

## Please complete and submit this form to Region along with Competitive Financial Model for the Market Center.

Region					
Market Center Name/#					
Operating Principal					
Current Cap Amount					
Proposed Cap Amount(s) **If requesting multi-level cap amounts, please list all cap amount options/details					
Transaction Fees (if applicable)					
Current Split					
Proposed Split					
Rationale for Adjustment					
OP and RD have reviewed the Competitive Financial Model	☐ Yes ☐ No				
Operating Principal		-	Date		
Regional Director		-	Date		
Divisional Leader		-	Date		

<sup>\*\*</sup> Upon approval from KWRI, Market Center will receive an email with confirmation including instructions to modify WinMORE.