# Air Pollution and Dementia: A Systematic Review

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#### Abstract.

**Background:** Both air pollution and dementia are current and growing global issues. There are plausible links between exposure to specific air pollutants and dementia.

**Objective:** To systematically review the evidence base with respect to the relationship between air pollution and later cognitive decline and dementia.

**Methods:** Medline, Embase, and PsychINFO<sup>®</sup> were searched from their inception to September 2018, for publications reporting on longitudinal studies of exposure to air pollution and incident dementia or cognitive decline in adults. Studies reporting on exposure to tobacco smoke including passive smoking or on occupational exposure to pollutants were excluded. Using standard Cochrane methodology, two readers identified relevant abstracts, read full text publications, and extracted data into structured tables from relevant papers, as defined by inclusion and exclusion criteria. Papers were also assessed for validity. CRD42018094299

**Results:** From 3,720 records, 13 papers were found to be relevant, with studies from the USA, Canada, Taiwan, Sweden, and the UK. Study follow-up ranged from one to 15 years. Pollutants examined included particulate matter  $\leq 2.5 \mu$  (PM<sub>2.5</sub>), nitrogen dioxide (NO<sub>2</sub>), nitrous oxides (NO<sub>x</sub>), carbon monoxide (CO), and ozone. Studies varied in their methodology, population selection, assessment of exposure to pollution, and method of cognitive testing. Greater exposure to PM<sub>2.5</sub>, NO<sub>2</sub>/NO<sub>x</sub>, and CO were all associated with increased risk of dementia. The evidence for air pollutant exposure and cognitive decline was more equivocal.

Conclusion: Evidence is emerging that greater exposure to airborne pollutants is associated with increased risk of dementia.

Keywords: Air pollutants, cognitive decline, dementia, particulate matter

# INTRODUCTION

Air pollution is a current and growing global problem [1]. It is a recognized causative factor in several non-communicable diseases (NCD) including heart disease, stroke, and cancer [1]. Dementia (a disabling, degenerative NCD) is also a growing global issue [1, 2]. There are plausible links between air pollution and increased risk of dementia [3–7]. Recent interest in this area has resulted in several publications examining the association between air pollution and subsequent dementia or cognitive decline [6, 8–11]. We provide a systematic overview of the current evidence base.

## Air pollution

According to a recent Lancet commission on pollution and health, pollution is the largest environmental

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cause of disease and premature death in the world today, responsible for an estimated 16% of all deaths worldwide and associated with a much wider range of disease than was previously thought [1]. Air pollution in particular is at highest concentration in Low and Middle-Income Countries (LMIC) but can disperse globally and has a disproportionally greater effect on the vulnerable, children and older adults [1].

#### Dementia

The risk of dementia, and the cognitive decline that precedes it, rise with increasing age. The globally ageing population means that the absolute numbers of those living with dementia continue to increase with an estimated new case every three seconds [12]. The rise in dementia cases is global but due to differing patterns in risk factor exposure and healthcare access, the rise is greater in LMIC [12].

#### Air pollution and dementia

Exposure to air pollution, especially fine particulate matter, is thought to increase risk of hypertension, raised lipids, atherosclerosis, oxidative stress, insulin resistance, endothelial dysfunction, enhanced propensity toward coagulation, inflammation, and stroke, all of which also raise risk of cognitive decline and dementia [1–4, 13–17].

The 2017 Lancet commission on dementia prevention, intervention and care included air pollution in a list of potential risk factors for dementia [18]; the 2018 Lancet commission on pollution states that the evidence of causation is building, in particular for fine particulate matter and dementia in the elderly, and it calls for research to explore emerging causal links [1]. Given that air pollution is known to have a negative effect on human health, a clinical trial of the length needed to evaluate effect on cognitive function is unlikely and the best evidence to demonstrate a causal link will come from longitudinal observational studies. Recent interest in this area has led to the publication of several such studies examining air pollution exposure and incident cognitive decline or dementia [6, 11].

Our aim was to systematically review the evidence base with respect to the relationship between air pollution and incident cognitive decline and dementia in adult populations and to update our earlier review in this area [11]. The protocol for this review is registered with the International prospective register of systematic reviews (http://www.crd.york.ac.uk/ prospero/) no. CRD42018094299 and is an update of an earlier review CRD42014007582 [12]

#### MATERIALS AND METHODS

Standard systematic review methodology was followed [19]. As this was an update of an earlier systematic review the same search terms were used [11] and the databases MEDLINE, Embase, and PsychINFO<sup>®</sup> were searched from inception to the 20 September 2018. Reference lists of all papers identified were screened for other published papers. Details of the search strategy are given in the Supplementary Material.

There were three independent analysts (RP, JP, NE). The lead analyst carried out the literature searches. All identified abstracts, or titles where abstracts were unavailable, were double read and a list of potentially relevant references compiled independently by at least two analysts. These lists were compared and differences were resolved by discussion. Once the list of possible references was agreed, full text articles were obtained, double read, and assessed for relevance independently by at least two analysts. Any differences in agreement were resolved by discussion. Inclusion was assessed in accordance with the inclusion and exclusion criteria below

# Inclusion criteria

- Longitudinal studies with evidence of some assessment of exposure to air pollution (aggregate assessment or constituent parts);
- Use of formal assessment of cognitive function;
- Report of incident cognitive decline or dementia outcomes;
- Data from adults (age  $\geq 18$ );
- Minimum follow up 6 months.

# Exclusion criteria

- Studies reporting only occupational exposure to pollutants;
- Studies reporting exposure to other pollutants, e.g., organophosphates;
- Studies reporting only exposure to smoking (including passive smoking);
- Non-English publications (in the absence of resources available for translation).

