

Case Planning for Sex Offender Specialists

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Virtual Training 101

- » Microphones are muted
- » Use Q&A to interact with us
- » Brain breaks are necessary
- » Give yourself a permission slip!



Goals

- ✓ Integrate COMPAS criminogenic needs with risk factors identified by the Stable & Acute
- ✓ Connect assessment results & case planning
- ✓ Develop effective, dynamic case plans

Agenda

01 RNR

The who, what, and how of sex offenders.

03 Case Planning

Sex offender-specific SMART goals & tasks. Sanctions & incentives for sex offenders.

02 Integration

Stable & Acute risk factors and COMPAS criminogenic needs.

04 Practice

Case Example & putting it all together.

The image shows a computer monitor displaying a web application for risk assessment. The browser address bar shows 'http://localhost:3000/'. The application has a sidebar with a user profile for 'johndoe' and a main content area. The main content area is titled 'Overall Risk Potential' and shows a 'Risk' level of 4.0. Below this, there are two horizontal bar charts: 'Overall Risk Scale' and 'Overall Recidivism Scale', both showing a score of 4.0. The 'Overall Risk Scale' chart has a scale from 0 to 10, and the 'Overall Recidivism Scale' chart has a scale from 0 to 10. The 'Overall Risk Scale' chart shows a score of 4.0, and the 'Overall Recidivism Scale' chart shows a score of 4.0. Below these charts, there is a section titled 'Criminogenic Need Scales' which lists various scales and their scores: 'Overall Assessment' (4.0), 'History of Prior Convictions' (5.0), 'History of Offenses' (5.0), 'Criminal History' (5.0), 'Antisocial Personality' (4.0), 'Overall Assessment' (4.0), 'Criminal History' (5.0), 'Criminal History' (5.0), 'Criminal History' (5.0), and 'Criminal History' (5.0). The scores are represented by green bars of varying lengths.

What do supervision practices have to do with *basketball*?



- ## Coach

- 3

01

RNR

Risk = Who

The **probability that a person will commit a new crime**

Accurately identifying level of risk allows us to **maximize investment of resources**

Match level of risk to level of service

COMPAS
Static-99R
Stable
Acute

Risk Assessments

COMPAS

- Determines supervision levels

Static 99-R

- Empirically-derived
- Evaluates risk of sexual recidivism
- Based on demographic & criminal history information

*static
assessment*

Risk Assessments

*dynamic
assessments*

Stable 2007

- Guide to assessing dynamic stable risk factors

Acute 2007

- Guide to assessing immediate, high-risk factors
- Suggests a need for immediate intervention in the community

Need = What

Dynamic factors that may indicate need for intervention

Individualized for each probationer

Targeting needs are more effective at reducing risk

COMPAS
Stable
Acute

COMPAS

Relationship / Lifestyle

Criminal Associates / Peers
Criminal Opportunity
Leisure & Recreation
Substance Abuse

Personality / Attitudes

Criminal Personality
Criminal Thinking
Anger
Cognitive Behavioral

Family

Socialization Failure

Social Exclusion

Vocation/ Education
Residential Instability
Social Adjustment Problems

Acute Dynamic Risk Factors

SEX / VIOLENCE	GENERAL RECIDIVISM
Victim Access	▶
Hostility	▶
Sexual Pre-Occupation	▶
Rejection of Supervision	▶
	Emotional Collapse
	Collapse of Social Support
	Substance Abuse

K. Hanson et.al, 2007

Stable Dynamic Risk Factors

❖ SIGNIFICANT SOCIAL INFLUENCES	
❖ INTIMACY DEFICITS	<ul style="list-style-type: none"> ▪ Capacity for Relationship Stability ▪ Emotional Identification with Children ▪ Hostility Toward Women ▪ General Social Rejection &/or Loneliness ▪ Lack of Concern for Others
❖ SEXUAL SELF - REGULATION	<ul style="list-style-type: none"> ▪ Sex Drive / Preoccupation ▪ Sex as Coping ▪ Deviant Sexual Interests
❖ GENERAL SELF – REGULATION	<ul style="list-style-type: none"> ▪ Impulsive Acts ▪ Poor Cognitive Problem Solving ▪ Negative Emotionality / Hostility
❖ COOPERATION WITH SUPERVISION	

Responsivity = How

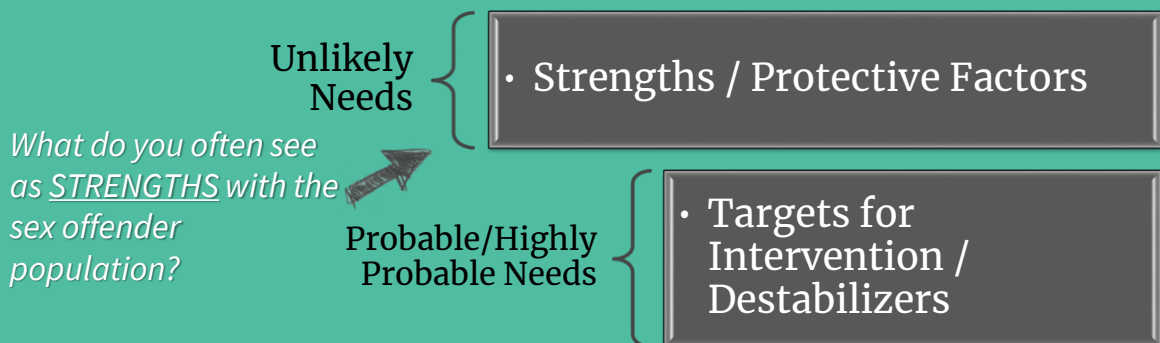
Meet people where they are
through appropriate
programming & intervention

