



# AIFMD and Annex IV Reporting

# AIFMD & Annex IV Reporting

In a sector already with extensive regulation and compliance obligations, the AIFMD adds additional requirements, specifically mandating a comprehensive set of reports. These reports must be:

- Submitted annually, half-yearly, or quarterly
- Comprised of 41 detailed questions
- Inclusive of:
  - Data collection, enrichment, classification, and validation from multiple sources
  - Complex calculations and technical expertise
  - A clear audit trail
- Submitted in the correct XML format as specified by the relevant National Competent Authority (NCA)

With AIFMD II on the horizon, AIFMs will face even more reporting obligations, requiring detailed information on all markets, instruments, and exposures to be reported to their member state's competent authorities.

# Challenges of Annex IV Reporting



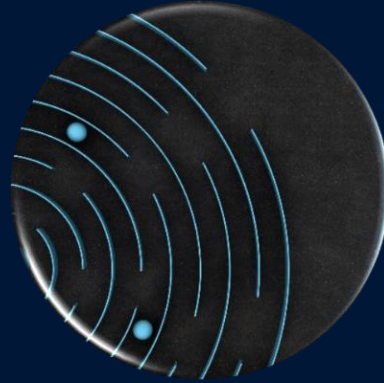
## Time Consuming

41 detailed questions that require the collection, enrichment, classification, and validation of data from multiple sources



## Tight Deadlines

With only 30 days to file reports after the end of the reporting period, time is of the essence.



## Complexity

Involves highly complex calculations and requires in-depth technical knowledge, particularly when dealing with different jurisdictions.



## Changing Requirements

Regulation is constantly evolving, making it challenging to stay compliant with the latest changes.



## Regulator Questions

Requires a clear audit trail that explains the origin of the data, details any transformations, and records any amendments, including who made them.



# Solutions

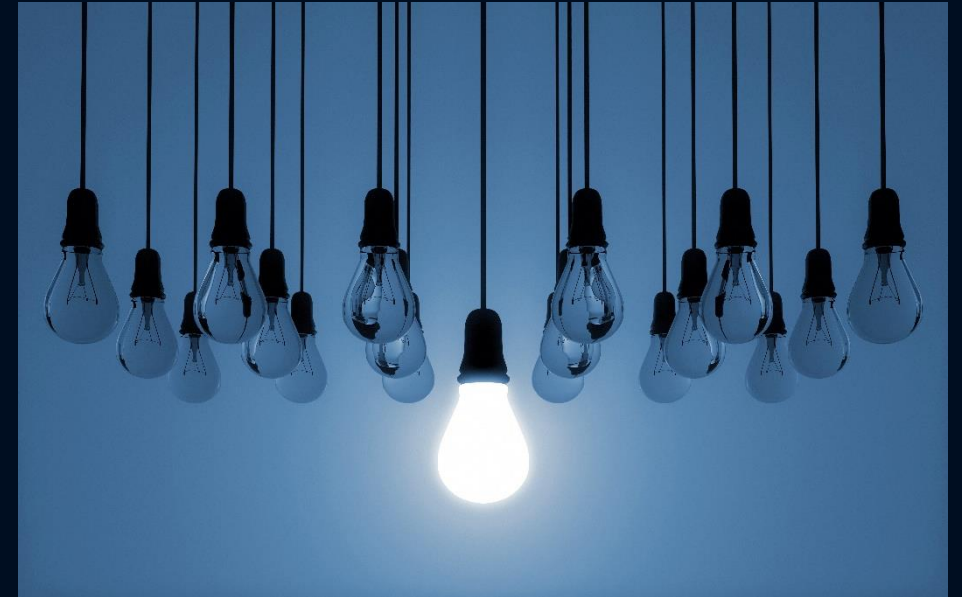
With Annex IV Reporting from Allium Group, you can confidently meet your obligations on time and ensure full compliance.



# Service

Allium Group submits information via a secure data-exchange platform:

- Allium Group collects and submits client data which is assessed against over 1,000 rules.
- Allium Group issues reports (PDF) for client review and updates are based on the feedback.
- Once the client approves, the reports (XML) are submitted to the regulator.
- All feedback from the regulator is dealt with and reports are resubmitted if necessary.
- Throughout the process, the client is kept informed about the status and key figures via regular communication



# Uniqueness

## Fully Compliant

- Validation checks for compliance with ESMA rules? Automated! Validation checks for compliance with NCA-specific requirements? Automated! Additionally, Annex IV continuously monitors and adjusts to all regulatory changes at both the EU and NCA levels.

