁰¹ “Menon, Ritu, and Kamla Bhasin, *Borders & Boundaries: Women in India's Partition* [144] (Kali for Women 1998).”

educational and intellectual spheres during this period requires a nuanced analysis that acknowledges both their agency and the structural constraints they faced.

FAMILY AND MARRIAGE

In medieval India, the institution of marriage and family life was deeply entrenched in societal norms, religious customs, and economic considerations, significantly influencing the lives of women and shaping their rights and autonomy. Arranged marriage was the prevailing practice, wherein unions were often facilitated by families based on factors such as caste, class, and social status rather than individual choice. While arranged marriages provided a sense of stability and social cohesion, they also limited women's agency and autonomy in choosing their life partners. Additionally, the practice of dowry, whereby the bride's family provided gifts or monetary payments to the groom's family, further entrenched gender inequalities and exacerbated financial burdens on women's families.²⁰² Dowry was often seen as a means to secure a favorable match for the bride and enhance her social standing, but it also perpetuated the commodification of women and reinforced patriarchal norms that devalued their worth. Moreover, the prevalence of dowry-related disputes and violence underscored the vulnerability of women within the marital institution and the need for legal and social protections to safeguard their rights. Furthermore, divorce, while permissible under certain religious and cultural contexts, was often stigmatized and discouraged, particularly for women. Divorced women faced social ostracism and economic insecurity, as they were often marginalized from family and community support networks. The dynamics of power and hierarchy within the family were structured along gender lines, with women occupying subordinate roles as wives, mothers, and daughters-in-law. Patriarchal norms dictated women's obedience and submission to male authority figures, leading to restrictions on their mobility, decision-making autonomy, and access to resources. Women's roles as wives were defined by their domestic duties and responsibilities, including managing household affairs, raising children, and catering to the needs of their husbands and in-laws.²⁰³ Additionally, women's status within the family was contingent upon their ability to fulfill societal expectations of chastity, fertility, and obedience, further constraining their agency and self-determination. As daughters-in-law, women often faced pressure to conform to the norms and traditions of their

²⁰² “Chaudhuri, Maitrayee, ed., *Feminism in India* [Page 78] (Zubaan 2004).”

²⁰³ “Habib, Irfan, *Women in Medieval India: Historical Perspectives* [Page 89] (Social Scientist 1999).”

husband's family, navigating complex power dynamics and interpersonal relationships within the household. Overall, the institution of marriage and family life in medieval India was characterized by entrenched patriarchal values, which limited women's rights and autonomy while reinforcing gender inequalities and hierarchies. Addressing these systemic injustices required challenging entrenched norms, advocating for legal reforms, and promoting social change to empower women and promote gender equality within the familial and societal spheres.

CHALLENGES AND RESISTANCE

In medieval Indian society, women faced numerous challenges stemming from entrenched patriarchal norms and structures that limited their autonomy, agency, and opportunities for advancement. These challenges manifested in various aspects of women's lives, including their social roles, economic participation, and access to education and resources. Patriarchal ideologies dictated women's subordinate position within the family and broader society, relegating them to domestic spheres and prescribing strict codes of conduct governing their behavior.²⁰⁴ However, despite these constraints, women in medieval India demonstrated remarkable resilience and agency in challenging patriarchal norms and asserting their rights and identities. One form of resistance was evident in religious movements that provided platforms for women to challenge traditional gender roles and assert spiritual authority. For instance, the Bhakti and Sufi movements, which emerged across different regions and religious communities, offered women opportunities to express devotion, seek spiritual fulfillment, and challenge hierarchical structures within religious institutions. Women such as Mirabai, Andal, and Lal Ded are celebrated figures in these traditions, known for their devotional poetry and defiance of social norms. Additionally, women in medieval India engaged in social activism and collective action to address injustices and promote social change. This activism often centered on issues such as widow remarriage, dowry abuse, and caste-based discrimination. Women's participation in movements advocating for social reform, such as the Bhakti movement in Maharashtra or the Brahmo Samaj in Bengal, challenged existing power dynamics and contributed to broader struggles for social justice and equality.²⁰⁵ Furthermore, women in medieval India utilized

²⁰⁴ “Jain, Jasbir, *Women in Medieval India: Historical Perspectives* [Page 56] (Brill 2007).”

²⁰⁵ “Kishwar, Madhu, *Women in Medieval India: Historical Perspectives* [Page 78] (Oxford University Press 1999).”

literary expression as a means of asserting their voices and challenging prevailing narratives of gender and power. Female poets, writers, and intellectuals produced a rich body of literature that explored themes of love, longing, and autonomy, often subverting traditional gender norms and expectations. For example, the compositions of female poets like Akka Mahadevi and Janabai offered poignant reflections on women's experiences and aspirations, challenging dominant discourses and offering alternative visions of female subjectivity. Through these diverse forms of resistance, women in medieval India navigated and contested the constraints of patriarchy, asserting their agency and striving for greater autonomy and equality. While their struggles were often fraught with obstacles and setbacks, the legacies of their resistance endure as testament to the resilience and determination of women in the face of oppression.

RELIGION IN MEDIEVAL INDIA

- VANSHIKA UPMANYU²⁰⁶

INTRODUCTION

The medieval period in Indian history spans roughly from the 8th to the 18th century CE, characterized by significant political, social, and cultural changes. This era witnessed the rise and fall of various dynasties, the establishment of new empires, and the interaction of different cultures and religions. The medieval period in India is often seen as a transitional phase between ancient and modern times, marked by the advent of Islam, the growth of regional kingdoms, and the development of distinct cultural identities. The scope of this study encompasses an exploration of religion in medieval India, focusing on the major religious traditions prevalent during this period. These include Hinduism, Islam, Buddhism, Jainism, Sikhism, and others. By examining the role of religion in medieval Indian society, we aim to understand its impact on politics, culture, and everyday life.²⁰⁷

Definition of the Medieval Period in the Indian Context

The medieval period in Indian history is conventionally defined as the era between the decline of the Gupta Empire (around the 6th century CE) and the establishment of British colonial rule in the 18th century. It is characterized by the fragmentation of political power, with the emergence of numerous regional kingdoms and sultanates. The medieval period is often divided into early medieval (8th to 12th century) and late medieval (12th to 18th century) phases, each marked by distinct socio-political developments.²⁰⁸ During this period, India witnessed the influx of foreign invaders, such as the Arabs, Turks, and Mongols, who played a significant role in shaping the political landscape. The rise of Islamic dynasties, such as the Delhi Sultanate and the Mughal Empire, brought about cultural and religious transformations, leading to the syncretism of Indian and Islamic traditions.

²⁰⁶ Amity Institute of Social Science, Amity University; Noida

²⁰⁷ Thapar, Romila. "A History of India Volume One." Penguin Books, 1966.

²⁰⁸ Sharma, R.S. "Early Medieval Indian Society: A Study in Feudalisation." Orient Longman, 2001.

Overview of Geographical and Cultural Boundaries

The geographical boundaries of medieval India extended from the Hindu Kush in the northwest to the Deccan plateau in the south, and from the Himalayas in the north to the Indian Ocean in the south. Within this vast subcontinent, diverse cultural regions emerged, each with its own linguistic, religious, and artistic traditions. North India, with its fertile plains and river valleys, was the heartland of several powerful dynasties, including the Delhi Sultanate and the Mughal Empire. Central India witnessed the rise of regional kingdoms such as the Cholas, Chalukyas, and Vijayanagara Empire, while South India remained a stronghold of indigenous Dravidian culture.²⁰⁹ The cultural landscape of medieval India was marked by a rich tapestry of religious beliefs and practices, reflecting the coexistence of multiple faiths and traditions. Hinduism, Islam, Buddhism, Jainism, and Sikhism flourished alongside indigenous folk religions and tribal cults, contributing to the diversity and syncretism of Indian culture.

INTRODUCTION TO MAJOR RELIGIONS IN MEDIEVAL INDIA

Hinduism:

Hinduism, one of the oldest religions in the world, was deeply ingrained in the social fabric of medieval India. It encompassed a diverse range of beliefs, rituals, and philosophical schools, reflecting the plurality of Indian society. At its core, Hinduism emphasized the concepts of dharma (duty), karma (action), and moksha (liberation), guiding individuals towards spiritual fulfillment.²¹⁰ Practices in Hinduism varied widely across regions and communities but often included worship (puja) of deities in temples and domestic shrines, performance of rituals (samskaras) marking life milestones, and observance of festivals (utsavas) celebrating various gods and goddesses. The caste system, a social hierarchy based on birth, played a significant role in shaping Hindu society, with each caste assigned specific duties and responsibilities. The religious significance of pilgrimage sites such as Varanasi, Mathura, and Ayodhya further underscored the importance of Hinduism in medieval India. These sacred places attracted

²⁰⁹ Chandra, Satish. "Medieval India: From Sultanat to the Mughals-Delhi Sultanat (1206-1526)." Har-Anand Publications, 2004.

²¹⁰ Majumdar, R.C. "The History and Culture of the Indian People, Volume VI: The Delhi Sultanate." Bharatiya Vidya Bhavan, 1973.

devotees from far and wide, fostering a sense of unity and belonging among believers.²¹¹ Bhakti and Sufi movements emerged as important religious phenomena during this period, emphasizing devotion to a personal god or mystical union with the divine. Prominent Bhakti saints such as Ramanuja, Madhva, Kabir, and Tulsidas propagated devotional practices that transcended caste and creed, fostering religious harmony and social reform.

Islam:

Islam, introduced to India in the 7th century through trade and conquest, gained prominence during the medieval period with the establishment of Muslim sultanates and empires. Islamic beliefs centered on the oneness of God (tawhid) and the teachings of the Prophet Muhammad as revealed in the Quran and Hadith. The five pillars of Islam—declaration of faith (shahada), ritual prayer (salah), almsgiving (zakat), fasting during Ramadan (sawm), and pilgrimage to Mecca (hajj)—formed the cornerstone of Muslim practice. Muslims in medieval India worshipped in mosques (masjids), where they gathered for communal prayers and religious instruction. The call to prayer (adhan) echoed through cities and towns, signaling the faithful to observe their daily rituals. Islamic architecture flourished under Muslim rulers, with iconic monuments such as the Taj Mahal and Jama Masjid showcasing the fusion of Persian, Turkish, and Indian styles.²¹² The role of Sufism, a mystical tradition within Islam, was particularly significant in medieval India. Sufi saints (sufis) spread the message of love, tolerance, and spiritual enlightenment, attracting followers from diverse backgrounds. Sufi orders (tariqas) established Khanqahs (Sufi monasteries) and dargahs (shrines) where devotees gathered to seek blessings and guidance.

Buddhism and Jainism:

Although Buddhism declined in India during the medieval period, it continued to exert influence in regions such as Bengal, Kashmir, and the Himalayan foothills. Buddhist monasteries served as centers of learning and pilgrimage, preserving sacred texts and artistic traditions.²¹³

²¹¹ Singh, Upinder. "A History of Ancient and Early Medieval India: From the Stone Age to the 12th Century." Pearson Education, 2008.

²¹² Eaton, Richard M. "India's Islamic Traditions: 711-1750." Oxford University Press, 2003.

²¹³ Kulke, Hermann, and Dietmar Rothermund. "A History of India." Routledge, 4th edition, 1998.

Jainism, another ancient religion of India, maintained a strong presence in Gujarat, Rajasthan, and Karnataka, advocating principles of non-violence, austerity, and moral purity. Jain merchants and scholars made significant contributions to trade, commerce, and intellectual discourse, shaping the cultural landscape of medieval India.

Sikhism:

Sikhism emerged as a distinct religious movement in Punjab during the late medieval period, blending elements of Hinduism and Islam with its own unique teachings. Guru Nanak, the founder of Sikhism, preached the unity of God, equality of all human beings, and social justice. The Sikh Gurus, spiritual leaders of the Sikh community, emphasized the importance of ethical conduct, community service, and devotion to God. The Sikh faith grew rapidly during the Mughal period, culminating in the establishment of the Khalsa Panth by Guru Gobind Singh, which played a crucial role in resisting Mughal oppression and promoting religious freedom.

Other Religions:

In addition to the major religious traditions mentioned above, medieval India was home to a diverse array of religious sects, cults, and tribal beliefs. These included animistic practices, ancestor worship, tantric rituals, and syncretic movements that blended elements of Hinduism, Islam, and indigenous folk traditions.

THE RELIGIOUS LANDSCAPE OF MEDIEVAL INDIA

Role of Religion in Shaping Social Norms and Hierarchies:

Religion played a central role in shaping social norms and hierarchies in medieval India, influencing aspects of everyday life including marriage, family, and occupation. The caste system, rooted in Hindu religious texts such as the Manusmriti, divided society into hierarchical groups (varnas) based on occupation and birth. Brahmins (priests), Kshatriyas (warriors), Vaishyas (merchants), and Shudras (laborers) occupied distinct social positions, with Dalits (untouchables) relegated to the lowest rung of the social ladder. Islamic society, while less rigidly structured than the Hindu caste system, was also marked by social distinctions based on

ethnicity, profession, and religious piety. Muslim rulers and nobles held positions of power and authority, while artisans, traders, and peasants formed the backbone of the economy. The concept of ummah (community) united Muslims across diverse cultural and linguistic backgrounds, fostering a sense of solidarity and mutual support.²¹⁴ Despite the hierarchical nature of medieval Indian society, religion also provided avenues for social mobility and inclusivity. Bhakti and Sufi movements challenged traditional social norms by emphasizing the importance of devotion and piety over caste and creed. Sikhism, with its egalitarian principles and emphasis on service (seva), offered a vision of society based on equality and mutual respect.

Inter-Religious Relations

Inter-religious relations in medieval India were dynamic and complex, marked by a mixture of conflict, coexistence, and syncretism. As various religious communities interacted within the diverse cultural landscape of the Indian subcontinent, they influenced each other's beliefs, practices, and identities. This section explores the nature of inter-religious relations during the medieval period, highlighting key instances of conflict, coexistence, and syncretism.

Conflict:

Conflict between religious communities was not uncommon during medieval India, often fueled by political ambitions, social tensions, and religious differences. The establishment of Muslim sultanates and empires in North India led to periodic clashes between Hindu and Muslim rulers, as well as between followers of the two faiths. One notable example of religious conflict was the destruction of Hindu and Jain temples by Muslim rulers, motivated by religious zeal and political conquest. Instances such as the demolition of the Somnath Temple by Mahmud of Ghazni and the destruction of temples during the Delhi Sultanate era symbolized the tensions between Hinduism and Islam. Similarly, Hindu-Muslim riots and communal violence occurred sporadically in various parts of the country, often exacerbated by socio-economic grievances and political manipulation. The Babri Masjid-Ram Janmabhoomi dispute, which culminated in the demolition of the Babri Masjid in 1992, had its roots in medieval religious conflicts dating back to the Mughal period.

²¹⁴ Ibid.

