

# Yogi Magnetite Project – 2024 - 2025 Compliance Assessment Report

FI Joint Venture Pty Ltd

01 September 2025

**Contact:** Seyed Reza Azimi (Managing Director) | +61 8 9485 0579 | [reza.azimi@fijv.au](mailto:reza.azimi@fijv.au)

**Ministerial Statement:** 1225



## Prepared By

Green Values Australia

Level 1, Parmelia House

191 St Georges Terrace

Perth WA 6000

enquiry@greenvalues.com.au | greenvalues.com.au

## Document Control

| Version No. | Author    | Reviewer | Approved By | Date       |
|-------------|-----------|----------|-------------|------------|
| 0           | J Webster | J Baker  | R Azimi     | 01/09/2025 |
|             |           |          |             |            |
|             |           |          |             |            |

## CONTENTS

|          |   |           |
|----------|---|-----------|
| <b>1</b> | <b>Introduction.....</b>                          | <b>5</b>  |
| <b>2</b> | <b>Current Status.....</b>                        | <b>5</b>  |
| <b>3</b> | <b>Compliance .....</b>                           | <b>6</b>  |
|          | 3.1 Statement of Compliance .....                 | 6         |
|          | 3.2 Non-compliances and Corrective Actions .....  | 6         |
| <b>4</b> | <b>Environmental Monitoring And Research.....</b> | <b>7</b>  |
|          | 4.1 Groundwater Monitoring.....                   | 7         |
|          | 4.2 Flora and Vegetation Assessment .....         | 8         |
|          | 4.3 Fauna Assessment .....                        | 8         |
|          | 4.4 Research.....                                 | 8         |
| <b>5</b> | <b>Endorsement.....</b>                           | <b>9</b>  |
| <b>6</b> | <b>Appendices .....</b>                           | <b>10</b> |

## LIST OF APPENDICES

|  |           |
|--|-----------|
| <b>Appendix A: Statement of Compliance and Audit Table .....</b> | <b>11</b> |
|--|-----------|

## ACRONYMS

| Acronym           | Definition  |
|-------------------|---|
| CAP               | Compliance Assessment Plan  |
| CAR               | Compliance Assessment Report  |
| CEO               | Chief Executive Officer of the Office of the Environmental Protection Authority |
| DBCA              | Department of Biodiversity, Conservation and Attractions                        |
| DEMIRS            | Department of Energy, Mines, Industry Regulation and Safety                     |
| DWER              | Department of Water and Environmental Regulation                                |
| EMP               | Environmental Management Plan   |
| EPA               | Environmental Protection Authority  |
| FIJV              | FI Joint Venture Pty Ltd  |
| GHG               | Greenhouse Gas  |
| OEPA              | Office of the Environmental Protection Authority                                |
| Programme of Work | PoW   |
| WA                | Western Australia   |

## 1 INTRODUCTION

FI Joint Venture Pty Ltd (FIJV) proposes to develop the Yogi Magnetite Project (the Project), which is located approximately 250 km east-northeast of Geraldton and 15 km northeast of Yalgoo in the Mid-West region of Western Australia (WA).

This annual Compliance Assessment Report (CAR) addresses the requirements of Ministerial Statement (MS) 1225 for the Yogi Magnetite Project, and is due for submission by 19 September 2025, for reporting period 20 June 2024 to 31 August 2025. The report covers compliance with all conditions during the reporting period. As the Project remains in the pre-construction exploration phase, no ground-disturbing activities associated with mining operations have occurred. This CAR has been prepared in accordance with the Post Assessment Guideline for Preparing a Compliance Assessment Report (PAG 3, August 2012, Office of the Environmental Protection Authority) and aligns with the approved Compliance Assessment Plan (CAP) submitted under Condition D2-5 of MS 1225. This CAR will be made publicly available in accordance with the approved Compliance Assessment Plan (CAP), including upon request within seven days and usually published on FIJV's website within 60 days of submission to the CEO.

## 2 CURRENT STATUS

The Project is in the pre-construction phase. No mining-related disturbance, operational activities, or rehabilitation have occurred during the reporting period. Exploration activities progressed in accordance with approved Programs of Works under the *Mining Act 1978*.

No major issues arose during the reporting period. Key milestones included:

- Submission and approval of the Project Environmental Management Plan (EMP).
- Submission and approval of the Compliance Assessment Plan (CAP).
- Continued with baseline groundwater monitoring, with all data collected and analysed.
- Additional environmental assessments finalised over the broader mine area, including a Flora and Vegetation and a Level 1 and Targeted Fauna Assessment.

A Section 46 of the *Environmental Protection Act 1986* request from the Minister for Environment to undertake inquiries into changing implementation conditions was initiated during the reporting period which is currently under assessment. Amendment/s requested: *Change the implementation conditions relating to greenhouse gas emissions in the Ministerial Statements that apply to the 20 approved proposals identified by the Minister for Environment.*

## 3 COMPLIANCE

### 3.1 Statement of Compliance

All implementation conditions and procedures of MS 1225 have been complied with during the reporting period, as outlined in the Statement of Compliance and Audit Table provided as Appendix A.

### 3.2 Non-compliances and Corrective Actions

No non-compliances or potential non-compliances were identified during the reporting period. No corrective or preventative actions were required. Any future non-compliances will be reported in accordance with the MS1225 conditions and the CAP, and submitted to the DWER Compliance Branch within seven days, followed by a detailed report within 21 days detailing contingency measures, impacts, and rectification timelines.

## 4 ENVIRONMENTAL MONITORING AND RESEARCH

Environmental monitoring, including groundwater monitoring, flora and vegetation assessments, and fauna assessments, were completed during the reporting period.

### 4.1 Groundwater Monitoring

Baseline groundwater monitoring was conducted in accordance with the Project 5C licence requirements and as part of the site-wide program to assess groundwater levels and water quality.

Two rounds of monitoring were completed during the reporting period: Round 7 in November 2024 and Round 8 in March 2025. These activities were performed in accordance with the approved Groundwater Operating Strategy.

Round 7 monitoring involved the following scope of works:

- Installation of 10 groundwater level loggers.
- Manual groundwater level dips from 53 monitoring wells.
- Groundwater sampling from 32 monitoring wells

Results indicated stable groundwater conditions consistent with regional baselines. Laboratory analyses showed no anomalies in water quality, with all parameters within expected ranges for the Yalgoo region.

Round 8 monitoring involved the following scope of works:

- Manual groundwater level dips from 36 monitoring locations.
- Groundwater sampling from 22 monitoring wells.

Results demonstrated minimal seasonal variation in groundwater levels and water quality. No exceedances of baseline thresholds were recorded. Key findings include stable metal concentrations and nutrient levels.

The next round of groundwater baseline sampling is scheduled to occur in September/October 2025. Following completion of the baseline monitoring and prior to the commencement of groundwater abstraction for the Project FIJV will establish baseline levels for groundwater quality at the Project area in accordance with the approved Groundwater Operating Strategy and MS1225 conditions.

## **4.2 Flora and Vegetation Assessment**

A detailed Flora and Vegetation Assessment was completed during the reporting period, with the final report submitted in April 2025. The survey covered three previously unsurveyed areas (E59/02858 and E59/02859, totalling approximately 1,200 ha) and two areas previously assessed in 2018 and 2021.

## **4.3 Fauna Assessment**

Level 1 and Targeted Fauna Assessments were conducted during the reporting period, focusing on species and habitats of conservation interest, with the report submitted in May 2025. The survey covered 13,956 hectares (ha), covering previously unsurveyed areas, partially assessed areas, and selected sites reevaluated.

## **4.4 Research**

No research or trials were planned or implemented during the reporting period.



## 5 ENDORSEMENT

I, Seyed Reza Azimi, Managing Director of FI Joint Venture Pty Ltd, hereby endorse this Compliance Assessment Report for the Yogi Magnetite Project (Ministerial Statement 1225) for the reporting period 20 June 2024 to 31 August 2025. I confirm that the information provided in this report is accurate and complete to the best of my knowledge, and that all requirements of Ministerial Statement 1225, including the implementation conditions and procedures, have been complied with during the reporting period.

