



Canada Border  
Services Agency

Agence des services  
frontaliers du Canada

# Trade Chain Partner Working Group (TCP WG) Consultation Session

**DRAFT – FOR DISCUSSION PURPOSES ONLY**

June 19, 2019

Canada

**CARM** | CBSA Assessment and  
Revenue Management

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# Teleconference Technical Guidelines

- Call in to the meeting by dialing the number provided in the invitation.
- Please mute your phone by pressing \*6
- To unmute your phone press \*7 and join the discussion, it is helpful for participants if you can identify yourself before speaking
- Once you are finished speaking, please re-mute your phone by pressing \*6
- To answer an incoming call, please hang up the line and call back into the meeting. Putting the call on hold may cause the group to hear background music or beeping.

# Agenda

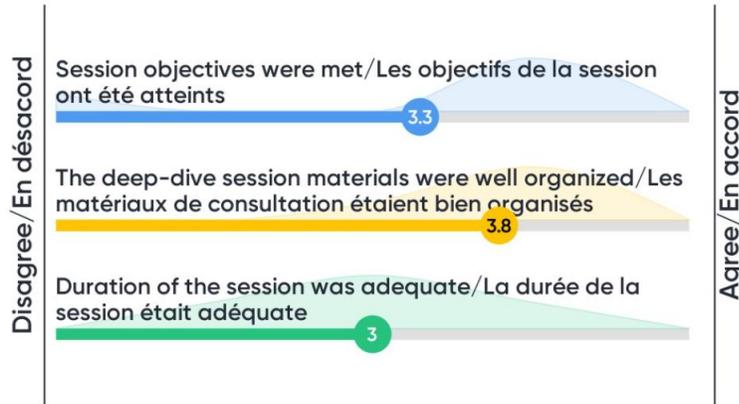
Topic	Speaker	Timing
Technical Rules of Engagement	Kevin Horseman	10:00AM – 12:30PM
Opening Remarks	Lisa Anawati	
Recap of the May Consultation Session	Lisa Anawati	
Walkthroughs: Rulings, Payment Processing and Temporary Importation	Jonathan Hopkins / Carola Daffner	
<b>Lunch</b>		12:30PM – 1:00PM
TCP CADEX Query Transition to API	Carola Daffner	1:00PM – 1:30PM
Release to Commercial Accounting Declaration Crosswalk	Richard Lavoie	1:30PM – 2:30PM
<b>Health Break</b>		2:30PM – 2:45PM
CARM Engagement and Communication Discussion	Kevin Horseman / Irene Serry	2:45PM – 3:30PM
Roundtable and Conclusion	Lisa Anawati	3:30PM – 4:00PM

# Recap of the May Consultation Session

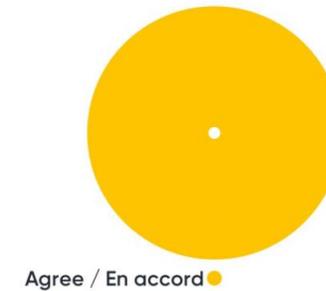
- Welcomed new members to the TCP WG
- First CSA SWG meeting is scheduled for July 4<sup>th</sup>
- eCommerce Update
- Questions were raised related to:
  - Leveraging IID data for the CAD
  - Visibility into access that has been delegated, at the individual-level
  - Timeline of being able to acquire an RM pre-R2, for TCPs who currently do not have a BN15
  - Disbursement proposal

# Mentimeter Results from June 5<sup>th</sup> Deep-Dive

## Session Feedback / Rétroaction sur la session



## The session used relevant examples to describe the future state design



## This session helped you better understand how CARM will affect your line of business?

Time to match up the operational commercial flow with the CARM system requirements to determine what will work and the impacts on business

We have to come up with meeting notes or something that we can use as back up for future meetings. There seems to be confusion in the way something are said and how it is interpreted.