COMPAS = ongoing needs
addressed through **case
planning**

Acute = *immediate* needs
addressed through *immediate
intervention*

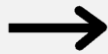
Stable = ongoing needs
addressed through **case
planning**

Let's Talk About COMPAS

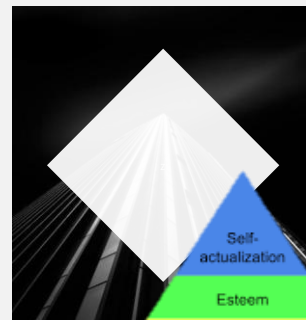


Q&A

Prioritization of Needs



What are some typical stabilization needs you see with your caseload?



Think **Maslow!**



Food, shelter, clothing.

Substance abuse IF it is life threatening.

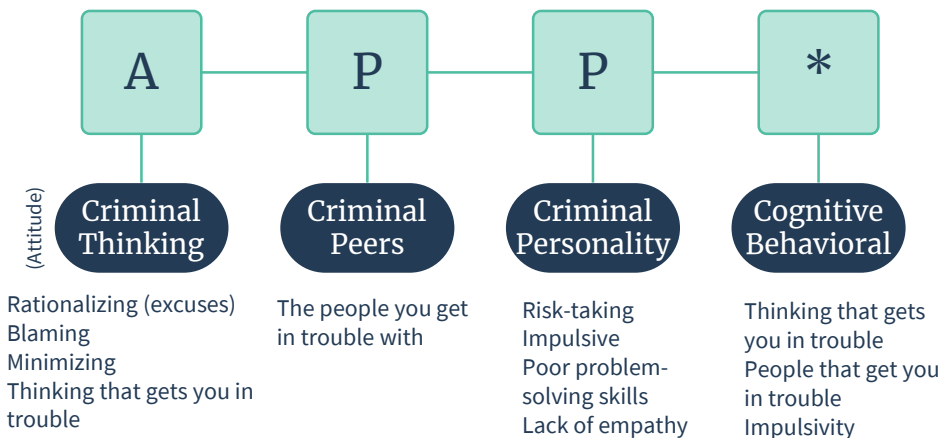


Prioritization of Needs



Think **APPs**!
 Attitude (Thinking)
 Peers
 Personality

Higher Priority Needs



Prioritization of Needs

new!

Significant Social Influences:	0 -
Capacity For Stable Relationships:	1 -
Emotional ID With Children:	
Hostility Toward Women:	
General Social Rejection:	
Lack of Concern for Others:	0 -
Impulsive:	0 -
Poor Problem Solving Skills:	1 -
Negative Emotionality:	0 -
Sex Drive Sex Preoccupation:	0 -
Sex As Coping:	0 -
Deviant Sexual Preferences:	1 -
Co-Operation With Supervision:	0 -
Total:	3 - Low
Overall Comments:	