The selection of longitudinal studies with assessment of exposure to air pollution, formal assessment of cognitive function and reports of cognitive decline (i.e., a change in cognitive function) or incident dementia were used to ensure the inclusion of the most robust data with regard to evaluation of causality. Data were extracted using standard extraction tables and information was collected on: the region where the study took place, the size and composition of the study population, the duration of follow up, the assessment of cognitive function or incident dementia, the measurement of exposure to air pollutants, types of pollutant, the analyses (principle summary measures include hazard ratios and odds ratios). results, and reported co-variates. In order to be as conservative as possible, results following adjustment for confounding were preferred for inclusion in the table.

Each included paper was also assessed for validity. Formal scoring was not used as existing instruments have poor discriminative ability when assessing quality. Instead each paper was assessed against key criteria based on the Critical Appraisal Skills Programme (CASP<sup>©</sup>) checklists [20]. Potential sources of bias in each study were tabulated.

# RESULTS

There were 3,720 records identified by searches and where abstracts were double screened. Of those, 45 articles were assessed at full text stage and 13 were included [8, 9, 21-31]. Two articles reported on the association between NO<sub>x</sub> and incident dementia in the same sample from the Swedish Betula study [31, 32]; the one that reported numerical results was selected for inclusion [31]. The remaining article had a focus on noise exposure and was excluded [32]. Further exclusion at full text stage was due to study design (lacking appropriate longitudinal data [10, 33-54]), where exposure measures were not clearly related to air pollution [5, 55–58], or where the article was a review only [59]. Several studies were ineligible for more than one reason. Figure 1 shows the flow chart for study inclusion.

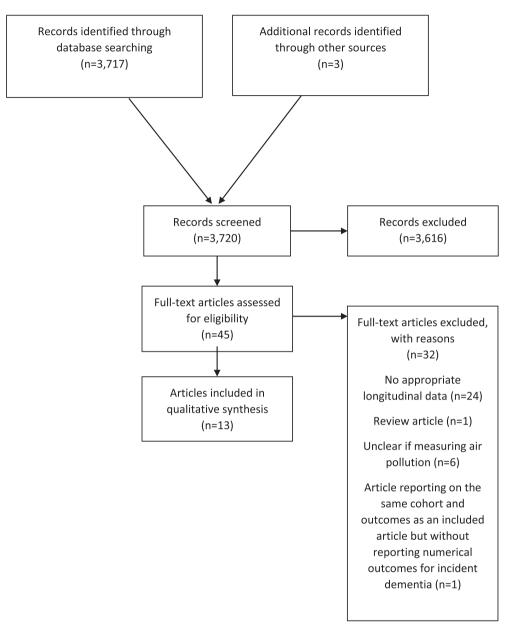
#### Study characteristics

Four studies reported results from populations in the United States of America [21, 22, 25, 29], two from Canada [8, 9], two from Taiwan [27, 28], one from Sweden [26, 30, 31], and two from the United Kingdom [23, 24] The samples from the UK both included populations from London but one reported on cognitive function [23] and the other on incident dementia [24]. The samples from Taiwan both selected participants from the National Health Insurance Research Database but selected differing subgroups of the population and presented results for different pollutants: for Jung et al. [27], particulate matter 2.5 (PM<sub>2.5</sub>); and for Chang et al. [28], nitrogen dioxide (NO<sub>2</sub>) and carbon monoxide (CO). The samples from Canada both selected residents of Ontario but also selected differing sub groups and reported on different measures of pollution; for Chen et al. [8], PM<sub>2.5</sub>; and for Chen et al. [9], residential proximity to a major roadway. There were three articles reporting on the Swedish Betula study, one on NO<sub>x</sub> and incident dementia [26], one on NO<sub>x</sub> and episodic memory [30], and one on PM2.5 and incident dementia [31]. Sample size ranged from 1,469 [30] to over two million [9], and two studies recruited only women (participants in the Nurses Health Study) [21] and the Women's Health Initiative Memory Study (WHIMS) [29]. All studies were longitudinal but follow up was reported inconsistently. It varied from one year [22] to  $\sim$ 5–10 years [23, 30] in studies with cognitive outcomes, and from ~7 [8, 9, 24, 27, 28] to ~15 years [26] in studies with incident dementia outcomes. See Table 1 for study characteristics.

#### Exposure assessment

The most commonly examined pollutant was  $PM_{2.5}$ , reported in nine articles [8, 21–25, 27, 29, 31]. One study used distance to a major roadway as the main outcome with additional adjustment for  $PM_{2.5}$  and  $NO_2$  exposure in sensitivity analyses [9]. Four studies reported on  $NO_2$  [8, 24, 26, 28] and one on  $NO_x$  [30]. See Supplementary Table 1.

The selected studies adopted a variety of modelling approaches, to obtain high resolution (to residential address level) exposure estimates for their populations. The methodologies varied from relatively simple interpolation approaches from selected monitoring sites within the study domain [28], to more refined approaches, exploiting Land Use Regression approaches and satellite data to improve predictions at locations remote from air pollution monitoring sites [8, 22]. Three studies employed an emissions approach with dispersion modelling, incorporating annual meteorology [23, 25], and atmospheric chemistry [25]. Two studies attempted to split the PM modelled estimates in those derived from vehicle





tailpipes [23, 31] and PM derived from residential wood burning [31]. While most studies employed single models to estimate exposures to a range of pollutants, several studies employed different approaches for different pollutants, such as  $O_3$  and PM<sub>2.5</sub> [8]. In most cases, studies presented some form of model evaluation or provided reference to an external source relating to model performance. Only one study employed road distance as their primary (proxy) measure for exposure to traffic related air pollutants [9], but this employed modelled pollutant estimates in their subsequent sensitivity analysis. For one study [28], the exposure measures used in the analyses were unclear. The period for evaluating associations between exposure to pollution and cognitive decline or incident dementia, ranged from days to weeks for the cognitive assessments to months to years for dementia. For dementia in particular, various lag or aggregated exposure periods were also used. It should be stated that there is no clear consensus as to what the most informative exposure period is to assess the neurological impacts of air pollution,

