



Energy and Performance Information Center (EPIC)

Grant Number: 55-IT-40-04280

Report: APR Report for 2019

 OMB CONTROL NUMBER: 2577-0218
 EXPIRATION DATE: 07/31/2019

Cover Page

Grant Information:

Grant Number	55-IT-40-04280
Recipient Program Year	10/01/2018-09/30/2019
Federal Fiscal Year	2019
Initial Indian Housing Plan (IHP):	Yes
Amended Plan	
Annual Performance Report (APR):	Yes
Amended Plan	
Tribe:	Yes
TDHE:	

Recipient Information:

Name of the Recipient	Caddo Nation of Oklahoma
Contact Person	Francis, Tamara
Telephone Number with Area Code	405-656-2344
Mailing Address	P. O. Box 487
City	Binger
State	OK
Zip	73009
Fax Number with Area Code	405-656-2892
Email Address	tffourkiller@caddonation.org
Tribes:	

TDHE/Tribe Information:

Tax Identification Number	730790605
DUNS Number	018992057
CCR/SAM Expiration Date	04/24/2019

Planned Grant-Based Budget for Eligible Programs:

IHBG Fiscal Year Formula Amount	\$502,877.00
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Housing Needs

Type of Need (A)	Low-Income Indian Families (B)	All Indian Families (C)
Overcrowded Households	1	1
Renters Who Wish to Become Owners	1	1

Substandard Units Needing Rehabilitation	
Homeless Households	
Households Needing Affordable Rental Units	
College Student Housing	
Disabled Households Needing Accessibility	
Units Needing Energy Efficiency Upgrades	
Infrastructure to Support Housing	
Other (specify below)	
Planned Program Benefits	Rental units for low income Indian families will address overcrowded, homeless and affordable rental needs for families. The Homeownership program will address renters who wish to become home owners. Major and Minor rehab programs will address rehab needs for all Indian families. Making homes energy efficient will allow families to save money.
Geographic Distribution	Caddo Nation has a jurisdiction consisting of Caddo, Grady, Canadian, Oklahoma, Cleveland and Custer counties.

Programs

2019-01 : Modernization 1937 ACT Housing

Program Name:	Modernization 1937 ACT Housing	
Unique Identifier:	2019-01	
Program Description (continued)	Caddo Nation Housing will modernize 1937 ACT Housing to ensure safe, sanitary, and decent housing for low-income native families.	
Eligible Activity Number	(1) Modernization of 1937 Act Housing [202(1)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Low-income native families whose income is low to moderate.	
Types and Level of Assistance	We will ensure energy efficiency, modernize homes that are in need. We will assist homes as modernization is needed.	
APR : Describe Accomplishments	The Maintenance staff for the CNDH provided CAS Residence weatherization of units to ensure energy efficiency. Windows were caulked and checked for leaks. Repairs of Halfmoon Driveways.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year 20	20
APR: If the program is behind schedule, explain why	NA	

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$30,000.00	\$0.00	\$30,000.00	\$55,226.76	\$0.00	\$55,226.76

2019-02 : Operations and Maintenance of 1937 ACT Housing

Program Name:	Operations and Maintenance of 1937 ACT Housing	
Unique Identifier:	2019-02	
Program Description (continued)	Operate and maintain CAS 1937 ACT housing to ensure long term viability.	
Eligible Activity Number	(2) Operation of 1937 Act Housing [202(1)]	
Intended Outcome Number	(6) Assist affordable housing for low income households	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Indian families whose income is low to moderate.	
Types and Level of Assistance	Caddo Nation Housing will maintain 1937 ACT homes to keep them safe and decent for families.	
APR : Describe Accomplishments	The CNDH performed (20) Roof Inspections (6 patched). Preventative maintenance including pest control, lawn care maintenance and spraying, tree trimming, checking water meters and changing filters. (20) Annual inspections were completed. Corrections that are the responsibility of the CNDH were completed. Lines were snaked to treat clogging. (42) work orders were received and completed by the CNDH staff. Repairs included plumbing issues, electrical corrections, HVAC repairs, repairs to the doors, window repairs, faulty appliances, and repairs to light fixtures. Staff was available daily to meet with tenants and provided or obtain information. Additionally, staff was available to track payments, track repairs and maintenance through work orders.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year 20	20
APR: If the program is behind schedule, explain why	NA	

Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$30,000.00	\$0.00	\$30,000.00	\$104,505.22	\$57,195.84	\$161,701.06

2019-03 : Rehab of NAHASDA

Program Name:	Rehab of NAHASDA	
Unique Identifier:	2019-03	
Program Description (continued)	Caddo Nation Housing will rehab units as needed for updating and keeping units safe and decent.	
Eligible Activity Number	(5) Rehabilitation of Rental Housing [202(2)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Low to moderate income native families.	
Types and Level of Assistance	Rehabilitating units to ensure living conditions are safe and decent.	
APR : Describe Accomplishments	Units at Regency Oak Apartments were rehabilitated (RO #6, #13, #16, #10, #3, and #15). This completes a full rehabilitation of all elderly apartments. Including appliances 4 refrigerators and 2 ranges.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year 25	6
APR: If the program is behind schedule, explain why	NA	

Uses of Funding:

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\$300,000.00	\$0.00	\$300,000.00	\$170,070.68	\$0.00	\$170,070.68

2019-04 : Operation and Maintenance of NAHASDA

Program Name:	Operation and Maintenance of NAHASDA	
Unique Identifier:	2019-04	
Program Description (continued)	Operating and maintaining units in a way that Native Families are given opportunity to live in decent conditions.	
Eligible Activity Number	(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Low income native families	
Types and Level of Assistance	Units will be inspected at least annually. Caddo Nation will maintain units to ensure long viability.	
APR : Describe Accomplishments	The CNDH received and completed 27 work orders. All work orders were addressed. Preventative maintenance including lawn care, pest control, cleaning community laundry room, and changing filters was performed for 16 units at Regency Oak. Lawn care maintenance, pest control, and filter cleaning was performed on one NAHASDA rental property. Inspections were performed on Lease-to-own properties, the one NAHASDA rental property, and the Regency Oak Elder Apartments. All corrections requiring repair by CNDH are completed. The CNDH staff held tenant meetings, traveled weekly to meet with tenants to obtain information, met with elderly tenants to collect rent, and made ready vacant units for move in.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year 26	26
APR: If the program is behind schedule, explain why	NA	

Uses of Funding:

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\$20,000.00	\$0.00	\$20,000.00	\$153,865.10	\$29,111.55	\$182,976.65

2019-05 : Acquisition for home buyers

Program Name:	Acquisition for home buyers
Unique Identifier:	2019-05

Program Description (continued)	Caddo Nation will acquire homes within our jurisdiction to lease, with the opportunity to own, to low income native families.	
Eligible Activity Number	(12) Acquisition of Homebuyer Units [202(2)]	
Intended Outcome Number	(2) Assist renters to become homeowners	
APR: Actual Outcome Number	(2) Assist renters to become homeowners	
Who Will Be Assisted	Low income native american families	
Types and Level of Assistance	Caddo Nation will purchase homes and lease to low income native families in hopes they will become homeowners	
APR : Describe Accomplishments	One unit purchased and a family is housed. Tenants are low-income and part of the Lease-To-Own Program. The purchased home is located in Caddo County part of Caddo Nation jurisdiction. Cost includes purchase price of the home, the appraisal, abstract, and surveying.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year 1	1
APR: If the program is behind schedule, explain why	NA	

Uses of Funding:

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\$150,000.00	\$0.00	\$150,000.00	\$156,163.00	\$0.00	\$156,163.00

2019-06 : Rehabilitation for Homeowners

Program Name:	Rehabilitation for Homeowners	
Unique Identifier:	2019-06	
Program Description (continued)	Caddo Nation will rehabilitate current home owners homes with a cap of 20,000.00.	
Eligible Activity Number	(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Low income Native American families who own their homes and live within Caddo, Canadian, Cleveland, Custer, Grady and Oklahoma counties.	
Types and Level of Assistance	Caddo Nation will inspect and rehab homes, owned by a Caddo member, with a cap of \$20,000.00	
APR : Describe Accomplishments	Completed renovations for two houses. Rehab one included repairs for plumbing, electrical, windows, roofing, etc. Rehab two included replacement of windows throughout the house.	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Units to be Completed in Year 2	2
APR: If the program is behind schedule, explain why		

Uses of Funding:

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\$40,000.00	\$0.00	\$40,000.00	\$27,153.80	\$0.00	\$27,153.80