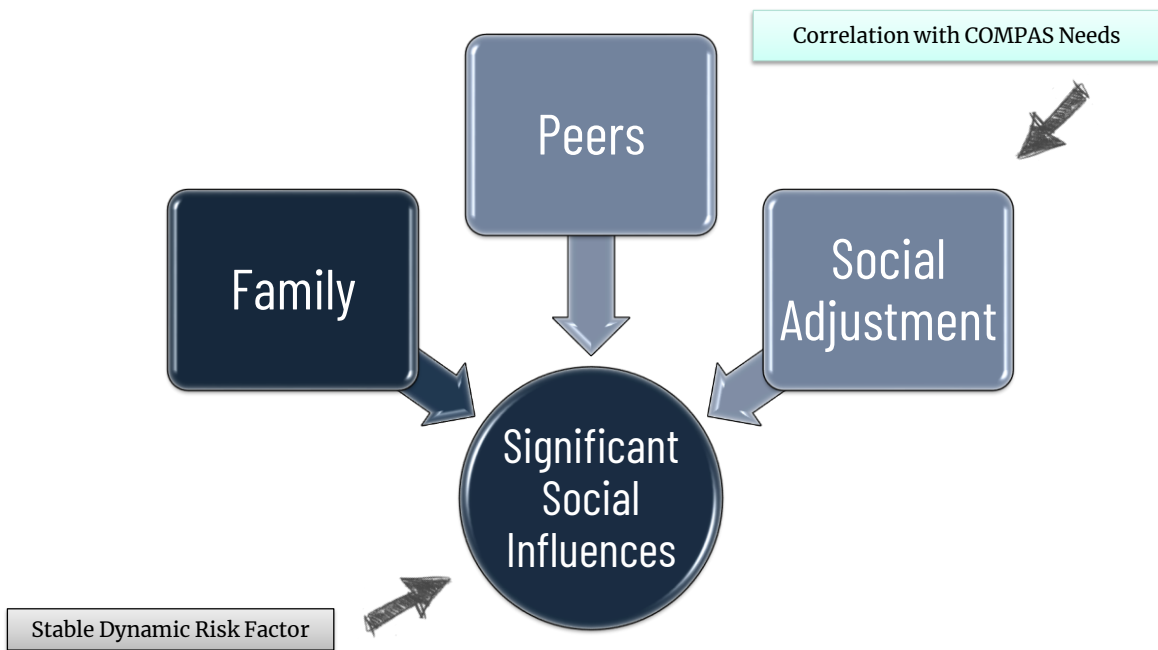
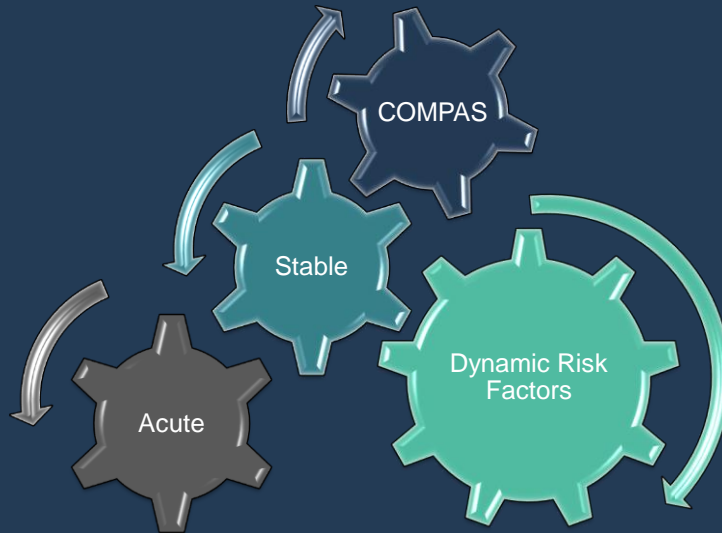
Sex/Violence Risk: 0 - Low Priority
General Recidivism Risk: 0 - Low Priority



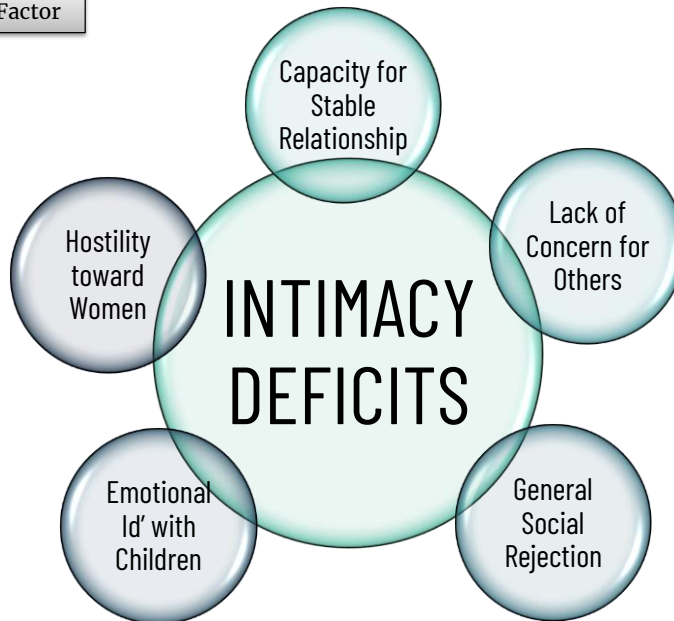
02

Integration

How do they work together?



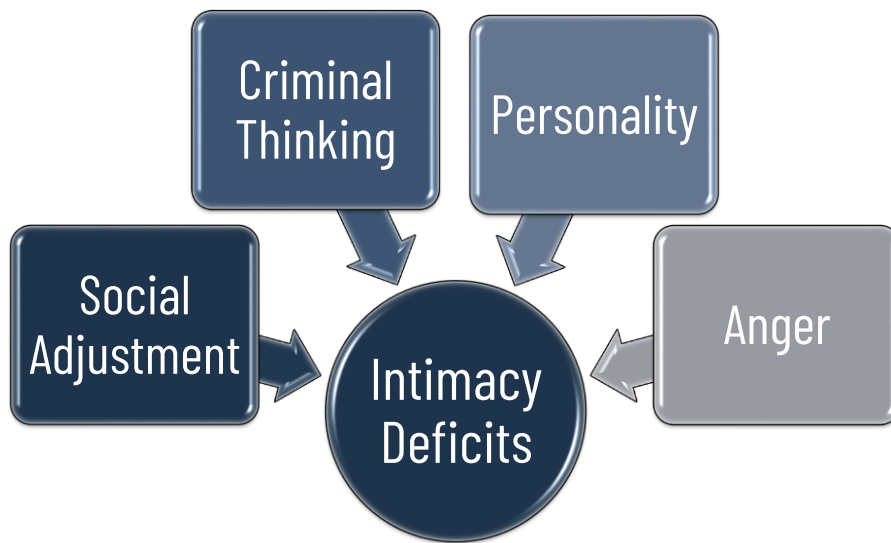
Stable Dynamic Risk Factor



What COMPAS criminogenic needs would you correlate to these risk factors?