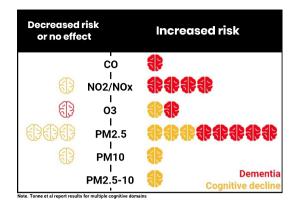


Fig. 2. Number of studies investigating relationship between exposure to pollutants and cognitive function or dementia.

but as modelling approaches look further back in time within the available cohorts the modelling uncertainty increases as the available measurement data become sparser. As with all modelling approaches, there is likely to be significant exposure misclassification, as modelling estimates at a point are unlikely to represent the true exposure of a mobile population. Here the view is that this degree of misclassification will be greater for pollutants with a high degree of spatial variation, such as NO<sub>2</sub>, and less marked with pollutants with a more uniform distribution such as PM<sub>2.5</sub>. These issues were reflected in the discussion of most of the papers cited, as was the difficulty in disaggregating the effect of independent pollutants that were highly correlated within the models.

# Assessment of outcomes, dementia, and cognitive decline

Seven articles reported incident dementia cases [8, 9, 24, 26, 28, 29, 31], one focused only on incident Alzheimer's disease (AD) [27]. Six articles used varied measures of cognitive change [21–23, 25, 29, 30]. See Supplementary Table 1.

# Association between air pollution exposure and cognitive outcomes

Overall, the evidence pointed to an association between greater pollution exposure and increased risk of dementia regardless of pollutant measure (see Fig. 2). The evidence relating to cognitive decline was equivocal. There was no clear pattern by region of recruitment or concentration of pollutant. Variation in statistical methods and the frequent use of quantiles for pollutant exposure prevented meta-analysis. See Table 2 for main results.

For PM<sub>2.5</sub>, three studies [21, 23, 29] reported an association between PM2.5 and decline in cognitive performance (i.e., higher exposure associated with higher risk), with the WHIMS study additionally reporting a dose dependent relationship between apolipoprotein E4 (APOE4) allele and  $PM_{2.5}$ , such that the lowest decline was in those with lowest exposure and without an APOE4 allele [29]. In the Whitehall study, the association between PM2.5 and decline in cognitive performance was seen only for memory performance with a four-year time lag (average exposure over four years prior to second cognitive assessment) but not in other cognitive domains or with other time lag periods [23]. Two further studies found no relationship between PM2.5 and decline in cognitive performance [22, 25], although one reported a dose response relationship for the interaction between presence of APOE4, PM2.5, and cognitive decline [25] Greater exposure to  $PM_{2.5}$ was also associated with an increased risk of dementia [8, 24, 31] and AD [24, 27], in UK, Canadian, Swedish, United States, and Taiwanese populations with the WHIMS study also reporting a dose dependent relationship for APOE4, PM<sub>2.5</sub>, and dementia risk [29]. For  $NO_2/NO_x$ , greater exposure was consistently associated with an increased risk of dementia [8, 24, 26, 28] and AD [24]. There was one analysis of NO<sub>x</sub> and cognitive decline that reported no relationship between NO<sub>x</sub> and decline in episodic memory [30]. Four studies also examined ozone as a pollutant [8, 24, 25, 27]. One found no relationship with incident dementia [8], one reported a decreased risk of dementia and AD [24], one found greater ozone exposure to be associated with increased risk of incident AD [27], and one reported only a dose dependent relationship between APOE4. ozone interaction, and cognitive decline [25], i.e., the lowest decline in those with lowest exposure and without an APOE4 allele [25]. A single study looked at carbon monoxide and found an association between greater exposure and increased risk of dementia [28].

Taking a different approach, Chen et al. and Carey et al. used a proxy measure of pollution exposure looking at the association between place of residence and distance to the nearest major roadway [9, 24]. This has been shown to have a cross sectional association with poorer cognitive scores in a population in Germany [47], but has not been examined with incident dementia. The results for Chen et al. showed

Study characteristics										
				Popula	Population					
Authors	Study name	Study design	Location	n	details	Baseline age	% Male	Baseline date	Follow-up date	Follow-up duration
Weuve et al., 2012 [21]	NHS	cohort	USA (11 states)	19409, BL 17089, FU-I 14204, FU-II	Registered nurses, 30–35 y at enrolment; no history of stroke in 1995–2001	≥70	_	1995–2001	1997–2004 2002–2008	1.9 y (SD=0.4) 4.3 y (SD=0.8)
Loop et al., 2013 [22]	REGARDS	Cohort	USA (48 states)	20150 (18180 with >12 months exposure data)	Cognitive impairment excluded at baseline	64 ( <i>SD</i> =9.2)	45.0%	2003–2007	Annual assessments	_
Tonne et al., 2014 [23]	Whitehall II longitudinal study	Cohort	London, UK (greater Britain)	2867 (2654 did not move away between waves)	London-based civil servants working in Whitehall	~61	100.0%	2002–2004	2007–2009	~5 y
Carey et al., 2018 [24]	Sample from the CPRD database	population- based cohort	UK	130978	Individuals aged 50–79 and registered for more than a year with one of 75 general practices sited within the London orbital motorway (M25) and part of the CPRD database	50–79	50%	2005	2013	6.9 mean y
Chen et al., 2017 [8]	ONPHEC	population- based cohort	Ontario, Canada	2066639	Ontario residents, free of dementia	66.8 ( <i>SD</i> = 8.2)	46.7%	2001	2012 or date of dementia diagnosis, ineligibility for health insurance, death	~11 y
Cleary et al., 2018 [25]	Longitudinal study of ADC participants	cohort	USA (nation- wide)	5116	34 ADC centers consolidated by NACC	76.8 ( <i>SD</i> = 7.7)	46.9%	2005–2008	_	4.4 y (SD = 0.6); maximum follow-up 7.5 y (those with >3 clinic visits excluded)

