

## 2025 FORTIS CAPITAL ADVISORS, LLC - PRIVACY NOTICE

<b>FACTS</b>	It is important to understand how Fortis Capital Advisors, LLC (“Fortis”) handles the sharing and privacy of your Nonpublic Personal Information (“Personal Information”).
<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of nonpublic personal information we collect and share may include:</p> <ul style="list-style-type: none"> <li>• Name, mailing address and email address;</li> <li>• Social security number;</li> <li>• Investments and account balances; and</li> <li>• Information about your financial situation, including your employment or occupation, banking information, and other financial and tax information.</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
<b>How?</b>	All financial companies need to share customers’ Personal Information to transact everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information, we identify the ways Fortis shares your information, and whether you can limit our sharing of your Personal Information.

Reasons we can share your personal information	Does Fortis share?	Can you limit this sharing?
<b>For our everyday business purposes -</b> <i>such as to manage your account(s), provide advice, respond to court orders and legal investigations</i>	Yes	No
<b>For our own marketing purposes -</b> <i>to offer our products and services to you</i>	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don’t share
<b>For our affiliates’ everyday business purposes</b>	Yes	No
<b>For our affiliates to market to you</b>	No	We don’t share
<b>For non-affiliates to market to you</b>	No	We don’t share

### Who we are

<b>Who is providing this notice?</b>	Fortis Capital Advisors, LLC
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<b>What we do</b>	
<b>How does Fortis protect your personal information?</b>	To protect your personal information from unauthorized access and use, we have adopted security measures and procedures designed to comply with federal law to prevent unauthorized use or access to your Personal Information. These measures include computer safeguards and secured files and buildings. We limit access to your personal information to appropriate personnel with a business need for it. We educate our personnel on how to protect your information.
<b>How does Fortis collect your personal information?</b>	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>• Open an investment account</li> <li>• Give us your contact information</li> </ul>
<b>Why can't we limit all sharing?</b>	Federal law gives you the right to limit only: <ul style="list-style-type: none"> <li>• affiliates from using your information to market to you</li> <li>• sharing for nonaffiliates to market to you</li> </ul> State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law

<b>Definitions</b>	
<b>Affiliates</b>	Any Company related to Fortis by common ownership or control.
<b>Non-affiliates</b>	Any Company not related to Fortis by common ownership or control.

<b>Questions or want to opt out?</b>	<p>Questions or want to opt out? Contact your Investment Advisor Representative or Fortis at:</p> <ul style="list-style-type: none"> <li>• Call: (877) 363-1555</li> <li>• Email: <a href="mailto:compliance@fortiscapitaladvisors.com">compliance@fortiscapitaladvisors.com</a></li> <li>• Mail: Fortis Capital Advisors, LLC, 7301 Mission Road, Suite 326, Prairie Village, KS 66208</li> </ul>
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<b>Important information related to the sharing of your Personal Information with your Investment Advisor Representative</b>	
We understand that your relationship with your Investment Advisor Representative is important to you and we respect that.	
If you would like to limit the Personal Information that your Investment Advisor Representative could disclose or take if he or she moves to another investment advisory or brokerage firm, please complete and email the form below to <a href="mailto:compliance@fortiscapitaladvisors.com">compliance@fortiscapitaladvisors.com</a> or return	

via regular mail to: Fortis Capital Advisors, LLC, 7301 Mission Road, Suite 326, Prairie Village, KS 66208.

You can withdraw your opt-out choice at any time by contacting us in writing at the address provided above.

If your primary address is in a state that requires your affirmative consent to share your personal information with the New Firm, then you must give your written consent before we will allow your financial advisor to take any of your personal information to that New Firm.

If you would like to limit the Personal Information that your Investment Advisor Representative could disclose or take if he or she moves to another investment advisory or brokerage firm, please email us at [compliance@fortiscapitaladvisors.com](mailto:compliance@fortiscapitaladvisors.com), call us at (877) 363-1555 or complete and mail the form below to:

Fortis Capital Advisors  
Attn: Compliance Department  
7301 Mission Road, Suite 326  
Prairie Village, KS 66208

You can withdraw your opt-out choice at any time by contacting us in writing at the address provided above.