Coexistence:

Despite instances of conflict, medieval India also witnessed periods of peaceful coexistence and cultural exchange between religious communities. Many regions of India were characterized by religious pluralism, where Hindus, Muslims, Buddhists, Jains, Sikhs, and others lived side by side, sharing common spaces and cultural practices. In South India, for example, the Vijayanagara Empire fostered a climate of religious tolerance and diversity, with temples, mosques, and Jain monasteries coexisting peacefully within its vast territory. The patronage of arts and literature by Vijayanagara rulers transcended religious boundaries, contributing to the synthesis of Hindu and Islamic cultural elements. Similarly, the Mughal Empire under Akbar the Great promoted a policy of religious tolerance and secularism, welcoming scholars and religious leaders from diverse backgrounds to his court. Akbar's Din-i Ilahi, a syncretic religion blending elements of Islam, Hinduism, Christianity, and Zoroastrianism, reflected his vision of harmony and unity among religious communities.

Syncretism:

Syncretism, the blending of religious beliefs and practices, was a prominent feature of medieval Indian society, resulting from interactions between different religious traditions. Bhakti and Sufi movements, in particular, facilitated cross-cultural exchanges and spiritual syncretism, transcending sectarian boundaries and fostering a sense of unity among believers. Bhakti saints such as Kabir, Nanak, and Tulsidas emphasized the universal love of God and the unity of all beings, irrespective of caste or creed. Their teachings, expressed through vernacular languages and devotional poetry, resonated with people from diverse religious backgrounds, inspiring a spirit of inclusivity and compassion. Similarly, Sufi saints like Moinuddin Chishti, Nizamuddin Auliya, and Bulleh Shah advocated love, humility, and spiritual enlightenment as pathways to divine union. Sufi poetry, music, and rituals provided a common ground for Hindus and Muslims to come together in devotion and celebration, transcending religious differences.

INFLUENCE OF RELIGION ON POLITICS AND SOCIETY

Religion exerted a profound influence on politics and society in medieval India, shaping governance, law, education, and social hierarchies. The relationship between religion and state power was complex, with rulers often seeking legitimacy and authority through religious patronage and ideology. This section explores the impact of religion on politics and society during the medieval period, highlighting its role in shaping institutions and social norms.²¹⁵

Relationship between Religion and State Power:

In medieval India, religion and state power were closely intertwined, with rulers often claiming divine sanction for their authority. Hindu kings, such as those of the Gupta and Chola dynasties, were often considered to be divinely ordained and ruled with the support of Brahmin priests, who legitimized their authority through rituals and ceremonies.²¹⁶ With the advent of Islam, Muslim rulers in India also sought to legitimize their rule through religious ideology. The Delhi Sultanate and the Mughal Empire, in particular, saw the fusion of Islamic principles with Persianate and Indian traditions, creating a unique synthesis of religious and political authority. Islamic rulers, such as Akbar the Great, attempted to reconcile diverse religious beliefs within their empires through policies of religious tolerance and pluralism. Akbar's concept of Sulh-i-kul (universal peace) promoted harmony among religious communities, allowing for greater political stability and social cohesion.

Impact of Religious Institutions:

Religious institutions played a crucial role in medieval Indian society, serving as centers of education, law, and governance. Hindu temples, Islamic mosques, Buddhist monasteries, Jain mathas, and Sikh gurdwaras served not only as places of worship but also as hubs of cultural, social, and economic activity. Education in medieval India was often tied to religious institutions, with Brahmin gurukuls, Islamic madrasas, and Buddhist viharas providing instruction in theology, philosophy, literature, and the sciences. Scholars and teachers affiliated with religious institutions played a key role in preserving and transmitting knowledge across generations. Religious law (dharma shastras, sharia, etc.) also influenced legal systems and governance

²¹⁵ Hardy, Peter. "The Muslims of British India." Cambridge University Press, 1972.

²¹⁶ Habib, Irfan. "The Agrarian System of Mughal India: 1556–1707." Oxford University Press, 1963.

structures in medieval India. Hindu kings administered justice based on Dharmashastra, while Islamic rulers implemented Sharia law within their domains. The interaction between religious and secular legal systems gave rise to a hybrid legal framework, with courts often adjudicating disputes based on religious principles.

GOVERNANCE AND RELIGIOUS POLICY:

Medieval Indian rulers often pursued policies of religious patronage and support to gain the loyalty of their subjects. Hindu kings supported Brahmins and Hindu temples through land grants and endowments, while Islamic rulers provided patronage to Sufi orders, mosques, and Islamic scholars. The Mughal emperors, in particular, adopted a policy of religious tolerance and inclusivity, granting autonomy to religious communities and promoting cultural exchange among different faiths. Akbar's Din-i Ilahi, a syncretic religion blending elements of Islam, Hinduism, Christianity, and Zoroastrianism, reflected his vision of a unified empire based on religious pluralism. However, religious policies were not always uniform, and instances of persecution, forced conversion, and discrimination against religious minorities also occurred. Hindu rulers, such as the Marathas and the Vijayanagara Empire, sought to protect and promote Hindu interests, often at the expense of Muslim and other minority communities.

Key Religious Movements and Leaders

Religious movements and charismatic leaders played a crucial role in shaping the religious landscape of medieval India. These movements often emerged as responses to social, political, and cultural challenges, offering new interpretations of religious texts and practices. This section provides an overview of significant religious movements and profiles influential leaders who left a lasting impact on medieval Indian society.

Religious Movements:

1. Bhakti Movement: The Bhakti movement, which gained momentum from the 8th to the 17th centuries, emphasized personal devotion (bhakti) to a chosen deity as a means of attaining spiritual liberation. Bhakti poets and saints composed vernacular hymns and devotional songs, challenging orthodox religious practices and promoting egalitarian ideals.

2. Sufi Movement: The Sufi movement, rooted in mystical Islam, flourished in medieval India as a response to the rigid legalism of orthodox Islam. Sufi saints and orders advocated the path of love (ishq) and spiritual purification (tazkiyah), attracting followers from diverse religious backgrounds. Sufi poetry, music, and rituals played a significant role in popularizing Sufism among the masses.

3. Vaishnava and Shaiva Sects: Vaishnava and Shaiva sects, devoted to the worship of Lord Vishnu and Lord Shiva respectively, contributed to the religious diversity of medieval India. These sects, characterized by their distinct theological doctrines and devotional practices, attracted large followings and established influential monastic orders.

4. Nirguna and Saguna Bhakti: Within the Bhakti movement, two major strands emerged: Nirguna Bhakti, which advocated devotion to a formless (nirguna) divine, and Saguna Bhakti, which focused on devotion to a personal (saguna) deity. This distinction reflected diverse theological perspectives and spiritual aspirations within the Bhakti tradition.

RELIGIOUS LEADERS:

1. Ramanuja (1017-1137 CE): Ramanuja, a prominent theologian and philosopher, revitalized the Vishishtadvaita (qualified non-dualism) school of Vedanta philosophy. He emphasized the doctrine of Vishnu as the supreme reality and advocated the path of loving devotion (bhakti) as a means of attaining salvation.

2. Kabir (1440-1518 CE): Kabir, a mystic poet and saint, played a key role in the Bhakti movement, challenging the religious orthodoxy of his time through his devotional poetry. His verses, composed in vernacular languages, addressed themes of divine love, spiritual unity, and social justice, transcending the boundaries of caste and creed.

3. Guru Nanak (1469-1539 CE): Guru Nanak, the founder of Sikhism, preached the message of unity, equality, and service to humanity. He rejected the caste system and rituals of orthodox Hinduism and Islam, advocating for a path of direct communion with the divine through meditation and selfless action.

4. Chaitanya Mahaprabhu (1486-1534 CE): Chaitanya Mahaprabhu, a Vaishnava saint and mystic, popularized the worship of Lord Krishna and the chanting of the Hare Krishna mantra as a means of attaining spiritual liberation. His ecstatic devotional practices attracted a large following and inspired the formation of the Gaudiya Vaishnavism sect.²¹⁷

5. Guru Nanak (1469-1539 CE): Guru Nanak, the founder of Sikhism, preached the message of unity, equality, and service to humanity. He rejected the caste system and rituals of orthodox Hinduism and Islam, advocating for a path of direct communion with the divine through meditation and selfless action.²¹⁸

6. Mirabai (1498-1547 CE): Mirabai, a Bhakti saint and poetess, composed devotional songs (bhajans) dedicated to Lord Krishna, expressing her deep love and longing for the divine. Despite facing opposition from her family and society, she remained steadfast in her devotion and became an icon of spiritual resilience.

7. Guru Gobind Singh (1666-1708 CE): Guru Gobind Singh, the tenth and last Sikh Guru, transformed Sikhism into a martial tradition by establishing the Khalsa Panth (the Order of the Pure) and initiating the ceremony of Amrit Sanchar (baptism of the sword). He emphasized the principles of courage, sacrifice, and righteousness in the face of oppression and tyranny.

8. Meera Bai (1498-1547 CE): Meera Bai, also known as Meera, was a Bhakti saint and poetess whose devotional songs (bhajans) expressed her intense love and longing for Lord Krishna. Despite facing opposition from her family and society, Meera remained devoted to her faith and became an emblem of spiritual devotion and resilience.

EVOLUTION AND TRANSFORMATION IN RELIGIOUS PRACTICES

Changes in Religious Beliefs and Practices

²¹⁷ Ray, Niharranjan. "History of the Bengali People: Ancient Period." Orient Longman, 1994.

²¹⁸ Jain, Meenakshi. "Medieval India: A Textbook for Classes XI-XII." National Council of Educational Research and Training (NCERT), 2006.

Religious beliefs and practices in medieval India underwent significant evolution and transformation, shaped by a multitude of factors including invasions, trade, cultural exchange, and social dynamics. This chapter delves into the intricacies of how and why certain religious practices evolved over time, particularly in response to external influences.

Influence of External Factors:

1. Invasions and Conquests: The invasions and conquests by foreign rulers, such as the Arabs, Turks, and later the Mughals, had a profound impact on religious practices in medieval India. The arrival of Islam through these conquests introduced new religious ideologies, practices, and cultural influences, leading to syncretism and adaptation within existing religious traditions.

2. Trade and Cultural Exchange: Trade routes, such as the Silk Road and the Indian Ocean trade network, facilitated the exchange of goods, ideas, and religious beliefs between India and other civilizations. The influx of traders, merchants, and travelers from different regions exposed Indian society to diverse religious practices, contributing to the assimilation and adaptation of foreign elements into local traditions.

3. Socio-Political Changes: Socio-political changes, including the rise and fall of empires, the establishment of new dynasties, and the emergence of regional powers, influenced religious beliefs and practices in medieval India. Rulers often patronized specific religious institutions and supported religious leaders who aligned with their political agendas, leading to the spread of particular religious ideologies and the suppression of others.

4. Cultural Syncretism: Cultural syncretism, the blending of diverse cultural elements into a cohesive whole, played a significant role in shaping religious practices in medieval India. The interaction between Hinduism, Buddhism, Jainism, Islam, and other religious traditions resulted in the adoption of rituals, symbols, and beliefs from one tradition into another, leading to the emergence of hybrid religious practices.

Examples of Evolution in Religious Practices:

1. Syncretism in Architecture: The architecture of religious monuments in medieval India reflects the syncretic nature of religious practices during this period. Islamic rulers, such as the Mughals, incorporated elements of Persian, Turkish, and Indian architectural styles into their mosques, tombs, and palaces, blending Islamic motifs with Hindu and Jain decorative elements.

2. Development of Sufi Orders: The Sufi movement, with its emphasis on spiritual devotion and mysticism, gained popularity among Muslims in medieval India. Sufi saints established Khanqahs (Sufi monasteries) and dargahs (shrines) where devotees from diverse religious backgrounds congregated to seek spiritual guidance and blessings. The syncretic nature of Sufi practices, which often incorporated elements of Hindu and Buddhist mysticism, contributed to their widespread appeal.

3. Bhakti and Sufi Poetry: Bhakti and Sufi poets composed devotional poetry in vernacular languages, transcending linguistic and religious boundaries. The poetry of saints such as Kabir, Mirabai, and Bulleh Shah expressed themes of love, longing, and spiritual union, drawing upon diverse religious traditions to convey universal truths. These poetic expressions of devotion played a crucial role in disseminating religious teachings and fostering religious harmony.

4. Adaptation of Rituals: Rituals and ceremonies underwent adaptation and modification in response to changing social and cultural contexts. For example, Hindu rituals such as puja (worship) and yagna (sacrifice) evolved over time to accommodate new deities, beliefs, and practices introduced through cultural exchange and syncretism. Similarly, Islamic rituals such as namaz (prayer) and zakat (charity) adapted to local customs and traditions, reflecting the diversity of Muslim communities in India.

THE EMERGENCE OF NEW SECTS AND PHILOSOPHIES

The medieval period in India was marked by the emergence of new religious sects and philosophical schools, reflecting the dynamic nature of religious thought and practice. This chapter explores the genesis and development of these movements and analyzes their impact on the broader religious landscape of medieval India.²¹⁹

²¹⁹ Sharma, Arvind. "Classical Hindu Thought: An Introduction." Oxford University Press, 2000.

1. Bhakti and Sufi Synthesis:

One of the most significant developments during the medieval period was the synthesis of Bhakti and Sufi traditions, resulting in the emergence of syncretic movements such as Nirguna Bhakti and Sufi Bhakti. This synthesis bridged the gap between Hinduism and Islam, emphasizing the universal love of God and the unity of all beings.²²⁰ Prominent Bhakti saints like Kabir, Nanak, and Chaitanya Mahaprabhu incorporated Sufi themes of divine love and spiritual ecstasy into their devotional poetry, while Sufi saints like Moinuddin Chishti and Nizamuddin Auliya drew inspiration from Hindu bhakti literature and practices. This mutual influence facilitated cross-cultural exchanges and fostered a climate of religious tolerance and harmony.

2. Vaishnava and Shaiva Sects:

The medieval period witnessed the proliferation of Vaishnava and Shaiva sects, each with its distinct theological doctrines and devotional practices. Vaishnava sects, devoted to the worship of Lord Vishnu and his incarnations, emphasized the path of loving devotion (bhakti) as a means of attaining spiritual liberation. Shaiva sects, centered on the worship of Lord Shiva, promoted asceticism, meditation, and ritual worship (puja) as pathways to divine realization. New Vaishnava and Shaiva sects emerged in response to changing socio-political conditions and the influence of Bhakti and Tantric traditions. These sects, such as the Vallabha Sampradaya and the Pushtimarg, introduced innovative rituals, scriptures, and theological interpretations, contributing to the diversity and dynamism of medieval Hinduism.

3. Mystical and Esoteric Traditions:

The medieval period also witnessed the rise of mystical and esoteric traditions within Hinduism, Islam, and Sikhism. Tantric practices, rooted in Hindu and Buddhist Tantra, emphasized the transformation of the individual through ritual, mantra, and meditation. Tantric texts such as the Tantrasara and the Kularnava Tantra provided practitioners with practical instructions for spiritual advancement. Similarly, Islamic mysticism (Sufism) evolved into various orders (tariqas) characterized by their distinct rituals, teachings, and spiritual lineages. Sufi masters like

²²⁰ Singh, G.P. "The Kāpālikas and Kālāmukhas: Two Lost Śaivite Sects." University of California Press, 1994.