Table 1	
Study characteristics	

Chen et al., 2017 [9]	Sample from Ontario's registered persons database	population- based cohort	Ontario, Canada	243611	Registry of Ontario residents with health insurance, Canadian-born, Ontario resident for $\geq 5$ y, no BL Parkinson's dis- ease/dementia/multiple sclerosis	66.8 ( <i>SD</i> = 78.2)	46.8%	2001	2012 or date of dementia diagnosis, ineligibility for health insurance, death	~11 y
Oudin et al., 2016 [26]	Sample from the Betula study	population- based cohort	Umea, Sweden	2803	Participants with dementia, lost to follow up, who left study prior to T2, or <55 y at T2 excluded	>55	57.2%	1988–1990, T1 1993–1995, T2	Every 5 y through to 2008–2010	~15 y
Jung et al., 2015 [27]	Individuals from LHID 2000	population- based cohort	Taiwan	95690	Randomly selected from the year 2000 registry of beneficiaries from the NHIRD	>65 at FU	53.9%	2001	2010 or date of dementia of AD, insurance termination	~10 y
Chang et al 2014 [28]	Sample from NHIRD	cohort	Taiwan	29547	50 y or older, no history of head injury, stoke, or dementia before 2000	61.4 ( <i>SD</i> = 8.5)	46.0%	2000	End of follow-up or date of dementia diagnosis, leaving the insurance database	-
Cacciottolo et al., 2017 [29]	WHIMS	cohort	USA	3647	Excluded those with $\varepsilon 2/2$ , $\varepsilon 2/3$ , $\varepsilon 2/4$ alleles	65–79	100%	1995–1999	Annually beginning in 1999–2010	8.3 y/9.9 y
Oudin et al., 2017 [30]	Sample from the Betula Study	population- based cohort	Umea, Sweden	1469	Participants 55 or younger at baseline excluded	60 or older	45%	1988–1990	Every 5 y between 1988–2010	8.6 mean y $(SD = 4.4)$
Oudin et al., 2018 [31]	Sample from the Betula Study	population- based cohort	Umea, Sweden	1806	Participants 55 or younger at baseline excluded because of low risk of developing dementia within 15 y	55 or older	57.0%	43.0%	1993–1995	every 5 y between baseline and 2010

AD, Alzheimer's disease; ADC, Alzheimer's Disease Centre; BL, baseline; FU, follow-up; LHID, Longitudinal Health Insurance Database; NACC, National Alzheimer's Coordinating Centre; NHIRD, National Health Insurance Research Database; NHS, Nurses Health Study; ONPHEC, Ontario Population Health and Environment Cohort; REGARDS, Reasons for Geographic and Racial Differences in Stroke Study; T1, time-1; T1, time-2; WHIMS, Women's Health Initiative Memory Study; y, year.

Authors	Pollutants	Results	Main findings
Weuve et al., 2012 [21]	PM <sub>2.5</sub>	Adjusted difference in 2-y change in global cognitive z-scores per quintile of exposure highest versus lowest –0.018 (–0.034, –0.002)	Rate of cognitive decline was significantly larger in women with highest level of exposure to $PM_{2.5}$ as compared to lowest level. Rate of decline in global cognition per 10 µg/m3 increment in long-term exposure was significant
		Adjusted difference in 2-y change in global cognitive score z-scores per $10 \mu g/m3$ increase long-term (since 1988): $-0.018 (-0.035, -0.002)^*$	for long-term exposure, but no associations were seen for exposures of 1 month, 1, 2, or 5–y preceding baseline cognitive assessment.
		Sensitivity and secondary analyses did not materially affect results.	
	PM <sub>2.5-10</sub>	Adjusted difference in 2-r change in global cognitive z-scores per quintile of exposure highest versus lowest: -0.024 (-0.040, -0.008)*	Trend-level associations ( $p = 0.01$ ) were observed between higher levels (Q2–4) of long-term exposure and accelerated cognitive decline. Rate of cognitive decline was significantly faster for highest as compared to lowest
		Adjusted difference in 2-y change in global cognitive score z-scores per $10 \mu g/m3$ increase 1-month: $-0.007 (-0.017, 0.003)$	PM2.5–10 exposure quintiles. Exposures in the 1, 2, and 5 y before the baseline cognitive assessment were significantly associated with increased rate of cognitive
		1-y: -0.017 (-0.029, -0.005)* 2-y: -0.016 (-0.029, -0.003)* 5 y: -0.019 (-0.032, -0.006)* Long-term (since 1988): -0.020 (-0.032, -0.008)*	decline, but this effect was not seen for 1-month PM2.5–10 exposure.
		Sensitivity and secondary analyses did not materially affect results.	
Loop et al., 2013 [22]	PM <sub>2.5</sub>	Effect of $10 \mu g/m3$ increase in PM <sub>2.5</sub> Fully adjusted model: OR = 0.98 (0.72, 1.34)	Exposure to PM <sub>2.5</sub> was not associated with incident cognitive impairment, even when analysis was run in participants with more than 12 months of exposure data.
		Sensitivity analysis – exposure >12 months, $n = 18180$ Fully adjusted model: OR = 0.71 (0.38, 1.32)	<b>·</b>