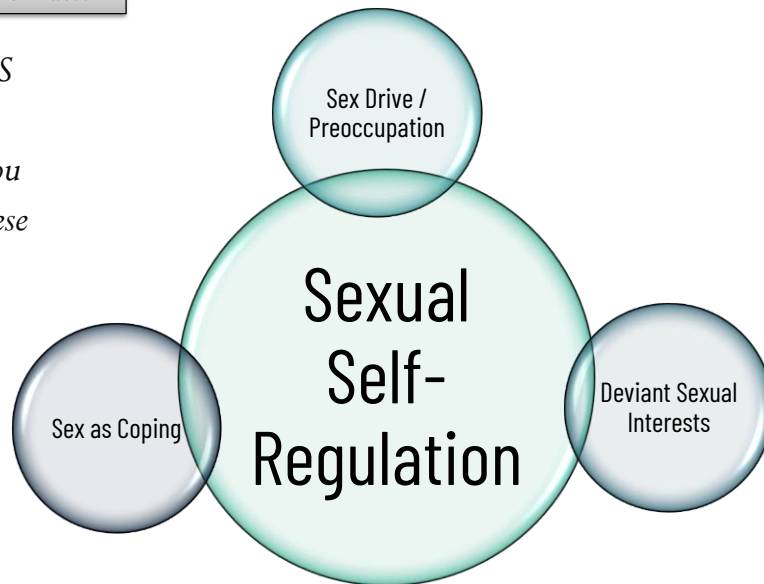
Q&A

Correlation with COMPAS Needs



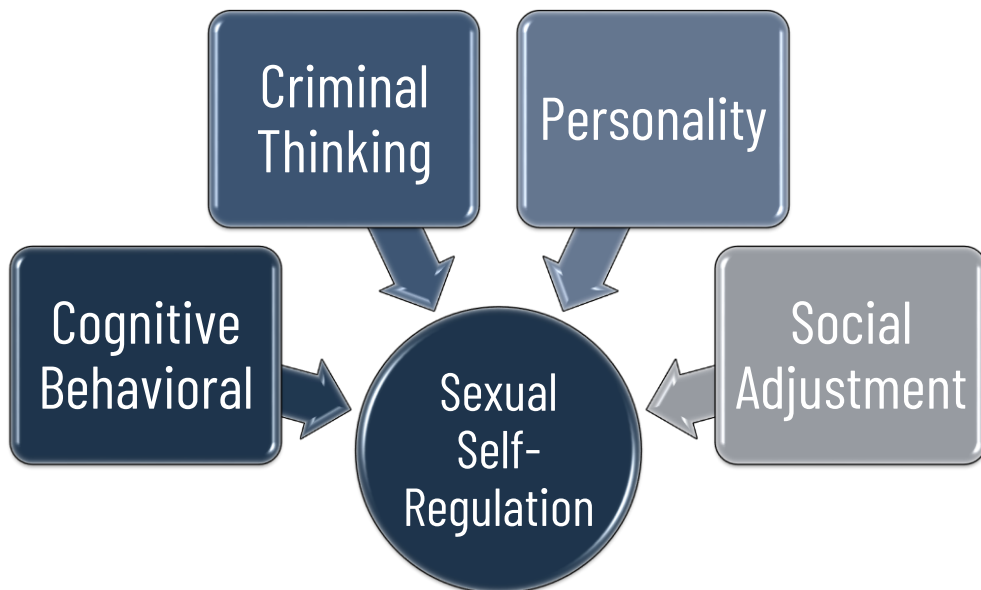
Stable Dynamic Risk Factor

*What COMPAS
criminogenic
needs would you
correlate to these
risk factors?*



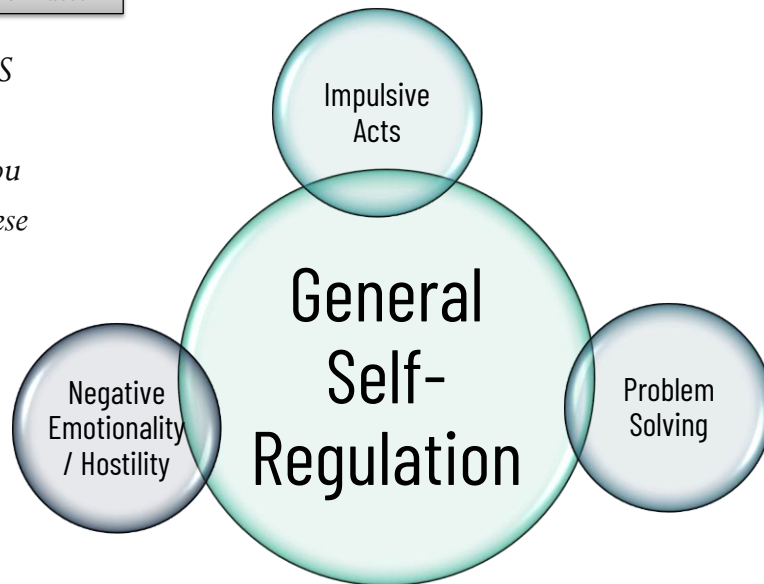
Q&A

Correlation with COMPAS Needs



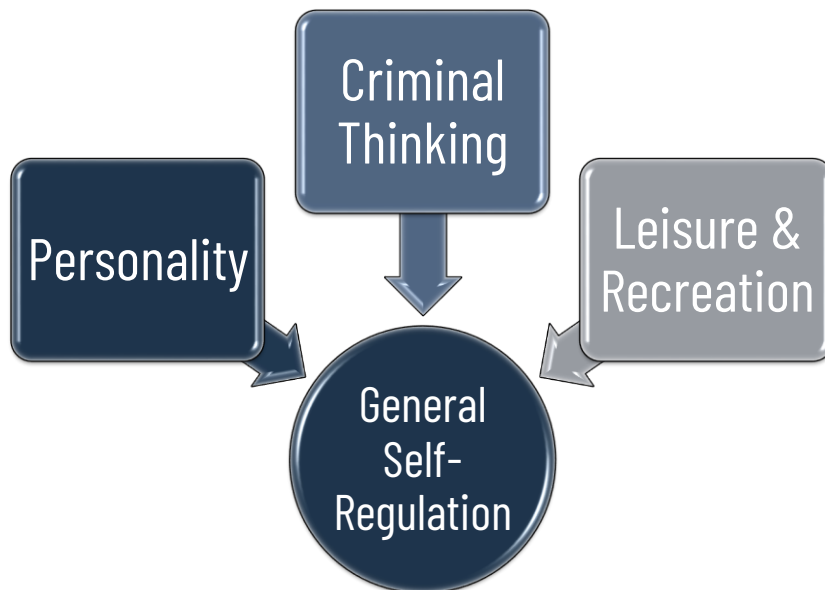
Stable Dynamic Risk Factor

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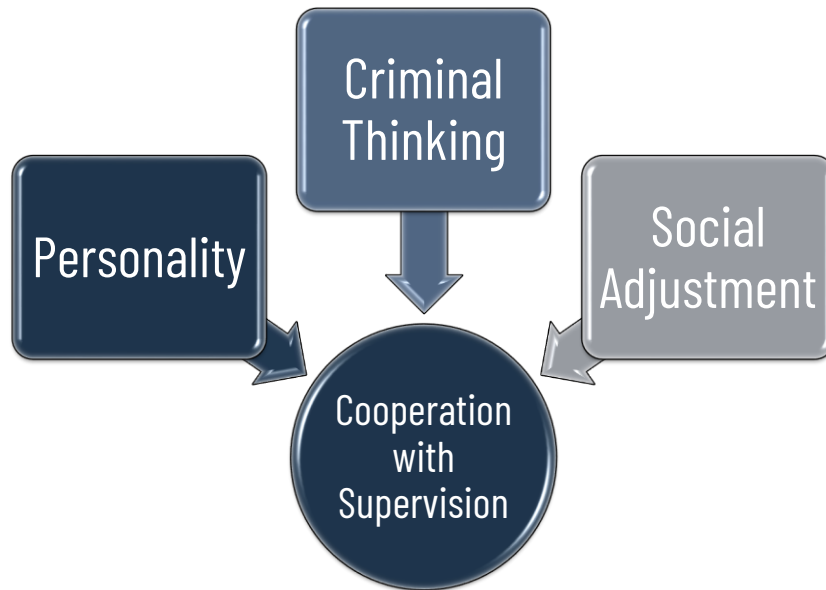


Q&A

Correlation with COMPAS Needs



Correlation with COMPAS Needs



Guide to Integration of
Stable 2007 Risk Factors
with Criminogenic Needs
(COMPAS)

Stable 2007 *		Criminogenic Needs
Significant Social Influences		Peers Family Social Adjustment
Intimacy Deficits	Capacity for Relationship Stability Emotional Identification with Children Hostility Toward Women General Social Rejection &/or Loneliness Lack of Concern for Others	Criminal Thinking Personality Anger Social Adjustment
Sexual Self Regulation	Sex Drive/Preoccupation Sex as Coping Deviant Sexual Interests	Criminal Thinking Personality Cognitive Behavioral Social Adjustment
General Self-Regulation	Impulsive Acts Poor Cognitive Problem Solving Negative Emotionality/Hostility	Criminal Thinking Personality Leisure and Recreation
Cooperation with Supervision		Criminal Thinking Personality Social Adjustment

Mann, Hanson, Thornton (2010)

