

May 27, 2026

BSE Limited  
Listing Compliance  
P. J. Towers  
Dalal Street  
Mumbai 400 001

Dear Sirs,

*Scrip Code: 500014*

Pursuant to Regulation 24A(2) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed the Secretarial Compliance Report dated May 27, 2026 issued by M/s. Pramod S. Shah & Associates, Practicing Company Secretaries for the financial year ended March 31, 2026.

Please take this on your record and oblige.

Thanking you.

Yours truly,

For Utique Enterprises Limited

  
Company Secretary



Encl.: a/a

# Pramod S. Shah & Associates

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.  
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## SECRETARIAL COMPLIANCE REPORT OF UTIQUE ENTERPRISES LIMITED FOR THE YEAR ENDED MARCH 31, 2026

*(Pursuant to Regulation 24A of the Securities & Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015)*

We have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by **Utique Enterprises Limited** (hereinafter referred as 'the listed entity'), having its Registered Office at 603, Lodha Supremus, 453 Senapati Bapat Marg, Lower Parel, Mumbai 400 013, Delisle Road, Mumbai, Maharashtra, India, 400 013. The Secretarial Review was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing our opinion thereon.

Based on our verification of the listed entity's books, papers, minutes books, forms, disclosures and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, we hereby report that in our opinion, the listed entity has, during the review period covering the financial year ended on March 31, 2026 complied with the statutory provisions listed hereunder and also that the listed entity has proper Board processes and compliance mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

**We, Pramod S. Shah & Associates, Practising Company Secretaries have examined:**

- (a) all the documents and records made available to us and explanation provided by **Utique Enterprises Limited** ("the listed entity");
- (b) the filings/submissions made by the listed entity to the Stock Exchange;
- (c) website of the listed entity;
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification;



for the year ended March 31, 2026 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities & Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars, guidelines issued thereunder, to the extent applicable to the listed entity ; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities & Exchange Board of India ("SEBI"); to the extent applicable to the listed entity .

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) the Securities & Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015;
- (b) the Securities & Exchange Board of India (Issue of Capital & Disclosure Requirements) Regulations, 2018;
- (c) the Securities & Exchange Board of India (Substantial Acquisition of Shares & Takeovers) Regulations, 2011;
- (d) the Securities & Exchange Board of India (Buyback of Securities) Regulations, 2018 (**Not Applicable to the listed entity during the Review Period**);
- (e) the Securities & Exchange Board of India (Share Based Employee Benefits & Sweat Equity) Regulations, 2021; (**Not Applicable to the listed entity during the Review Period**);
- (f) the Securities & Exchange Board of India (Issue & Listing of Non-Convertible Securities) Regulations, 2021 (**Not Applicable to the listed entity during the Review Period**);
- (g) the Securities & Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) the Securities & Exchange Board of India (Depository and Participants) Regulations, 2018;
- (i) Any other regulations and circulars / guidelines issued thereunder; as may be applicable to the listed entity .



We hereby report that during the review period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder; except in respect of matters specified below:

Sr. No	Compliance Requirement (Regulations/ Circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken By	Type of Action (Advisory/Clarification/Fin e/Show Cause Notice/ Warning, etc	Details of Violation	Fine Amount	Observation /Remarks of the Practising Company Secretary	Management Response	Remarks
NA										

- b. The listed entity has taken the following actions to comply with the observations made in the previous reports:

Sr. No	Observations/ Remarks of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2025	Compliance Requirement (Regulations/Circulars/Guidelines including specific clause)	Details of Violation/Deviations and actions taken/ penalty imposed, if any, on the listed entity.	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
NA						



c. We hereby report that, during the review period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/ No/ NA)	Observations/Remarks by Practising Company Secretary
1	<b><u>Secretarial Standards:</u></b>  The compliances of the listed entity are in accordance with the applicable Secretarial Standards ("SS") issued by the Institute of Company Secretaries India (ICSI)	Yes	None
2	<b><u>Adoption and timely updation of the Policies:</u></b> <ul style="list-style-type: none"><li>• All applicable policies under SEBI Regulations are adopted with the approval of Board of Directors of the listed entities</li><li>• All the policies are in conformity with SEBI Regulations and have been reviewed and updated on time as per the regulations/circulars/ guidelines issued by SEBI</li></ul>	Yes	None



3	<p><b><u>Maintenance and disclosures on Website:</u></b></p> <ul style="list-style-type: none"><li>• The Listed entity is maintaining a functional website.</li><li>• Timely dissemination of the documents/ information under a separate section on the website.</li><li>• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website</li></ul>	Yes	None
4	<p><b><u>Disqualification of Director:</u></b></p> <ul style="list-style-type: none"><li>• None of the Director of the listed entity is disqualified under Section 164 of Companies Act, 2013</li></ul>	Yes	None
5	<p><b><u>Details related to Subsidiaries of listed entities have been examined w.r.t.:</u></b></p> <p>(a) Identification of material subsidiary companies</p> <p>(b) Disclosure requirement of material as well as other subsidiaries</p>	Yes	None



6	<p><b><u>Preservation of Documents:</u></b></p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival Policy prescribed under the SEBI LODR Regulations, 2015.</p>	Yes	None
7	<p><b><u>Performance Evaluation:</u></b></p> <p>The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.</p>	Yes	None



8	<p><b><u>Related Party Transactions:</u></b></p> <p>a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions</p> <p>b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved / ratified by the Audit Committee.</p>	Yes	None
9	<p><b><u>Disclosure of events or information:</u></b></p> <p>The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of the SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.</p>	Yes	None
10	<p><b><u>Prohibition of Insider Trading:</u></b></p> <p>The listed entity is in compliance with Regulation 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015</p>	Yes	None



11	<p><b><u>Actions taken by SEBI or Stock Exchange(s), if any:</u></b></p> <p>No Actions taken against the listed entity/ its Promoters/ Directors /Subsidiaries either by the SEBI or by the Stock Exchange (including under the Standard Operating Procedures issued by the SEBI through various circulars) under the SEBI Regulations and Circulars/guidelines issued thereunder.</p>	Yes	None
12	<p><b>Resignation of statutory auditors from the listed entity or its material subsidiaries:</b></p> <p>In case of resignation of Statutory Auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the the SEBI LODR Regulations by listed entitie.</p>	NA	There was no event of resignation of Statutory Auditor.



13	<b><u>Additional Non-compliances, if any:</u></b>  No additional non-compliance observed for all SEBI regulation/circular/guidance note, etc,	NA	None
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**Assumptions and Limitation of scope and Review:**

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial records and books of accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which, the Management has conducted the affairs of the listed entity.

For Pramod S. Shah & Associates  
Practising Company Secretaries

  
Pramod S. Shah  
Partner  
Membership No. FCS 334  
COP No. 3804  
UDIN: F000334H000499503



Date: 27.05.2026

Place: Mumbai