Table 2
Key findings and results

Tonne et al., 2014 [23]	PM <sub>2.5</sub>	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests	Exposure to PM <sub>2.5</sub> with 4-y lag was associated with memory decline in participants who did not move outside of greater London during the study.		
		Re-analyses excluding participants who relocated: Mean change in memory per IQR increase 5-y average: ns 4-y lag: -0.041 (-0.079, -0.003)* Mean change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests			
	PM <sub>2.5</sub> from traffic exhaust only	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests	$PM_{2.5}$ exposure was not associated with cognitive change over 5 y.		
	PM <sub>10</sub>	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-r lag: ns for all tests	Exposure to $PM_{10}$ with 4-y lag was associated with memory decline in participants who did not move outside of greater London during the study.		
		Re-analyses excluding participants who relocated: Mean change in memory per IQR increase 5-y average: ns 4-y lag: -0.039 (-0.073, -0.005)* Mean change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests			
	PM <sub>10</sub> from traffic exhaust only	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests	$PM_{10}$ -exhaust was not associated with cognitive change over 5 y.		

(Continued)

Table 2
Continued

Authors	Pollutants	Results	Main findings
Carey et al., 2018 [24]	PM2.5 NO2 Distance from a major roadway O3	Model 1 (adjusted demographics and behavioral risk factors) NO2 per 7.471 $\mu$ g/m3 increase HR1.17 (1.06, 1.28) PM2.5 per 0.95 $\mu$ g/m3 increase HR1.07 (1.02, 1.12) O3 per 5.56 $\mu$ g/m3 increase HR0.84 (0.75, 0.93) Distance to major roadway per 310 m closer HR1.02 (0.97, 1.08)	Increased risk of dementia with increased exposure to PM2.5 and NO2. Decreased risk with greater exposure to O3. Results for distance to major roadway were non-significant after full adjustment.
		Model 4 (additional adjustment for socioeconomic status, clinical risk factors, pollutants other than the one reported, night-time noise) NO2 per 7.471 $\mu$ g/m3 increase HR1.15 (1.04, 1.28) PM2.5 per 0.95 $\mu$ g/m3 increase HR1.06 (1.01, 1.13) O3 per 5.56 $\mu$ g/m3 increase HR0.85 (0.76, 0.96) Distance to major roadway per 310 m closer HR1.00 (0.95, 1.05)	
Chen et al., 2017 [8]	PM <sub>2.5</sub>	Similar patterns for Alzheimer's disease and vascular dementiaAdjusted individual pollutant model: $HR_{IQR} = 1.04 (1.03, 1.05)^*$ Three pollutant model: $HR_{IQR} = 1.02 (1.01, 1.03)^*$ 5-y lag: $HR_{IQR} = 1.03 (1.02, 1.05)^*$ 10-y lag: $HR_{IQR} = 1.03 (1.01, 1.06)^*$	PM <sub>2.5</sub> is associated increased risk of dementia. Findings were robust to adjustments for other pollutants, sensitivity analysis including lagging exposure of 5 and 10 y.
	NO <sub>2</sub>	Adjusted individual pollutant model: $HR_{IQR} = 1.10 (1.08, 1.12)^*$ Three pollutant model: $HR_{IQR} = 1.09 (1.07, 1.11)^*$ 5-y lag: $HR_{IQR} = 1.08 (1.06, 1.09)^*$ 10-y lag: 1.06 (1.03, 1.08)*	Interquartile increase NO <sub>2</sub> is associated elevated increase risk of dementia. Findings were robust to adjustments for other pollutants, sensitivity analysis including lagging exposure of 5 and 10 y.
	O <sub>3</sub>	Adjusted individual pollutant model: $HR_{IQR} = 0.98 (0.96, 1.00)$ Three pollutant model: $HR_{IQR} = 0.99 (0.97, 1.01)$ 5-y lag: $HR_{IQR} = 0.99 (0.96, 1.02)$ 10-y lag: $HR_{IQR} = 0.99 (0.95, 1.03)$	Increased exposure to $O_3$ was not associated with inciden dementia.

Cleary et al., 2018 [25]	PM <sub>2.5</sub>	All compassion ns at $p < 0.5$ Dose-dependent relationship between APOE4 *PM <sub>2.5</sub> interaction and cognitive decline. Lowest decline in those without APOE4 allele and lowest exposure.	PM2.5 was not associated with cognitive decline on the MMSE or CDR-SB, in total and baseline cognitively-normal populations. Presence at least one <i>APOE4</i> allele was associated with a faster decline for all exposure tertiles.
	O <sub>3</sub>	MMSE: low versus highest tertile, $\beta = 0.83 \ (0.5, 1.2)^*$ low × time versus highest tertile, $\beta = 0.35 \ (0.2, 0.5)^*$ CDR-SB: low versus highest tertile, $\beta = -60 \ (-0.8, -0.3)^*$ low × time versus highest tertile, $\beta = -0.40 \ (-0.5, -0.3)^*$	Highest and medium ozone exposure were associated with accelerated cognitive decline on both MMSE and CDR-SB assessments ( $p < 0.05$ ), with highest ozone regions having steepest decline. Ozone exposure effects were not significant in cognitively impaired subpopulation (baseline MMSE < 24). <i>APOE4</i> was associated with a faster decline for all exposure tertiles.
		medium × time versus highest tertile, $\beta = -0.14 (-0.2, -0.1)^*$ Cognitively impaired subgroup Dose-dependent relationship between <i>APOE4</i> *O3 interaction and cognitive decline. Lowest decline in those without <i>APOE4</i> allele and lowest exposure.	
Chen et al., 2017 [9]	Residential distance from roadway (sensitivity analyses with PM <sub>2.5</sub> and NO <sub>2)</sub>	243611 cases of incident dementia cases between 2001–2012; ~50% lived within 200 m, 95% lived within 1000 m. Risk of incident of dementia for distance from roadways, fully adjusted model <50 m: HR = 1.07 (1.06, 1.08)* 50–100 m: HR = 1.04 (1.02, 1.05)* 101–200 m: HR = 1.04 (1.02, 1.05)* 201–300 m: HR = 1.00 (0.99, 1.01) >300 m: reference Log (distance): 0.91 (089, 0.92)*	Living closer to a roadway was associated with increased risk of dementia for continuous and all categories of distance, except for the distance category of 201-200 m (trend-level significance, $p = 0.0349$ ). Adjustment for PM <sub>2.5</sub> and NO <sub>2</sub> exposure modestly attenuated the association for categories of < 50 m and 51–100 m, and father adjustments did not materially affect associations.
		Sensitivity analyses: $PM_{2.5}$ and $NO_2$ exposure modestly attenuated the association for categories of <50 m and 51–100 m <50 m: HR = 1.05 (CI not reported) 50–100 m: HR = 1.02 Risk of incident dementia and exposure to pollutants $PM_{2.5}$ : HR = 1.07 (1.06, 1.08)* $NO_2$ : HR = 1.04 (1.03, 1.05)* Associations insensitive to additional controls; excluding first 2 and 5 y of follow up or restricting participants to >65 y old did not materially affect results.	

