



Review Sheet



Last
Reviewed
29 Jul 2025

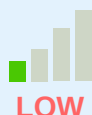


Last
Amended
29 Jul 2025



This policy will be reviewed as needs require or at the following interval:
Annual

Business Impact:



Minimal action required. Circulate information amongst relevant parties.

Reason for this Review:

Scheduled review

Changes Made:

Yes

Summary:

This policy will support those at Family At Heart Home Care who may want to raise concerns. It has been updated to include information on raising a concern anonymously in section 5.5. Underpinning Knowledge and Further Reading references have been checked and updated.

Relevant Legislation:

- The Care Act 2014
- Employment Rights Act 1996
- Public Interest Disclosure Act 1998
- The Health and Social Care Act 2008 (Regulated Activities) (Amendment) Regulations 2012
- The Criminal Justice and Courts Act 2015
- The Enterprise and Regulatory Reform Act 2013

Underpinning Knowledge:

- Author: The Care Quality Commission (CQC), (2025), Regulation 20: Duty of Candour [Online] Available from: <https://www.cqc.org.uk/guidance-providers/all-services/regulation-20-duty-candour> [Accessed: 29/07/2025]
- Author: GOV.UK, (1998), The Public Interest Disclosure Act 1998 [Online] Available from: <https://www.legislation.gov.uk/ukpga/1998/23/contents> [Accessed: 29/07/2025]
- Author: The National Guardian's Office, (2025), Freedom to Speak Up [Online] Available from: <https://nationalguardian.org.uk/> [Accessed: 29/07/2025]
- Author: Nursing and Midwifery Council, (2024), Whistleblowing to the NMC [Online] Available from: <https://www.nmc.org.uk/standards/guidance/raising-concerns-guidance-for-nurses-and-midwives/whistleblowing/> [Accessed: 29/07/2025]
- Author: The Care Quality Commission (CQC), (2023), Report a Concern if you are a Member of Staff [Online] Available from: <https://www.cqc.org.uk/contact-us/report-concern/report-concern-if-you-are-member-staff> [Accessed: 29/07/2025]

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	Author: NHS Business Services Authority, (2023), Freedom to Speak Up – Raising Concerns (Whistleblowing) [Online] Available from: https://www.nhsbsa.nhs.uk/our-policies/freedom-speak-raising-concerns-whistleblowing#:~:text=You%20can%20raise%20a%20concern,unsafe%20working%20conditions [Accessed: 29/07/2025]
Suggested Action:	Encourage sharing the policy through the use of the QCS App
Equality Impact Assessment:	QCS have undertaken an equality analysis during the review of this policy. This statement is a written record that demonstrates that we have shown due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations with respect to the characteristics protected by equality law.

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1. Purpose

1.1 To ensure that all staff working at Family At Heart Home Care understand the importance of raising a concern, sometimes also referred to as 'speaking up' or 'whistleblowing'.

1.2 This policy covers all employees, officers, consultants, contractors, volunteers, interns, casual workers and agency workers.

This policy does not form part of any employee's contract of employment and Family At Heart Home Care may amend it at any time.

1.3 The purpose of this policy is to:

- Encourage staff to report suspected wrongdoing as soon as possible, in the knowledge that their concerns will be taken seriously and investigated as appropriate, and that their confidentiality will be respected
- To provide staff with guidance as to how to raise those concerns
- To reassure staff that they should be able to raise genuine concerns without fear of reprisals, even if they turn out to be mistaken

This policy and procedure does not apply if a member of the workforce is aggrieved about their personal position. They must use the Grievances Policy and Procedure.

1.4

Key Question

Quality Statements

SAFE	QSS3: Safeguarding
SAFE	QSS4: Involving people to manage risks QSS5: Safe environments
SAFE	QSS1: Learning culture
WELL-LED	QSW1: Shared direction and culture QSW2: Capable, compassionate and inclusive leaders

1.5 Relevant Legislation

- The Care Act 2014
- Employment Rights Act 1996
- Public Interest Disclosure Act 1998
- The Health and Social Care Act 2008 (Regulated Activities) (Amendment) Regulations 2012
- The Criminal Justice and Courts Act 2015
- The Enterprise and Regulatory Reform Act 2013



2. Scope

2.1 Roles Affected:

- All Staff
- NHS staff
- Persons working on training courses
- Temporary Agency Staff

2.2 People Affected:

- Service Users

2.3 Stakeholders Affected:

- Commissioners
- Local Authority
- Care Quality Commission

**3. Objectives**

3.1 All staff have a duty to ensure standards of quality care by raising concerns regarding wrongdoing or malpractice. This policy will provide the means of ensuring that staff can confidentially raise genuine concerns of malpractice and/or misconduct through appropriate means at the earliest point without fear of reprisal.