Rumi, Ibn Arabi, and Al-Ghazali expounded on the principles of divine love, spiritual ecstasy, and self-realization, inspiring generations of seekers on the path of mysticism. In Sikhism, the concept of Nam Simran (remembrance of the divine Name) and Shabad Guru (the divine Word) formed the core of mystical practice, enabling devotees to experience union with the divine through meditation and recitation of sacred hymns (Gurbani). Sikh mystics (Bhagats) like Kabir, Namdev, and Ravidas contributed to the mystical tradition of Sikhism, emphasizing the importance of devotion, humility, and service.²²¹

4. Impact on the Religious Landscape:

The emergence of new sects and philosophies during the medieval period had a profound impact on the religious landscape of India. These movements diversified religious practices, expanded theological horizons, and facilitated cross-cultural exchanges, contributing to the syncretism and pluralism of Indian society. The synthesis of Bhakti and Sufi traditions fostered a climate of religious tolerance and mutual respect, transcending sectarian boundaries and fostering a sense of unity among believers. Vaishnava and Shaiva sects enriched Hinduism with new devotional practices, rituals, and theological interpretations, catering to the diverse spiritual needs of devotees. Mystical and esoteric traditions provided individuals with alternative paths to spiritual realization, emphasizing direct experience of the divine and personal transformation. These movements challenged orthodox religious norms and hierarchical structures, empowering individuals to seek spiritual liberation through inner exploration and self-discovery.

ART, ARCHITECTURE, AND RELIGION

Art and architecture played a significant role in expressing and propagating religious beliefs and practices in medieval India. The artistic heritage of this period reflects the diverse religious traditions and cultural influences that flourished in the Indian subcontinent. This section examines the incorporation of religious motifs in art and architecture, as well as the role of religious institutions in patronizing artistic endeavors.

Religious Motifs in Art and Architecture:

²²¹ Ibid.

1. Hindu Art and Architecture: Hindu art and architecture during the medieval period encompassed a wide range of styles and forms, reflecting regional variations and religious diversity. Temples dedicated to various deities, such as Vishnu, Shiva, and Devi, served as centers of worship and pilgrimage, showcasing intricate carvings, sculptures, and reliefs depicting Hindu mythology and iconography. The Nagara and Dravidian architectural styles, characterized by towering spires (shikharas) and elaborately carved facades, dominated temple construction in North and South India respectively. These temples, adorned with sculptures of gods, goddesses, and celestial beings, served as visual representations of Hindu cosmology and theology.²²²

2. Islamic Art and Architecture: Islamic art and architecture in medieval India reflected the syncretic blending of Persian, Central Asian, and indigenous Indian styles. Mosques, mausoleums, and forts constructed by Muslim rulers and nobles showcased intricate geometric patterns, arabesques, and calligraphy, evoking the splendor and grandeur of Islamic civilization.

The Indo-Islamic architectural style, characterized by bulbous domes, minarets, and ornate arches, reached its zenith during the Mughal period with iconic monuments such as the Taj Mahal, Humayun's Tomb, and Jama Masjid. These architectural marvels, built with white marble and red sandstone, epitomized the fusion of Islamic and Indian aesthetics.

3. Buddhist and Jain Art: Buddhist and Jain art in medieval India encompassed a wide range of sculptures, stupas, and monastic complexes, reflecting the spiritual aspirations and artistic achievements of these religious traditions. Buddhist stupas, such as the Great Stupa at Sanchi and the Mahabodhi Temple at Bodh Gaya, served as pilgrimage sites and centers of Buddhist worship and meditation.²²³ Jain rock-cut temples and cave complexes, such as those at Ellora and Ajanta, featured intricate carvings depicting scenes from Jain mythology, the lives of Tirthankaras, and the principles of non-violence and asceticism. Jain architecture emphasized simplicity, symmetry, and spiritual purity, reflecting the ethical and philosophical ideals of Jainism.

²²² Wink, André. "Al-Hind: The Making of the Indo-Islamic World." Brill, 1990-1997.

²²³ Thapar, Romila. "Somanatha: The Many Voices of a History." Penguin Books, 2005.

ROLE OF RELIGIOUS INSTITUTIONS IN PATRONIZING ARTS:

Religious institutions, including temples, mosques, monasteries, and dargahs, played a central role in patronizing artistic endeavors during the medieval period. They served as centers of cultural and religious patronage, providing financial support and artistic patronage to artists, craftsmen, and artisans.²²⁴ Temple complexes, in particular, were hubs of artistic activity, with guilds of sculptors, painters, and architects commissioned to embellish temple walls and pillars with intricate carvings and murals. The Chola temples of Tamil Nadu, for example, were renowned for their bronze sculptures and frescoes depicting scenes from Hindu mythology. Islamic rulers and nobles also patronized the arts, commissioning mosques, mausoleums, and palaces adorned with exquisite decorations and calligraphy. The Mughal emperors, in particular, were avid patrons of art and architecture, sponsoring the construction of monumental structures and gardens that reflected their power and prestige. Sufi orders and dargahs served as centers of spiritual and artistic expression, with musicians, poets, and calligraphers gathering to celebrate the divine through music, poetry, and visual arts. Sufi saints and mystics, such as Amir Khusrau and Bulleh Shah, were themselves accomplished artists and poets, whose works continue to inspire devotion and creativity.

Literature and Religion

Religion and literature in medieval India shared a deeply intertwined relationship, with religious texts serving as sources of inspiration, moral guidance, and cultural identity. This section explores the influence of religion on medieval Indian literature, analyzing key religious texts and their significance in shaping the spiritual and intellectual landscape of the time.

Influence of Religion on Medieval Indian Literature:

Religion permeated every aspect of medieval Indian life, including its literary traditions. Religious themes, motifs, and narratives were central to many works of literature, reflecting the spiritual beliefs and cultural values of the society. Whether in poetry, prose, or drama, references to gods, goddesses, saints, and sacred texts were commonplace, enriching the literary experience

²²⁴ Stein, Burton. "A History of India." Blackwell Publishers, 1998.

and deepening the reader's understanding of religious concepts.²²⁵ Literature served as a medium for disseminating religious teachings and moral lessons to the masses. Bhakti poets composed devotional hymns and songs praising the divine, while Sufi poets expressed their longing for union with God through mystical verses and allegorical tales. These literary works not only inspired spiritual devotion but also fostered a sense of communal harmony and social cohesion among believers.

Analysis of Religious Texts and Their Significance:

- **Vedas and Upanishads:** The Vedas, ancient Hindu scriptures composed in Sanskrit, are among the oldest religious texts in the world. They comprise hymns, rituals, and philosophical dialogues, offering insights into the Vedic worldview and the cosmic order (Rta). The Upanishads, philosophical treatises that form the concluding portion of the Vedas, delve into metaphysical questions and explore the nature of reality, the self (Atman), and the ultimate reality (Brahman).
- **Epics:** The Ramayana and the Mahabharata, two epic poems of ancient India, are revered as sacred texts in Hinduism. The Ramayana narrates the story of Lord Rama, an avatar of Vishnu, and his quest to rescue his wife Sita from the demon king Ravana. The Mahabharata recounts the epic battle between the Pandavas and the Kauravas, culminating in the revelation of the Bhagavad Gita, a spiritual discourse delivered by Lord Krishna to the warrior prince Arjuna.
- **Bhagavad Gita:** The Bhagavad Gita, a philosophical dialogue between Lord Krishna and Arjuna, is considered one of the most important texts in Hinduism. It addresses existential dilemmas, ethical dilemmas, and the nature of duty (dharma), urging Arjuna to fulfill his duty as a warrior and embrace his divine purpose in life. The Gita's teachings on karma, yoga, and devotion have had a profound influence on Hindu thought and practice.
- **Bhakti and Sufi Poetry:** Bhakti and Sufi poets composed devotional songs, hymns, and verses in regional languages, expressing their love and devotion to the divine. Bhakti poets such as Kabir, Mirabai, and Tulsidas conveyed profound spiritual truths through

²²⁵ Flood, Gavin D. "An Introduction to Hinduism." Cambridge University Press, 1996.

simple, accessible language, appealing to people from all walks of life. Similarly, Sufi poets like Rumi, Hafiz, and Bulleh Shah used poetry as a medium for expressing their mystical experiences and spiritual insights, transcending linguistic and cultural barriers.

- **Adi Granth (Guru Granth Sahib):** The Adi Granth, also known as the Guru Granth Sahib, is the sacred scripture of Sikhism. Compiled by the Sikh Gurus and revered as the eternal Guru, it contains hymns, prayers, and teachings composed by Sikh Gurus, saints, and poets from various religious traditions. The Adi Granth embodies the spirit of Sikhism, emphasizing the unity of God, the importance of ethical conduct, and the pursuit of spiritual enlightenment.

CONCLUSION²²⁶

Summary of Key Findings

Throughout this study, we delved into the intricate tapestry of religion in medieval India, uncovering a landscape shaped by the coexistence of diverse religious traditions such as Hinduism, Islam, Buddhism, Jainism, and Sikhism. We examined the core beliefs, practices, and cultural significance of these religions, elucidating their roles in shaping social norms, inter-religious relations, and political dynamics during the medieval period. By addressing the research objectives, we have provided insights into how religion influenced various aspects of medieval Indian society, from literature to governance, and how it continues to resonate in contemporary India.

The Legacy of Medieval Indian Religion

The legacy of medieval Indian religion reverberates through the corridors of time, influencing contemporary India in myriad ways. The syncretism and pluralism fostered during this period have left an indelible mark on Indian society, shaping its ethos of religious tolerance and cultural diversity. The teachings of Bhakti saints, Sufi mystics, and Sikh Gurus continue to inspire millions, transcending sectarian divides and fostering a spirit of communal harmony.

²²⁶ Dalal, Roshen. "The Religions of India: A Concise Guide to Nine Major Faiths." Penguin Books, 2010.

Understanding the complexities of medieval religious history is paramount in comprehending the nuances of modern Indian society, where religious identities intersect with socio-political realities in complex ways.²²⁷

Future Directions for Research

As we look to the future, there remain avenues for further exploration and inquiry into the religious history of medieval India. Future research could delve deeper into specific religious movements or explore lesser-known aspects of religious syncretism and cultural exchange. Interdisciplinary approaches combining historical, literary, and anthropological perspectives could yield new insights into the lived experiences of individuals belonging to different religious communities. Additionally, comparative studies with other regions and time periods could provide valuable context for understanding the unique dynamics of religion in medieval India.

Final Thoughts

In conclusion, the study of religion in medieval India offers a window into the complex tapestry of Indian history and culture. It reminds us of the enduring resilience of the human spirit in the face of diversity and adversity, and the transformative power of religious faith in shaping individual lives and collective destinies. By delving into the rich tapestry of medieval religious history, we gain a deeper appreciation for the multifaceted nature of Indian society and the enduring legacy of its spiritual heritage.

²²⁷ Chatterjee, Indrani. "Forgotten Friends: Monks, Marriages, and Memories of Northeast India." Oxford University Press, 2013.

STARTUPS IN 2024: REWRITING THE FATE OF INDIAN ECONOMY

- ASHISH VERMA²²⁸

Indian Economy witnessed massive setbacks due to onslaught of Covid-19 pandemic. However, since 2021, our country's economy is on path to recovery.

Startups form the backbone of the country's economy. They innovate, promote, or improve the existing products. They make India's economy more prosperous and take the nation to greater heights in terms of growth. However, that is not all there is to the importance of startups in India.

Startups have the capability to generate employment opportunities for thousands and millions of people preventing brain drain. People can aspire to better standards of living. The potential of startup ecosystem and the advantages appended to it prompted Prime Minister Modi to declare January 16 as the 'National Startup Day' recently.

Finance Minister Nirmala Sitharaman presented the Economic Survey 2022²²⁹ to the Lok Sabha on 31st January, 2022 and tabled the Budget 2022-23²³⁰ on 1st February, 2022. The Economic Survey Report recorded tremendous growth in startups recognized by the government across the length and breadth of the country. Moreover, the report predicted 8 to 8.5 percent GDP growth between April 2022 to March 2023. Keeping in line, budget 2022 has introduced several initiatives and proposals to boost the startup ecosystem and capitalize on the startup fervor.

INDIAN STARTUP ECOSYSTEM UNDERGOING MASSIVE CHANGES

Innovation, entrepreneurship, and startups will be the cornerstone of India in the next decade, which can be dubbed as the 'technology-driven decade'. It's no mystery that new entrepreneurs are tapping into the technology boom, which has been going on for nearly two decades. Acknowledging their significance, the government has responded by cutting red tape and simplifying the registration and taxes processes.

²²⁸ Assistant Prof., Government Law College, Ajmer (Rajasthan)–305001 ORCID: <https://orcid.org/0000-0002-5916-6350>

²²⁹ Economic Survey 2021-22, January 2022.

²³⁰ Budget 2022-2023, February 1, 2022, available at: <https://www.indiabudget.gov.in/bspeech.php>.

Under the Startup India Fund Scheme, the government has invested INR 8085 crore in 540 businesses.²³¹

The government's recognition is a godsend not only for all businesses, but for investors who were previously undecided about making smart investments of their capital. It also provides people, who are hesitant, to start their own business a morale boost.

Investors are seeking for new places to invest, and India is one of these places. During this juncture, the greatest thing for the country to do is to learn from the globally leading players and apply what they've learned to achieve successful results. There will be obstacles when there is progress. Nevertheless, the Indian startup environment is evolving, with bridges being built as one approaches them.

DEFINING A STARTUP

On the 19th February, 2019, Ministry of Commerce and Industry amended the definition of a Start-up and notified that

An entity shall be considered as a Startup:

- i. Up to a period of ten years from the date of incorporation/ registration, if it is incorporated as a private limited company (as defined in the Companies Act, 2013) or registered as a partnership firm (registered under section 59 of the Partnership Act, 1932) or a limited liability partnership (under the Limited Liability Partnership Act, 2008) in India.
- ii. Turnover of the entity for any of the financial years since incorporation/registration has not exceeded one hundred crore rupees.
- iii. Entity is working towards innovation, development or improvement of products or processes or services, or if it is a scalable business model with a high potential of employment generation or wealth creation.

²³¹Urvi Shrivastav, "Startups in India: The Challenging Rise", *BW BUSINESSWORLD*, January 17, 2022, available at: <http://www.businessworld.in/article/Startups-In-India-The-Challenging-Rise-/17-01-2022-417880/> (last visited on Feb. 7, 2022).