(Continued)

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Table 2 Continued

Authors	Pollutants	Results	Main findings
Dudin et al.,	NO <sub>x</sub>	Incident dementia: <i>n</i> = 301 (AD: <i>n</i> = 191, VaD: <i>n</i> = 111)	Dose-response observed between higher concentrations of
016 [26]		Risk of incident dementia	NO <sub>x</sub> and increased rates of incident dementia. Significant associations observed for all quartiles when compared to
			1 1
		Model 1 (age-adjusted) Q4: HR = 1.57 (1.12, 2.19)*	the reference in the fully adjusted model. Continuous measures of NO <sub>x</sub> were not associated with increased rates
		Q4: $HR = 1.57 (1.12, 2.19)$ Q3: $HR = 1.49 (1.07, 2.09)^*$	of incident dementia.
		Q3. $HK = 1.49 (1.07, 2.09)$ Q2: $HR = 1.10 (0.77, 1.58)$	of mendent dementia.
		Q2. IIK = 1.10 (0.77, 1.38) Q1: reference	
		per $10\mu g/m3$ increase: HR = 1.04 (0.98, 1.11)	
		Model 2 (adjusted for genetics and behavioral factors)	
		Q4: HR = 1.43 (0.998, 2.05)	
		Q3: HR = $1.48 (1.03, 2.11)^*$	
		Q2: $HR = 1.11 (0.76, 1.63)$	
		O1: reference	
		per 10 $\mu$ g/m3 increase: HR = 1.05 (0.98, 1.12)	
		Model 3 (fully adjusted)	
		Q4: HR = $1.60 (1.02, 2.10)^*$	
		Q3: HR = $1.49 (1.04, 2.14)^*$	
		Q2: HR = $1.48 (1.13, 1.66)^*$	
		Q1: reference	
		per 10 $\mu$ g/m3 increase: HR = 1.05 (0.98, 1.12)	
lung et al.,	PM <sub>2.5</sub>	Risk of incident AD per IQR (13.21 µg/m3) increment of PM <sub>2.5</sub>	13.21 µg/m3 increment in PM2.5 was not associated with
2015 [27]		Baseline: $HR_{IQR} = 1.01 (0.93, 1.09)$	incident AD at baseline. But significantly increased risk of
		Follow-up: $HR_{IQR} = 2.41 (2.24, 2.59)^*$	incident AD over follow-up in adjusted models.
		Adjusted model	
		Risk of incident AD per IQR (13.21 µg/m3) increment of PM <sub>2.5</sub>	
		Baseline: $HR_{IQR} = 1.03 (0.95, 1.11)$	
		Baseline, adjustments for SO2, CO, NO2, or PM10: HRIQR remained	
		ns	
		Follow-up: HR <sub>IQR</sub> = 2.38 (2.21, 2.56)*	
		Follow-up, adjustments for SO <sub>2</sub> , CO, NO <sub>2</sub> , or PM <sub>10</sub> : HR <sub>IQR</sub>	
		increased to 2.17 to 2.43*	