2019-07 : Emergency Assistance and Homelessness Prevention

Program Name:	Emergency Assistance and Homelessness Prevention		
Unique Identifier:	2019-07		
Program Description (continued)	Caddo Nation will assist Low Income Native families who are low income, with rent, utilities and deposits. This Program will also work to prevent homeless native families. This program also assists with weather related emergency situations.		
Eligible Activity Number	(18) Other Housing Service [202(3)]		
Intended Outcome Number	(5) Address homelessness		
APR: Actual Outcome Number	(5) Address homelessness		
Who Will Be Assisted	Low Income native american families		
Types and Level of Assistance	Caddo Nation will assist native american families who are low income if an emergency arises that will cause homelessness or lack of ability to home safely.		
APR : Describe Accomplishments	For the year, we serviced 13 individual households. Assistance under the Emergency Assistance and Homelessness Prevention Program is not to exceed \$2,500. We serviced households in only the counties of Caddo, Canadian, Cleveland, Custer, Grady, and Oklahoma. CNDH provided payments in the following categories: (8) utilities assistance, (5) rental assistance, (5) deposit and first months rent, (2) heating and air assistance due to weather, and (2) provided hotel room and CNDH unit to prevent homeless.		
Planned and Actual Outputs for 12-Month Program Year		Planned	APR - Actual
	Number of Households to be served in Year	5	13
APR: If the program is behind schedule, explain why			

Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$12,500.00	\$0.00	\$12,500.00	\$15,956.39	\$0.00	\$15,956.39

2019-08 : Crime Prevention

Program Name:	Crime Prevention
Unique Identifier:	2019-08
Program Description (continued)	Caddo Nation will have security personel and cameras to prevent criminal activity.
Eligible Activity Number	(21) Crime Prevention and Safety [202(5)]

Intended Outcome Number	(11) Reduction in crime reports				
APR: Actual Outcome Number	(11) Reduction in crime reports				
Who Will Be Assisted	Low income Native families who reside within Caddo Nation Housing units located in our Affordable Family Rental and Elderly Rental Units.				
Types and Level of Assistance	Caddo Nation will drive through both rental communities many times throughout a 24 hour period and cameras are set up for viewing if needed for crime committed.				
APR : Describe Accomplishments	Security services provided by the Caddo Nation. Patrols at Halfmoon every two hours and Regency Oak rounds in the morning and evening. New security cameras were installed and the DVR was upgraded. We added a new server network rack that is lockable to secure the system.				
Planned and Actual Outputs for 12-Month Program Year	<table border="1"> <thead> <tr> <th>Planned</th> <th>APR - Actual</th> </tr> </thead> <tbody> <tr> <td colspan="2">The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.</td> </tr> </tbody> </table>	Planned	APR - Actual	The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.	
Planned	APR - Actual				
The output measure being collected for this eligible activity is dollars. The dollar amount should be included as an other fund amount listed in the Uses of Funding table.					
APR: If the program is behind schedule, explain why	NA				

Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$25,000.00	\$0.00	\$25,000.00	\$9,671.46	\$0.00	\$9,671.46

2019-09 : Down Payment Closing Costs

Program Name:	Down Payment Closing Costs				
Unique Identifier:	2019-09				
Program Description (continued)	Program offers 7,000.00 to Caddo members and other native families who are first time home buyers and 3,000.00 to caddo members and other native families who are not first time home buyers. This program is for homes only in the state of Ok.				
Eligible Activity Number	(13) Down Payment/Closing Cost Assistance [202(2)]				
Intended Outcome Number	(2) Assist renters to become homeowners				
APR: Actual Outcome Number	(2) Assist renters to become homeowners				
Who Will Be Assisted	Low income Native families who would like to own a home				
Types and Level of Assistance	Caddo nation will provide DPCC to native families who are low income and wish to become homeowners. Each household will be assisted as needed.				
APR : Describe Accomplishments	Assistance was provided for first time home buyers at \$7,000. Assistance was also provided to one second time home buyer for \$3,000.				
Planned and Actual Outputs for 12-Month Program Year	<table border="1"> <thead> <tr> <th>Planned</th> <th>APR - Actual</th> </tr> </thead> <tbody> <tr> <td>Number of Units to be Completed in Year</td> <td>3</td> </tr> </tbody> </table>	Planned	APR - Actual	Number of Units to be Completed in Year	3
Planned	APR - Actual				
Number of Units to be Completed in Year	3				
APR: If the program is behind schedule, explain why	NA				