Signed:



Managing Director

FI Joint Venture Pty Ltd

Date: 01/09/2025

## 6 APPENDICES

# Appendix A: Statement of Compliance and Audit Table



## Statement of Compliance

### 1. Proposal and Proponent Details

|   |                                 |
|---|---------------------------------|
| Proposal Title  | <i>YOGI MAGNETITE PROJECT</i>   |
| Statement Number  | <i>1225</i>                     |
| Proponent Name  | <i>FI Joint Venture Pty Ltd</i> |
| Proponent's Australian Company Number<br>(where relevant) | <i>611 846 023</i>              |

### 2. Statement of Compliance Details

|                  |                             |
|------------------|-----------------------------|
| Reporting Period | <i>20/06/24 to 31/08/25</i> |
|------------------|-----------------------------|

|   |                                     |              |                          |           |                          |                 |                          |
|---|-------------------------------------|--------------|--------------------------|-----------|--------------------------|-----------------|--------------------------|
| Implementation phase(s) during reporting period (please tick ✓ relevant phase(s)) |                                     |              |                          |           |                          |                 |                          |
| Pre-construction  | <input checked="" type="checkbox"/> | Construction | <input type="checkbox"/> | Operation | <input type="checkbox"/> | Decommissioning | <input type="checkbox"/> |

|   |   |
|---|---|
| Audit Table for Statement addressed in this Statement of Compliance is provided at Attachment:  | 2 |
| <p>An audit table for the Statement addressed in this Statement of Compliance must be provided as Attachment 2 to this Statement of Compliance. The audit table must be prepared and maintained in accordance with the Department of Water and Environmental Regulation (DWER) <i>Post Assessment Guideline for Preparing an Audit Table</i>, as amended from time to time. The 'Status Column' of the audit table must accurately describe the compliance status of each implementation condition and/or procedure for the reporting period of this Statement of Compliance. The terms that may be used by the proponent in the 'Status Column' of the audit table are limited to the Compliance Status Terms listed and defined in Table 1 of Attachment 1.</p> |   |

|  |                          |                                   |                                     |
|--|--------------------------|-----------------------------------|-------------------------------------|
| Were all implementation conditions and/or procedures of the Statement complied with within the reporting period? (please tick ✓ the appropriate box) |                          |                                   |                                     |
| No (please proceed to Section 3)   | <input type="checkbox"/> | Yes (please proceed to Section 4) | <input checked="" type="checkbox"/> |

### 3. Details of Non-compliance(s) and/or Potential Non-compliance(s)

The information required Section 3 must be provided for each non-compliance or potential non-compliance identified during the reporting period covered by this Statement of Compliance.

#### Non-compliance/potential non-compliance 3-1

|   |
|---|
| Which implementation condition or procedure was non-compliant or potentially non-compliant? |
|   |
| Was the implementation condition or procedure non-compliant or potentially non-compliant?   |
|   |
| On what date(s) did the non-compliance or potential non-compliance occur (if applicable)?   |
|   |

|   |                             |
|---|-----------------------------|
| Was this non-compliance or potential non-compliance reported to the Chief Executive Officer, DWER?  |                             |
| <input type="checkbox"/> Yes <input type="checkbox"/> Reported to DWER verbally      Date _____<br><input type="checkbox"/> Reported to DWER in writing      Date _____ | <input type="checkbox"/> No |

|   |
|---|
| What are the details of the non-compliance or potential non-compliance and where relevant, the extent of and impacts associated with the non-compliance or potential non-compliance?  |
|   |
| What is the precise location where the non-compliance or potential non-compliance occurred (if applicable)? (please provide this information as a map or GIS co-ordinates)  |
|   |
| What was the cause(s) of the non-compliance or potential non-compliance?  |
|   |
| What remedial and/or corrective action(s), if any, were taken or are proposed to be taken in response to the non-compliance or potential non-compliance?  |
|   |
| What measures, if any, were in place to prevent the non-compliance or potential non-compliance before it occurred? What, if any, amendments have been made to those measures to prevent re-occurrence?  |
|   |
| Please provide information/documentation collected and recorded in relation to this implementation condition or procedure: <ul style="list-style-type: none"> <li>• in the reporting period addressed in this Statement of Compliance; and</li> <li>• as outlined in the approved Compliance Assessment Plan for the Statement addressed in this Statement of Compliance.</li> </ul> (the above information may be provided as an attachment to this Statement of Compliance) |

*For additional non-compliance or potential non-compliance, please duplicate this page as required.*

Each page (including Attachment 2) must be initialed by the person who signs Section 4 of this Statement of Compliance.  
INITIALS: RA

#### 4. Proponent Declaration

I, Reza Azimi (Managing Director), declare that I am authorised on behalf of FIJV Pty Ltd to submit this form and that the information contained in this form is true and not misleading.

Signature:.....*Seyed Reza Azimi*..... Date:.....01/09/2025.....

Please note that:

- it is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give or cause to be given information that to his knowledge is false or misleading in a material particular; and
- the Chief Executive Officer of the DWER has powers under section 47(2) of the *Environmental Protection Act 1986* to require reports and information about implementation of the proposal to which the statement relates and compliance with the implementation conditions.

#### 5. Submission of Statement of Compliance

One hard copy and one electronic copy (preferably PDF on CD or thumb drive) of the Statement of Compliance are required to be submitted to the Chief Executive Officer, DWER, marked to the attention of Manager, Compliance (Ministerial Statements).

Please note, the DWER has adopted a procedure of providing written acknowledgment of receipt of all Statements of Compliance submitted by the proponent, however, the DWER does not approve Statements of Compliance.

#### 6. Contact Information

Queries regarding Statements of Compliance, or other issues of compliance relevant to a Statement may be directed to Compliance (Ministerial Statements), DWER:

**Manager, Compliance (Ministerial Statements)**

**Department of Water and Environmental Regulation**

Postal Address: Locked Bag 10  
Joondalup DC  
WA 6919

Phone: (08) 6364 7000

Email: [compliance@dwer.wa.gov.au](mailto:compliance@dwer.wa.gov.au)

#### 7. Post Assessment Guidelines and Forms

Post assessment documents can be found at [www.epa.wa.gov.au](http://www.epa.wa.gov.au)

Each page (including Attachment 2) must be initialed by the person who signs Section 4 of this Statement of Compliance.  
INITIALS: RA

**ATTACHMENT 1****Table 1 Compliance Status Terms**

| <b>Compliance Status Terms</b> | <b>Abbrev</b> | <b>Definition</b>   | <b>Notes</b>  |
|--------------------------------|---------------|---|---|
| Compliant                      | C             | Implementation of the proposal has been carried out in accordance with the requirements of the audit element.   | This term applies to audit elements with: <ul style="list-style-type: none"> <li>ongoing requirements that have been met during the reporting period; and</li> <li>requirements with a finite period of application that have been met during the reporting period, but whose status has not yet been classified as 'completed'.</li> </ul>                     |
| Completed                      | CLD           | A requirement with a finite period of application has been satisfactorily completed.  | This term may only be used where: <ul style="list-style-type: none"> <li>audit elements have a finite period of application (e.g. construction activities, development of a document);</li> <li>the action has been satisfactorily completed; and</li> <li>the DWER has provided written acceptance of 'completed' status for the audit element.</li> </ul>     |
| Not required at this stage     | NR            | The requirements of the audit element were not triggered during the reporting period.   | This should be consistent with the 'Phase' column of the audit table.   |
| Potentially Non-compliant      | PNC           | Possible or likely failure to meet the requirements of the audit element.   | This term may apply where during the reporting period the proponent has identified a potential non-compliance and has not yet finalized its investigations to determine whether non-compliance has occurred.  |
| Non-compliant                  | NC            | Implementation of the proposal has not been carried out in accordance with the requirements of the audit element.   | This term applies where the requirements of the audit element are not "complete" have not been met during the reporting period.   |
| In Process                     | IP            | Where an audit element requires a management or monitoring plan be submitted to the DWER or another government agency for approval, that submission has been made and no further information or changes have been requested by the DWER or the other government agency and assessment by the DWER or other government agency for approval is still pending. | <p><b>The term 'In Process' may not be used for any purpose other than that stated in the Definition Column.</b></p> <p>The term 'In Process' may not be used to describe the compliance status of an implementation condition and/or procedure that requires implementation throughout the life of the project (e.g. implementation of a management plan).</p> |





# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

**Note:**

- Phases that apply in this table = Pre-Construction, Construction, Operation, Decommissioning, Overall (several phases).
- This audit table is a summary and timetable of conditions and commitments applying to this project. Refer to the Minister's Statement for full detail/precise wording of individual elements.
- Code prefixes: M = Minister's condition, P = Proponent's commitment.
- Acronyms list: CEO = CAR = Compliance Assessment Report; Chief Executive Officer of OEPA; DBCA = Department of Biodiversity, Conservation and Attractions; DAA = Department of Aboriginal Affairs; DEMIRS = Department of Energy, Mines, Industry Regulation and Safety; DWER = Department of Water and Environmental Regulation; EPA = Environmental Protection Authority; DoH = Department of Health; Minister for Env = Minister for the Environment; OEPA = Office of the Environmental Protection Authority.
- Compliance Status: C = Compliant, CLD = Completed, NA = Not Audited, NC = Non – Compliant, NR = Not Required at this stage.