Provided that an entity formed by splitting up or reconstruction of an existing business shall not be considered a ‘Startup’.²³²

UNDERSTANDING STARTUP INDIA INITIATIVE

Narendra Modi, incumbent Prime Minister of India, launched an initiative named ‘Startup India’ in 2016. It is the government’s primary effort to create a strong startup ecosystem, boost long-term economic growth, and provide job opportunities. Its mission is to make India a country of employment creators rather than job seekers.

BENEFITS ACCORDED TO STARTUPS

Once a startup receives recognition from Department of Promotion for Industry and Internal Trade (DPIIT), it can avail of numerous benefits. The Government of India has taken several measures in the form of initiatives to boost the entrepreneurial spirit of the Indians. Moreover, Government has also allowed certain exemptions to support innovation which acts as impetus for startups in the country.

In the arena of finance, the government has introduced a number of loans and grants exclusively for the sake of startups. Hence, startups in need of financial assistance can apply for such loans and grants. These loans and grants are discussed as follows:

1. Funds for Startups (FFS)

Indian Government has set up Funds for Startups at Small Industries Development Bank of India (SIDBI). The total fund is worth Rs. 10,000 crores. FFS helps in mitigating the risks associated with investment in different kinds of securities. The startups recognised by DPIIT can apply for this scheme.

2. CGTMSE Scheme

Under the Ministry of Micro, Small and Medium Enterprises (MoMSME) and SIDBI, Indian Government has set up Credit Guarantee Trust for Micro and Small Enterprises (CGTMSE) scheme. Startups registered with DPIIT can avail of this scheme if they meet the eligibility

²³²Startup India, available at: <https://www.startupindia.gov.in/content/dam/invest-india/Templates/public/198117.pdf> (Visited on February 8, 2022).

criteria. They can apply for loans amounting to not more than Rs. 1 crore without any third-party guarantee or collateral.

3. MUDRA Bank

Micro Units Development & Refinance Agency Ltd (MUDRA) was set up by the Indian Government. It is an agency at the helm of providing financial support to small enterprises, businesses that are non-corporate such as cooperatives, employee-owned business, partnerships and others at low interest rates.

In the arena of taxation, numerous exemptions are provided to eligible Startups under Income Tax Act, 1961. It is pertinent to note that Startup India scheme allows the startups to claim exemption from filing tax returns in the initial three years from the date of registration. However, it does not end here. Even the Income Tax Act contains several provisions that contribute towards fostering the growth and smooth functioning on startups.

The provisions are discussed as follows:

- Section 80 IAC²³³- Any startup that incorporates between 1st April, 2016 to 31st March, 2022 will be entitled for deduction, provided that the turnover of the concerned startup does not cross the mark of 100 crores in the preceding year for which the deduction under this section is claimed. Any startup that claims the deduction needs to meet certain eligibility conditions such as the startup may have the potential to generate employment on a large scale or committed to innovation or development and the like.
- Section 79²³⁴- This section allows a startup to carry forward its losses if its shareholders enjoy voting power on the last day of the preceding year in which loss was sustained and continue to hold shares on the last day of the preceding year for which income is to be set off. Relief under this section can be claimed only if the losses are sustained within the seven years from the date of incorporation of the startup.
- Section 56²³⁵- This section extends exemption to the DPIIT recognized startups if the aggregate amount of paid-up share capital and its share premium is not beyond Rs. 25

²³³The Income Tax Act, 1961.

²³⁴*Ibid.*

²³⁵*Ibid.*

crores. A startup can apply for Angel Tax Exemption once it has been recognized by DPIIT.

- Section 54EE²³⁶- If a startup meets the eligibility criteria, its taxes on a 'long term capital gain' can be exempted if the mentioned long term capital gain or a part of it happens to be invested in a fund that was notified by the Central Government within six months following the date of transfer of the asset. A startup cannot invest beyond Rs.50 Lakhs in a long-term capital gain and the amount invested in it shall remain locked in for 3 years. If the amount is withdrawn, startup will not be eligible for exemption any further.
- Section 115JB²³⁷- Minimum Alternative Tax (MAT) is levied on startups as an advance tax. Under this section, if an eligible startup does not earn any profit in the initial five years from the date of its incorporation, it can claim exemption from MAT.

Once a startup gets incorporated, it cannot be referred to as a 'company' initially. It is on the path to become a 'company'. However, the path is ridden with innumerable obstacles and several startups lose the battle midway. To avert and mitigate such unfortunate turn of fate that befalls numerous startups, Companies Act, 2013 comes to their rescue. The Act acknowledges the difficulties and needs of the startups and provides them with several benefits that are discussed as follows:

- If a startup accepts a sum of Rs. 25 lakh or more in a single tranche from a person in the form of a convertible note that is convertible into equity shares or repayable within a period of not more than five years from the date of issue, it is not considered a deposit.
- For the first five years after formation, a start-up does not have to comply with the conditions for accepting deposits set out in clauses 'a' to 'e' of section 73 of the Companies Act, 2013.
- A startup may hold at least one board of directors' meeting during the first 6 months and last 6 months of the year, provided that the break of not less than ninety days exists between the two meetings.

²³⁶*Ibid.*

²³⁷*Ibid.*

- Employee Stock Options may be granted to a promoter, director, or other member of the group who owns well over 10% of the firm's outstanding equity shares within 10 years of its registration.
- For a period of five years after its incorporation, a private firm that falls in the category of a startup is not mandated to observe the upper limit in terms of deposits to be collected from members.

The afore-mentioned benefits are not the only ones provided to startups. Several other benefits extended to them are:

- Startup registration has been totally moved to the internet, via websites and apps. It has simplified the task considerably. Anyone can set up a business by filling out a form and submitting the necessary paperwork to the concerned website.
- Startups IPR Protection allows startups to fill out IP applications quickly. For startups, the cost for registering patents is also lowered by eighty percent. Teams of facilitators are created to assist with the process of filing applications and to provide legal advice throughout the procedure.
- Numerous labour and environmental legal compliance standards have been made more comprehensible. Not only that but several of the norms have been removed. This reduces costs and effort expended on compliance checks for startups. Presently, startups can self-certify abidance with more than seven labour laws and more than 2 environmental laws.
- The government of India has planned of holding couple of startup fests every year to allow all stakeholders in a firm to interact and expand their networks. The afore-mentioned fests will take place on both the domestic and the global scale. This gives aspiring entrepreneurs the opportunity to expand their network and pursue new prospects.
- Online registration and decreased compliance have greatly simplified the entry procedure of the startups. Together with entry, exiting avenues for entrepreneurs have also been eased. A startup can shut or dissolve in as little as the period of ninety days following the filing of the application for its exit.

INDIA'S REGULATORY REGIME FOR STARTUPS: HIGH TIME FOR RECONSIDERATION

Startups in India are being stifled by existing legal frameworks designed for a different technical ecology.

The overall unmanned aerial vehicles startup environment, for instance, was teetering on the boundary between legitimate and illegitimate until the government intervened in to set the record straight and issued comprehensive rules and policies on drones.

It still does not assist startups that are attempting to disrupt the status quo and must cope with find sufficient funding, scouring talent, reconciling with country's compliances, and then engaging in public policy deliberations to change rules that restrict their expansion and bandwidth to create large scale employment opportunities, increase profits, enhance the existing products in the market and the like.

As an example, consider the issue of online-education. We are all aware that online education facility saved the fate of millions of students in our country amidst the unprecedented crisis of COVID 19. Since online education became a necessity and possible in these trying times, why cannot the government take the requisite measures to ensure that at least high school students and college students are provided the flexibility to attend the online classes in cases labs and practical work aren't part of the curriculum? Having digitalized universities would enable the students to access quality education from the remotest corners of India once they are admitted in the institutions. These students will not be restricted by the financial constraints and in turn improve India's literacy levels by several notches. Not only that but in the longer run they will contribute to the India's economy by participating in the organized sector.

In essence, if our country wishes to improve the quality of education for the current and prospective workforce and increase productivity multiple folds, digital universities can be the only solution in the short span of time. However, it is disconcerting to note that concept of 'digital university' is non-existent in India and will continue to be a utopian vision for the coming decades if the government does not take steps to change the face of Indian education with the help of technology and resources at its disposal. Taking steps to undo this will be akin to

stepping into uncharted territory that holds only wonders for the eyes of the seeker and revolutionize the economy and fate of Indians for the coming decades.

Hence, if India needs to improve its workforce's educational qualifications and productivity at large scale, digital universities may be one of the most effective ways to accomplish so in a short amount of time. India, on the other hand, does not even have the concept of a digital university. It is impossible to apply and gain recognition as a digital university because such a concept does not exist.

In the 2022, where the administration, citizens, and markets are all working to bring about changes, it is only appropriate to have a platform where startups can help in streamlining and simplifying the existing laws and policies, make them more startup-friendly that in turns enables startups to carve out a niche for themselves in the markets through innovation and growth, expand and generate millions of job opportunities and boost Indian economy like never before.

2022: THE YEAR OF STARTUPS

The 2022 report highlights that in the last six years i.e., 2016 onwards, an array of startups has incorporated and established its presence in India. In the year of Startup India launch, nearly 773 startups had received recognition from DPIIT. However, in the previous year, more than 14,000 startups have received recognition. Consequently, up to January 10, 2022, Government has recognised over 61, 400 startups in the country. With the vast increase in number of startups in India receiving unicorn status, our country has secured third position after US and China. It is remarkable to point out that up to January 14, 2022, India has 83 unicorns with the overall valuation worth US\$277.77 billion.

TRACING THE STARTUPS PRESENCE ACROSS THE COUNTRY

In past few years, India's Capital and startup capital became one and the same when Delhi supplanted Bangalore in the latter. While 4,514 startups were recognised in Bangalore, more than 5000 startups were added in Delhi between April 2019 to December 2021. However, instead of these two cities, Mumbai has recorded the highest number of startups recognised by the Government totalling 11,308 until December 2021. It comes as no surprise that while in 2016-17, nearly 121 districts have at least 1 startup, in 2021, over 555 districts have more than one startup.

This reflects the expansion of startup ecosystem with the ever-increasing participants year after year.

ENHANCEMENT IN INDIA'S ECONOMY THROUGH STARTUP ECOSYSTEM

Country's financial markets have fared remarkably, allowing for unprecedented risk capital mobilisation for Indian enterprises. Up to this point, the year 2021-22 has been an extraordinary one for the primary markets, with several newer enterprises, technology driven startups, and unicorns raising money via IPOs. Interestingly, Rs. 89,066 crore was raised between April 2021 to November 2021 through the issues of 75 IPOs, setting a record for the highest amount raised in any year previously in the last decade. Recently, numerous companies are tapping the markets owing to exuberance associated with the listings manifested in huge oversubscriptions by retail, High Net worth Individuals (HNIs) and institutional investors. The overwhelming interest from all classes of investors in company IPOs reflected not only market confidence, but also confidence in performance of corporate sector and the economy's long-term prospects.

In January 2022, Piyush Goyal, Minister of Commerce and Industry advised global venture capital funds to search for new sectors that can be invested into unlike the traditional ones and divert attention towards startups recognised in tier-II and tier-III cities of India for rendering them financial support in form of investments, promote their products and safeguard their intellectual property. Moreover, he invited the VC funds to render expertise to startups which will contribute to their expansion and growth.²³⁸

PRIORITIZING INNOVATION AND NEW-AGE TECHNOLOGY

Government has allowed startups to claim tax exemption till 31st March, 2022 by virtue of Finance Act of 2021 with the goal to incentivize them. Moreover, the government has granted exemption from capital gains reaped from startups investment till 31st March, 2022.

Startups in the space sector have increased in the last three years to 47 in 2021 against 11 in 2019. With time, the present-day entrepreneurs are not limiting themselves to traditional sectors and exploring new arenas, space sector being one of them.

²³⁸“Piyush Goyal urges global VC funds to focus on startups in smaller cities in India”, *THE ECONOMIC TIMES*, January 14, 2022, available at: <https://economictimes.indiatimes.com/tech/startups/piyush-goyal-urges-global-vc-funds-to-focus-on-startups-in-smaller-cities-in-india/articleshow/88896382.cms> (last visited on Feb. 13, 2022).

In the recent years, India has witnessed a spike in filing and granting of patents. Against 39, 400 patents filed in India in 2010-11, 58, 502 patents have been filed in 2020-21. Where 7,509 patents were granted in 2010-11, 28,391 patents were granted in 2020-21.

Government launched Startup Accelerator of MeitY for Product Innovation, Development and Growth (SAMRIDH) scheme in 2021 for startups. This scheme will facilitate a conducive environment to the software-oriented product startups to improve their existing products and procure investment for expansion purposes. It is to be noted that government will render its support to such startups in the initial risk stages. These stages are the most challenging ones for the startups and receiving government support will provide them strong footing and set them up for success at a later stage.

According to the Union budget 2022, one quarter of Defence spending will be provided to the startups and Indian manufacturers.

Startups can gain tremendous advantage from the policies presumably to be announced in sectors such as artificial intelligence, climate change, space economy and the like.

Furthermore, government will support startups in form of application and drone subscription services to promote ‘Drone Shakti’ as per the budget 2022.

As per the Budget 2022, Government plans to establish a digital university for school students, create and provide online content to them, and increase educational televised channels to 200 against 12.

Additionally, the recent budget introduced ‘Digital Currency’ by the Reserve bank of India that will result in cheaper currency.

Budget 2022 has also set the gaming industry for massive growth in the next few years. Government has taken measures to promote AVGC (Animation, Visual Effects, Gaming and Comics). It intends to create a flourishing gaming industry in India. This will result in several benefits namely- luring prospective employees to make a career in gaming industry, create employment opportunities as the industry expands in the coming years, promote innovation at national level to minimize existing reliance on other countries.

CONCLUSION

The hovering cloud of Covid-19 has not departed. It comes in waves and recedes with time. However, myriads of consequences have ensued and disrupted the Indian economy from its footing in 2020. The ripples of economic destruction were felt across the length and breadth of India.

Newspapers and online articles were peppered with afflictions of middleclass and poorest sections of society. Innumerable employees were laid off, many small and large business shut down as Government imposed months-long lockdown and restrictions across the nation.

Amidst the bleak days that passed, many ideas germinated and took shape in the minds of people. Several of them worked towards converting their ideas into reality and establishing its presence in the form of startups.

As the startup ecosystem gained momentum, startups recognised by government sprouted across the country. Government acknowledged the potential of startups in enhancing country's economy and introduced initiatives, policies and tax rebate to boost startups' growth and expansion via Budget 2022. Moreover, the Economic Survey 2021-22 recorded that there has never been a more favourable time for startups.

The government has undertaken the initiative to create a more conducive regulatory and policy-oriented platform for the startups in the country. The afore-stated discussions concerning the benefits of Startup India, highlights of Economic Survey 2021-22 and Union budget 2022 reflect that existing and the newly recognized startups can rely on support from the government and startup ecosystem is here to stay for the long haul. However, the path is not devoid of challenges. The regulatory framework and policy formation has to be in line with government's vision for the Indian startup ecosystem. The persisting lacunae needs to be done away with to preserve the entrepreneurial spirit of the present and prospective startup founders. If not, they will throttle the dreams of millions and plunge the Indian economy into the depths of despair.