**4. Policy**

4.1 The Registered Manager, Mr Jake Junior Barber, and Nominated Individual, Jake Barber, of Family At Heart Home Care, have overall management responsibility for this policy and procedure. This is in line with the Policy Management Policy and Procedure at Family At Heart Home Care.

4.2 Family At Heart Home Care is committed to a high standard of care, to honesty, openness and decency in all its activities and in line with the requirements of duty of candour. It is recognised that Service User safety must come first at all times and, whilst it can be difficult for staff to raise concerns about the practice of others, including managers, the implications of not raising those concerns are potentially very serious for Family At Heart Home Care, its employees and most importantly for those receiving its services.

4.3 Family At Heart Home Care encourages a free and open culture in its dealings with its employees and all people with whom it engages in business and legal relations. In particular, Family At Heart Home Care recognises that effective and honest communication is essential if any wrongdoing or malpractice is to be effectively dealt with and the organisation's success ensured.

4.4 Family At Heart Home Care recognises that staff members are likely to be the first to realise that there may be something seriously wrong within the organisation but may feel that speaking up would be disloyal to colleagues or their employer who may, under certain circumstances, face criminal charges. They may also fear harassment or victimisation and fear for a loss of job or a reduction in work hours.

4.5 Family At Heart Home Care will not tolerate the ill treatment, including any bullying or harassment, of anyone raising a concern. It will ensure that any individual who raises a

concern, can do so confidentially in line with the Public Interest Disclosure Act 1998 (PIDA).

4.6 Family At Heart Home Care will ensure that any individual who raises a genuine concern under this policy will not be at risk of termination of their employment or suffer any form of reprisal which includes, but is not limited to, loss or reduction of hours or changes to regular working patterns because of it.

4.7 Family At Heart Home Care will ensure that it follows not only the law on whistleblowing, but also best practice and guidance from the NHS and regulatory bodies including the Care Quality Commission.



5. Procedure

5.1 Raising Concerns

All staff have a duty to raise concerns regarding inappropriate behaviour, unlawful conduct, poor practice or behaviour to ensure standards of quality care.

5.2 Freedom to Speak Up (FTSU)

Staff at Family At Heart Home Care are encouraged to speak up about anything that gets in the way of doing a great job.

Family At Heart Home Care will have a Freedom to Speak Up Guardian who supports staff to speak up when they feel that they are unable to in other ways.

The Freedom to Speak Up Guardian for Family At Heart Home Care is Jake Barber. Their contact details are:

01709 278628

5.3 Whistleblowing

Staff at Family At Heart Home Care can report certain types of wrongdoing, usually something seen at work - though not always. The wrongdoing disclosed must be in the public interest. This means it must affect others, for example the general public.

5.4 This procedure is intended to provide a safeguard to enable members of staff to raise concerns about one or more of the following that has occurred, is occurring, or is likely to occur. These Qualifying Disclosures (see definition) mean that staff can raise a concern about risk, malpractice or wrongdoing that they think is harming the services, might harm or has harmed in the past any aspect of the services that Family At Heart Home Care delivers. A few examples of this might include (but are by no means restricted to):

- Unsafe care
- Unsafe working conditions
- Inadequate induction or training for staff
- Lack of, or poor, response to a reported Service User safety incident
- Suspicions of fraud (which can also be reported to the local counter-fraud team)
- Damaging the environment - e.g. disposing of materials or waste incorrectly, for example, flushing medicines or syringes down the toilet or sink
- A bullying culture (across a team or organisation rather than individual instances of bullying)

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- Incidents of unsafe staffing, falsification of timesheets, Service User records or other clinical or care records
- Failure to investigate claims of physical or sexual assault
- Physical, verbal or sexual abuse of any Service User, colleague or other person on the premises of Family At Heart Home Care
- Breaching the Data Protection Act

This policy should not be used for complaints relating to a member of staffs own personal circumstances, such as the way they have been treated at work. In those cases, the individual should use the Grievance Policy and Procedure; Anti-Bullying Policy or Procedure; or Harassment Policy and Procedure.