Uses of Funding:

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Prior and	Total all other	Total funds to	Total IHBG	Total all other	Total funds
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current year IHBG (only) funds to be expended in 12-month program year (L)	funds to be expended in 12-month program year (M)	be expended in 12-month program year (N=L+M)	(only) funds expended in 12-month program year (O)	funds expended in 12-month program year (P)	expended in 12-month program year (Q=O+P)
\$21,000.00	\$0.00	\$21,000.00	\$10,000.00	\$0.00	\$10,000.00

Maintaining 1937 Act Units, Demolition, and Disposition

Maintaining 1937 Act Units	Caddo Nation will keep all maintenance up to date and not let units become unlivable. Caddo Nation will operate 1937 Act homes and help renters with upkeep as needed. CNDH will also perform annual inspections.
Demolition and Disposition	there are no planned demolition or disposition of 1937 act units this FY

Budget Information

Sources of Funding

Funding Source	Estimated(IHP) /Actual(APR)	Amount on hand at beginning of program year (F)	Amount to be received during 12-month program year (G)	Total sources of funds (H=F+G)	Funds to be expended during 12-month program year (I)	Unexpended funds remaining at end of program year (J=H-I)	Unexpended funds obligated but not expended at end of 12-month program year (K)
IHBG Funds:	Estimated	\$1,300,000.00	\$502,877.00	\$1,802,877.00	\$729,075.40	\$1,073,801.60	
	Actual	\$1,653,811.46	\$558,268.00	\$2,212,079.46	\$814,266.01	\$1,397,813.45	\$0.00
IHBG Program Income:	Estimated	\$120,000.00	\$35,000.00	\$155,000.00	\$0.00	\$155,000.00	
	Actual	\$0.00	\$86,307.39	\$86,307.39	\$86,307.39	\$0.00	\$0.00
Title VI:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Title VI Program Income:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
1937 Act Operating Reserves:	Estimated	\$0.00		\$0.00	\$0.00	\$0.00	
	Actual	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
Carry Over 1937 Act Funds:	Estimated	\$0.00		\$0.00	\$0.00	\$0.00	
	Actual	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
LEVERAGED FUNDS							
ICDBG Funds:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Other Federal Funds:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
LIHTC:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
Non-Federal Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Estimated	\$1,420,000.00	\$537,877.00	\$1,957,877.00	\$729,075.40	\$1,228,801.60	\$0.00
Total:	Actual	\$1,653,811.46	\$644,575.39	\$2,298,386.85	\$900,573.40	\$1,397,813.45	\$0.00

Uses of Funding

Program Name	Unique Identifier	Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
Modernization 1937 ACT Housing	2019-01	\$30,000.00	\$0.00	\$30,000.00	\$55,226.76	\$0.00	\$55,226.76
Operations and Maintenance of 1937 ACT Housing	2019-02	\$30,000.00	\$0.00	\$30,000.00	\$104,505.22	\$57,195.84	\$161,701.06
Rehab of NAHASDA	2019-03	\$300,000.00	\$0.00	\$300,000.00	\$170,070.68	\$0.00	\$170,070.68
Operation and Maintenance of NAHASDA	2019-04	\$20,000.00	\$0.00	\$20,000.00	\$153,865.10	\$29,111.55	\$182,976.65
Acquisition for home buyers	2019-05	\$150,000.00	\$0.00	\$150,000.00	\$156,163.00	\$0.00	\$156,163.00
Rehabilitation for Homeowners	2019-06	\$40,000.00	\$0.00	\$40,000.00	\$27,153.80	\$0.00	\$27,153.80
Emergency Assistance and Homelessness Prevention	2019-07	\$12,500.00	\$0.00	\$12,500.00	\$15,956.39	\$0.00	\$15,956.39
Crime Prevention	2019-08	\$25,000.00	\$0.00	\$25,000.00	\$9,671.46	\$0.00	\$9,671.46
Down Payment Closing Costs	2019-09	\$21,000.00	\$0.00	\$21,000.00	\$10,000.00	\$0.00	\$10,000.00
Planning and Administration		\$100,575.40	\$0.00	\$100,575.40	\$111,653.60	\$0.00	\$111,653.60
Loan Repayment (describe in 3 & 4 below)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total		\$729,075.40	\$0.00	\$729,075.40	\$814,266.01	\$86,307.39	\$900,573.40

APR

APR

No additional sources of money used only program income.