	O <sub>3</sub>	Risk of incident AD per IQR (9.63 ppb) increment of O3 Baseline: $HR_{IQR} = 1.06 (1.01, 1.13)^*$ Follow-up: $HR_{IQR} = 3.12 (2.91, 3.32)^*$ Adjusted models: Risk of incident AD per IQR (9.63 ppb) increment of O3 Baseline: $HR_{IQR} = 1.06 (1.00, 1.12)^*$ Baseline, SO2 adjusted: $HR_{IQR} = 1.04 (0.98, 1.11)$ Baseline, CO adjusted: $HR_{IQR} = 1.06 (1.03, 1.17)^*$ Baseline, NO2 adjusted: $HR_{IQR} = 1.06 (0.99, 1.13)$ Follow-up: $HR_{IQR} = 3.12 (2.92, 3.33)^*$ Follow-up, adjustments for SO <sub>2</sub> , CO, NO <sub>2</sub> , or PM <sub>10</sub> : $HR_{IQR}$ increased to 3.23 to 3.52*	After adjusting for covariates, a 9.63 ppb increase in ozone exposure was weakly associated with incident AD at baseline, which was slightly magnified when adjusted for carbon monoxide. Significant and large (~211%) increased risk of incident AD was seen for per 9.63 ppb increase in ozone concentration over follow-up, which was slightly larger when adjusted for second pollutants.
Chang et al., 2014 [28]	NO <sub>2</sub>	Risk of incident dementia highest versus lowest quartile: HR = 1.54 (1.34, 1.77)* Similar patterns when they repeated the analyses by sex.	Highest levels of $NO_2$ exposure was significantly associated with increased risk of dementia when compared to lowest levels of exposure.
		Similar paternis when any repeated the analyses by sex.	Similar patterns seen when analyses was repeated stratified by sex.
	СО	Risk of incident dementia highest versus lowest quartile: HR = 1.61 (1.39, 1.85)* second highest versus lowest quartile: HR = 11.37 (1.19, 1.58)*	Higher levels of CO exposure were significantly associated with increased risk of dementia when compared to lowest levels of exposure. Similar patterns seen when analyses was repeated stratified by sex.
Cacciottolo et al., 2017 [29]	PM <sub>2.5</sub>	Accelerated global cognitive decline Model 3 (fully adjusted): 1.81 (1.42, 2.32)*	High PM <sub>2.5</sub> levels were associated with accelerated global cognitive decline in all models.
[27]	APOE $\times$ PM <sub>2.5</sub>	Accelerated global cognitive decline by APOE status Model 1 (APOE-adjusted) interaction $p = 0.52$ Model 2 (adjusted APOE, age, geography, SES, lifestyle) interaction $p = 0.54$ Model 3 (fully adjusted) interaction $p = 0.29$	There was no interaction effect present.

(Continued)

Continued

Authors	Pollutants	Results	Main findings
	PM <sub>2.5</sub>	Risk for all-cause dementia Model 3 (fully adjusted): 1.92 (1.31, 2.80)*	High $PM_{2.5}$ levels were associated with increased risk of all-cause dementia in all models.
	APOE $\times$ PM <sub>2.5</sub>	Model 1 (APOE-adjusted) by APOE status interaction $p = 0.16$ Model 2 (adjusted APOE, age, geography, SES, lifestyle) interaction $p = 0.31$ Model 3 (fully adjusted) interaction $p = 0.43$	There was no interaction effect present.
Oudin et al., 2017 [30]	NO <sub>X</sub>	Crude model: highest versus lowest quartile: -0.91 (-1.54, -0.27)* Per 1 µg/m3 increase in NOx: -0.18 (-0.32, -0.004)*	Small association between $NO_x$ and decline in episodic memory in the crude model, but effect disappeared after adjustments.
Oudin et al., 2018 [31]	PM <sub>2.5</sub> from traffic exhaust	Crude model: highest versus lowest: 1.65 (1.17, 2.34)* third versus lowest: 1.70 (1.21, 2.39)* Adjusted model: third versus lowest: 1.66 (1.16, 2.39)*	Association was seen between higher levels of PM <sub>2.5</sub> from traffic exhaust and incident dementia. Linear model was not significant.
	PM <sub>2.5</sub> from residential wood burning	Crude model: all comparisons ns Adjusted model: third versus lowest: 1.66 (1.16, 2.39)* highest with wood stove versus lowest without wood stove: 1.74 (1.10, 2.75)*	No association seen between wood burning exposure and incident dementia except in those in highest quartile of exposure who also have wood stoves.

AD, Alzheimer's disease; VaD, vascular dementia; CDR-SB, Cognitive Dementia Rating Sum of Boxes; EEM; Episodic Memory Measure; MMSE, Mini-Mental Status Examination; CO, carbon monoxide NO2, nitrogen dioxide; O3, ozone; PM2.5 particulate matter  $\leq$ 2.5 µm in diameter; PM10, particulate matter  $\leq$ 10 µm in diameter; SO2, sulphur dioxide; ppb, parts per billion, y; year; \*, statistically significant; (a, b), 95% confidence interval; HR, hazard ratio; HRIQR, hazard ratio per interquartile range increase; IQR, interquartile range; ns, non-significant; OR, Odds ratio. Q, quintile; SD, standard deviation; SES, socio-economic status.

a statistically significant dose response such that the closer the residence to a major roadway the greater the risk of incident dementia [9]. See Supplementary Table 2.

#### Study quality

Overall, all studies had reasonable clarity in their research questions, used adequate methodology and standard clinical assessments (although not always the gold standard) for cognitive outcomes, and employed a range of modelling approaches to estimate exposures that employed some form of statistical or dispersion modeling, with some form of prior evaluation. Further caution is required regarding interpreting the data relating to dementia risk and residential distance from a major roadway [9, 24] as this was not additionally adjusted for regional impact of wind conditions. Five studies had a greater potential for bias in measurement of outcome in the form of incident dementia, primarily due to the use of health records for the identification of cases [8, 9, 24, 27, 28]. The use of health records rather than a rigorous assessment of all study participants is pragmatic for large sample sizes but may bring bias. Health records often rely on a level of selfreferral for assessment and have the potential for missed cases, diagnoses made later in the disease course, and higher rates of case finding in those with comorbid conditions and are likely to have less systematic recording of potential confounders. Four studies used populations that restrict generalizability; the Nurses Health Study recruited only female nurses [21], the WHIMS included only women [29], the Whitehall study recruited predominantly male civil servants [23], and Cleary et al selected participants from an ongoing University of Washington National Alzheimer Coordinating Center [25]. All studies adjusted for a series of relevant confounders (see Supplementary Table 2). Overall, the majority of the studies were at low or low to moderate risk of bias (Supplementary Table 3).