Critical decisions are necessary re Importer Based Security, whether GST included in Calculation, degree of connection to 'release process', equity across all modes (ie LVSC)





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# Walkthroughs: Rulings, Payment Processing and Temporary Importation

Canada

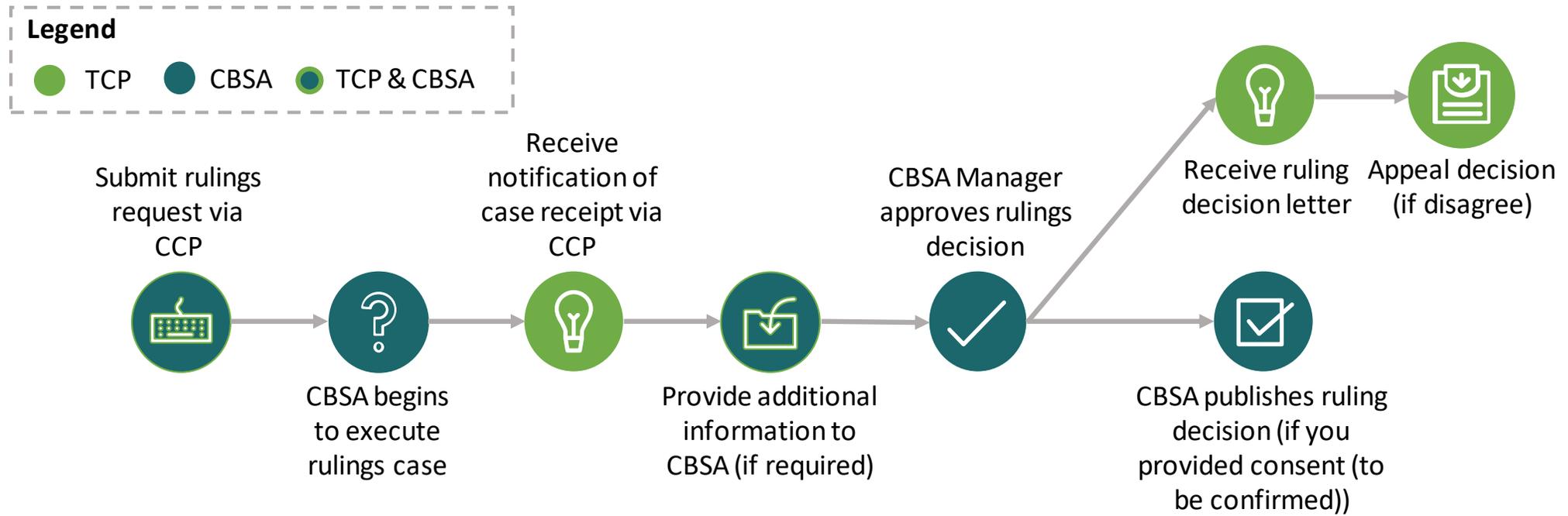
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# Rulings and Payment Processing Update

To be implemented as of Release 1

# Execution of Ruling Decision

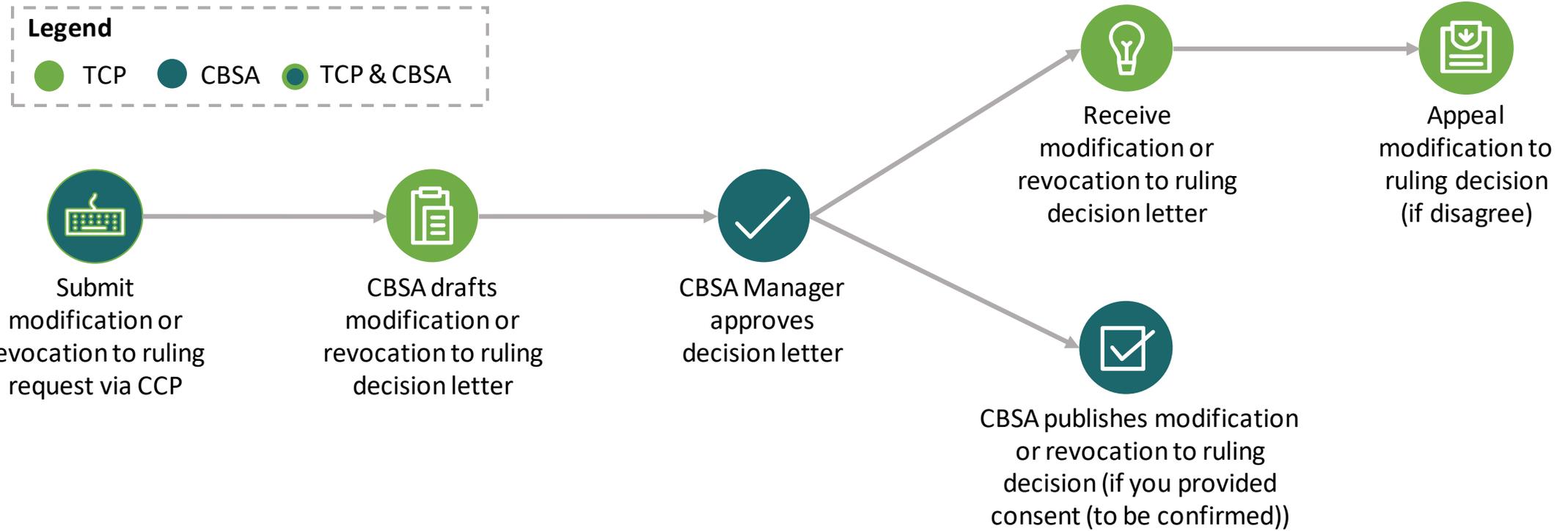
You will be able to request Advance Rulings (ARs), National Customs Rulings (NCRs) and Same Condition Rulings (SCRs) via the CARM Client Portal (CCP); the CBSA will manage these requests as a case