Other Submission Items

Useful Life/Affordability Period(s)	\$500.00-\$5,000 = 3 years \$5,001- \$15,000 = 5 years \$15,001-\$20,000 = 15 years
Model Housing and Over-Income Activities	N/A
Tribal and Other Indian Preference Does the tribe have a preference policy?	YES Caddo members have preference before other native families.
Anticipated Planning and Administration Expenses Do you intend to exceed your allowable spending cap for Planning and Administration?	NO
Actual Planning and Administration Expenses	NO

Did you exceed your allowable spending cap for Planning and Administration?										
Does the tribe have an expanded formula area?:	NO									
Total Expenditures on Affordable Housing Activities:	<table border="1"> <thead> <tr> <th></th> <th style="text-align: center;">All AIAN Households</th> <th style="text-align: center;">AIAN Households with Incomes 80% or Less of Median Income</th> </tr> </thead> <tbody> <tr> <td>IHBG Funds</td> <td style="text-align: right;">\$0.00</td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td>Funds from Other Sources</td> <td style="text-align: right;">\$0.00</td> <td style="text-align: right;">\$0.00</td> </tr> </tbody> </table>		All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income	IHBG Funds	\$0.00	\$0.00	Funds from Other Sources	\$0.00	\$0.00
		All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income							
	IHBG Funds	\$0.00	\$0.00							
Funds from Other Sources	\$0.00	\$0.00								
For each separate formula area, list the expended amount	<table border="1"> <thead> <tr> <th></th> <th style="text-align: center;">All AIAN Households</th> <th style="text-align: center;">AIAN Households with Incomes 80% or Less of Median Income</th> </tr> </thead> <tbody> <tr> <td>IHBG Funds</td> <td style="text-align: right;">\$0.00</td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td>Funds from Other Sources</td> <td style="text-align: right;">\$0.00</td> <td style="text-align: right;">\$0.00</td> </tr> </tbody> </table>		All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income	IHBG Funds	\$0.00	\$0.00	Funds from Other Sources	\$0.00	\$0.00
		All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income							
	IHBG Funds	\$0.00	\$0.00							
Funds from Other Sources	\$0.00	\$0.00								

Indian Housing Plan Certification Of Compliance

In accordance with applicable statutes, the recipient certifies that it will comply with Title II of the Civil Rights Act of 1968, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and other federal statutes, to the extent that they apply to tribes and TDHEs, see 24 CFR 1000.12.	YES
In accordance with 24 CFR 1000.328, the recipient receiving less than \$200,000 under FCAS certifies that there are households within its jurisdiction at or below 80 percent of median income.	YES
The recipient will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD:	YES
Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing the management and maintenance of housing assisted with grant amounts provided under NAHASDA:	YES

Tribal Wage Rate Certification

1. You will use tribally determined wage rates when required for IHBG-assisted construction or maintenance activities. The Tribe has appropriate laws and regulations in place in order for it to determine and distribute prevailing wages.	
2. You will use Davis-Bacon or HUD determined wage rates when required for IHBG-assisted construction or maintenance activities.	YES
3. You will use Davis-Bacon and/or HUD determined wage rates when required for IHBG-assisted construction except for the activities described below.	