The year 2022 and beyond belongs to startups. They will enhance the economy in the coming years and rewrite the fate of India as a developed country against an underdeveloped nation.

THE INSOLVENCY AND BANKRUPTCY CODE – NOT A BRITTLE FRAMEWORK

- DR. PRASHANT BHADU²³⁹

ABSTACT

“The experiment conducted in enacting the Code is proving to be largely successful. The defaulter’s paradise is lost. In its place, the economy’s rightful position has been regained.”

- SC in Swiss Ribbons Vs. Union of India (25th January 2019)

Prior to the commencement of the Insolvency and Bankruptcy Code, 2016 (IBC, 2016 or code), the legislative framework in India dealing with the insolvency and restructuring procedures of corporate entities, partnership firms and individuals was very complex and fragmented across multiple legislations viz. the Companies Act, 1956, the Sick Industrial Companies (Special Provisions) Act, 1985, the Securitisation and Reconstruction of Financial Assets and Enforcement of Security Interest Act, 2002 (SARFAESI Act), the Recovery of Debts due to Banks and Financial Institutions Act (RDDBFI Act), 1993, etc. The presence of multiple laws, forums and complexities resulted in delays in the timely resolution of the distressed entities, partnership firms or individuals, which further lead to the devaluation of the assets of the borrower, making insolvency negotiations redundant.

The IBC 2016 has laid down a collective mechanism for resolution of insolvencies in the country by maintaining a delicate balance for all stakeholders to preserve the economic value of the process in a time bound manner.

KEYWORDS: *Bankruptcy, Debts, Insolvency, Security*

INTRODUCTION:

The Insolvency and Bankruptcy Code, 2016 (Code) is a major reform that was introduced by the Government of India in the year 2016. It completes the basket of economic freedoms by giving

²³⁹ Assistant Professor, School of Law, Mody University, Laxmangargh,,Sikar, Rajasthan.

the freedom to exit businesses and its success can be gauged from the recent improvement in India's ranking in the World Bank Ease of Doing Business Rankings from 77 to 63. The jump in India's ranking from 136 to 52 on the 'resolving insolvency' parameter in the last three years has been the major contributor. But in the last few weeks, the Government has come under criticism from none other than a distinguished figure like Dr. Urjit Patel, Former Governor, Reserve Bank of India (RBI) and presently Chairman, National Institute of Public Finance and Policy, New Delhi. Dr. Patel alleges that the Government had gone on a soft pedal²⁴⁰ while driving the Code, thereby leading to a situation where the gains achieved on the behavioral front of "debtor be aware" by a tough implementation of the Code was probably lost. This assertion of Dr. Patel needs to be examined further as to whether the Code has been really weakened due to the interventions of the Government or not?

AMENDMENT TO THE BANKING REGULATION ACT AND RBI CIRCULAR:

The provisions relating to the corporate insolvency resolution process (CIRP) in the Code came into force on 1st December, 2016 and till 31st March 2020, 3774 companies are undergoing CIRP or have already undergone CIRP. Out of the total admitted cases of 3774, 49.65% of the cases have been initiated by operational creditors (goods and service providers) while 43.61% have been initiated by financial creditors (banks and financial institutions) and the rest by the corporate debtors (CD) themselves. Therefore, it must be kept in mind that most of the cases i.e. almost half the cases have been initiated by the goods and service providers not by the banks and financial institutions.

For the purpose of resolution of stressed assets by timely identification and recognition of stressed accounts, the Central Government promulgated the Banking Regulation (Amendment) Ordinance, 2017 (w.e.f. 4th May 2017) (Ordinance) which inserted sections 35AA and 35AB into the Banking Regulation Act, 1949 (BR Act). These provisions firstly, empowered the Central Government to authorize the RBI to issue directions to any banking company to initiate insolvency resolution process in case of default and secondly, it allowed the RBI to issue directions to banking companies for resolution of stressed assets. Together, it was felt, that these provisions will aid the resolution of stressed assets in the banking system which had

²⁴⁰ Dr. Urjit Patel, Overdraft Saving the Indian Saver (Harper Collins, 2020), pg. 71.

reached unacceptably high levels as per the Ordinance. This Ordinance was later converted into an Act by the Banking Regulation (Amendment) Act, 2017.

DR. URJIT PATEL, OVERDRAFT SAVING THE INDIAN SAVER (HARPER COLLINS, 2020):

The RBI in furtherance of its powers under the amended BR Act came out with the 12th February, 2018 Circular (February Circular) which provided for a revised framework for resolution of stressed assets. It may be noted that this circular was preceded by the identification of 12 accounts by the RBI's Internal Advisory Committee [IAC]. These 12 accounts constituted approximately 25% of the NPAs in the system and CIRPs were initiated against these 12 accounts vide RBI's direction dated 15th June 2017.

The February Circular provided for resolution of a stressed asset outside the Code by a restructuring plan termed as the resolution plan (this resolution plan is not the same as that provided for under the Code). This restructuring plan must be agreed to by 100% of the lenders. This circular was applicable in case of resolution of debts with aggregate exposure of INR 2000 Crores or more. In case of default on or before 1st March 2018 (cut-off date) which persists for 180 days from the cut-off date or in case of default after the cut-off date then, 180 days from the date of default, the lenders shall within 15 days of the expiry of the 180 days period, initiate CIRP either singly or jointly with other lenders. This means that if the restructuring plan is not implemented within 195 days (180 days + 15 days), then the lenders must compulsorily initiate CIRP against the debtor.

STANDING COMMITTEE REPORT AND LEGAL CHALLENGE:

This one-size-fits-all measure, posed practical difficulties in the context of certain sectors like the power sector which was reeling under the double whammy of cancellation of coal blocks by an order of the Supreme Court and non-payment of dues by DISCOMs. The 40th Report of the Parliamentary Standing Committee on the impact of the February Circular on NPAs in the power sector, observed that lenders like the Rural Electrification Corporation and the SBI submitted before the Standing Committee that the 180 days' time period given in the February Circular for implementation of the restructuring plan, is impossible and arriving at a 100% consensus of lenders is very difficult. After considering all opinions and arguments, the Standing Committee

recommended that the RBI should not adopt sector agnostic stressed asset resolution framework like the February Circular and should come out with sector specific resolution frameworks. The report also stated that the revised framework ignores the ground realities.

Thereafter, the constitutionality of sections 35AA and 35AB of the BR Act under which the February Circular was enacted, came under question before the Supreme Court of India in the matter of *Dharani Sugars Vs. Union of India*²⁴¹. The Supreme Court (SC) in its wisdom upheld the constitutional validity of sections 35AA and 35AB of the BR Act but held that the RBI can only give directions in respect of specific defaults and that too on authorization from the Central Government. Therefore, the circular was held to be ultra vires and thus, has no effect in law. Further the SC also ruled that all proceedings initiated only because of the operation of the circular are non-est.

REVISED RBI CIRCULAR:

The RBI issued a revised circular dated 7th June 2019 (June Circular) which had no reference to initiation of CIRP against the defaulters but provided for additional provisioning of accounts which have defaulted depending on the number of days of default. The circular also stated that it would not prejudice any specific directions from the RBI to the lenders for initiation of CIRP against defaulting companies. Therefore, under the new framework, the decision to initiate CIRP is largely left to the decision of the creditors, subject to the specific directions of the RBI. This is in consonance with the observations of the SC in the *Dharani Sugars* matter wherein the SC observed that for exercise of the power of the Central Government under the amended BR Act required due deliberation and care. It was felt that this power cannot be exercised in a one-size-fits-all manner.

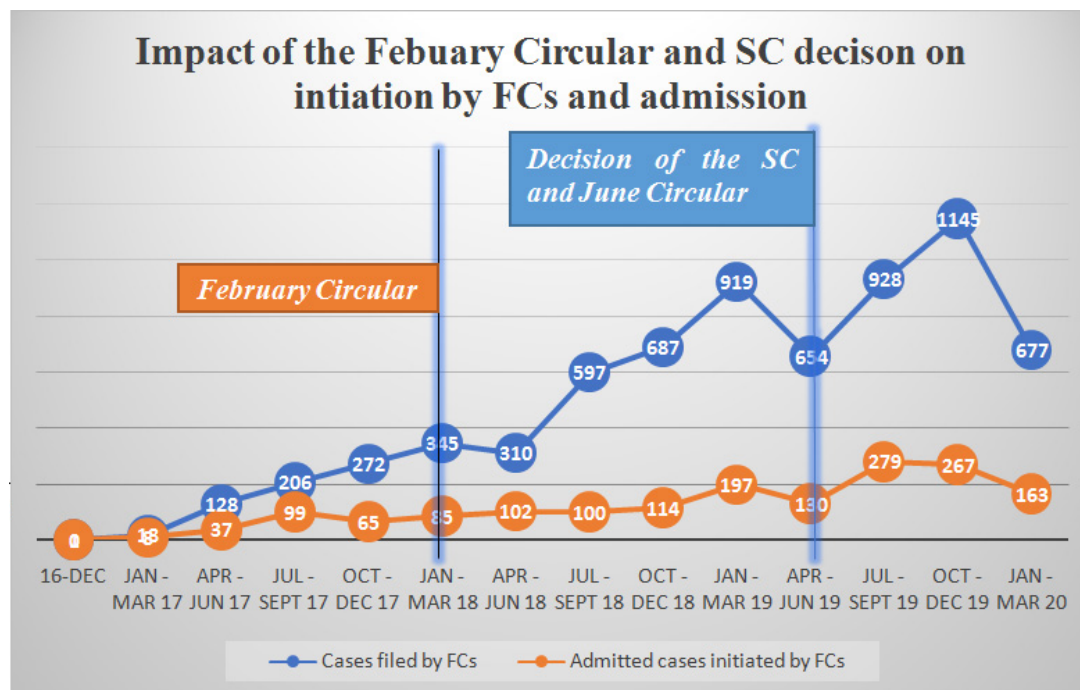
The June Circular strikes a balance by providing flexibility to the lenders to negotiate with the defaulters, to take a considered decision as to whether the matter needs to be referred to the NCLTs and protects the balance sheets of lenders by providing for additional provisioning. Further the RBI may also give specific directions to the lenders to initiate CIRP against CDs, if there is an imminent need for it. Even though February Circular was struck down, the use of the Code is not impacted. The operational creditors have been major users of the Code in terms of

²⁴¹ 2019 SCC Online SC 460

initiation of CIRP. Once a CIRP has commenced on an application by any creditor, every creditor submits its claim and the process goes on and ends either with resolution or liquidation or withdrawal by settlement.

NUDGING, DATA AND NUMBER OF CASES FILED:

Dr. Patel argues that without the February Circular which forces lenders to take the NCLT route to resolution of stressed assets, the Code probably becomes brittle and delays the recognition of stressed accounts by the banks and therefore aggravates the NPA problem. Nothing could be further from the truth as the banks are not the only creditors in the ecosystem. There are operational creditors who constitute the major chunk of creditors in terms of numbers and the data establishes that they are the ones who have initiated most of the CIRPs.



In the graph shown above²⁴², the blue line tracks the number of cases filed by financial creditors under the Code quarter-wise and the orange line tracks the number of companies admitted by the

²⁴² Data has been taken from the Insolvency and Bankruptcy Board of India's internal data records. It may be noted that the date of filing has been taken as the date on which the case was first listed for hearing. In *JK Jute Mills Vs. Surendra Trading* [NCLAT, 1st May 2017] the NCLAT had held that the 14 days' time period for admission of new cases by the NCLT has to be counted from the date of first listing for hearing.

NCLTs quarter-wise in which the case was initiated by financial creditors. The relevant dates of the February Circular and the decision of the SC scrapping the February Circular have also been indicated in the graph. It can be seen from the graph that April-June, 2019 quarter there is a dip in the number of filed case over the previous quarter as the decision of the SC was given on 2nd April, 2019 but thereafter for the next two quarters the number of filed cases have consistently risen with a dip in the January-March, 2020 quarter probably due to the declaration of lockdown due to COVID-19. For the same period the number of admitted cases has also risen with a dip in the January-March, 2020 quarter due to the lockdown.

Looking at the number of cases filed prior to the February Circular, it seems that there was a steady rise in cases filed but after the February Circular, there was an overall exponential rise in the number of cases being filed. This Circular acted as a nudge to the banks to make appropriate use of the NCLTs. With the progress of time, as the teething troubles and uncertainties around the Code were corrected by amendments and judicial decisions, creditors became more confident in using the Code as a tool for resolution of stressed assets and therefore the consistent rise is seen in the number of cases files by FCs even after the February Circular was struck down by the Supreme Court. It can be said that the number of cases being filed by FCs became agnostic to the February Circular once, the momentum of filing cases built up which is seen by the rise in number of cases filed even after the Circular was struck down by the SC.

It is clear from the data that no creditor alone has the authority to determine who is a defaulter and who is not as is made out by Dr. Patel, who believes that the judgment of the Supreme Court striking down the February Circular effectively gives a veto to the Government to decide who is a defaulter.²⁴³ The right to initiate CIRP has been given to many creditors and thus the power is diffused and not concentrated in any single hand as is made out by Dr. Patel.