| Audit Code | Subject                            | Requirement   | How  | Evidence  | Phase      | Timeframe             | Status | Further Information                                   |
|------------|------------------------------------|---|--|---|------------|-----------------------|--------|---|
| A1.1       | Limitations and Extent of Proposal | The proponent must ensure that the proposal is implemented in such a manner that Part A of the Ministerial Statement outlining the limitations or maximum extents / capacities / ranges are not exceeded.   | Implement the proposal to meet the conditions outlined in A1.1   | Compliance assessment report; Plans and/or spatial data of extent of any clearing | Overall    | Ongoing               | C      | Section 2 of CAR                                      |
| B1.1       | Flora and Vegetation               | The proponent must ensure the implementation of the proposal achieves the following environmental outcomes: <ol style="list-style-type: none"> <li>disturbance to no more than 153 ha of vegetation representative of the Yalgoo vegetation complexes Banded Ironstone Formation Priority Ecological Community within the mine development envelope.</li> <li>disturbance to no more than 2.54 ha of vegetation representative of the Eucalypt Woodlands of the WA Wheatbelt Priority Ecological Community within the pipeline development envelope.</li> <li>disturbance to no more than: <ol style="list-style-type: none"> <li>50 individuals of <i>Dicrastylis linearifolia</i> recorded in the flora and fauna survey.</li> <li>27 individuals of <i>Acacia subsessilis</i> recorded in the flora and fauna survey.</li> <li>288 individuals of <i>Acacia speckii</i> recorded in the flora and fauna survey.</li> </ol> </li> <li>no adverse indirect impacts to conservation significant flora outside the disturbance footprint in the pipeline development envelope.</li> <li>disturbance only in areas that have been subject to survey or cleared areas that have previously been disturbed; and</li> <li>no disturbance to Threatened Ecological Communities or Threatened Flora listed under the <i>Biodiversity Conservation Act 2016</i> or <i>Environment Protection and Biodiversity Conservation Act 1999</i>.</li> </ol> | Implement the proposal to meet the environmental outcomes outlined in B1.1                                     | Compliance assessment report  | Operations | Ongoing               | C      | Section 2 of CAR                                      |
| B1.2       | Flora and Vegetation               | The proponent must ensure implementation of the proposal achieves the following environmental objectives: <ol style="list-style-type: none"> <li>no adverse impacts to flora and vegetation occurring within or directly adjacent to the development envelope from the introduction or spread of environmental weeds compared with pre-construction condition.</li> <li>no adverse impacts to flora and vegetation occurring within or directly adjacent to the development envelope from dust emissions or altered fire regimes; and</li> <li>no adverse impacts to flora and vegetation occurring within or directly adjacent to the development envelopes from the alteration to surface water flow regimes or groundwater flow regimes compared with pre-construction condition.</li> </ol>   | Implement the proposal to meet the environmental outcomes outlined in B1.2                                     | Compliance assessment report  | Operations | Ongoing               | C      | Section 2 of CAR                                      |
| B1.3       | Flora and Vegetation               | The proponent must take reasonable steps to use existing or proposed roads, access tracks, infrastructure corridors and other cleared areas that have previously been disturbed, including shared use with other existing or proposed disturbance areas, to minimise adverse impacts to flora and vegetation.   | Proponent taking all reasonable and practicable steps to meet the environmental objectives outlined in B1.3    | Compliance assessment report  | Operations | Ongoing               | C      | Section 2 of CAR                                      |
| B1.4       | Flora and Vegetation               | The proponent must review and revise the Environmental Management Plan (Version 1, 12 June 2023) and the Environmental Management and Rehabilitation Plan for the Pipeline Corridor (Version 1, 12 June 2023) so that it satisfies the requirements of condition C4-1 and condition C5-1 and demonstrates the flora and vegetation environmental outcomes in condition B1-1 and environmental objectives in condition B1-2 are achieved, and submit it to the CEO.  | Proponent to update the Environmental Management Plan and Rehabilitation Plan to meet the requirements of B1.4 | Environmental Management Plan; Environmental Management and Rehabilitation Plan   | Overall    | Prior to construction | CLD    | EMP Rev 2.3 submitted and approved by DWER 22/05/2025 |





# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject           | Requirement   | How  | Evidence                     | Phase                       | Timeframe   | Status | Further Information |
|------------|-------------------|---|--|------------------------------|-----------------------------|---|--------|---------------------|
| B2.1       | Terrestrial Fauna | <p>The proponent must ensure the implementation of the proposal achieves the following environmental outcomes:</p> <p>1) within the mine development envelope, disturb no more than:</p> <p>(a) 153 ha of the Banded Ironstone Formation fauna habitat type considered suitable habitat for Western spiny-tailed skink (<i>Egernia stokesii badia</i>), Gilled slender bluetongue (<i>Cyclodomorphous branchialis</i>) and Long-tailed dunnart (<i>Sminthopsis longicaudata</i>); and</p> <p>(b) 1.14 ha of granitic formations fauna habitat type considered suitable habitat for Western spiny-tailed skink (<i>Egernia stokesii badia</i>), Gilled slender bluetongue (<i>Cyclodomorphous branchialis</i>) and Long-tailed dunnart (<i>Sminthopsis longicaudata</i>).</p> <p>2) within the pipeline development envelope, disturb no more than:</p> <p>(a) 6.95 ha of low granite outcrops fauna habitat type considered suitable habitat for Western spiny-tailed skink (<i>Egernia stokesii badia</i>);</p> <p>(b) 6.29 ha of mallee over mixed shrubland sandplain fauna habitat type considered potentially suitable habitat for Malleefowl (<i>Leipoa ocellata</i>);</p> <p>(c) 8.65 ha of mixed shrubland on sandplain fauna habitat type considered potentially suitable habitat for Malleefowl (<i>Leipoa ocellata</i>); and</p> <p>(d) 8.59 ha of low value foraging habitat for Carnaby's cockatoo.</p> <p>3) with the exception of low impact activities, no disturbance to fauna habitat within the exclusion zone in the mine development envelope.</p> | Implement the proposal to meet the environmental outcomes outlined in B2.1   | Compliance assessment report | Operations                  | Ongoing   | C      | Section 2 of CAR    |
| B2.2       | Terrestrial Fauna | <p>The proponent must implement the proposal to meet the following environmental objectives:</p> <p>1) Avoid where practicable and otherwise minimise adverse impacts and disturbance to native fauna including mortality, physical injury, behavioral changes and health impacts; and</p> <p>2) Ensure there is no long-term increase in population of feral animals as a result of implementing the proposal.</p>   | Implement the proposal to meet the environmental objectives outlined in B2.2 | Compliance assessment report | Operations                  | Ongoing   | C      | Section 2 of CAR    |
| B2.3       | Terrestrial Fauna | <p>Prior to ground-disturbing activities, the proponent must undertake the following actions:</p> <p>1) within seven (7) days prior to clearing within the fauna habitat areas identified in condition B2-1(1) and condition B2-1(2), using a suitably qualified or licensed fauna spotter, undertake pre-clearance surveys to detect the presence of conservation significant fauna within clearing areas;</p> <p>2) where individuals of Western spiny-tailed skink (<i>Egernia stokesii badia</i>) are detected under condition B2-3(1), ground-disturbing activities shall not commence until either: (a) the individual(s) have been relocated by a licensed fauna handler in accordance with the Western Spiny-tailed Skink Relocation Monitoring Program (Revision 0, May 2021) and any subsequent revisions of the Program; (b) the individual has been observed by the fauna spotter to have moved on from the area to adjoining suitable habitat; and (c) the fauna spotter considers that the individual no longer occurs in the area</p> <p>3) where active Malleefowl (<i>Leipoa ocellata</i>) mounds are detected under condition B2-3(1), ground-disturbing activities shall not commence until either a five hundred (500) metre exclusion zone is implemented around the active mound during breeding season (October to February), or if outside the breeding season a seventy-five (75) metre exclusion zone is implemented around the active mound.</p>   | Implement the proposal to meet the environmental outcomes outlined in B2.3   | Compliance assessment report | Construction and Operations | Within seven (7) days prior to ground-disturbing activities | C      | Section 2 of CAR    |

RA



# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject           | Requirement  | How  | Evidence                      | Phase                       | Timeframe  | Status | Further Information                                   |
|------------|-------------------|--|--|-------------------------------|-----------------------------|--|--------|---|
| B2.4       | Terrestrial Fauna | The proponent shall undertake the following actions during construction activities:<br>1) Visually inspect open trenches for the presence of vertebrate fauna and, where required, remove trapped vertebrate fauna from within open trenches, using a suitably trained or licensed fauna handler:<br>(a) at least twice daily, with the first daily clearing to be completed no later than three (3) hours after sunrise and the second clearing to be completed between the hours of 3:00 pm and 6:00 pm of that same day, unless otherwise agreed to by the CEO; and<br>(b) within one (1) hour prior to backfilling of trenches;<br>2) Ensure open trench lengths shall not exceed a length capable of being inspected and cleared by the requirements set out in condition B2-4(3);<br>3) Ensure ramps providing egress points and/or fauna refuges providing suitable shelter from the sun and predators for trapped vertebrate fauna are to be placed in the trench at intervals not exceeding fifty (50) metres;<br>4) In the event of substantial rainfall, and following the clearing of vertebrate fauna from the trench, pump out any pooled water in the open trench and discharge it to adjacent vegetated areas in a manner that does not cause erosion;<br>5) Produce and provide a report on fauna management no later than sixty (60) days after the completion of construction activities to the CEO. The report shall include the following:<br>(a) details of fauna inspections;<br>(b) the number and type of fauna cleared from trenches and actions taken; and<br>(c) vertebrate fauna mortalities. | Implement the proposal to meet the environmental outcomes outlined in B2.4   | Compliance assessment report  | Construction and operations | Prior to construction; ongoing   | NR     | Section 2 of CAR                                      |
| B2.5       | Terrestrial Fauna | The proponent must review and revise the Environmental Management Plan (Version 1, 12 June 2023) and the Environmental Management and Rehabilitation Plan for the Pipeline Corridor (Version 1, 12 June 2023) so that it satisfies the requirements of condition C4-1 and condition C5-1 and demonstrates the terrestrial fauna environmental outcomes in condition B2-1 and environmental objectives in condition B2-2 are achieved, and submit it to the CEO.  | Implement the proposal to meet the environmental outcomes outlined in B2.5   | Compliance assessment report  | Construction and operations | Prior to construction  | CLD    | EMP Rev 2.3 submitted and approved by DWER 22/05/2025 |
| B3.1       | Inland Waters     | The proponent must ensure implementation of the proposal achieves the following environmental outcomes:<br>1) No impact to quantity or quality of the Yalgoo Water Reserve, a Priority 1 Public Drinking Water Source Area;<br>2) No adverse impacts to nearby groundwater users identified at risk within the drawdown area including pastoral stations for stock use;<br>3) Source an alternative water supply of similar quality and quantity for groundwater users at Lazy Well, Ram Well, Wadgingarra Well, Cattle Well, and any other locations identified at risk within the drawdown area that have been adversely impacted; and<br>4) No adverse impacts to groundwater or surface water quality compared with pre-construction baseline quality along the pipeline and at the abstraction bores.   | Implement the proposal to meet the environmental outcomes outlined in B3.1   | Compliance assessment report  | Operations                  | Prior to commencement of groundwater abstraction; ongoing                  | C      | Section 2 of CAR                                      |
| B3.2       | Inland Waters     | The proponent must ensure the implementation of the proposal achieves the following environmental objectives:<br>1) Avoid, where practicable, and otherwise minimise adverse impacts to surface water flow regimes; and<br>2) Avoid, where practicable, and otherwise minimise adverse impacts to Aboriginal cultural heritage values associated with groundwater or surface water quality and flows.  | Implement the proposal to meet the environmental objectives outlined in B3.2 | Compliance assessment report  | Operations                  | Prior to commencement of groundwater abstraction and construction; ongoing | C      | Section 2 of CAR                                      |
| B3.3       | Inland Waters     | The proponent must include the environmental outcomes of condition B3-1(4), and the objective of condition B3-2(1) in the Environmental Management Plan (Version 1, 12 June 2023) and the Environmental Management and Rehabilitation Plan for the Pipeline Corridor (Version 1, 12 June 2023) so that it satisfies the requirements of condition C4 and C5 and demonstrates the inland waters environmental outcomes in condition B3-1 and environmental objectives in condition B3-2 are achieved and submit it to the CEO.  | Implement the proposal to meet the environmental objectives outlined in B3.3 | Environmental Management Plan | Operations                  | Prior to commencement of construction                                      | CLD    | EMP Rev 2.3 submitted and approved by DWER 22/05/2025 |



# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject                                    | Requirement   | How  | Evidence  | Phase                       | Timeframe                             | Status | Further Information                                   |
|------------|--|---|--|---|-----------------------------|---------------------------------------|--------|---|
| B3.4       | Inland Waters                              | The proponent must include the environmental outcomes of condition B3-1(4), and the objective of condition B3-2(1) in the Environmental Management Plan (Version 1, 12 June 2023) and the Environmental Management and Rehabilitation Plan for the Pipeline Corridor (Version 1, 12 June 2023) so that it satisfies the requirements of condition C4 and C5 and demonstrates the inland waters environmental outcomes in condition B3-1 and environmental objectives in condition B3-2 are achieved and submit it to the CEO.   | Implement the proposal to meet the environmental objectives outlined in B3.4   | Environmental Management Plan   | Operations                  | Prior to commencement of construction | CLD    | EMP Rev 2.3 submitted and approved by DWER 22/05/2025 |
| B4.1       | Social Surroundings - Aboriginal Heritage  | The proponent must implement the proposal to meet the following environmental outcomes:<br>1) No disturbance to Aboriginal cultural heritage, unless consent is granted to disturb that site under the Aboriginal Heritage Act 1972 and has involved reasonable steps to consult with relevant Traditional Owners; and<br>2) Subject to reasonable health and safety requirements, no interruption of ongoing access to land utilised for traditional use or custom by relevant Traditional Owners.   | Implement the proposal to meet the environmental objectives outlined in B4.1   | Compliance assessment report  | Operations                  | Ongoing                               | C      | Section 2 of CAR                                      |
| B4.2       | Social Surroundings - Aboriginal Heritage  | The proponent must implement the proposal to meet the following environmental objective:<br>1) avoid, where practicable, and otherwise minimise adverse impacts to Aboriginal cultural heritage.  | Implement the proposal to meet the environmental objectives outlined in B4.2   | Compliance assessment report  | Operations                  | Ongoing                               | C      | Section 2 of CAR                                      |
| B4.3       | Social Surroundings - Aboriginal Heritage  | The proponent must take reasonable steps to consult with relevant Traditional Owners about the achievement of the outcomes in condition B4-1 and objectives in condition B4-2 for the life of the proposal.   | Implement the proposal to meet the environmental objectives outlined in B4.3   | Compliance assessment report  | Operations                  | Ongoing                               | C      | Section 2 of CAR                                      |
| B5.1       | Social Surroundings – Surrounding Land Use | The proponent must implement the proposal to meet the following environmental objectives:<br>1) Maintain a two (2) km separation distance to sensitive receptors from areas of ground disturbance within the mine development envelope during implementation of the proposal;<br>2) Ambient dust emissions from implementation of the proposal, measured as particulate matter (PM10), must not exceed forty-six (46) micrograms per cubic metre for a twenty-four (24) hour averaging period at any sensitive receptor;<br>3) Avoid where practicable and otherwise minimise adverse impacts to visual amenity from implementation of the proposal; and<br>4) Minimise adverse impacts to surrounding land uses, such as pastoral station activities.  | Implement the proposal to meet the environmental objectives outlined in B5.1   | Compliance assessment report  | Operations                  | Ongoing                               | C      | Section 2 of CAR                                      |
| B5.2       | Social Surroundings – Surrounding Land Use | The proponent must review and revise the Environmental Management Plan (Version 1, 12 June 2023) and the Environmental Management and Rehabilitation Plan for the Pipeline Corridor (Version 1, 12 June 2023) so that it satisfies the requirements of condition C5-1 and demonstrates the social surroundings environmental objectives in condition B5-1 are achieved and submit it to the CEO.  | Environmental Management Plan and the Environmental Management and Rehabilitation Plan for the Pipeline Corridor prepared in accordance with the requirements outlined in B5.2 | Environmental Management Plan and/or Environmental Management and Rehabilitation Plan | Overall                     | Prior to construction; ongoing        | CLD    | EMP Rev 2.3 submitted and approved by DWER 22/05/2025 |
| B6.1       | Greenhouse Gas Emissions                   | Subject to condition B6-1(7), the proponent shall take measures to ensure that net GHG emissions do not exceed the following limits:<br>1) 1,060,005 tonnes of CO2-e for the period until 30 June 2029;<br>2) 795,004 tonnes of CO2-e for the period between 1 July 2029 and 30 June 2034;<br>3) 536,628 tonnes of CO2-e for the period between 1 July 2034 and 30 June 2039;<br>4) 268,314 tonnes of CO2-e for the period between 1 July 2039 and 30 June 2044;<br>5) zero tonnes of CO2-e for the period between 1 July 2044 and the end of the proposal operations and decommissioning, including up until 30 June 2050;<br>6) zero tonnes of CO2-e for every consecutive five (5) year period from 1 July 2050 onwards, should a proposal time extension be approved; and<br>7) Where the time between the commencement of operations and the end of a period specified in condition B6-1(1) is less than five (5) years, the net GHG emissions limit for that period is to be determined in accordance with the following formula: | Implement the proposal to meet the limits outlined in B6.1   | Compliance assessment report  | Construction and operations | Ongoing                               | NR     | Section 2 of CAR                                      |





# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject                  | Requirement   | How  | Evidence                       | Phase      | Timeframe   | Status | Further Information |
|------------|--------------------------|---|--|--------------------------------|------------|---|--------|---------------------|
|            |                          | Reduced net GHG emissions limit = $(A \div 1825) \times B$<br><br>Where:<br>A is the net GHG emissions limit for the period as specified in condition B6-1.<br>B is the number of days between the commencement of operations and the end of the relevant period specified in condition B6-1.   |  |                                |            |   |        |                     |
| B6.2       | Greenhouse Gas Emissions | The proponent shall revise, and submit to the CEO, the Greenhouse Gas Emissions Environmental Management Plan (24 August 2022) to:<br>1) be consistent with the achievement of the net GHG emissions limits in condition B6-1 subject to the adjustment provided for in condition B6-1(7) (or achievement of emission reductions beyond those required by those emission limits);<br>2) specify the estimated proposal GHG emissions and emissions intensity for the life of the proposal;<br>3) include a comparison of the estimated proposal GHG emissions and emissions intensity for the life of the proposal against other relevant emissions reduction practices, pathways and comparable facilities;<br>4) identify and describe any measures that the proponent will implement to avoid, reduce and/or offset proposal GHG emissions and/or reduce the emissions intensity of the proposal; and<br>5) provide a program for the future review of the plan to:<br>(a) assess the effectiveness of measures referred to in condition B6-2(4);<br>(b) identify and describe options for future measures that the proponent may or could implement to avoid, reduce, and/or offset proposal GHG emissions and/or reduce the emissions intensity of the proposal; and<br>(c) consider reasonably practicable options for reductions in scope 3 emissions. | Revised Greenhouse Gas Environmental Management Plan summary prepared and submitted to CEO in accordance with B6.2 | Greenhouse Gas Management Plan | Operations | Prior to operations; ongoing                            | NR     | Section 2 of CAR    |
| B6.3       | Greenhouse Gas Emissions | Within one (1) month of receiving confirmation in writing from the CEO that:<br>1) The Greenhouse Gas Environmental Management Plan referred to in condition B6-2 has been revised and satisfies condition B6-2; or<br>2) Any subsequent version of the confirmed Greenhouse Gas Environmental Management Plan submitted under condition C2-2 or B6- 8 which satisfies the requirements of condition B6-2,<br>The proponent must submit a separate summary of the relevant plan to the CEO, which must:<br>3) Include a summary of the matters specified in conditions B6-2(1) to condition B6-2(4); and<br>4) Be published as required by condition B6-7.  | Greenhouse Gas Environmental Management Plan summary submitted to CEO in accordance with B6.3                      | Submission to CEO              | Operations | Within one (1) month of receiving Confirmation from CEO | NR     | Section 2 of CAR    |
| B6.4       | Greenhouse Gas Emissions | The proponent shall submit an annual report to the CEO each year by 31 March, commencing on the first 31 March after the commencement of operations, or such other date within that financial year as is agreed by the CEO to align with other reporting requirements for GHG, specifying for the previous financial year:<br>1) the quantity of proposal GHG emissions; and<br>2) the emissions intensity for the proposal.  | Annual report submitted to CEO in accordance with B6.4   | Submission to CEO              | Operations | Each year by 31 March                                   | NR     | Section 2 of CAR    |
| B6.5       | Greenhouse Gas Emissions | The proponent shall submit to the CEO by 31 March 2030 or such other date within that financial year as is agreed by the CEO to align with other reporting requirements for GHG, and every five (5) years thereafter:<br>1) a consolidated report specifying:<br>(a) for each of the preceding five financial years, the matters referred to in condition B6-4(1) and condition B6-4(2);<br>(b) for the period specified in condition B6-1 that ended on 30 June of the year before the report is due:<br>(i) the quantity of proposal GHG emissions;<br>(ii) the net GHG emissions;  | Consolidated report and supporting information prepared and submitted to CEO in accordance with B6.5               | Submission to CEO              | Operations | At 5 year-intervals starting 31 March 2030              | NR     | Section 2 of CAR    |



# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject                    | Requirement  | How   | Evidence                                     | Phase                  | Timeframe                                  | Status | Further Information |
|------------|----------------------------|--|---|--|------------------------|--|--------|---------------------|
|            |                            | <p>(iii) any measures that have been implemented to avoid or reduce proposal GHG emissions; and</p> <p>(iv) the type, quantity, identification or serial number, and date of retirement or cancellation of any authorised offsets which have been retired or cancelled and which have been used to calculate the net GHG emissions referred to in condition B6-5(1)(b)(ii), including written evidence of such retirement or cancellation.</p> <p>2) an audit and peer review report of the consolidated report required by condition B6-5(1), carried out by an independent person or independent persons with suitable technical experience dealing with the suitability of the methodology used to determine the matters set out in the consolidated report, whether the consolidated report is accurate and whether the consolidated report is supported by credible evidence.</p>   |   |  |                        |  |        |                     |
| B6.6       | Greenhouse Gas Emissions   | <p>A consolidated report referred to in condition B6-5(1) must be accompanied by:</p> <p>1) a revision of the confirmed Greenhouse Gas Environmental Management Plan required under condition B6-2; and</p> <p>2) A separate summary report, for the period specified in condition B6-1 that ended on 30 June of the year before the report is due and any previous periods specified in condition B6-1, and which includes:</p> <p>(a) a graphical comparison of net GHG emissions with the net GHG emissions limits detailed in condition B6-1 (subject to the adjustment provided for in condition B6-1(7));</p> <p>(b) proposal emissions intensity compared to comparable facilities;</p> <p>(c) a summary of measures to reduce the proposal GHG emissions undertaken by the proponent for compliance periods detailed in condition B6-1; and</p> <p>(d) a clear statement as to whether limits for net GHG emissions set out in condition B6-1 have been met, and whether future net GHG emissions limits are likely to be met, including a description of any reasons why those limits have not been, and/or are unlikely to be met.</p> | Consolidated report and revised Greenhouse Gas Environment Management Plan prepared and submitted to CEO in accordance with B6.6        | Submission to CEO                            | Operations             | Ongoing                                    | NR     | Section 2 of CAR    |
| B6.7       | Greenhouse Gas Emissions   | <p>In addition to the requirements of condition C2-6 about publication of the confirmed Greenhouse Gas Environmental Management Plan, the proponent shall make the summary of the confirmed Greenhouse Gas Environmental Management Plan, and all reports required by this condition B6 publicly available on the proponent's website within the timeframes specified below, or in any other manner or time specified by the CEO:</p> <p>1) the summary of the confirmed Greenhouse Gas Environmental Management Plan within twenty (20) business days of submitting the document to the CEO in accordance with condition B6-3; and</p> <p>2) the reports referred to in condition B6-4, condition B6-5, and condition B6-6 within twenty (20) business days of submitting the document to the CEO, and they shall remain published for the life of the proposal.</p>  | Greenhouse Gas Environmental Management Plan, consolidated report and supporting information publicly available in accordance with B6.7 | Online publication                           | Overall                | Ongoing                                    | NR     | Section 2 of CAR    |
| B6.8       | Greenhouse Gas Emissions   | In addition to the requirements of condition C2-2, the proponent must revise and submit to the CEO the confirmed Greenhouse Gas Environmental Management Plan by the date that the first five (5) yearly consolidated report is required to be submitted under condition B6-5 and every five (5) years after that date.  | Proponent to submit Greenhouse Gas Environmental Management Plan as outlined in B6.8  | Greenhouse Gas Environmental Management Plan | Operations             | At 5 year-intervals starting 31 March 2030 | NR     | Section 2 of CAR    |
| B7.1       | Rehabilitation and Closure | <p>The proponent must implement the proposal to meet the following environmental outcomes:</p> <p>1) Rehabilitated landforms are stable and do not cause pollution or environmental harm;</p> <p>2) Rehabilitated vegetation is self-sustaining;</p> <p>3) Rehabilitated drainage lines are stable, not prone to erosion, and support ecological processes;</p> <p>4) Rehabilitated areas are consistent with the species diversity and abundance of native vegetation within comparative analogue or reference sites;</p>   | Implement the proposal to meet the environmental outcomes outlined in B7.1  | Compliance Assessment Report                 | Operations and closure | Ongoing; post-closure                      | NR     | Section 2 of CAR    |

RA



# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject                      | Requirement   | How   | Evidence                     | Phase                       | Timeframe                      | Status | Further Information                                |
|------------|------------------------------|---|---|------------------------------|-----------------------------|--------------------------------|--------|--|
|            |                              | 5) Rehabilitation includes the use of native seeds collected from native vegetation adjacent to the proposal;<br>6) Groundwater quality is returned to background conditions; and<br>7) Closure planning and rehabilitation are undertaken in a progressive manner consistent with achievement of the above outcomes during operations, where practicable, and as soon as practicable upon closure.   |   |                              |                             |                                |        |  |
| B7.2       | Rehabilitation and Closure   | The proponent must include the environmental outcomes of condition B7-1 in the Mine Closure Plan required under the <i>Mining Act 1978</i> and submitted for approval to the DEMIRS.  | Mine Closure Plan will be prepared in accordance with B7.2                        | Mine Closure Plan            | Operations and closure      | Ongoing; post-closure          | CLD    | Mine Closure Plan approved by DEMIRS on 29/10/2024 |
| B7.3       | Rehabilitation and Closure   | The proponent must monitor the progress towards achievement of environmental outcomes of condition B7-1 and include the findings in the reporting of enhanced restoration offsets required by condition B8.   | Implement the proposal to meet the environmental outcomes outlined in B7.3        | Compliance Assessment Report | Operations                  | Ongoing; post-closure          | NR     | Section 2 of CAR                                   |
| B8.1       | Enhanced Restoration Offsets | The proponent must ensure the implementation of the proposal achieves the following environmental outcomes and objectives:<br>1) Counterbalance the significant residual impacts to the following environmental values:<br>(a) Carnaby's cockatoo ( <i>Zanda latirostris</i> ) foraging habitat;<br>(b) Western spiny tailed skink ( <i>Egernia stokesii badia</i> ) habitat; and<br>(c) Malleefowl ( <i>Leipoa ocellata</i> ) habitat.<br>2) Restore and enhance habitat to ensure a net-gain in suitable habitat outside the area disturbed by the proposal which is likely to remain viable is achieved for the environmental values listed in condition B8-1(1);<br>3) Ensure an environmental benefit is achieved for the environmental values listed in condition B8-1(1); and<br>4) Contribute to key knowledge gaps about Carnaby's cockatoo ( <i>Zanda latirostris</i> ), Western spiny tailed skink ( <i>Egernia stokesii badia</i> ) and Malleefowl ( <i>Leipoa ocellata</i> ), to enable them to be managed consistent with the associated recovery plans for each of the species.  | Implement the proposal to achieve the outcomes and objectives in B8.1             | Compliance Assessment Report | Operations and closure      | Prior to construction; ongoing | NR     | Section 2 of CAR                                   |
| B8.2       | Enhanced Restoration Offsets | The proponent must, in consultation with the DBCA, review and revise the Biodiversity Offset Strategy (Environmental Management Plan) (Revision 0, 26 September 2023) so that it demonstrates how the environmental outcomes and objectives in condition B8-1 will be achieved, and how this achievement will be substantiated, and submit it to the CEO.   | Biodiversity Offset Strategy revised and submitted to CEO in accordance with B8.2 | Submission to CEO            | Construction and operations | Prior to construction; ongoing | NR     | Section 2 of CAR                                   |
| B8.3       | Enhanced Restoration Offsets | The Biodiversity Offset Strategy (Environmental Management Plan) must:<br>1) demonstrate that the environmental outcomes and objectives in condition B8-1 will be met;<br>2) identify the enhanced restoration areas for the environmental values identified in condition B8-1 which will result in a ratio of at least 3:1 (impact) for each species.<br>3) demonstrate how the environmental values within the enhanced restoration areas will be maintained and improved in order to counterbalance the residual impact to the environmental values in condition B8-1(1) and achieve the environmental outcomes and objectives in condition B8-1.<br>4) demonstrate application of the principles of the WA Environmental Offsets Policy, the WA Environmental Offsets Metric and the WA Offsets Template, as described in the WA Environmental Offsets Guidelines, and the <i>Environment Protection and Biodiversity Conservation Act 1999</i> Environmental Offsets Policy Assessment Guide, or any subsequent revisions of these documents;<br>5) identify how the ongoing performance of the enhanced restoration activities, and whether they are achieving the outcomes and objectives in condition B8-1, will periodically be made publicly available;<br>6) identify how the enhanced restoration areas will be protected, being either the sites are ceded to the Crown for the purpose of management for conservation, or the sites are | Biodiversity Offset Strategy prepared in accordance with B8.3                     | Biodiversity Offset Strategy | Overall                     | Ongoing                        | NR     | Section 2 of CAR                                   |