4. List the activities using tribally determined wage rates:

Self Monitoring

Do you have a procedure and/or policy for self-monitoring?:	YES
Pursuant to 24 CFR § 1000.502 (b) where the recipient is a TDHE, did the TDHE provide periodic progress reports including the self-monitoring report, Annual Performance Report, and audit reports to the Tribe?:	NA
Did you conduct self-monitoring, including monitoring sub-recipients?:	YES
Self-Monitoring Results: Describe the results of the monitoring activities, including corrective actions planned or taken.	<p>From September through October, the CNDH staff worked on Self-Monitoring. No staff member was responsible for any monitoring area that they were affiliated with. A report was compiled and presented to the Caddo Housing Board/Council on November 7, 2019. We evaluated all recommended areas suggested by HUD. Next quarter, we will only evaluate the high risk areas. Based on the assessment, there is a lot of training that staff should attend to better understand the requirements of the job, policies, and regulations. My most pressing concerns are the corrections needed for Environmental Review, Maintenance and Inspection, Financial Monitoring, Procurement, and Lead Based Paint. In the environmental review section, due to turnover, there was no staff that had training on environmental review processes. In July of 2019 two staff members were able to get training. The Maintenance and Inspection review revealed issues with the work order system and following up with work orders. Additionally, sampling showed that pre and post occupancy inspections are not consistent. In order to combat this issue, Maintenance will be incorporating HDS Doorways to track work orders and inspections. The Caddo Nation is currently undergoing a complete restructuring of the Finance Departments. Duties are currently being carried out by Finley and Cook an outside auditing firm. The financial section was completed through interviewing Becky Carter of Finley and Cook. It was determined that there haven't been accurate reporting, policies were out of compliance with the uniform guidance, trial balances are not accurate, audits are not complete, and the systems in place did not support the needs of the CNDH. A review of the expenditures showed that items were not being properly tracked, some deposits were missing, and indirect cost was not being calculated correctly. Note: The Caddo Nation has an agreement with ONAP to correct 2017 audit findings, complete the 2018 audit, and get in compliance. New financial and procurement policies were provided to the Caddo Nation staff, and Finley and Cook are working with CNDH to accurately track all monies. The procurement and contract policy at the time of this review was out of compliance. There was nothing found about formal protest procedures. It is unknown if HUD approved of the former policies and procedures, it is unknown if Indian preferences were publicly announced in the adverting and bidding section or if Section 3 preferences were publicly announced. All documents needed for review were located with the exception of last year's Self-Monitoring worksheets. Three areas were reviewed: down payment/closing cost assistance, rehabilitation, and acquisition. The file review consisted of 5 rehabs, 1 acquisition, and 3 down payments. The reviewer found that documentation on the age of all the files was found for the acquisition, down payments, and 2 of the 5 rehabs. Five files were found to require further investigation because they were older than 1978. This section appears to be in compliance. However it is apparent that staff should be better trained in this requirement. On November 8, 2019, the Self-Monitoring Report was made available available posted on the bulletin board for public review.</p>

Inspections

Activity (A)	Total number of Units (B)	Units in standard condition (C)	Units needing rehabilitation (D)	Units needing to be replaced (E)	Total number of units inspected (F=C+D+E)
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1937 Housing Act Units:

a. Rental	20	20	0	0	20
b. Homeownership	0	0	0	0	0
c. Other	1	1	0	0	1
1937 Act Subtotal:	21	21	0	0	21

NAHASDA Assisted Units:

a. Rental	17	17	0	0	17
b. Homeownership	9	7	1	1	9
c. Rental Assistance	0	0	0	0	0
d. Other	0	0	0	0	0
NAHASDA Subtotal:	26	24	1	1	26
Total:	47	45	1	1	47

2. Did you comply with your inspection policy? YES

Audits

1. Did you expend \$750,000 or more in total Federal awards during the previous fiscal year ended (24 CFR 1000.544) ? YES
 If Yes, an audit is required to be submitted to the Federal Audit Clearinghouse. If No, an audit is not required.

Public Availability

Did you make this APR available to the citizens in your jurisdiction before it was submitted to HUD (24 CFR § 1000.518)? YES

If you are a TDHE, did you submit this APR to the Tribe Not Applicable

If you answered No to question #1 and/or #2, provide an explanation as to why not and indicate when you will do so:

Summarize any comments received from the Tribe and/or the citizens : The APR was made available from:

Jobs Supported By NAHASDA

Number of Permanent Jobs Supported by Indian Housing Block Grant Assistance(IHBG): 6

Number of Temporary Jobs Supported by Indian Housing Block Grant Assistance(IHBG): 10

Narrative (Optional): Six jobs are supported by the IHBG. Those jobs are (1) Housing Executive Director, (1) Admissions & Occupancy Clerk, (2) Field Service Technicians, (1) Field Service Supervisor, and (1) Office Clerk. Temporary jobs include (1) Scanning Clerk and (9) individual construction workers. Construction on Regency Oak-Rehabs 4 individuals on repairs to units 6, 16, 13, 10, 3 & 15. Driveway repairs at Halfmoon-5 individuals on 21 jobs.