STEPS TAKEN BY THE GOVERNMENT TO STRENGTHEN THE CODE:

Contrary to the views of Dr. Patel, the Government has taken several steps to strengthen the Code. A standing committee of experts from the Government and private sector called the Insolvency Law Committee (ILC) which is headed by the Secretary, Ministry of Corporate Affairs was formed. The ILC continuously reviews the working of the Code and recommends

²⁴³ Supra note 1, at 74-75

amendments and changes needed in the law depending on the market trends and evolving jurisprudence in the insolvency space. The major amendments made to strengthen the Code are provided in the table below:

Sl. No.	Amendment Act/Rules	Important Changes	Remarks
1.	Insolvency and Bankruptcy Code (Amendment) Act, 2017 (w.e.f 23rd November, 2017)	<p>Insertion of section 29A to bar promoters and related parties to the CD from bidding during CIRP. This is to save the company from its own management.</p> <p>Insertion of proviso to section 35(f) to prevent the Liquidator from selling the assets of the CD to persons ineligible under section 29A.</p> <p>Insertion of section 235A for the prosecution of persons who violate any provisions of the Code or the rule or regulations made thereunder.</p>	Section 29A is a major reform, which has contributed to the behavioral change in the market as the promoters now have a fear that they may lose their companies if they do not pay their debts.
2.	Insolvency and	Inclusion of home buyers	Reduction of the voting

	<p>Bankruptcy Code (Second Amendment) Act, 2018</p> <p>(w.e.f 6th June 2018)</p>	<p>as financial creditors under the Code.</p> <p>Reduction of voting percentage from 75% to 66% for major decision such as seeking extension of time from AA, approval of resolution plan, actions under section 28 of the Code.</p> <p>Making section 14 of the Code non-applicable to guarantors to CD. Thus, allowing the creditors to initiate CIRP against the guarantors.</p> <p>Inserted section 240A in the Code which provides for a special dispensation for MSME corporate debtors.</p>	<p>percentage for critical decisions was borne out of the need to ensure more resolutions than liquidations.</p> <p>The exclusion of guarantors from section 14 opened the option before lenders to initiate CIRP against guarantors.</p>
3.	<p>Insolvency and Bankruptcy Code (Amendment) Act, 2019</p> <p>(w.e.f 16th August</p>	<p>Recording of reasons by the AA if a petition is not admitted within 14 days of filing of the petition under section 7 of the Code. Fixing of upper limit of 330 days for</p>	<p>This amendment aimed to control the time delays that were taking place in completing CIRP.</p> <p>It also corrected the decision of the NCLAT in the Essar Steel matter which proposed to divide</p>

	2019)	<p>completion of CIRP under the Code.</p> <p>Providing for the payment of operational debts.</p> <p>Primacy to commercial wisdom in determining the manner of distribution of the amount under the resolution plan.</p> <p>Making the Code binding on all stakeholders including the Central, State and Local Governments.</p>	<p>the resolution amount equally between the financial and operational creditors.</p> <p>It made the approved resolution plan binding on all for its better implementation.</p>
4.	<p>Insolvency and Bankruptcy Code (Amendment) Act, 2020</p> <p>(w.e.f 28th December 2019)</p>	<p>No termination of licences, quotas, permits etc. for the period of moratorium to ensure that the CD can be kept as a going concern.</p> <p>Insertion of section 32A to protect the CD and its assets from criminal liability without absolving the management of the CD.</p>	<p>To protect the CD undergoing CIRP from criminal action, section 32A was introduced. It ensures that the CD's valuations are not affected and prospective resolution applicants are not scared away by the fear of unnecessary litigation.</p>

In addition to the amendments made, Rules made under section 227 of the Code have been enforced which extends the application of the Code to financial firms which are not covered under the general scheme of the Code. This was done considering the stress in the housing sector and at present the Dewan Housing Finance Ltd is undergoing CIRP under this process. Further Part III of the Code has been enforced in respect of personal guarantors to corporate debtors. Over the three years of existence of the Code, it can be said with certainty that the Code has not remained stagnant, it is a living document and has evolved as per the requirements of the market and the Government must get due credit for it.

Further to ensure transparency, an Information Utility (IU) is operational which provides authenticated information to facilitate creditors to establish default and substantiate claims. This record of default eases the process and ensures that there is timely admission of cases by the AA. All FCs must share their financial information with the IU as per section 215 of the Code. In furtherance of this provision, the RBI had issued a circular dated 4th January 2018 which directs all FCs to share their financial information with the IU.

JUDICIAL DECISIONS WHICH STRENGTHEN THE CODE:

In addition to the efforts of the Government, the judiciary has delivered landmark judgments which have strengthened the Code and ensured its smooth implementation. Some of the landmark judgments are discussed below:

OVERRIDING EFFECT OF THE CODE:

Section 238 of the Code, gives an overriding effect to the Code, this means that any law inconsistent with the Code, to the extent of such inconsistency shall be not be applicable in a matter where the provisions of the Code are applicable. This provision resolves ambiguity in the implementation of the Code and smoothenes the implementation process for the Code. This issue has been litigated before the court multiple times such as in Pr. Commissioner of Income Tax Vs. Monnet Ispat and Energy Ltd. [Petition for Special Leave to Appeal (C) No(s) 6483/2018 (SC)] it was held that the Code shall override the Income Tax Act, 1961 and in Pioneer Urban Land and Infrastructure Limited & Anr. Vs. Union of India & Ors. [WP(C) No. 43 of 2019 (SC)] it was held that Real Estate (Regulation and Development) Act, 2016 (RERA) and the Code should co-exist but in case of clash, RERA must give way to the Code as it is a later enactment.

PRIMACY TO COMMERCIAL WISDOM OF THE CREDITORS:

The role of the Adjudicating Authority (AA) in CIRP is limited and extends to ensuring fairness in the process. Even the power of the AA is limited while approving the resolution plan under section 31 of the Code. The SC in *Committee of Creditors of Essar Steel India Limited Through Authorised Signatory Vs. Satish Kumar Gupta*²⁴⁴ had held that judicial review by the AA must be within the four corners of section 30(2) of the Code, which provides for the essentials of the resolution plan. CIRP being a market driven and collective process, the AA cannot interfere in the commercial decisions of the Committee of Creditors (CoC). The SC had ruled so in the matter of *K. Sashidhar vs. Indian Overseas Bank & Ors.*²⁴⁵

Protecting the timelines:

The SC in *Innoventive Industries Ltd. vs. ICICI Bank & Anr.*²⁴⁶ had held that time is of the essence in the implementation of the Code. Further the SC in *Arcelormittal India Private Limited Vs. Satish Kumar Gupta & Ors.*²⁴⁷ had held that the model timeline provided under the IBBI (CIRP) Regulations, 2016 are mandatory and must be adhered to in the process. This ensures that valuable time is not lost in process which might potentially affect the valuations of the CD.

CONCLUSION:

The first order objective of the Code is rescuing life of a company in distress.²⁴⁸ Till 31st March, 2020, 221 companies have been rescued through resolution plans.²⁴⁹ Out of these 221 resolved companies, the creditors realized 183% of the liquidation value of these companies.²⁵⁰ Any other option of recovery or liquidation would have recovered at best 100 minus the cost of recovery/liquidation, while the creditors realised 183 under the Code, after rescuing the companies. This excess recovery of 83 is a bonus from the Code.²⁵¹ Though recovery is incidental under the Code, the financial creditors, as compared to their claims, recovered

²⁴⁴ CA No. 8766-67 of 2019

²⁴⁵ CA. No. 10673 of 2018 and others

²⁴⁶ CA Nos. 8337-8338 of 2017

²⁴⁷ CA Nos. 9402-9405 of 2018

²⁴⁸ *Binani Industries Limited vs. Bank of Baroda & Anr.* [CA (AT) No. 82,123,188,216 & 234 -2018].

²⁴⁹ IBBI Newsletter (January-March, 2020).

²⁵⁰ Id.

²⁵¹ M.S. Sahoo, "Achievement of the Insolvency Code is that debtors now resolve defaults in early stages" [The Indian Express, available at <https://indianexpress.com/article/opinion/columns/the-real-reform-remove-term-insolvency-and-bankruptcy-code-insolvency-and-bankruptcy-code-6313291/>].

43%.²⁵² Further applications have been filed by insolvency professionals in respect of avoidance transactions, to recover assets which has been illegally transferred by the directors/promoters. Also, prosecutions have been initiated against errant promoters and resolution applicants who have not honoured the terms of their resolution plan before special courts.

This shows that the process has not been weakened at any stage and the Government has proactively plugged loopholes in the law to make the process full proof. It is beyond doubt that the Code is a success which has been strengthened from time to time by the Government. Future initiatives which are in the pipeline such as special framework for MSMEs, pre-pack, Fresh Start Process and Cross Border Insolvency will further strengthen the Code.

²⁵² Supra note 6

CAPITAL PUNISHMENT AND SENTENCING POLICIES

- DR. RAM CHARAN MEENA²⁵³

INTRODUCTION

“I cannot in all conscience agree to anyone being sent to the gallows. God alone can take life because he alone gives it”.

- Mahatma Gandhi

All punishments are based on the same proposition i.e. there must be a penalty for wrongdoing. There are two main reasons for inflicting the punishment. One is the belief that it is both right and just that a person who has done wrong should suffer for it; the other is the belief that inflicting punishment on wrongdoers discourages other from doing wrong. The death penalty or capital punishment also rests on the same proposition as other punishments.²⁵⁴

Death penalty is an integral part of the Indian criminal justice system. Increasing strength of the human rights movement in India, the existence of Death penalty is questioned as immoral. However this is an odd argument as keeping one person alive at the cost of the lives of numerous members or potential victims in the society is unbelievable and in fact, that is morally wrong.²⁵⁵

Death penalty is to be very sparingly applied with special reasons in cases of brutal murder and gravest offences against the state. About retention or abolition of capital punishment, debates are raging the world over amongst social activists, legal reformers, judges, jurists, lawyers and administrators. Criminologists and penologists are engaged in intensive study and research to know the answer to some perennially perplexing questions on Capital Punishment.

- Whether capital punishment serves the objectives of Punishment?
- Whether complete elimination of criminals through capital punishment will eliminate crime from the society?
- Whether complete elimination of crime from society is at all possible or imaginable?

²⁵³ Assistant Professor, Government Law College, Sikar, Rajasthan.

²⁵⁴ <http://newindialaw.blogspot.in/2012/11/constitutional-validity-of-capital.html>

²⁵⁵ <http://www.allsubjectjournal.com/archives/2015/vol2issue4/PartK/62.pdf>

Human beings are neither angels capable of doing only good nor are they demons determined to destroy each other even at the cost of self-destruction. Taking human nature as it is, complete elimination of crime from society is not only impossible but also unimaginable. Criminologists and penologists are concerned about and working on reduction of crime rate in the society.. Social attitude also needs to change towards the deviants so that they do enjoy some rights as normal citizens though within certain circumscribed limits or under reasonable restrictions.

But we also have to think from victims' point of view. If victims realize that the state is reluctant to punish the offenders in the name of reform and correction, they may take the Law in their own hands and they themselves may try to punish their offenders and that will lead to anarchy. Therefore, to avoid this situation, there is a great need for prescribed and proportional punishment following Bentham's theory of penal objectives that pain of offender should be higher than pleasure he enjoys by commission of the crime. But this "higher" must have proportionality and uniformity too; for example, for theft, trespass, extortion and so forth, capital punishment is not reasonable and even life imprisonment is disproportionate and unreasonable.

MEANING OF DEATH PENALTY

Death penalty, also called Capital Punishment, execution of an offender sentenced to death after conviction by a court of law for a criminal offense. Capital punishment should be distinguished from extrajudicial executions carried out without due process of law. The term death penalty is sometimes used interchangeably with capital punishment, though imposition of the penalty is not always followed by execution (even when it is upheld on appeal), because of the possibility of commutation to life imprisonment²⁵⁶.

The term "Capital Punishment" stands for most severe form of punishment. It is the punishment which is to be awarded for the most heinous, grievous and detestable crimes against humanity. While the definition and extent of such crimes vary from country to country, state to state, age to age, the implication of capital punishment has always been the death sentence. By common usage in jurisprudence, criminology and penology, Capital sentence means a sentence of death²⁵⁷.

²⁵⁶ <http://www.britannica.com/topic/capital-punishment>

²⁵⁷ Capital Punishment in India by Dr. Subhash C. Gupta, 2000, p. 1

HISTORICAL BACKGROUND

Capital punishment is an ancient sanction. There is practically no country in the world where the death penalty has never existed. History of human civilization reveals that during no period of time capital punishment has been discarded as a mode of punishment²⁵⁸. Capital punishment for murder, treason, arson, and rape was widely employed in ancient Greece under the laws of Draco (7th century BCE), though Plato argued that it should be used only for the incorrigible. The Romans also used it for a wide range of offenses, though citizens were exempted for a short time during the republic²⁵⁹.

This finds support in the observation made by Sir Henry Marine who stated that "Roman Republic did not abolish death sentence though its non-use was primarily directed by the practice of punishment or exile and the procedure of questions"²⁶⁰.

CAPITAL PUNISHMENT IN INDIA

GENERAL

A careful scrutiny of the debates in British India's Legislative Assembly reveals that no issue was raised about capital punishment in the Assembly until 1931, when one of the Members from Bihar, Shri Gaya Prasad Singh sought to introduce a Bill to abolish the punishment of death for the offences under the Indian Penal Code. However, the motion was negative after the then Home Minister replied to the motion.

The Government's policy on capital punishment in British India prior to Independence was clearly stated twice in 1946 by the then Home Minister, Sir John Thorne, in the debates of the Legislative Assembly. "The Government does not think it wise to abolish capital punishment for any type of crime for which that punishment is now provided"²⁶¹.

At independence, India retained several laws put in place by the British colonial government, which included the Code of Criminal Procedure, 1898 ('CrPC. 1898'), and the Indian Penal

²⁵⁸ *Op.cit.* Capital Punishment by Dr. Subhash C. Gupta, 2000, p.

²⁵⁹ <http://www.britannica.com/topic/capital-punishment>

²⁶⁰ *Op.cit.* Capital Punishment in India by Dr. Subhash C. Gupta, 2000, p. 1

²⁶¹ *Ibid.*.

Code, 1860 ('IPC'). The IPC prescribed six punishments that could be imposed under the law, including death. For offences where the death penalty was an option, Section 367(5) of the CrPC 1898 required courts to record reasons where the court decided not to impose a sentence of death:

"If the accused is convicted of an offence punishable with death, and the court sentences him to any punishment other than death, the court shall in its judgment state the reason why sentence of death was not passed."

In 1955, the Parliament repealed Section 367(5), CrPC 1898, significantly altering the position of the death sentence. The death penalty was no longer the norm, and courts did not need special reasons for why they were not imposing the death penalty in cases where it was a prescribed punishment. The Code of Criminal Procedure was re-enacted in 1973 ('CrPC'), and several changes were made, notably to Section 354(3):

"When the conviction is for an offence punishable with death or, in the alternative, with imprisonment for life or imprisonment for a term of years, the judgment shall state the reasons for the sentence awarded and, in the case of sentence of death, the special reasons for such sentence."

This was a significant modification from the situation following the 1955 amendment (where terms of imprisonment and the death penalty were equal possibilities in a capital case), and a reversal of the position under the 1898 law (where death sentence was the norm and reasons had to be recorded if any other punishment was imposed). Now, judges needed to provide special reasons for why they imposed the death sentence.

These amendments also introduced the possibility of a post-conviction hearing on sentence, including the death sentence, in Section 235(2), which states:

"If the accused is convicted, the Judge shall, unless he proceeds in accordance with the provisions of section 360, hear the accused on the question of sentence, and then pass sentence on him according to law²⁶²."

²⁶² India. Law Commission of India, Report No.262 on Death Penalty, August 2015, pp. 17-18.

Various laws under which death penalty can be prescribed as a possible punishment in India are given at *Annexure-I*

The punishment to which offenders are sentenced under the provision of Indian penal Code.²⁶³

- Capital punishment
- Life Imprisonment
- Imprisonment (rigorous and simple)
- Forfeiture of property
- Fine.

Capital punishment is one of the abrasive punishments which are provided under the IPC which involve taking of life of accused for his wrongful act. The risk of penalty is the cost of crime or wrongful act which the offender has to pay; when this suffering is high as compared to benefit which the crime is expected to yield, it will be useful to deter a considerable number of people. Here the question arises whether a State has right to take a life of a person, however he cross the any limit of barbarousness. The people distributed in two group about this question First is Moralists who feel that this penalty is necessary to deter the other like-minded person; Second is Progressive, who argue that this is only a judicial taking of life which court mandated.