5.5 How to Raise a Concern - Step 1

- If a member of staff has a genuine concern about a risk, malpractice or wrongdoing at work, it is hoped that they feel they will be able to raise it first with their line manager
- This may be done verbally or in writing
- It is better to raise a concern as soon as it arises
- Where possible, unless, for example, where the concern relates to a safeguarding matter, the concerns raised will be treated confidentially
- The member of staff's line manager will inform them if they cannot keep the concern confidential
- The Registered Manager has overall responsibility for concerns raised and the member of staff's line manager may need to share the concern with the Registered Manager who will have access to Family At Heart Home Care
- A member of staff can raise a concern anonymously if they wish to do so. Any anonymous concerns will be taken seriously and investigated as far as possible based on the information provided. However, Family At Heart Home Care may not be able to respond directly to the individual or investigate further with the individual given that their identity will not be known

5.6 How to Raise a Concern - Step 2

- If a member of staff does not feel they can raise the concern with their line manager or the concern relates to or involves the line manager (or they have raised it with the line manager and no action has been taken) the member of staff should then escalate their concerns to the Registered Manager and Family At Heart Home Care to deal with appropriately

5.7 How to Raise a Concern - Step 3

- If the member of staff does not feel that the Registered Manager or Family At Heart Home Care will appropriately handle their concerns, the member of staff may report their concerns directly to Jake Barber, the Freedom to Speak Up Guardian at Family At Heart Home Care, whose contact details are:

01709 278628

5.8 How to Raise a Concern - Step 4

- If the member of staff does not feel that the Registered Manager, Family At Heart Home Care or Freedom to Speak Up Guardian will appropriately handle their concerns, the member of staff may report their concerns directly to the Care Quality Commission on 03000 616161 or through its website
- The CQC will not disclose the member of staff's identity without their consent unless there are legal reasons requiring the CQC to do so, e.g. where the information is about a child or vulnerable adult who is at risk

5.9 Protection and Support

It is understandable that whistleblowers are sometimes worried about possible repercussions. Family At Heart Home Care aims to encourage openness and will support staff who raise genuine concerns under this policy, even if they turn out to be mistaken.

Whistleblowers must not suffer any detrimental treatment as a result of raising a concern. Detrimental treatment includes dismissal, disciplinary action, threats or other unfavourable treatment connected with raising a concern. If an individual believes that they have suffered any such treatment, they should inform the Registered Manager immediately. If the matter is not remedied, the individual should raise it formally using the grievance procedure at Family At Heart Home Care.

Anyone who threatens or retaliates against whistleblowers in any way may be subject to disciplinary action.

Prior to raising a concern, the member of staff may want to get free, independent and confidential advice from:

- The Whistleblowing Helpline for NHS and Social Care (Speak Up):
 - 0300 311 22 33
 - england.speakup1@nhs.net

Or

- Protect (Formerly Public Concern at Work) - A whistleblowing charity:
 - 020 3117 2520
 - info@protect-advice.org.uk
 - www.protect-advice.org.uk

5.10 Investigation

The Registered Manager may arrange a meeting to discuss the concern. If so, the individual may bring a colleague or union representative to any meetings under this policy. The individual's companion must respect the confidentiality of the disclosure and any subsequent investigation.

The Registered Manager, in consultation with Family At Heart Home Care, shall have discretion over the nature of the investigation into concerns raised, including, where it is considered appropriate, the involvement of others such as Adult Social Services or auditors. If there is evidence of criminal activity, the Police will be informed.

Family At Heart Home Care cannot always guarantee the outcome the individual is seeking, however Family At Heart Home Care will try to deal with the individual's concern in a fair and appropriate way. If the individual is not happy with the way in which the concern has been handled, they can raise it with one of the contacts listed at paragraphs 5.5 to 5.7.