RA



## AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject                      | Requirement  | How  | Evidence                     | Phase   | Timeframe | Status | Further Information |
|------------|------------------------------|--|--|------------------------------|---------|-----------|--------|---------------------|
|            |                              | <p>managed under other suitable mechanism for the purpose of conservation as agreed by the CEO by notice in writing.</p> <p>7) identify how ongoing security and permanence for enhanced restoration areas will be provided, including for after satisfying completion criteria.</p> <p>8) where on-ground management is proposed:</p> <ul style="list-style-type: none"><li>(a) state the targets for each environmental value to be achieved, including completion criteria, which will result in a tangible improvement to the environmental values subject to enhanced restoration.</li><li>(b) demonstrate the consistency of the targets with environmental outcomes and objectives in condition B8-1 and the objectives of any relevant guidance, including but not limited to, recovery plans or area management plans.</li><li>(c) detail the on-ground management actions, with associated timeframes for implementation and completion, to achieve the targets identified in condition B8-3(7)(a); and</li><li>(d) detail the monitoring, reporting and evaluation mechanisms for the targets and actions identified under condition B8-3(7)(a).</li></ul> <p>9) For research offset identified in condition B8-1(4), prepare a research program that:</p> <ul style="list-style-type: none"><li>(a) identifies the objectives and intended outcomes and specifies the deliverables and completion criteria.</li><li>(b) identifies how the research will result in positive conservation outcomes or tangible improvements and will either improve management and protection or address priority knowledge gaps that have been identified as a research priority needed to improve management and protection, for the environmental values identified in condition B8-1(1).</li></ul> <p>Page 15 of 36</p> <ul style="list-style-type: none"><li>(c) demonstrate the consistency of the objectives in condition B8-3(8)(a) with any relevant guidance, including but not limited to, recovery plans or area management plans, the principles of the WA Environmental Offsets Policy, the WA Environmental Offsets Guidelines, or any subsequent revisions of these documents.</li><li>(d) identifies and justifies the how the research will support land acquired and/or on-ground management in achieving a positive conservation outcome.</li><li>(e) provides an implementation and reporting schedule, including an outline of key activities, all deliverables, stages of implementation, reporting of research results (including interim results), reporting on implementation status, and milestones towards completion criteria.</li><li>(f) identifies the governance arrangements including responsibilities for implementing, and oversight of, the research program, agreements with government agencies, agreements with any third parties, and contingency measures.</li><li>(g) identify how a research program summary, and the results (including interim results) of the research program will be communicated and/or published in an open access format; and</li><li>(h) identifies the third party to carry out the work required to meet the outcomes of condition B8-3(8)(a), who is satisfactory for the role to the CEO. In applying to the CEO for endorsement of the selected third parties, the proponent shall provide:</li><li>(i) demonstration of the track record, experience, qualifications and competencies of the proposed third party to carry out the work and achieve the outcomes.</li></ul> |  |                              |         |           |        |                     |
| B8.4       | Enhanced Restoration Offsets | If, after receiving the ongoing performance review of the enhanced restoration and research, reporting and evaluation required by condition B8-3(7)(d), the CEO determines that the proposal has not met the environmental outcomes and objectives in condition B8-1, and after notifying the proponent in writing, the proponent must undertake an additional   | Proponent to undertake additional restoration offset activities as outlined in B8.4 if notified by the CEO | Compliance Assessment Report | Overall | Ongoing   | NR     | Section 2 of CAR    |

RA





# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject  | Requirement  | How  | Evidence   | Phase      | Timeframe   | Status | Further Information                                |
|------------|--|--|--|--|------------|---|--------|--|
|            |  | offset to counterbalance the significant residual impact from the additional impact to Carnaby's cockatoo ( <i>Zanda latirostris</i> ), Western spiny tailed skink ( <i>Egernia stokesii badia</i> ) and Malleefowl ( <i>Leipoa ocellata</i> ).  |  |  |            |   |        |  |
| B8.5       | Enhanced Restoration Offsets   | Within twelve (12) months of receiving notice in writing from the CEO that an additional offset is required under condition B8-4 the proponent must update the Biodiversity Offset Strategy (Environmental Management Plan) required by condition B8-2 to include additional offsets to counterbalance the significant residual impacts to Carnaby's cockatoo ( <i>Zanda latirostris</i> ), Western spiny tailed skink ( <i>Egernia stokesii badia</i> ) and Malleefowl ( <i>Leipoa ocellata</i> ).  | Proponent to update the Biodiversity Offset Strategy (Environmental Management Plan) as outlined in B8.5 | Written correspondence: Biodiversity Offset Strategy | Overall    | Within twelve (12) months of receiving notice in writing from the CEO | NR     | Section 2 of CAR                                   |
| C1.1       | Conditions Related to Commencement of Implementation of the Proposal | The proponent must not undertake:<br>1) ground disturbing activities until the CEO has confirmed in writing that the environmental management plan required by condition B1-4, condition B2-5, condition B3-4 and condition B5-2 meets the requirements of those conditions and conditions C4 and C5;<br>2) ground disturbing activities of the habitat types as described in condition B8-1(1) until the CEO has confirmed in writing that the Biodiversity Offset Strategy (Environmental Management Plan) required by condition B8-2 meets the requirements of that condition; and<br>3) operational activities until the CEO has confirmed in writing that the Greenhouse Gas Environmental Management Plan required by condition B6-2 meets the requirements of that condition.   | Proponent to adhere to the conditions outlined in C1.1   | Written correspondence from the CEO                  | Operations | Ongoing   | NR     | Section 2 of CAR                                   |
| C2.1       | Conditions Related to Commencement of Implementation of the Proposal | Upon being required to implement an environmental management plan under Part B, or after receiving notice in writing from the CEO under condition C1-1 that the environmental management plan(s) required in Part B satisfies the relevant requirements, the proponent must:<br>1) implement the most recent version of the confirmed environmental management plan; and<br>2) continue to implement the confirmed environmental management plan referred to in condition C2-1(1), other than for any period which the CEO confirms by notice in writing that it has been demonstrated that the relevant requirements for the environmental management plan have been met, or are able to be met under another statutory decision-making process, in which case the implementation of the environmental management plan is no longer required for that period. | Proponent to implement the Environmental Management Plan in accordance with C2.1                         | Written correspondence from the CEO                  | Overall    | Ongoing   | C      | Section 2 of CAR                                   |
| C2.2       | Conditions Related to Commencement of Implementation of the Proposal | The proponent:<br>1) may review and revise a confirmed environmental management plan provided it meets the relevant requirements of that environmental management plan, including any consultation that may be required when preparing the environmental management plan.<br>2) must review and revise a confirmed environmental management plan and ensure it meets the relevant requirements of that environmental management plan, including any consultation that may be required when preparing the environmental management plan, as and when directed by the CEO; and<br>3) must revise and submit to the CEO the confirmed Environmental Management Plan if there is a material risk that the outcomes or objectives it is required to achieve will not be complied with, including but not limited to as a result of a change to the proposal.        | Environmental Management Plan revised in accordance with C2.2  | Environmental Management Plan                        | Overall    | Ongoing   | C      | No revisions following approval of the EMP Rev 2.3 |
| C2.3       | Conditions Related to Commencement of Implementation of the Proposal | Despite condition C2-1, but subject to conditions C2-4 and C2-5, the proponent may implement minor revisions to an environmental management plan if the revisions will not result in new or increased adverse impacts to the environment or result in a risk to the achievement of the limits, outcomes or objectives which the environmental management plan is required to achieve.  | If necessary, proponent to revise the Environmental Management Plan in accordance with C2.3              | Environmental Management Plan                        | Overall    | Ongoing   | NR     | No revisions following approval of the EMP Rev 2.3 |