An analysis of Criminal jurisprudence, would explore that the penalty of Death is given only in extreme or “Rarest of rare cases” in which a high degree of guilt is involved, which threat the society highly. Not only culpability of dangerousness of the act is taken into consideration to decide whether or not he deserve this penalty of death but also his personal attributes and circumstances and gravity of offence has also to be taken into deliberation. So the penalty should depend upon the gravity of offender’s act and societal reaction on it.

AIM AND OBJECTIVE OF DEATH PENALTY

The objectives of death penalty are found in making the evil doer an example and deter other likeminded people. Out of the various theory of punishment the two i.e. the retributive and the

²⁶³ Section 53, Indian Penal code, 1860, Gaur K.D. Text Book Of Indian Penal Code, University Law Publication, 6th edition

deterrent²⁶⁴ provides justification for death penalty. Retributive theory emphasizes retention of death punishment for horrendous crimes. This theory is based on principle “An eye for an eye”, “a tooth for a tooth”. It consists not in simple but in proportionate retaliation, that is in receiving in return for a wrongful act not the same thing but its equivalent. Deterrent theory set an example for the wrong doer. This theory operates on two counts:

- i. **Firstly**, when the offender is punished by infliction of death; the society gets rid of him;
- ii. **Secondly**, it impresses the consciousness of people at large and thus serves the purpose of preventing others from committing crimes²⁶⁵.

This is the theory also emphasize the need of death penalty as a token of emphatic disapproval of the society for murderous crime. The aims of punishments are now considered to be retribution, justice, deterrence, information and protection and modern sentencing policy reflects combination of several or all these aims. The retributive element is intended to show public repulsion to the offence and to punish the offender for his wrong conduct. In the concept of justice as an aim of punishment growing emphasis is laid upon it by much modern legislation but judicial opinion towards this particular aim is varied and rehabilitation will not usually be accorded precedence over deterrence means both the punishment should fit the offence and also that like offences should receive similar punishment.

INTERNATIONAL SCENARIO

The international landscape regarding the death penalty - both in terms of international law and state practice - has evolved in the past decades. Internationally, countries are classified on their death penalty status, based on the following categories:

- Abolitionist for all crimes
- Abolitionist for ordinary crimes
- Abolitionist *de facto*
- Retentionist

²⁶⁴ G.W. Paton, Text book of Jurisprudence, (Oxford University Press, London, 3rd edition, 1969)

²⁶⁵ Jagmohan Singh v. State of U.P. AIR 1973 SC 947(958)

At the end of 2014, 98 countries were abolitionist for all crimes, 7 countries were abolitionist for ordinary crimes only, and 35 were abolitionist in practice, making 140 countries in the world abolitionist in law or practice. 58 countries are regarded as retentionist, who still have the death penalty on their statute book, and have used it in the recent past²⁶⁶. While only a minority of countries retain and use the death penalty, this list includes some of the most populous nations in the world, including India, China, Indonesia and the United States, making a majority of population in the world potentially subject to this punishment. Country wise list of these four categories is given at *Annexure-II*.

CAPITAL PUNISHMENT IN INTERNATIONAL HUMAN RIGHTS TREATIES

- ❖ The International Covenant on Civil and Political Rights ('ICCPR') is one of the key documents discussing the imposition of death penalty in international human rights law. The ICCPR does not abolish the use of the death penalty, but Article 6 contains guarantees regarding the right to life, and contains important safeguards to be followed by signatories who retain the death penalty²⁶⁷.
- ❖ The Second Optional Protocol to the ICCPR, aiming at the abolition of the death penalty is the only treaty directly concerned with abolishing the death penalty, which is open to signatures from all countries in the world. It came into force in 1991, and has 81 states parties and 3 signatories²⁶⁸.
- ❖ Similar to the ICCPR, Article 37(a) of the Convention on the Rights of the Child ('CRC') explicitly prohibits the use of the death penalty against persons under the age of 18. As of July 2015, 195 countries had ratified the CRC²⁶⁹.
- ❖ The Convention against Torture and Cruel, Inhuman or Degrading Treatment or Punishment ('the Torture Convention') and the UN Committee against Torture have been sources of jurisprudence for limitations on the death penalty as well as necessary safeguards. The Torture Convention does not regard the imposition of death penalty *per se* as a form of torture or cruel, inhuman or degrading treatment

²⁶⁶ India. Law Commission of India, Report No.262 on Death Penalty, August 2015, pp.38-39

²⁶⁷ ICCPR, Article 6.

²⁶⁸ "UN Treaty Collection: Second Optional Protocol to the International Covenant on Civil and Political Rights, aiming at the abolition of the death penalty". United Nations.

²⁶⁹ Article 2 (1), Second Optional Protocol to the ICCPR, aiming at the abolition of the death penalty.

or punishment ('CIDT'). However, some methods of execution and the phenomenon of death row have been seen as forms of CIDT by UN bodies²⁷⁰.

- ❖ In the evolution of international criminal law, the death penalty was a permissible punishment in the Nuremberg and Tokyo tribunals, both of which were established following World War II. Since then, however, international criminal courts exclude the death penalty as a permissible punishment²⁷¹.

Of the treaties mentioned above, India has ratified the ICCPR and the CRC, and is signatory to the Torture Convention but has not ratified it. Under international law, treaty obligations are binding on states once they have ratified the treaty. Even where a treaty has been signed but not ratified, the state is bound to "refrain from acts which would defeat the object and purpose of a treaty"²⁷².

POLITICAL COMMITMENTS REGARDING CAPITAL PUNISHMENT GLOBALLY

- ❖ Several resolutions of the UN General Assembly (UNGA) have called for a moratorium on the use of the death penalty. In 2007, the UNGA called on states to "progressively restrict the use of the death penalty, reduce the number of offences for which it may be imposed" and "establish a moratorium on executions with a view to abolishing the death penalty." In 2008, the GA reaffirmed this resolution, which was reinforced in subsequent resolutions in 2010, 2012, and 2014. Many of these resolutions noted that, "a moratorium on the use of the death penalty contributes to respect for human dignity and to the enhancement and progressive development of human rights." In 2014, 117 States had voted in favour of the most recent resolution. India has not voted in favour of these resolutions.
- ❖ In a 2013 resolution, the UN Human Rights Council acknowledged "the negative impact of a parent's death sentence and his or her execution on his or her children," and urged "States to provide those children with the protection and assistance they may require," Human Rights Council resolution, 2014 noted that "States with different legal systems,

²⁷⁰ India. Law Commission of India, Report No.262 on Death Penalty, August 2015, pp.44-45

²⁷¹ *Ibid.* pp.45-46

²⁷² *Ibid.* p.46

traditions, cultures and religious backgrounds have abolished the death penalty or are applying a moratorium on its use” and deplored the fact that "the use of the death penalty leads to violations of the human rights of those facing the death penalty and of other affected persons.” The Human Rights Council urged states to ratify the Second Optional Protocol to the International Covenant on Civil and Political Rights.

- ❖ The law of extradition has been another tool for countries pushing for the abolition of the death penalty. Several abolitionist countries either require assurances that retentionist-extraditing countries not impose the death penalty, or have included such a clause in bilateral extradition treaties

CAPITAL PUNISHMENT : THE CURRENT STATUS

SUPREME COURT ON VALIDITY OF CAPITAL PUNISHMENT IN INDIA.

Article 21 of the Indian Constitution ensures the Fundamental Right to life and liberty for all persons. It adds no person shall be deprived of his life or personal liberty except according to procedure established by law. This has been legally construed to mean if there is a procedure, which is fair and valid, then the state by framing a law can deprive a person of his life. While the central government has consistently maintained it would keep the death penalty in the statute books to act as a deterrent, and for those who are a threat to society, the Supreme Court too has upheld the constitutional validity of capital punishment in "rarest of rare" cases. In *Jagmohan Singh v. State of Uttar Pradesh (1973)*,²⁷³ then in *Rajendra Prasad v. State of Uttar Pradesh (1979)*,²⁷⁴ and finally in *Bachan Singh v State of Punjab (1980)*²⁷⁵, the Supreme Court affirmed the constitutional validity of the death penalty. It said that if capital punishment is provided in the law and the procedure is a fair, just and reasonable one, the death sentence can be awarded to a convict. This will, however, only be in the "rarest of rare" cases and the courts should render "special reasons" while sending a person to the gallows'.

CRITERIA FOR RAREST OF RARE

²⁷³ 1973 AIR 947, 1973 SCR (2) 541

²⁷⁴ 1979 AIR 916, 1979 SCR (3) 78

²⁷⁵ AIR 1980 SC 898, 1980 CriLJ 636

The principles as to what would constitute the "rarest of rare" has been laid down by the top Court in the landmark judgment in ***Bachan Singh v. State of Punjab*** (1980).

Supreme Court formulated certain broad illustrative guidelines and said it should be given only when the option of awarding the sentence of life imprisonment is "unquestionably foreclosed". It was left completely upon the court's discretion to reach this conclusion. However, the apex court also laid down the principle of weighing, aggravating and mitigating circumstances. A balance-sheet of aggravating and mitigating circumstances in a particular case has to be drawn to ascertain whether justice will not be done if any punishment less than the death sentence is awarded. Two prime questions, the top court held, may be asked and answered. First, is there something uncommon about the crime which renders the sentence of imprisonment for life inadequate and calls for a death sentence? Second, are there circumstances of the crime such that there is no alternative but to impose the death sentence even after according maximum weightage to the mitigating circumstances which speak in favour of the offenders²⁷⁶?

EMERGENCE OF ALTERNATIVE PUNISHMENT TO CAPITAL PUNISHMENT

In the last few years, Supreme Court has entrenched the punishment of "full life" or life sentence of determinate number of years as a response to challenges presented in death cases. The Supreme Court speaking through a three-judge bench decision in ***Swamy Shraddhanand*** case laid the foundation of this emerging penal option in following terms:

"The matter may be looked at from a slightly different angle. The issue of sentencing has two aspects. A sentence may be excessive and unduly harsh or it may be highly disproportionately inadequate. When an appellant comes to this Court carrying a death sentence awarded by the trial court and confirmed by the High Court, this Court may find, as in the present appeal, that the case just falls short of the rarest of the rare category and may feel somewhat reluctant in endorsing the death sentence. But at the same time, having regard to the nature of the crime, the Court may strongly feel that a sentence of life imprisonment subject to remission normally works out to a term of 14 years would be grossly disproportionate and inadequate. What then should the Court do? If the Court's option is limited only to two punishments, one a sentence of imprisonment, for all intents and purposes, of not more than 14 years and the other death, the

²⁷⁶ Indian Express, New Delhi, dated 27.5.2015

Court may feel tempted and find itself nudged into endorsing the death penalty. Such a course would indeed be disastrous. A far more just, reasonable and proper course would be to expand the options and to take over what, as a matter of fact, lawfully belongs to the Court i.e. the vast hiatus between 14 years' imprisonment and death. It needs to be emphasized that the Court would take recourse to the expanded option primarily because in the facts of the case, the sentence of 14 years' imprisonment would amount to no punishment at all.

Further, the formalization of a special category of sentence, though for an extremely few number of cases, shall have the great advantage of having the death penalty on the statute book but to actually use it as little as possible, really in the rarest of rare cases"

The observations in **Swamy Shraddhanand case** have been followed by the Court in a multitude of cases such as **Haru Ghosh v. State of West Bengal**,²⁷⁷ **State of Uttar Pradesh v. Sanjay Kumar**,²⁷⁸ **Sebastian v. State of Kerala**,²⁷⁹ **Gurvail Singh v. State of Punjab**²⁸⁰ where full life or sentence of determinate number of years has been awarded as opposed to death penalty.²⁸¹

JUDICIAL REVIEW OF EXERCISE OF MERCY POWERS

The Supreme Court in **Shatrughan Chauhan case**²⁸² has recorded that the Home Ministry considers the following factors while deciding mercy petitions:

- a) Personality of the accused (such as age, sex or mental deficiency) or circumstances of the case (such as provocation or similar justification);
- b) Cases in which the appellate Court expressed doubt as to the reliability of evidence but has nevertheless decided on conviction;
- c) Cases where it is alleged that fresh evidence is obtainable mainly with a view to see whether fresh enquiry is justified;
- d) Where the High Court on appeal reversed acquittal or on an appeal enhanced the sentence;

²⁷⁷ CRIMINAL APPEAL NO. 1173_OF 2008

²⁷⁸ SLP (CrL.) No.6467/2012(CrL.M.P.No. 17082/2012)

²⁷⁹ CrL.MC.No. 6675 of 2015

²⁸⁰ CRM-M No.7212 of 2016

²⁸¹ India. Law Commission of India. Consultation Paper on Capital Punishment, May 2014, pp.26-27

²⁸² WRIT PETITION (CRIMINAL) NO. 55 OF 2013

- e) Is there any difference of opinion in the Bench of High Court Judges necessitating reference to a larger Bench;
- f) Consideration of evidence in fixation of responsibility in gang murder case;
- g) Long delays in investigation and trial etc.

However, when the actual exercise of the Ministry of Home Affairs (on whose recommendations mercy petitions are decided) is analysed, it is seen that many times these guidelines have not been adhered to. Writ Courts in numerous cases have examined the manner in which the Executive has considered mercy petitions. In fact, the Supreme Court as part of the batch matter *Shatrughan Chauhan* case heard 11 writ petitions challenging the rejection of the mercy petition by the Executive²⁸³. Supreme Court, last year held that judicial clemency could be granted on the ground of inordinate delay even after a mercy petition is rejected²⁸⁴.

LAW COMMISSION OF INDIA'S REPORT ON DEATH PENALTY

The Law Commission of India in its 262nd Report (August 2015) recommended that death penalty be abolished for all crimes other than terrorism related offences and waging war. Complete recommendations of the Report are as follows:²⁸⁵

- The Commission recommended that measures suggested that police reforms, witness protection scheme and victim compensation scheme should be taken up expeditiously by the government.
- The march of our own jurisprudence -- from removing the requirement of giving special reasons for imposing life imprisonment instead of death in 1955; to requiring special reasons for imposing the death penalty in 1973; to 1980 when the death penalty was restricted by the Supreme Court to the rarest of rare cases - shows the direction in which we have to head.
- Informed also by the expanded and deepened contents and horizons of the Right to life and strengthened due process requirements in the interactions between the State and the individual, prevailing standards of constitutional morality and

²⁸³ India. Law Commission of India, Report no.262 on Death Penalty, August 2015, pp.190-191

²⁸⁴ Indian Express, New Delhi, dated 27.5.2015

²⁸⁵ Supra note13 at7

human dignity, the Commission felt that time has come for India to move towards abolition of the death penalty.

- Although there is no valid penological justification for treating terrorism differently from other crimes, concern is often raised that abolition of death penalty for terrorism-related offences and waging war, will affect national security. However, given the concerns raised by the law makers, the Commission did not see any reason to wait any longer to take the first step towards abolition of the death penalty for all offences other than terrorism related offences.