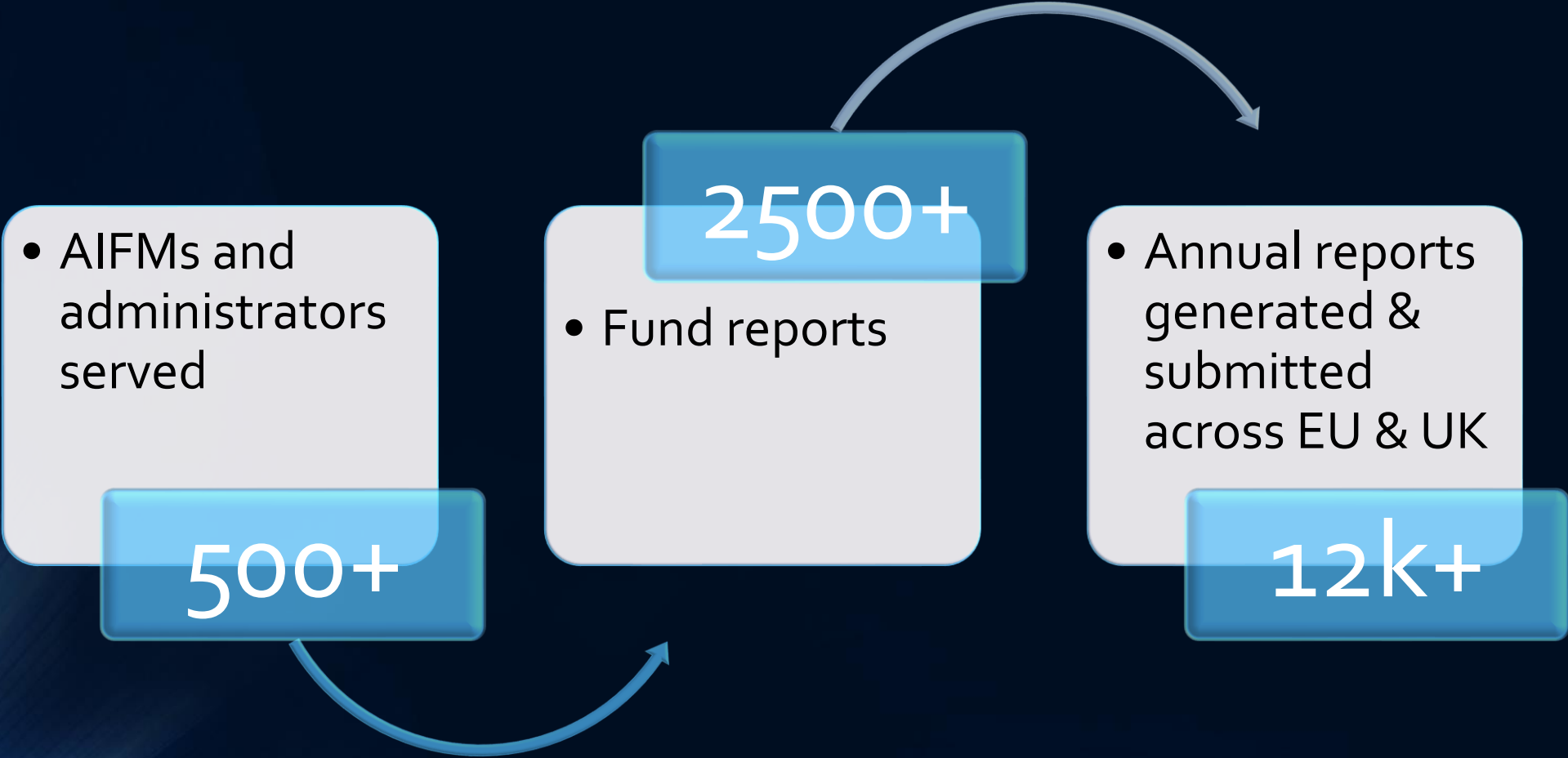
## Time

- We streamline the reporting and production of AIF reports in all EU member states and in markets adhering to the AIFMD regime, reducing the time needed by up to 40%.

## Efficiency

- Experience a cost-effective start to end process for handling your Annex IV reporting needs. From the automatic import of data to calculations, data monitoring, validation checks, and, of course, the production and filing of your reports, Allium Group have you covered.

# Provider Track Record



Thank you!



[connect@allium-group.com](mailto:connect@allium-group.com)

