Chad Heberly, Founder | Financial Advisor
Chad.Heberly@ProsperaInvestmentAdvisors.com
301 N Main St. Suite 202
Pueblo, CO 81003
719.521.5097
www.ProsperaInvestmentAdvisors.com

PLANNING DOCUMENTS CHECKLIST

Please upload or send the following documents prior to your initial planning meeting. Documents can be uploaded during the completion of the Initial Planning Meeting Form.

PERSONAL DOCUMENTS

☒ Social Security statement(s)
☒ Mortgage and loan information
- Amount Owed / Rate / Monthly Payment

☒ Most recent tax return
☐ Other:Click here to enter text. ________________________

EMPLOYER SPONSORED RETIREMENT PLAN

☒ Retirement Savings Plan(s) Statement(s) (401k, 403b, Deferred Comp., etc.)
☐ Other:Click here to enter text. ________________________

INVESTMENTS / CD'S

☒ Current investment statement(s)
☒ Maturity dates and current yield of Certificates of Deposit
☐ Other:Click here to enter text. ________________________

INSURANCE

☒ Home and Auto Insurance declarations page (or Policy Renewal document)
☒ Umbrella Policy déclarations page (or Policy Renewal document)
☒ Life Insurance statements
☒ Annuity account statements
☒ Disability income insurance policy information
☒ Long-term care policy information
☐ Other:Click here to enter text. ________________________

BUSINESS

☒ Company-sponsored plan document
☐ Deferred Compensation / Stock/Option / Bonus plan agreements
☐ Buy-sell agreement
☐ Other: Click here to enter text. ________________________

ESTATE / OTHER

☒ Will documents
☒ Trust Agreements
☒ Power of Attorney / Medical Directives
☐ Other:Click here to enter text. ________________________

Chad Heberly offers investment advisory services through Gradient Advisors, LLC (Arden Hills, MN 877-885-0508), an SEC Registered Investment Advisor. Gradient Advisors, LLC and its advisors do not render tax, legal or accounting advice. Prospera Investment Advisors, LLC is not a registered investment advisor and is independent of Gradient Advisors, LLC. Insurance products and services are offered through Chad Heberly, independent agent. Prospera Investment Advisors, LLC, Chad Heberly, and Gradient Advisors, LLC are not affiliated with or endorsed by the Social Security Administration or any government agency