If your primary address is in a state that requires your affirmative consent to share your personal information with the New Firm, then you must give your written consent before we will allow your financial advisor to take any of your personal information to that New Firm.

Please be aware that Fortis entered into the Protocol for Broker Recruiting (Protocol) with certain other brokerage firms, and if Fortis remains a signatory to the Protocol as of the effective date of your investment advisor representative's termination from Fortis, then Fortis will permit your financial advisor to take your name, address, phone number, email address and the account title of the accounts serviced (or additional information as permitted if the Protocol is amended) while your financial advisor was associated with Fortis if your advisor joins one of these Protocol firms. The retention of this limited information by your advisor under the Protocol may occur even if you have exercised your rights to limit information sharing as described above.

### **Regarding CA and VT**

Vermont: Except as permitted by law, we will not share personal information we collect about Vermont residents with Non-affiliates unless you provide us with your written consent to share such information.

California: Except as permitted by law, we will not share personal information we collect about California residents with Non-affiliates and we will limit sharing such personal information with our Affiliates to comply with California privacy laws that apply to us.

## SMS Terms and Conditions

By providing your mobile number, you agree to receive SMS messages from Fortis Capital Advisors, LLC, its DBA's, and its Employees or Contractors. These messages may include business-related communications such as meeting reminders, updates, or other notifications directly related to our services. SMS opt-in or phone numbers for the purpose of SMS are not shared with any third parties or affiliate companies for marketing purposes.

### Key Terms

#### 1. Examples of SMS Communications:

- Appointment or meeting reminders. Updates on
- business-related matters. General notifications
- and announcements. Alerts about service
- availability or changes.

#### 2. Financial Product Orders:

No orders or instructions for financial products or services (e.g., stock trades, insurance policy requests, or any other financial transactions) may be submitted via SMS. Any such messages received will not be acknowledged or executed. For all financial matters, please contact us directly by phone.

#### 3. Frequency of Messages:

Message frequency may vary.

#### 4. Message and Data Rates:

Standard message and data rates may apply based on your mobile carrier's plan. Contact your carrier for details.

#### 5. Opt-Out:

You may opt out at any time by replying with STOP. After opting out, you will no longer receive SMS communications from Fortis Capital Advisors, LLC and its affiliates.

#### 6. Support and Help:

For assistance, reply with HELP or contact us at [compliance@fortiscapitaladvisors.com](mailto:compliance@fortiscapitaladvisors.com).

#### 7. Liability and Disclaimer:

Fortis Capital Advisors, LLC and its affiliates are not responsible for any delayed, undelivered, or misdirected messages due to technical issues, carrier problems, or other unforeseen circumstances.

#### 8. Changes to Terms:

Fortis Capital Advisors, LLC reserves the right to modify or terminate this SMS program at any time. Updates to these terms will be communicated appropriately.

#### 9. Security and Confidentiality:

Do not share sensitive or confidential information via SMS. For secure communication, please use our official channels.

## Opt-Out Election

By completing and returning this form below, as described, I am instructing Fortis to limit the personal information about me that my Investment Advisor Representative could disclose or take if he or she moves to another brokerage or investment advisory firm and terminates the relationship with Fortis. However, I understand that Fortis may disclose my name, address, telephone number, email and the account title of the accounts serviced by my advisor to the new brokerage or investment advisory firm as allowed under federal and certain state laws and the Protocol.

Please note that for accounts held jointly by two or more persons, the privacy choices made by any account holder apply to all joint holders with respect to the account. In order for your opt-out election to be effective, you must complete ALL of the following information:

In order for your opt-out election to be effective, you must complete ALL of the following information and return it to Fortis Capital Advisors, 7301 Mission Road, Suite 326, Prairie Village, KS 66208 Attn: Compliance Department:

Name (please print clearly) \_\_\_\_\_

Address \_\_\_\_\_

City State/Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

Investment Advisor Representative Name \_\_\_\_\_

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date