Stable 2007 Actions ▼	
Name:	John Doe
Date of Birth:	2/17/1978
Race / Ethnicity:	Caucasian
Agency:	District Office
Date of Screening:	12/20/2019
Status:	Complete - Computed
Case #:	
Significant Social Influences:	0 -
Capacity For Stable Relationships:	1 -
Emotional ID With Children:	1 -
Hostility Toward Women:	0 -
General Social Rejection:	0 -
Lack of Concern for Others:	0 -
Impulsive:	0 -
Poor Problem Solving Skills:	1 -
Negative Emotionality:	0 -
Sex Drive Sex Preoccupation:	1 -
Sex As Coping:	1 -
Deviant Sexual Preferences:	2 -
Co-Operation With Supervision:	0 -
Total:	7 - Moderate
Overall Comments:	

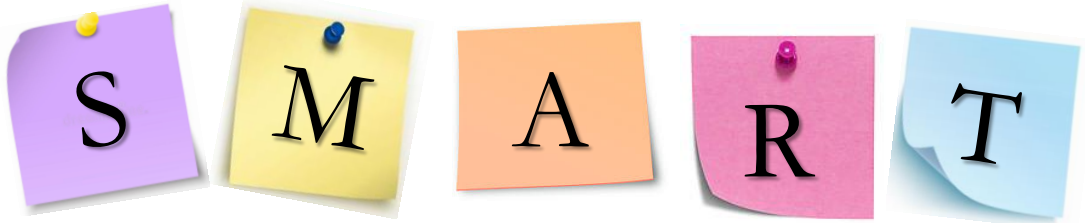
Stable 2007 *		Criminogenic Needs
Significant Social Influences		Peers Family Social Adjustment
Intimacy Deficits	<div>Capacity for Relationship Stability</div> <div>Emotional Identification with Children</div> <div>Hostility Toward Women</div> <div>General Social Rejection &/or Loneliness</div> <div>Lack of Concern for Others</div>	<div>Criminal Thinking</div> <div>Personality</div> <div>Anger</div> <div>Social Adjustment</div>
Sexual Self Regulation	<div>Sex Drive/Preoccupation</div> <div>Sex as Coping</div> <div>Deviant Sexual Interests</div>	<div>Criminal Thinking</div> <div>Personality</div> <div>Cognitive Behavioral</div> <div>Social Adjustment</div>
General Self-Regulation	<div>Impulsive Acts</div> <div>Poor Cognitive Problem Solving</div> <div>Negative Emotionality/Hostility</div>	<div>Criminal Thinking</div> <div>Personality</div> <div>Leisure and Recreation</div>
Cooperation with Supervision		<div>Criminal Thinking</div> <div>Personality</div> <div>Social Adjustment</div>

03

Case Planning

Evidence Based Case Plans

- ✓ *Dynamic*
- ✓ Hold probationers accountable
- ✓ Elicit buy-in & collaboration
- ✓ Guide & structure supervision contacts



Goals = Expectations



Targets for Change

Targeted to Strengths
when possible



Address Needs &
Priority Areas

More global than tasks



Dynamic

Always include a
target date

Goal Examples

I will develop healthy boundaries in my relationships. 6 months.

I will reconnect with my children. 6 months.

I will find a stable residence. 3 months.

Tasks = Steps needed to reach goals



Realistic, behavioral
action steps

Always include a
timeframe



Steps necessary to
complete goal

Action-oriented
(*what the probationer will
do, not the officer*)



"baby steps"

Timeframes
determine next
follow-up

Task Examples

I will develop healthy boundaries in my relationships. 6 months.

I will speak with my wife about my offending behaviors. 30 days.

I will keep a list of those I spend social time with during the week. 30 days.

I will reconnect with my children. 6 months.

I will complete the sexual history disclosure form to review with my PO & therapist. 30 days.

SMART or Not?





Goal

Find stable housing within the next 2 months.



Goal

I will get an approved supervisor for my grandkids. 3 months.





Goal

Attend sex offender treatment once/week.



Goal

I will complete sex offender treatment. 2 years.





Task

I will be honest with my PO and therapist.
Ongoing.



Task

I will develop a safety contract for my
upcoming family reunion. 2 weeks.





Task

I will comply with the registry for the next 6 months.



Task

I will not use any social media such as Facebook, Snapchat, Myspace, etc. Duration of parole.



Task

I will write an essay on the importance of healthy computer use. 30 days.

~~I will not use any social media such as Facebook, Snapchat, Myspace, etc. Duration of parole.~~

I will log my internet use daily for the next 30 days.

I will enroll my wife as an accountability partner on Covenant Eyes in 2 weeks.

Case Planning for Sex Offenders

SMART Goals & Tasks

Use these examples as a starting point for developing case plan goals & tasks with a sex offender population.

*new
resource!*

Tasks (realistic, behavioral action steps necessary to get the probationer to successfully complete case planning goals) (ranked by priority)

I will...

- Speak with my [wife/husband/significant other] about my behaviors. 2 weeks.
- Complete the sexual history disclosure form for review with my PO. 4 weeks.
- Create a list of healthy computer habits. 2 weeks.
- Keep track of my masturbation log to review with my therapist. 30 days.
- Log my internet use for the next 30 days.
- Find 2 new healthy hobbies. 1 month.
- Complete 1 cognitive model for review with my PO. 30 days.
- Attend 3 peer support meetings every week for the next month.
- Keep a log of the people I hang out with for the next month.
- Complete objective 4 homework for review with my therapist and PO. 3 weeks.
- Develop a safety contract for my upcoming family reunion. 4 weeks.