# DISCUSSION

Overall, the evidence from longitudinal cohort studies pointed towards an association between greater exposure to pollutants, in particular  $PM_{2.5}$ ,  $NO_2/NO_x$  and increased risk of dementia. The evidence for cognitive decline was more equivocal than that for the dementia outcomes. The pattern was mixed for  $O_3$  with studies reporting positive and

negative associations with exposure and increased risk and one reporting no association. Results for CO, PM<sub>2.5-10</sub>, and PM<sub>10</sub> were too few to allow strong conclusions. These results support a possible role for exposure to air pollution, especially pollutants PM2.5, NO2/NOx, and O3 and an increased risk of dementia and the decline in cognitive function that precedes it. Plausible pathways exist to support this. It is hypothesized that, when inhaled, the gas, particles, or material desorbed from the particle surface act to induce inflammatory responses, microglial activation, production of reactive oxygen species, and increased production and deposition of Aβ peptides [3, 4, 16, 17, 60–65]. Furthermore, plausible mechanisms support the potential for inhaled PM2 5 or the even smaller UltraFine Particulate Matter <0.1 µm (UFPM) reaching the brain directly via the olfactory bulb with animal studies finding ultrafine particle penetration into the olfactory bulb, the frontal cortical, and subcortical areas of the brain [3, 4, 17, 66–70]. Although our review focused mainly on later life decline and incident dementia, exposure likely builds over the lifetime. Autopsy studies from children and young adults living in Mexico City have found associations between exposure to urban air pollution, particulate deposition and inflammation already present within the brain [71, 72], and population-based longitudinal studies are beginning to report associations between prior air pollution exposure and imaging outcomes; for example, the Atherosclerosis Risk In Communities study found higher long term PM exposure to be associated with smaller deep-grey matter volume [73].

#### Strengths and limitations

The systematic nature of our updated review and selected inclusion of only longitudinal studies with incident dementia or cognitive change provides the most rigorous filter with which to examine the evidence relating to the association between air pollution and incident cognitive decline or dementia. Furthermore, the risk of bias in the included studies was low to moderate. However, there are limitations. Studies were drawn from just five countries. The assessment of pollution, although geocoded, may not reflect the true local variation or exposure in a mobile population; for example, if, as shown, risk varies within 300 m of a major roadway, there is the potential for a huge variety of risk within even a small geographical area, potentially even more so when taking account of prevailing wind patterns [9]. This is further limited by the use of varied methods for the assessment of exposure to air pollution in the included articles and the data were too disparate to be combined in a metaanalysis. The use of incident dementia is robust but relies on health records where diagnostic rigor may be weaker and cases may be missed. Conversely, case finding bias may be prompted by other health concerns also stemming from exposure to air pollution. Furthermore, although this is in contrast to studies where specific assessment of cognitive function is required for all participants as part of the study procedures a measure of cognitive decline by itself does not necessarily indicate an ongoing degenerative process. As in all dementia risk factor evidence, there is also the question of adequate assessment of confounding, in particular where there may be an interaction with presence of APOE4. Furthermore, although many covariates have been accounted for there remains, for air pollution in particular, the possibility of a role for both individual and parental socioeconomic status, living conditions, and pollution exposure through the life-course. This is particularly relevant considering that associations between air pollution and poorer cognitive performance have been shown in childhood [6, 7]. Finally, of course, there may be an emerging publication bias as this area expands and we could not assess this, we did not review the grey literature, nor could we combine the evidence we have in a useful meta-analysis.

Although the evidence base examining the association between air pollution and cognitive decline or dementia is smaller and less convincing than the equivalent evidence linking air pollution to increased risk of cardiovascular disease [1, 2], it is growing quickly. All of the articles that we identified had been published in the last five years, and 11 of the 13 we identified had been published since our last systematic review which searched until 1 November 2013 [11]. Our updated review, examining longitudinal evidence with incident decline, adds confirmatory evidence reducing uncertainty as to the likelihood of an association. Furthermore, the growing evidence base is reporting increasingly consistent results (at least for dementia outcomes), dose response relationships, and biological plausibility particularly for exposure to PM2.5. A detailed examination of the growing literature on potential mechanisms is beyond the scope of this review; however, for example, see Heusinkveld et al., Mumaw et al., Aragon et al., and Thompson [62-65] for more details.

Our review has drawn together and presented the existing evidence for exposure to air pollution and

incident cognitive decline or dementia. Our goal now should be to strengthen the rigor and extent of the research in this area to allow specific recommendations to be made. This could be achieved by the use of an individual participant data meta-analysis but to do this, we need to examine a number of factors in more depth. These include: 1) the role of exposure duration; 2) the role of different pollutants and different combinations of pollutants using more sophisticated adjustment and modelling of exposure, e.g., including adjustment for presence of multiple pollutants, taking account of current and prior residential and other exposures such as school yards or workplaces; 3) the role of exposure in different populations in different geographical regions, such as low and middle income countries; 4) the role of modifying factors such as APOE4; 5) the potential variation in the association of air pollution with different cognitive domains; 6) the need to collect repeat imaging measures to allow insight into pathways and mechanisms; and 7) the potential for ameliorating the effects of exposure.

### Conclusion

Air pollution, in common with the majority of established risk factors for dementia, does not influence cognition alone. Rather, it increases the risk of multiple non-communicable diseases, one of which is dementia. However, unlike the majority of the established dementia risk factors, the opportunity for personal control over exposure to risk from air pollution is low. Air pollution is pervasive, global, life-long, and bad for health. Further regulation and reduction of exposure has huge potential for health benefit and cost saving including potentially reducing dementia risk. At present, the evidence suggests that greater exposure to air pollution may increase risk of cognitive decline and dementia, and further research is needed to support robust recommendations.

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#### SUPPLEMENTARY MATERIAL

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