The Commission accordingly recommended that the death penalty be abolished for all crimes other than terrorism related offences and waging war. Further, the Commission sincerely hopes that the movement towards absolute abolition will be swift and irreversible.

INDIAN SCENARIO

LEGISLATION:

The Indian Penal Code, 1860 (IPC) is the Public Law and substantive Criminal Law which defines crimes and prescribes punishments. Section 53 of the IPC provides for death sentence and imprisonment for life as alternative punishments.²⁸⁶

In *Mithu v. State of Panjab*²⁸⁷ the apex court declared that section 303 is unconstitutional because it is not in tune with articles 14 and 21 of the constitution. In India, non- governmental organizations as well as general people are fighting against inhuman, degrading and cruel punishment and protection of human rights. Nevertheless capital punishment still remains in force. Although judiciary has evolved the principle of “*rarest of rare cases*” and has indicated that it is with special reasons that death penalty must be imposed in cases of exceptional and

²⁸⁶ These are as follows: (1) death sentence or (2) imprisonment for life, (3) imprisonment with or without hard labour,

(4) forfeiture of property and, (5) fine. Under Indian Penal Code, death sentence is alternative punishment for the following several offences such as; waging war against the government of India (sec.121); Abetting mutiny actually committed (sec.132); Giving or fabricating false evidence upon which an innocent person suffers death (sec.184) ; section 302 punishment for murder, abetment of suicide of child or insane person under section 305, section 307 punishment for attempt to murder by life convicts, section 396 dacoity with murder; but nowhere it is mandatory except under section 303 which deals with punishment for murder by a life convict.

²⁸⁷ (1983)2 SCC 277

aggravating circumstances where offences are very grave in nature, the application of the principle itself, as evident from a plethora of cases, is violative of Constitutional provisions.

CONSTITUTIONAL LAW:

Article 21 of the constitution guarantees right to life and personal liberty to all which includes right to live with human dignity. No person shall be deprived of his right except according to the procedure established by law. Therefore, the state may take away or abridge even right to life in the name of Law and public order following the procedure established by Law. But this procedure must be “due process” as held in *Maneka Gandhi v. Union of India*²⁸⁸. The procedure which takes away the sacrosanct life of a human being must be just, fair and reasonable. So, fair trial following principles of natural justice and procedural Laws are of utmost importance when capital punishment is on the statute book. Therefore, our constitutional principle is in tune with procedural requirements of Natural Law which constitute the inner morality of Law which may be stated as follows:

- (i) Death sentence is to be used very sparingly only in special cases.
- (ii) Death sentence is treated as an exceptional punishment to be imposed with special reasons.
- (iii) The accused has a right of hearing.
- (iv) There should be individualization of sentence considering individual circumstances.
- (v) Death sentence must be confirmed by the High Court with proper application of mind.
- (vi) There is right to appeal to the Supreme Court under article 136 of the Constitution and under section 379 of the CrPC. The Supreme Court should examine the matter to its own satisfaction.
- (vii) The accused can pray for pardon, commutation etc. of sentence under sections 433 and 434 of the CrPC. and under articles 72 and 161 to the President

²⁸⁸ 1978 AIR 597, 1978 SCR (2) 621.

or the Governors. Articles 72 and 161 contain discretionary power of the President and the Governor beyond judicial power to interfere on merits of the matter; though judiciary has limited power to review the matter to ensure that all relevant documents and materials are placed before the President or the Governor. However, the essence of the power of the Governor should be based on rule of Law and rational considerations and not on race, religion, caste or political affiliations.

- (viii) The accused has a right to speedy and fair trial under articles 21 and 22 of the Constitution.
- (ix) The accused under article 21 and 22 has right not to be tortured.
- (x) The accused has freedom of speech and expression within jail custody under articles 21 and 19 of the Constitution.
- (xi) The accused has right to be represented by duly qualified and appointed legal practitioners.

JUDICIAL APPROACH

In *Jagmohan Singh v. State of U.P.*²⁸⁹ it was argued that capital punishment for murder violates articles 21 and 14 of the Constitution. The counsel for the appellant contended that when there are discretionary power conferred on the judiciary to impose life imprisonment or death sentence, imposing death sentence is violative of article 14 of the Constitution if in two similar cases one gets death sentence and the other life imprisonment. On this point the Supreme Court held that there is no merit in the argument. If the Law has given to the judiciary wide discretionary power in the matter of sentence to be passed, it will be difficult to expect that there would be uniform application of Law and perfectly consistent decisions because facts and circumstances of one case cannot be the same as that of the other and thus these will remain sufficient ground for scale of values of judges and their attitude and perception to play a role. It was also contended that death penalty violates not only article 14 but also articles 19 and 21 of the Constitution. Here *procedure* is not clear because after the accused is found guilty, there is no other procedure

²⁸⁹ AIR 1973 SC 947, 1973 Cr. L.J. 330, 1973 SCC (Original) 162.

established by law to determine whether death sentence or other less punishment is appropriate in that particular case.

But this contention was rejected by the Supreme Court and the Court held “*in important cases like murder the court always gives a chance to the accused to address the court on the question of death penalty*”. The Court also held “*deprivation of life is constitutionally permissible provided it is done according to procedure established by Law. The death sentence per se is not unreasonable or not against public interest. The policy of the Law in giving a very wide discretion in the matter of punishment to the Judges has its origin in the impossibility of laying down standards. Any attempt to lay down standards as to why in one case there should be more punishment and in the other less punishment would be an impossible task. What is true with regard to punishment imposed for other offences of the Code is equally true in the case of murder punishable under section 302 I.P.C. No formula impossible that would provide a reasonable criterion for infinite variety of circumstances that may affect the gravity of the crime of murder. The impossibility of laying down standards is at the very core of the criminal law as ‘administered in India which invests the Judges with a very wide discretion in the matter of fixing the degree of punishment’*”²⁹⁰

In **Rajendra Prasad v. State of U.P.**²⁹¹ V. R. Krishna Iyer, J. observed

“*the humanistic imperative of the Indian Constitution, as paramount to the punitive strategy of the Penal Code, has hardly been explored by the courts in this field of ‘life or death’ at the hands of the Law. The main focus of our Judgement is on this poignant gap in human rights Jurisprudence within the limits of the Penal Code, impregnated by the Constitution.....in the Post-Constitutional period section 302, IPC and section 354(3) of the Code of Criminal Procedure have to be read in the human rights of Parts III and IV, further illuminated by the Preamble to the Constitution.*”

The Court held that it is constitutionally permissible to swing a criminal out of corporal existence only if the security of state and society, public order and the interests of the general public compel that course as provided in article 19(2) to (6). Social justice has to be read with reasonableness under article 19 and non-arbitrariness under article 14. V. R. Krishna Iyer, J. also

²⁹⁰ See supra note 5, at 956-59.

²⁹¹ AIR 1979 SC 916.

observed that such extraordinary grounds alone constitutionally qualify as special reasons as to leave no option to the court but to execute the offender if the state and society are to survive and progress. He was in favour of abolition of death penalty in general and retention of it only for *White Collar Crimes*.

In ***Bachan Singh v. State of Punjab***²⁹² the Supreme Court by 4:1 majority has overruled its earlier Judgment pronounced in Rajendra Prasad's case and held that death sentence under section 302 IPC does not violate article 21. The International Covenant on Civil and Political Rights, to which India has become a party in the year 1979, does not abolish imposition of death penalty wholly. But it must be reasonably imposed and not arbitrary; it should be imposed in most serious crimes. In this case the Court held that

“Judges should not be blood thirsty. A real and abiding concern for the dignity of human life postulates resistance to taking a life through laws’ instrumentality. That ought not to be done save in the rarest of rare cases when the alternative option is unquestionably foreclosed.”

In ***Sher Singh v. State of Punjab***²⁹³ (Y. V. Chandrachud C.J.; V.D. Tulzapurkar and A.Varadraj, J.J.) Chief Justice disaffirmed the decision in *Vatheeswaran*²⁹⁴ where the court had held that two years delay in execution of death sentence would be replaced by life imprisonment as binding rule and rejected the plea for replacement of death sentence by life imprisonment. When delay in execution is in issue, the court must find out reasons for delay. Therefore two judges’ decision was overruled by three judges’ bench. The court held that prolonged delay in the execution of a death sentence is an important consideration to determine whether the sentence should be allowed to be executed.

In ***T.V.Vatheeswaran v. State of Tamil Nadu***²⁹⁵ the issue was whether delay in execution of death sentence violates Art 21 of the Constitution and whether on that ground death sentence may be replaced by life imprisonment. A Division Bench consisting of Chinnappa Reddy and R B. Misra JJ. held that prolonged delay in execution of death penalty is unjust, unfair, unreasonable and inhuman; which also deprives him of basic rights of human being, guaranteed

²⁹² AIR 1980 SC 898. See also (1980) 2 SCC 684, 715 para 88.

²⁹³ (1983)2 SCC 344.

²⁹⁴ Supra note 10.

²⁹⁵ (1983) 2 SCC 68

under article 21 of the Constitution i.e., right to life and personal liberty. Mr. Reddy and Mr. Mishra JJ. Observed thus,

“Making all reasonable allowance for the time necessary for appeal and consideration of reprove, we think that delay exceeding two years in the execution of a sentence of death should be considered sufficient to entitle the person under sentence of death to invoke Article 21 of the Constitution and demand quashing of the sentence of death.”

Therefore, ‘due process’ i.e. just ,fair and reasonable process as held in Maneka Gandhi²⁹⁶ does not end with only reasonable pronouncement of death sentence rather it extends till the proper and due execution of sentence. There was two years delay in execution of death sentence. The court reiterated that speedy trial is an integral part of Part III of our Constitution and it is included under article 21 and there was prolonged detention before execution of death sentence and the accused was waiting every moment for due execution of death sentence. Every moment he was terrorized. Therefore, it must be treated as violation of the Constitutional mandate.

As the doctrine of *rarest of rare cases* evolved in **Bachan Singh v. State of Punjab**²⁹⁷, the Supreme Court tried to formulate specific criteria to determine scope of ‘rarest of rare’ in **Macchi Singh v. State of Punjab**²⁹⁸. The court opined that while one is killed by another, the society may not feel bound by this doctrine. It has to realize that every person must live with safety. *Rarest of rare* doctrine has to be determined according to following factors

- (1) *Manner of Commission of murder:* If the murder is committed in an extremely brutal, revolting, grotesque, diabolical or dastardly manner to intense indignation of the community.
- (2) If *Motive for the Commission of Murder* shows depravity and meanness.
- (3) *Anti-social or socially abhorrent nature of the Crime.*
- (4) *Magnitude of the Crime.*
- (5) *Personality of Victim of the murder that is, Child, helpless Woman, public figure and so forth.*

²⁹⁶ Maneka Gandhi v. Union of India, AIR 1978 SC 597.

²⁹⁷ AIR 1980 SC 898.

²⁹⁸ AIR 1983 SC 957.

The Supreme Court held in *Attorney General of India v. Lachmi Devi*²⁹⁹ that the mode of carrying out death penalty by public hanging is barbaric and violative of Art.21 and that there must be procedural fairness till last breath of life as held in *Triveniben v. State of Gujarat*³⁰⁰.

In *Madhu Mehta v. Union of India*³⁰¹ the mercy petition of the accused was pending before the President of India for about nine years. This matter was brought to the notice of the court by the petitioner. The court directed to commute death sentence to imprisonment for life because there were no reasons to justify prolonged delay and speedy trial was said to be included in article 21 of the Constitution. There was nine years' delay in execution of death sentence.

CONCLUSION

Death as a penalty has plagued human mind perennially. Death sentence must fulfil the conditions for protection of human rights in Criminal Justice Administration in India. In European countries the agitation against capital punishment started with criminologists Jeremy Bentham and J.S. Mill's writings for due punishment; who maintained that punishment must be just, adequate, fair, reasonable and proportionate to the crime to achieve the goal and should never be excessive. This is also a problem in Indian socio-legal system. Delay in execution is not infrequent which is a violation of accused's basic human rights including right to live with dignity which is enshrined under article 21 of the Indian Constitution and the Universal Declaration of Human Rights. The accused in death sentence who is waiting for execution of punishment is living with terror of death every moment he is waiting for. Delay in execution is another punishment on him which is inhuman, degrading and must not be allowed in any civilised society.

Execution of *Dhananjay Chatterjee*³⁰² in 2004, after fourteen years in death cell and thereafter in the year 2006 Md. Afzal's instance of capital punishment again gave new impetus to the debate between abolitionists and Retentionist concerning speedy justice, fair trial, protection of human rights of the persons under death sentence, their human dignity as well as the victimological perspective to maintain law and order in society.

²⁹⁹ AIR 1986 SC 467.

³⁰⁰ AIR 1989 SC 142.

³⁰¹ (1989)4 SCC 62.

³⁰² *Dhananjay Chatterjee v. State of West Bengal and Ors.* (2004)9 SCC 751.

In India the issue of death sentence is hotly debated and has attracted the attention of general public as well as government and non-governmental organisations. Though India is an active member of the United Nations and has signed and ratified most of the International Instruments on human rights, capital punishment still remains in our statute book. According to our judiciary it must be imposed in exceptional cases i.e. in *rarest of rare cases* with special reasons. Article 72 of the Indian constitution confers on the President power to grant pardons etc. and to suspend, remit or commute sentences in certain circumstances.

In the words of P.N. Bhagwati, J. in ***Bachan Singh v. state of Punjab***³⁰³ “the judges have been awarding death penalty according to their own scale of values and social philosophy and it is not possible to discern any consistent approach to the problem in the judicial decisions”. Therefore, whether the sentence will be for death or for life imprisonment depends, in a large measure, on the court or composition of bench of the court. We have seen earlier about execution and commutation of death sentences into life imprisonment, there are several judgments which show that there are no fix principles to determine delay and other factors in the similar cases. Even in ***Dhananjay Chatterjee’s case***³⁰⁴ there was fourteen years’ delay in execution of death sentence but it was not commuted to life imprisonment although in some earlier cases two years, two and half years, three years and nine years delay in execution was treated as violation of human rights and fair procedure and their sentences were commuted to life imprisonment. Is this not a violation of articles 14 and 21 of the Constitution which enshrine fundamental and sacrosanct rights of human beings?

Due to arbitrary and discriminatory decisions and unjust procedures, basic rights of accused are violated in inhuman and brutal manner which are not only contrary to the National Human Rights principles envisaged in the Constitution but also contrary to the Universal Human Rights ethos. In order to serve as a just and effective mechanism for administration of justice to all sections of society, law should be nourished by and nurtured in human rights. There is nothing to prove the fact that extreme measure of death sentence reduces crime rates in contemporary society; rather death sentence has failed as a deterrent. Life imprisonment is enough for deterrence as well as for mental and moral metamorphosis of a human being.

³⁰³ See supra note 16.

³⁰⁴ See supra note 48.

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