5.11 Registered Manager and Family At Heart Home Care Responsibility

- Responsibility for dealing with any concerns reported will lie with the Registered Manager who will have access to Family At Heart Home Care
- If the concerns relate to the Registered Manager, concerns should be escalated to Family At Heart Home Care
- If the concerns involve both the Registered Manager and Family At Heart Home Care or if an individual is fulfilling both roles, the member of staff can contact the CQC

5.12 Protected Disclosures and Safeguarding

- Where a safeguarding concern is received by a member of staff, Rotherham Metropolitan borough council safeguarding policies and procedures will be followed
- Where a safeguarding concern is received by a member of staff and refers to the actions of the Manager or Deputy Manager, then the referral must in the first instance be made to the Social Services Adult Protection Team
- The Adult Protection Team will take the responsibility of informing other agencies. The contact details for the local Social Services for Family At Heart Home Care are as follows:

Rotherham Safeguarding Adults Board -- ASC-Customercontactteam@rotherham.gov.uk

01709 822330

5.13 Raising a Concern - Timescales

The individual with whom the concern is raised will acknowledge the concern within locally agreed timescales and in line with best practice.

The investigating officer will be confirmed to the member of staff along with any further information required including contact information and an estimate of the likely timescales involved.

5.14 Where possible, the responsible manager will feed back to the member of staff who raised the concern on the outcome of any investigation, although this may not always be possible in full due to the nature of the disclosure.

5.15 A record of the information provided and details of the proceedings will be kept in line with best practice.

5.16 False, Malicious, Vexatious Allegations

All whistleblowing concerns will be investigated. However, if a member of staff is found to have made allegations maliciously and/or not in good faith, disciplinary action may be taken. A member of staff will never be disciplined for raising a concern, so long as they follow the whistleblowing procedure or make disclosures in accordance with the Public Interest Disclosure Act 1998 (PIDA).

5.17 Bullying and Harassment of Whistleblowers

Unless an employer has taken reasonable steps to prevent this type of victimisation by co-workers, it will be deemed liable for the acts of its staff. It is therefore no longer enough to deal with incidents of bullying or harassment as and when they arise, on a case by case basis. The only basis upon which an employer will now be able to defend itself against liability for the actions of its staff will be by proactive steps. In order to demonstrate a "reasonable steps" defence, an employer will need to anticipate conduct such as bullying and harassment. To avoid incidents of bullying or harassment occurring, Family At Heart Home Care will take the following steps:

- Adhere to the Whistleblowing Policy
- Embed a culture of openness and transparency
- Communicate the policy
- Offer any necessary training to ensure that it is put into effect
- Take action if any worker bullies or harasses a whistleblower

5.18 Employment Contracts, Whistleblowing and Confidentiality

The PIDA protects Whistleblowers from being restricted to bring claims of whistleblowing. Family At Heart Home Care will seek legal or Human Resource advice to ensure that

(where relevant) any agreements between Family At Heart Home Care and the employee/worker does not prevent them from issuing a whistleblowing claim.

5.19 Monitoring, Review, Continuous Improvement

Family At Heart Home Care strives through its Good Governance and Quality Assurance policies and procedures to ensure that as an organisation it has a clear audit and review process in place that seeks to foster a culture of continuous improvement and learning.

Family At Heart Home Care invites and actively seeks feedback, especially from those who may be faced with particular barriers to speaking up and raising concerns.

5.20 External Disclosures

The aim of this policy is to provide an internal mechanism for reporting, investigating and remedying any wrongdoing in the workplace. In most cases it should not be necessary to alert anyone externally.

The law recognises that in some circumstances it may be appropriate for individuals to report their concerns to an external body such as a regulator. It will very rarely, if ever, be appropriate to alert the media. Family At Heart Home Care strongly encourages staff members to seek advice before reporting a concern to anyone external.

Whistleblowing concerns usually relate to the conduct of staff, but they may sometimes relate to the actions of a third party, such as a supplier or service provider. In some circumstances, the law will protect an individual if they raise the matter with the third party directly. However, Family At Heart Home Care encourages staff to report such concerns internally first, in line with this policy.