RA





## AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject  | Requirement   | How   | Evidence                                   | Phase   | Timeframe | Status | Further Information                                |
|------------|--|---|---|--|---------|-----------|--------|--|
| C2.4       | Conditions Related to Commencement of Implementation of the Proposal | If the proponent is to implement minor revisions to an environmental management plan under condition C2-3, the proponent must provide the CEO with the following at least twenty (20) business days before it implements the revisions:<br>1) the revised environmental management plan clearly showing the minor revisions.<br>2) an explanation of and justification for the minor revisions; and<br>3) an explanation of why the minor revisions will not result in new or increased adverse impacts to the environment or result in a risk to the achievement of the limits, outcomes or objectives which the environmental management plan is required to achieve.   | If necessary, Proponent to revise the Environmental Management Plan in accordance with C2.4 | Written correspondence                     | Overall | Ongoing   | NR     | No revisions following approval of the EMP Rev 2.3 |
| C2.5       | Conditions Related to Commencement of Implementation of the Proposal | The proponent must cease to implement any revisions which the CEO notifies the proponent (at any time) in writing may not be implemented.   | Proponent to implement the proposal to meet the requirements in C2.5                        | Written correspondence                     | Overall | Ongoing   | C      | No notification during the period                  |
| C2.6       | Conditions Related to Commencement of Implementation of the Proposal | Confirmed environmental management plans, and any revised environmental management plans under condition C2-4(1), must be published on the proponent's website and provided to the CEO in electronic form suitable for on-line publication by the Department of Water and Environmental Regulation within twenty (20) business days of being implemented, or being required to be implemented (whichever is earlier).   | Environmental Management Plans to be published online in accordance with C2.6               | Written correspondence; online publication | Overall | Ongoing   | C      | EMP is available via the FIJV website              |
| C3.1       | Conditions Related to Monitoring                                     | The proponent must undertake monitoring capable of:<br>1) substantiating whether the proposal limitations and extents in Part A are exceeded; and<br>2) detecting and substantiating whether the environmental outcomes identified in Part B are achieved (excluding any environmental outcomes in Part B where an environmental management plan is expressly required to monitor achievement of that outcome).   | Proponent to implement the proposal to meet the monitoring requirements in C3.1             | Compliance Assessment Report               | Overall | Ongoing   | C      | Section 2 and 4 of CAR                             |
| C3.2       | Conditions Related to Monitoring                                     | The proponent must submit as part of the Compliance Assessment Report required by condition D2, a compliance monitoring report that:<br>1) outlines the monitoring that was undertaken during the implementation of the proposal.<br>2) identifies why the monitoring was capable of substantiating whether the proposal limitation and extents in Part A are exceeded.<br>3) for any environmental outcomes to which condition C3-1(2) applies, identifies why the monitoring was scientifically robust and capable of detecting whether the environmental outcomes in Part B are met.<br>4) outlines the results of the monitoring.<br>5) reports whether the proposal limitations and extents in Part A were exceeded and (for any environmental outcomes to which condition C3-1 (2) applies) whether the environmental outcomes in Part B were achieved, based on analysis of the results of the monitoring; and<br>6) reports any actions taken by the proponent to remediate any potential non-compliance. | Proponent to implement the proposal to meet the monitoring requirements in C3.2             | Compliance Assessment Report               | Overall | Ongoing   | C      | Section 2 and 4 of CAR                             |

RA



## AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject   | Requirement  | How   | Evidence                      | Phase   | Timeframe | Status | Further Information   |
|------------|---|--|---|-------------------------------|---------|-----------|--------|---|
| C4.1       | Conditions Relating to Monitoring and Adaptive Management for Outcomes Based Conditions | The environmental management plans required under condition B1-3, condition B2-5, condition B3-3, condition B6-2 and condition B8-2 must contain provisions which enable the substantiation of whether the relevant outcomes of those conditions are met, and must include:<br>1) threshold criteria that provide a limit beyond which the environmental outcomes are not achieved;<br>2) trigger criteria that will provide an early warning that the environmental outcomes are not likely to be met;<br>3) monitoring parameters, sites, control/reference sites, methodology, timing and frequencies which will be used to measure threshold criteria and trigger criteria. Include methodology for determining alternate monitoring sites as a contingency if proposed sites are not suitable in the future.<br>4) baseline data.<br>5) data collection and analysis methodologies.<br>6) adaptive management methodology<br>7) contingency measures which will be implemented if threshold criteria or trigger criteria are not met; and<br>8) reporting requirements. | Proponent to implement the proposal to meet the monitoring requirements in C4.1   | Environmental Management Plan | Overall | Ongoing   | C      | EMP Rev 2.3 submitted and approved by DWER 22/05/2025   |
| C4.2       | Conditions Relating to Monitoring and Adaptive Management for Outcomes Based Conditions | The Groundwater Operating Strategy and environmental management plans required under condition B3-3 and condition B3-4 are also required to include:<br>1) identification of reasonably likely alternative water supply options to nearby groundwater users if they are adversely affected, and contingency measures including reducing or ceasing water extraction to prevent adverse impacts to other water users.<br>2) reasonable steps for the proponent to consult with Water Corporation about the achievement of the outcomes in condition B3-1 for the life of the proposal and any updates to the Strategy required in condition B3-3; and<br>3) reasonable steps for the proponent to consult with pastoral stations and relevant Traditional Owners about the achievement of the outcome in condition B3-1(3) for the life of the proposal and any updates to the environmental management plans required in condition B3-4.   | Proponent to implement the proposal to meet the monitoring requirements in C4.2   | Compliance Assessment Report  | Overall | Ongoing   | C      | EMP Rev 2.3 submitted and approved by DWER 22/05/2025<br><br>GOS submitted and approved by DWER 28/03/2025. |
| C4.3       | Conditions Relating to Monitoring and Adaptive Management for Outcomes                  | Without limiting condition C3-1, failure to achieve an environmental outcome, or the exceedance of a threshold criteria, regardless of whether threshold contingency measures have been or are being implemented, represents a non-compliance with these conditions.   | Proponent to implement the proposal to meet the monitoring requirements in C4.3   | Compliance Assessment Report  | Overall | Ongoing   | C      | Section 3 of the CAR  |
| C5.1       | Conditions Related to Management Actions and Targets for Objective Based Conditions     | The environmental management plans required under condition B1-2, condition B2-5, condition B5-2, condition B6-2 and condition B8-2 must contain provisions which enable the achievement of the relevant objectives of those conditions and substantiation of whether the objectives are reasonably likely to be met and must include:<br>1) management actions.<br>2) management targets.<br>3) contingency measures if management targets are not met; and<br>4) reporting requirements.   | Proponent to implement the proposal to meet the objectives in C5.1                | Environmental Management Plan | Overall | Ongoing   | CLD    | EMP Rev 2.3 submitted and approved by DWER 22/05/2025   |
| C5.2       | Conditions Related to Management Actions and Targets for Objective Based Conditions     | The environmental management plans required under condition B3-4 are also required to include:<br>1) reasonable steps for the proponent to consult with pastoral stations and Traditional Owners in the Environmental Management Plans about the achievement of the objective in condition B3-2 for the life of the proposal and any updates to the environmental management plans required in condition B3-4.   | Proponent to implement the proposal to meet the consultation requirements in C5.2 | Environmental Management Plan | Overall | Ongoing   | C      | EMP Rev 2.3 submitted and approved by DWER 22/05/2025   |

RA



## AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject   | Requirement  | How  | Evidence  | Phase   | Timeframe                                      | Status | Further Information   |
|------------|---|--|--|---|---------|--|--------|---|
| C5.3       | Conditions Related to Management Actions and Targets for Objective Based Conditions | Without limiting condition C2-1, the failure to achieve an environmental objective, or implement a management action, regardless of whether contingency measures have been or are being implemented, represents a non-compliance with these conditions.  | Proponent to implement the proposal to meet the requirements in C5.3   | Compliance assessment report  | Overall | Ongoing  | C      | No non compliances during the reporting period - Section 3 of the CAR |
| D1.1       | Non-compliance Reporting  | If the proponent becomes aware of a potential non-compliance, the proponent must:<br>1) report this to the CEO within seven (7) days.<br>2) implement contingency measures.<br>3) investigate the cause.<br>4) investigate environmental impacts.<br>5) advise rectification measures to be implemented.<br>6) advise any other measures to be implemented to ensure no further impact.<br>7) advise timeframe in which contingency, rectification and other measures have and/or will be implemented; and<br>8) provide a report to the CEO within twenty-one (21) days of being aware of the potential non-compliance, detailing the measures required in conditions D1-1(1) to D1-1(7) above. | Proponent to advise the CEO of any potential non-compliance within seven (7) days of that non-compliance being known | Written notification  | Overall | Ongoing  | C      | No non compliances during the reporting period - Section 3 of the CAR |
| D1.2       | Non-compliance Reporting  | Failure to comply with the requirements of a condition, or with the content of an environmental management plan required under a condition, constitutes a non-compliance with these conditions, regardless of whether the contingency measures, rectification or other measures in condition D1-1 above have been or are being implemented.  | Compliance assessment report will be prepared in accordance with D1.2  | Compliance Assessment Report  | Overall | Ongoing  | C      | This CAR  |
| D2.1       | Compliance Reporting  | The proponent must provide an annual Compliance Assessment Report to the CEO for the purpose of determining whether the implementation conditions are being complied with.   | Compliance assessment report will be prepared in accordance with D2.1  | Compliance Assessment Report; written notification submitting report to CEO | Overall | Ongoing  | C      | This CAR  |
| D2.2       | Compliance Reporting  | Unless a different date or frequency is approved by the CEO, the first annual Compliance Assessment Report must be submitted within fifteen (15) months of the date of this Statement, and subsequent reports must be submitted annually from that date.   | Compliance assessment report will be prepared in accordance with D2.2 and submitted to the OEPA annually             | Compliance assessment report; written notification submitting report to CEO | Overall | 19 September 2025 and then annually thereafter | C      | This CAR  |
| D2.3       | Compliance Reporting  | Each annual Compliance Assessment Report must be endorsed by the proponent's Chief Executive Officer, or a person approved by proponent's Chief Executive Officer to be delegated to sign on the Chief Executive Officer's behalf.   | Compliance assessment report will be prepared in accordance with D2.3  | Compliance Assessment Report  | Overall | Ongoing  | C      | See Section 5 of the CAR.   |