Incentives, Sanctions, & Interventions

...for the sex offender population

new
resource!

How do you incentivize probationers?

What types of sanctions might you use?

Q&A

Incentives, Sanctions, & Interventions

...for the sex offender population

GOAL: I will develop healthy boundaries in my relationships. - 6 months

Status: In progress (3/12/2021)

Goal Related Needs:

- Criminal Personality (4; Unlikely)

Triggers: Pornography

Resort back to pornography
Bad relationship with children, wife, family
Continue to spiral
Feel awful
Probation Violation
Jail

Sanctions:

Incentives:

Reconnect with my children, wife, family
Happiness & Confidence
Stability
Better finances
More trust

Task(s):

- I will complete at least 1 cognitive model. - 30 days. -

Status: In progress (03/12/2021)

new
resource!

04

Practice



Case Example

Let's look at a real case



W. Brooks

Offense:

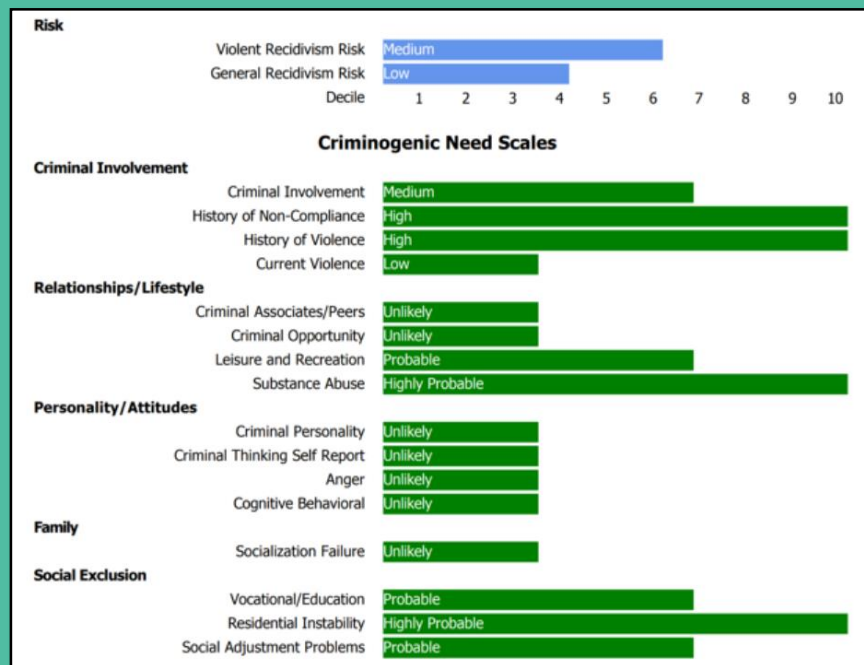
- Indecent Liberties w/Child By Custodian

Summary:

Mr. Brooks had previously been on supervision for 3 years and made strides in his sex offender treatment. His victims were 2 family males, ages 9 and 11. Mr. Brooks had the boys engage in oral stimulation. Stabilization factors and substance use resulted in a 2 year incarceration. Mr. Brooks has been on supervised probation for 6 months since his release. He is now employed full-time, has stable housing, and is enrolled in a MAT program to manage his substance use disorder.



W. Brooks RNA



W. Brooks Stable

Significant Social Influences:	0 - John, Granny, Brother, Angle
Capacity For Stable Relationships:	1 - No Current Relationship
Emotional ID With Children:	0 -
Hostility Toward Women:	0 -
General Social Rejection:	1 - Poor social Skills, lack of commitment to long term relationships
Lack of Concern for Others:	0 -
Impulsive:	0 -
Poor Problem Solving Skills:	1 - On going work on problem solving
Negative Emotionality:	0 -
Sex Drive Sex Preoccupation:	0 -
Sex As Coping:	0 -
Deviant Sexual Preferences:	2 - Historical
Co-Operation With Supervision:	0 -
Total:	5 - Moderate
Overall Comments:	

W. Brooks Integration

Stable 2007 *		Criminogenic Needs
Significant Social Influences		Peers
		Family
		Social Adjustment
Intimacy Deficits	Capacity for Relationship Stability	Criminal Thinking
	Emotional Identification with Children	Personality
	Hostility Toward Women	Anger
	General Social Rejection &/or Loneliness	Social Adjustment
	Lack of Concern for Others	
Sexual Self Regulation	Sex Drive/Preoccupation	Criminal Thinking
	Sex as Coping	Personality
	Deviant Sexual Interests	Cognitive Behavioral
		Social Adjustment
General Self-Regulation	Impulsive Acts	Criminal Thinking
	Poor Cognitive Problem Solving	Personality
	Negative Emotionality/Hostility	Leisure and Recreation
Cooperation with Supervision		Criminal Thinking
		Personality
		Social Adjustment
*Mann, Hanson, Thornton (2010)		