6. Definitions

6.1 Whistleblower

- The term 'whistleblower' is used to describe people who make a 'qualifying disclosure' about a genuine concern at work. Where a worker suffers a detriment or is dismissed as a result, then they may have certain employment protections under the Employment Rights Act 1996 (as amended by the Public Interest Disclosure Act 1998, often referred to as 'PIDA')

6.2 Qualifying Disclosure

- Qualifying disclosures are disclosures of information where the worker reasonably believes (and it is in the public interest) that one or more of the following matters is either happening, has taken place, or is likely to happen in the future:
 - A criminal offence
 - The breach of a legal obligation
 - A miscarriage of justice
 - A danger to the health and safety of any individual
 - Damage to the environment
 - Deliberate attempt to conceal any of the above

6.3 Public Interest

- A disclosure made in the interest of the public, i.e. not relating to an individual such as in a grievance case

6.4 Grievance or Private Complaint

- A dispute about the employee's own employment position without a public interest aspect

6.5 PIDA

- Public Interest Disclosure Act 1998 - Legislation which provides protection to workers who make disclosures in the public interest

6.6 Employee/Staff

- PIDA refers to 'workers'. This policy has used the term employee/staff/colleagues to reflect the relevant persons that this policy relates to. The NHS Integrated Policy (2016) 'Freedom to Speak Up: Whistleblowing Policy for the NHS' refers to volunteers being included. However, PIDA does not specifically include volunteers as they are not paid employees and therefore cannot be compensated financially for ill treatment or unfair dismissal

6.7 Criminal Justice and Courts Act 2015

- Legislation which lays out the offences involving ill-treatment or wilful neglect by a person providing health or social care

6.8 Anonymously

- Made or done by someone whose name is not known or made public

6.9 Vicarious Liability

- Vicarious liability refers to a situation where someone is held responsible for the actions or omissions of another person. In a workplace context, an employer can be liable for the acts or omissions of its employees, provided it can be shown that they took place in the course of their employment

6.10 Whistleblowing

- The disclosure of information which relates to suspected wrongdoing or dangers at work. This may include (but is not limited to):
 - Criminal activity
 - Failure to comply with any legal (or professional) obligation or regulatory requirements
 - Miscarriages of justice
 - Danger to health and safety
 - Damage to the environment
 - Bribery
 - Facilitating tax evasion
 - Financial fraud or mismanagement
 - Breach of internal policies and procedures
 - Conduct likely to damage the reputation or financial wellbeing of the organisation
 - Unauthorised disclosure of confidential information
 - Negligence
 - The deliberate concealment of any of the above matters



7. Key Facts - Professionals

Professionals providing this service should be aware of the following:

- All staff have a duty to ensure standards of quality care by raising concerns regarding inappropriate behaviour, unlawful conduct, poor practice or behaviour and will be protected and supported to do so
- Family At Heart Home Care is committed to the Raising Concerns, Freedom to Speak Up and Raising Concerns, Freedom to Speak Up and Whistleblowing Policy and Procedure and will act on information given in line with it
- Family At Heart Home Care will monitor the effectiveness of the whistleblowing procedures and will review every concern raised to identify and address any themes or trends
- On induction, staff will be trained on the whistleblowing procedures of Family At Heart Home Care. Information on how to report concerns will be visible at Family At Heart Home Care
- All whistleblowers will be treated in a fair way and will not be victimised or prejudiced as a result of a genuine concern



8. Key Facts - People Affected by The Service

People affected by this service should be aware of the following:

- If you have concerns that you are at risk of harm or abuse, you can contact Mr Jake Junior Barber. Family At Heart Home Care has a safeguarding policy and your Service User Guide provides information on who you can talk to
- If you want to complain or have a comment about your service you can use the procedure for complaints at Family At Heart Home Care



Further Reading

Care Quality Commission (CQC) - Promoting Sexual Safety Through Empowerment:

https://www.cqc.org.uk/sites/default/files/20200225_sexual_safety_sexuality.pdf

GOV.UK - Whistleblowing For Employees:

<https://www.gov.uk/whistleblowing>

Protect Charity:

<https://protect-advice.org.uk/>

NHS England - Freedom to speak up in Primary Care (includes a draft whistleblowing policy for NHS primary care):

<https://www.england.nhs.uk/wp-content/uploads/2016/11/whistleblowing-guidance.pdf>

NHS England - The National Speak up Policy:

<https://www.england.nhs.uk/publication/the-national-speak-up-policy/>

ACAS - Whistleblowing at Work:

<https://www.acas.org.uk/whistleblowing-at-work>

GOV.UK - Whistleblowing: Guidance for Employers and Code of Practice:

<https://assets.publishing.service.gov.uk/media/5a819ef5e5274a2e87dbe9e3/bis-15-200-whistleblowing-guidance-for-employers-and-code-of-practice.pdf>

Policies:

- Safeguarding Policies and Procedures
- Grievances Policy and Procedure
- Duty of Candour Policy and Procedure
- Complaints, Suggestions and Compliments Policy and Procedure
- Anti-Bullying Policy and Procedure
- Data Protection and Confidentiality Policy and Procedure



Outstanding Practice

To be "outstanding" in this policy area you could provide evidence that:

- Family At Heart Home Care enables employees to raise concerns by providing support (such as a helpline) from an independent agency in regard to whistleblowing concerns
- Family At Heart Home Care has a designated Whistleblowing Champion and evidence of the proactive nature of this role and how it is a success
- Family At Heart Home Care uses lessons learnt from concerns, without breaching confidentiality, to ensure continuous improvement of the service
- The wide understanding of the policy is enabled by proactive use of the QCS App
- Staff report that Family At Heart Home Care is extremely open, transparent and actively supports and encourages whistleblowing



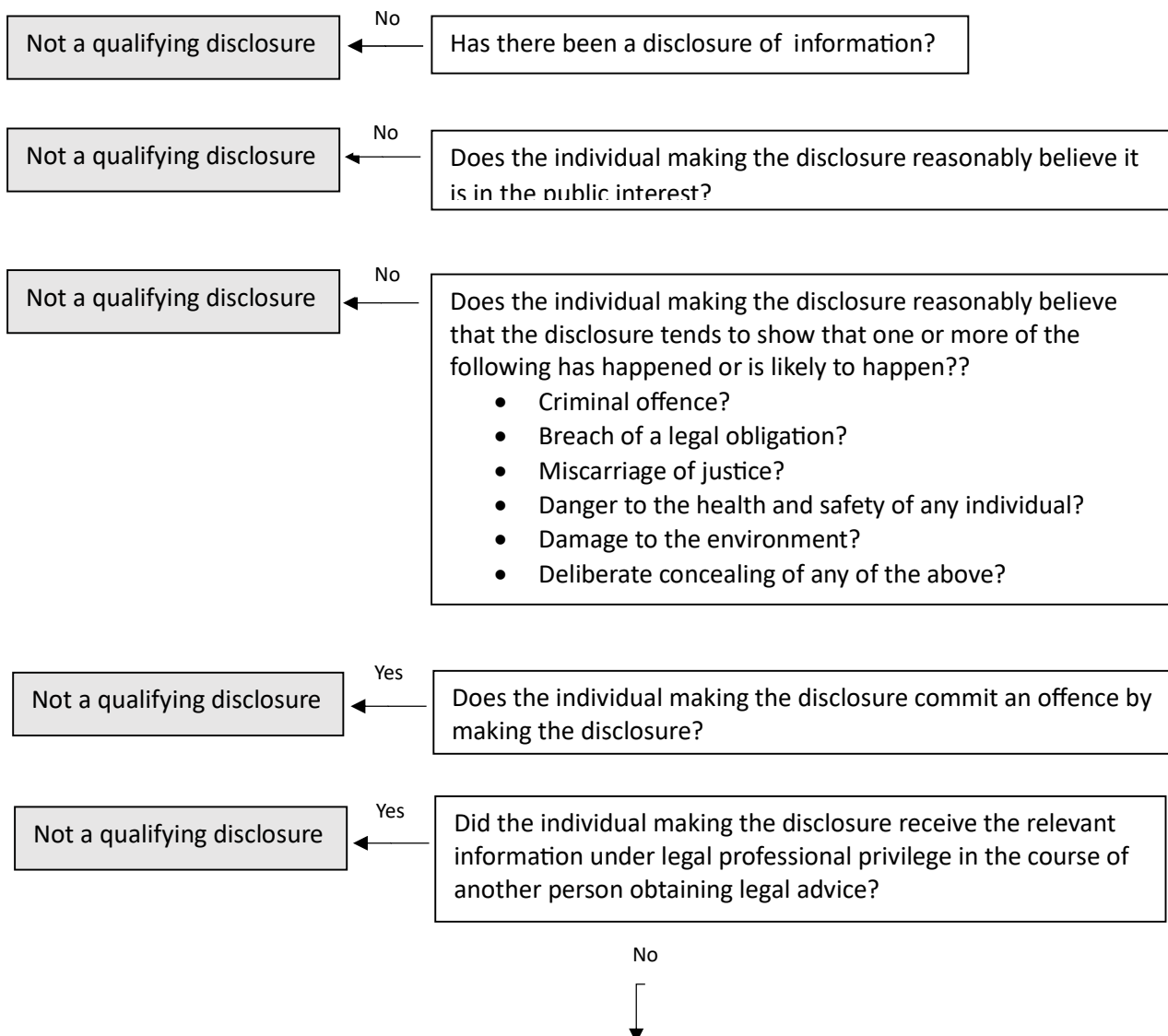
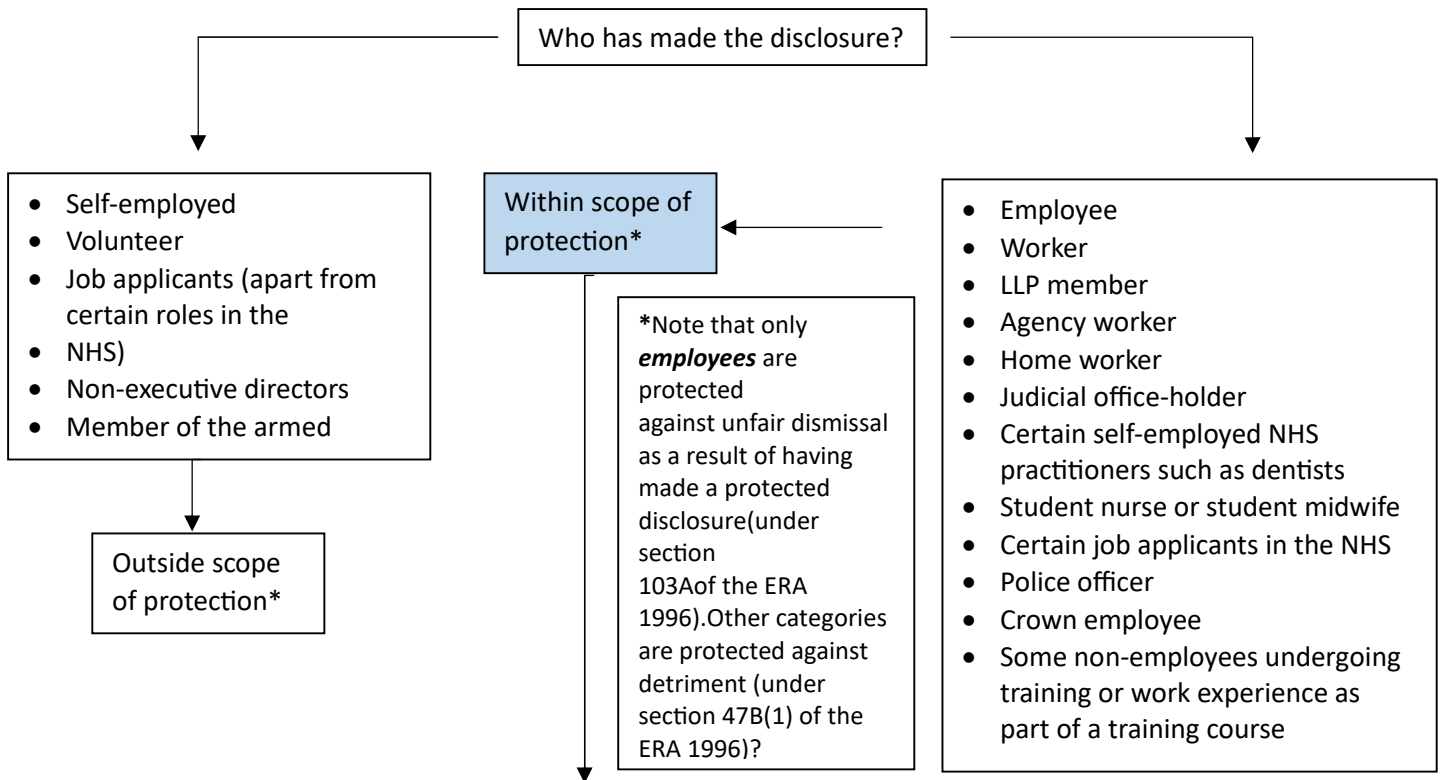
Forms

The following forms are included as part of this policy:

Title of form	When would the form be used?	Created by
Whistleblowing Flow Chart - PM11	To outline the process for whistleblowing	QCS

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Qualifying disclosure