RA



# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject                                | Requirement   | How   | Evidence   | Phase   | Timeframe   | Status | Further Information                   |
|------------|--|---|---|--|---------|---|--------|---------------------------------------|
| D2.4       | Compliance Reporting                   | Each annual Compliance Assessment Report must:<br>1) state whether each condition of this Statement has been complied with, including:<br>(a) exceedance of any proposal limits and extents.<br>(b) achievement of environmental outcomes.<br>(c) achievement of environmental objectives.<br>(d) requirements to implement the content of environmental management plans.<br>(e) monitoring requirements.<br>(f) implement contingency measures.<br>(g) requirements to implement adaptive management; and<br>(h) reporting requirements.<br>2) include the results of any monitoring (inclusive of any raw data) that has been required under Part C in order to demonstrate that the limits in Part A, and any outcomes or any objectives are being met.<br>3) provide evidence to substantiate statements of compliance, or details of where there has been a non-compliance.<br>4) include the corrective, remedial and preventative actions taken in response to any potential non-compliance.<br>5) be provided in a form suitable for publication on the proponent's website and online by the Department of Water and Environmental Regulation.<br>6) be prepared and published consistent with the latest version of the Compliance Assessment Plan required by condition D2-5 which the CEO has confirmed by notice in writing satisfies the relevant requirements of Part C and Part D. | Compliance assessment report will be prepared in accordance with D2.4               | Compliance Assessment Report                                     | Overall | Ongoing, starting on 19 September 2025.   | C      | This CAR and Appendix A               |
| D2.5       | Compliance Reporting                   | The proponent must prepare a Compliance Assessment Plan which is submitted to the CEO at least six (6) months prior to the first Compliance Assessment Report required by condition D2-2, or prior to implementation of the proposal, whichever is sooner.  | A compliance assessment plan will be submitted to the CEO                           | Compliance Assessment Plan                                       | Overall | 19 March 2025 or six months prior to commencement of on-ground works (whichever is earlier) | CLD    | CAP approved by DWER on 19 June 2025  |
| D2.6       | Compliance Reporting                   | The Compliance Assessment Plan must include:<br>1) what, when and how information will be collected and recorded to assess compliance.<br>2) the methods which will be used to assess compliance.<br>3) the methods which will be used to validate the adequacy of the compliance assessment to determine whether the implementation conditions are being complied with.<br>4) the retention of compliance assessments.<br>5) the table of contents of Compliance assessment report, including audit tables; and<br>6) how and when Compliance assessment report will be made publicly available, including usually being published on the proponent's website within sixty (60) days of being provided to the CEO.   | A compliance assessment plan will be submitted to the CEO in accordance with D6.2   | Compliance Assessment Plan                                       | Overall | 19 March 2025 or six months prior to commencement of on-ground works (whichever is earlier) | CLD    | CAP approved by DWER on 19 June 2025  |
| D3.1       | Contact Details                        | The proponent must notify the CEO of any change of its name, physical address or postal address for the serving of notices or other correspondence within twenty-eight (28) days of such change. Where the proponent is a corporation or an association of persons, whether incorporated or not, the postal address is that of the principal place of business or of the principal office in the State.   | Post-assessment Form 3  | Copy of notification to the CEO                                  | Overall | Within twenty-eight (28) days of any change of name, physical address or postal address.    | C      | No change during the reporting period |
| D4.1       | Time Limit for Proposal Implementation | The proposal must be substantially commenced within five (5) years from the date of this Statement.   | Proponent to have substantially commenced within five (5) years from this statement | Written notification to the CEO and Compliance Assessment Report | Overall | Five (5) years from the date of this Statement (no later than 20 June 2029)                 | C      | Section 2 of CAR                      |

RA





# AUDIT TABLE

PROPOSAL: YOGI MAGNETITE PROJECT  
STATEMENT: 1225

| Audit Code | Subject                                | Requirement   | How   | Evidence   | Phase   | Timeframe   | Status | Further Information  |
|------------|--|---|---|--|---------|---|--------|--|
| D4.2       | Time Limit for Proposal Implementation | The proponent must provide to the CEO documentary evidence demonstrating that they have complied with condition D4-1 no later than fourteen (14) days after the expiration of period specified in condition D4-1.   | Written notification will be sent to the CEO.   | Written correspondence when required                     | Overall | Within fourteen (14) days from substantial commencement (and no later than 4 July 2029)         | NR     | Section 2 of CAR   |
| D4.3       | Time Limit for Proposal Implementation | If the proposal has not been substantially commenced within the period specified in condition D4-1, implementation of the proposal must not be commenced or continued after the expiration of that period.  | If the project is not substantially commenced by five (5) years from the date of this Statement (ie by 20 June 2029), the proponent will notify the CEO in writing. | Written correspondence when required                     | Overall | Five (5) years from the date of Ministerial Statement 1225                                      | NR     | Section 2 of CAR   |
| D5.1       | Public Availability of Data            | Subject to condition D5-2, within a reasonable time period approved by the CEO upon the issue of this Statement and for the remainder of the life of the proposal, the proponent must make publicly available, in a manner approved by the CEO, all validated environmental data collected before and after the date of this Statement relevant to the proposal (including sampling design, sampling methodologies, monitoring and other empirical data and derived information products (e.g. maps)), environmental management plans and reports relevant to the assessment of this proposal and implementation of this Statement. | As per the method outlined in the Compliance Assessment Plan  | Compliance Assessment Plan                               | Overall | Within a reasonable time period approved by the CEO and continuing for the life of the project. | C      | Information is publicly available in a manner approved by the CEO. |
| D5.2       | Public Availability of Data            | If:<br>1) any data referred to in condition D5-1 contains trade secrets; or<br>2) any data referred to in condition D5-1 contains particulars of confidential information (other than trade secrets) that has commercial values to a person that would be, or could reasonably be expected to be, destroyed or diminished if the confidential information were published.<br>The proponent may submit a request for approval from the CEO to make this data publicly available and the CEO may agree to such a request if the CEO is satisfied that the data meets the above criteria.  | As per the method outlined in the Compliance Assessment Plan  | Written correspondence when required                     | Overall | Within a reasonable time period   | NR     | No request has been made.  |
| D5.3       | Public Availability of Data            | In making such a request the proponent must provide the CEO with an explanation and reasons why the data should not be made publicly available.   | As per the method outlined in the Compliance Assessment Plan  | Written correspondence when required                     | Overall | Within a reasonable time period   | NR     | No request has been made.  |
| D6.1       | Independent Audit                      | The proponent must arrange for an independent audit of compliance with the conditions of this statement, including achievement of the environmental outcomes and/or the environmental objectives and/ or environmental performance with the conditions of this statement, as and when directed by the CEO.  | Independent audit report will be prepared in accordance with D6.1   | Independent Audit Reports; written notification from CEO | Overall | Ongoing   | NR     | No audit required during the reporting period                      |
| D6.2       | Independent Audit                      | The independent audit must be carried out by a person with appropriate qualifications who is nominated or approved by the CEO to undertake the audit under condition D6-1.  | Independent audit report will be prepared in accordance with D6.2   | Independent Audit Reports; correspondence from CEO       | Overall | Ongoing   | NR     | No audit required during the reporting period                      |
| D6.3       | Independent Audit                      | The proponent must submit the independent audit report with the Compliance Assessment Report required by condition D2, or at any time as and when directed in writing by the CEO. The audit report is to be supported by credible evidence to substantiate its findings.  | Proponent to submit independent audit report in accordance with D6.1  | Compliance assessment report; independent audit report   | Overall | Ongoing   | NR     | No audit required during the reporting period                      |
| D6.4       | Independent Audit                      | The independent audit report required by condition D6-1 is to be made publicly available in the same timeframe, manner and form as a Compliance Assessment Report, or as otherwise directed by the CEO.   | As per the method outlined in the Compliance Assessment Plan  | Compliance Assessment Plan                               | Overall | Within a reasonable time period approved by the CEO.  | NR     | No audit required during the reporting period                      |

RA