W. Brooks

Case Plan

GOAL: To have friends that support me - 6 months -

Status: *In progress (03/08/2021)*

Goal Related Needs:

- Social Adjustment Problems (5; Probable)
- Leisure and Recreation (7; Probable)
- Vocational/Education (8; Highly Probable)
- Anger (8; Highly Probable)

Task(s):

- To stay in contact with Ashley - 2 months -
Status: *In progress (03/08/2021)*
- To build relationships with co-workers - 1 month -
Status: *In progress (03/08/2021)*

W. Brooks

Case Plan

GOAL: "Better understanding of what leads up to my bad decisions." 6 months -

Status: *In progress (03/08/2021)*

Goal Related Needs:

- Criminal Personality (4; Unlikely)
- Vocation/Education (8; Highly Probable)

Task(s):

- To review Risk Management Plan - 1 month -
Status: *In progress (03/08/2021)*
- To identify previous behavior that deviates from Risk Management Plan and prepare presentation - 2 months
Status: *In progress (03/08/2021)*
- To enroll in Virtual Sex Offender Treatment to review and complete treatment - 3 months -
Status: *In progress (03/08/2021)*

Scenario: S. Williams

handout

Offense:

- Indecent Liberties w/Child By Parent/Etc.
- Possession of Obscene Material w/Minor

Summary:

Mr. Williams has been on supervised probation for 6 months. He is employed full-time and has stable housing with his mother. No children reside in the home, and he is not permitted contact with minors, including his 6-year-old daughter. The victim in this case is his 13-year-old stepdaughter. He is still in a relationship with his wife (the mother of the victim) but they have limited contact. Mr. Williams has expressed that his prioritized goal is to reconnect with his daughter. He attends sex offender treatment consistently. His therapist has recommended family therapy.

Scenario: S. Williams

Criminogenic Need Scales

Criminal Involvement

Criminal Involvement	Low
History of Non-Compliance	Low
History of Violence	Low
Current Violence	High

Relationships/Lifestyle

Criminal Associates/Peers	Unlikely
Leisure and Recreation	Probable
Substance Abuse	Unlikely

Personality/Attitudes

Criminal Personality	Highly Probable
Criminal Thinking Self Report	Probable
Anger	Highly Probable

Family

Family Criminality	Unlikely
--------------------	----------

Social Exclusion

Vocational/Education	Highly Probable
Residential Instability	Unlikely

Scenario: S. Williams

Intimacy
Deficits

Criminal Thinking
Personality

ACUTE

Sex/Violence Risk:	1 - Moderate Priority
General Recidivism Risk:	1 - Moderate Priority
Overall Comments:	

STABLE

Significant Social Influences:	0 -
Capacity For Stable Relationships:	1 -
Emotional ID With Children:	1 -
Hostility Toward Women:	0 -
General Social Rejection:	0 -
Lack of Concern for Others:	0 -
Impulsive:	0 -
Poor Problem Solving Skills:	0 -
Negative Emotionality:	0 -
Sex Drive Sex Preoccupation:	0 -
Sex As Coping:	0 -
Deviant Sexual Preferences:	1 -
Co-Operation With Supervision:	0 -
Overall Comments:	3 - Low

Sexual
Self Regulation

Criminal Thinking
Personality

Scenario: S. Williams

*Based on what we know so far, what
might our case plan look like?*

GOAL: I will reconnect with my daughter - 1 year

Status: *In progress*

Goal Related Needs:

- Criminal Personality (10; Highly Probable)
- Criminal Thinking Self Report (7; Probable)

Task(s):

- My wife and I will meet with my therapist to discuss a family therapy plan - 4 weeks -
Status: *In progress*
- I will talk with my wife about family therapy - 1 week -
Status: *In progress*

Scenario: S. Williams

OR... maybe this?

GOAL: I will learn 2 new ways to manage unhealthy sexual thoughts and fantasies - 6 months

Status: *In progress*

Goal Related Needs:

- Criminal Personality (10; Highly Probable)
- Criminal Thinking Self Report (7; Probable)

Task(s):

- I will complete 1 cognitive model related to unhealthy thoughts and fantasies - 4 weeks -
Status: *In progress*
- I will complete objective 4 homework to review with my PO and therapist - 4 weeks -
Status: *In progress*

Case Plan Driven Supervision



...for sex offenders

GOAL: I will develop healthy boundaries in my relationships with family members - 6 months

Status: *In progress (3/10/2021)*

Goal Related Needs:

- Criminal Personality (10; Highly Probable)
- Criminal Thinking Self Report (7; Probable)

Task(s):

- I will complete a family safety contract to review with my PO - 2 weeks -
Status: *Successfully completed (03/10/2021)* ✓
- I will present my family safety contract to my therapist - 2 weeks -
Status: *In progress (03/10/2021)* ←

Additional Tools

What tools help us follow up with cases?



Interview



Documentation



Community
Contacts



Polygraphs



Drug
Screens

Thank you!



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Thanks

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