



April 25, 2024

BSE Limited
Listing Compliance
P. J. Towers
Dalal Street
Mumbai 400 001

Dear Sirs,

Scrip Code: 500014

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, we enclose the Certificate dated April 25, 2024 issued by Mr. Umesh P. Maskeri, Practicing Company Secretary, for the financial year ended March 31, 2024.

Please take this on your record and oblige.

Thanking you.

Yours truly,
For Utique Enterprises Limited

A handwritten signature in blue ink, appearing to be "Umesh P. Maskeri", is written over the typed name of the Company Secretary.

Company Secretary

Encl.: a/a



Umesh Parameshwar Maskeri
Practicing Company Secretary

No 304, Geetanjali Heights, Plot No.77, Sector 27
Near Presentation Convent School, Nerul East, Navi Mumbai-400 706
Tele: Mobile: 09930178352; Email: umeshmaskeri@gmail.com

CERTIFICATE UNDER REGULATION 40(9) and 40(11) OF
THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024

To Utique Enterprises Limited 603 Lodha Supremus 453 Senapati Bapat Marg Lower Parel Mumbai 400 013	To Bigshare Services Private Limited Registrar and Transfer Agent Office No.S6-2, 6 th Floor Pinnacle Business Park, Next to Ahura Centre, Mahakali Caves Road, Andheri (East) Mumbai 400 093
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Dear Sirs,

Sub : Certificate pursuant to Regulation 40(9) and 40(11) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2024

This Certificate is issued pursuant to the provisions of Regulation 40 (9) read with Regulation 40(11) of the Securities & Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 ("Listing Regulations") and the Listing Agreement entered into by the Company with the BSE Limited.

The Securities & Exchange Board of India ("SEBI") vide Gazette Notification dated June 08, 2018 and its press release dated December 03, 2018 carried out amendment to Regulation 40 of the Listing Regulations and has mandated that the transfer of securities shall be carried out in dematerialised form only with effect from April 01, 2019. Further, the SEBI vide its Circular dated September 07, 2020 clarified that March 31, 2021 was fixed as the cut-off date for re-lodgment of transfer request and had stipulated that such transferred shares shall be issued only in dematerialised form. SEBI has specified operational guidelines for transfer and dematerialised of re-lodged physical shares vide its Circular dated December 02, 2020.

Further, the SEBI vide Gazette Notification dated January 24, 2022 read with Circular No. SEBI/HO/MIRSD/MIRSD_RTAMM/P/CIR/2022/8 dated January 25, 2022 mandated issuance of securities in dematerialised form only while processing the service request related to Issue of duplicate securities certificate, transmission, renewal / exchange of securities certificate, endorsement, transposition of securities and sub-division of securities.

Accordingly, we have examined all the documents and requests received for transfer, transmission, transposition, sub-division, consolidation, renewal and endorsement of calls/allotment of monies relating to Utique Enterprises Limited ("the Company") maintained by Bigshare Services Private Limited, the Registrar and Transfer Agents ("RTA") during the period from April 01, 2023 to March 31, 2024 for the purpose of issuance of compliance certificate with the provisions of Regulation 40(9) and 40(11) of the SEBI (Listing



Umesh P Maskeri
Practicing Company Secretary

Obligations & Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with the BSE Limited.

Based on the information provided by the RTA and the Company and our examination of the documents and records, we hereby certify as under:-

- a) Company received 7 requests covering 1,610 equity shares for transmission of securities and the Company processed the same within 30 days from the date of lodgment of each document, excepting those rejected on technical grounds.
- b) Company received 42 requests covering 9,726 equity shares for deletion of names and the Company processed the same within 30 days of receipt of request;
- c) Company did not receive any requests for sub-division, consolidation, renewal and endorsement of calls/allotment of monies of securities.
- d) Company has issued "Letter of Confirmation" in lieu of physical certificates in respect of request relating to issue of duplicate securities certificate, transmission of securities, deletion of names, renewal of securities certificate, transposition of securities, consolidation and sub-division of securities received during the from April 01, 2023 to March 31, 2024 within the prescribed time from the respective date of lodgment, excepting those rejected on technical grounds.
- e) Company has not issued any certificates in physical form after January 25, 2022 and has thus complied with the provisions of Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 issued by the Securities & Exchange Board of India.

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MASKERI

Digitally signed
by UMESH
PARAMESHWAR
MASKERI
Date: 2024.04.25
13:56:12 +05'30'

UMESH PRAMESHWAR MASKERI
PRACTICING COMPANY SECRETARY
FCS No.4831 COP No.12704
ICSI Peer Review Certificate No.653/2020
ICSI UDIN F004831F000238451

Place: Mumbai
Date: April 25 2024