This is how you will request rulings in the future. Do you anticipate any challenges in adopting this process?

# Modification or Revocation to Ruling Decision

You will be able to request to modify AR and NCR decisions via the CCP; the CBSA will manage these requests as a case



This is how you will request modifications or revocations to a past ruling decision in the future. Do you anticipate any challenges in adopting this process?

# Manage Rulings

## Request a new ruling

Follow the steps in this form to request a new ruling for your imports.

1. Ruling context

2. Goods Information

3. Tariff Information

 [Disclaimer](#)

### Ruling Context

\* Choose the type of ruling **(required)**

- Tariff Classification
- Valuation
- Origin
- Marking
- Non-FTA Marking
- Origin Non-FTA
- Conditional Relief
- CITT Textile Tariff Relief Investigations

 Save draft

Next 

 [Delete](#)

# Manage Rulings

DRAFT

## Request a new ruling

Follow the steps in this form to request a new ruling for your imports.

1. Ruling context  2. Goods Information  3. Tariff Information

### Goods Information

Attach or include any links to the producers or manufacturers product literature, drawings, photographs, or schematics.

Include a URL or link to documents

### Upload documents

No file chosen

### Current file(s) attached

Select to delete	File Name	File size
<input type="checkbox"/>	Manufacturer Inc Catalog.pdf	52.40 KB
<input type="checkbox"/>	Product's photographs.pdf	140.20 KB

### **i** Notes

If an applicant/agent has difficulty obtaining proprietary information from the manufacturer or foreign supplier, they may request the manufacturer/foreign supplier to send the information directly to the CBSA. All information provided to the CBSA is protected from release by Section 107 of the Customs Act.

- Check the appropriate radio button if you are having difficulty obtaining the proprietary information and have requested that the manufacturer or foreign supplier send the information directly to the CBSA.

# Manage Rulings

DRAFT

## Request a new ruling

Follow the steps in this form to request a new ruling for your imports.

1. [Ruling context](#)  2. [Goods Information](#)  3. **Tariff Information**

### Tariff Information

\* What tariff classification do you believe applies to the good? **(required)**

[Help me classify](#)

\* What specific rule of origin do you believe applies to the good? **(required)**

\* Provide sufficient information in order to confirm “de minimis” provision applies. **(required)**

\* Please provide the reasons(s) that support your interpretation of your submission. **(required)**

[← Previous](#)

[Save draft](#)

[Submit](#)

[Delete](#)

# Manage Rulings

## Confirmation of successful submission

---

Your submission has been received.

Once the CBSA has received your request and all the necessary information, the standard processing time is within XXX calendar days. The CBSA aims to issue rulings within a shorter period.

If additional information is required, the CBSA will notify you in writing, and you will be given a period of XX calendar days to provide the required information.

You can also follow up on your application status under the [View my rulings](#) page on the CBSA Commercial Client Portal.

# Manage Rulings

## Request a new ruling

OR

## Select a ruling and take an action:

- Review
- Dispute
- Withdraw

## My rulings

View a list of your submitted rulings, review their details, and request new rulings.

Start date

End date

Filter items  Showing 1 to 10 of 57 entries | Show  entries

	Date submitted <input type="button" value="↑↓"/>	Ruling Number <input type="button" value="↑↓"/>	Type <input type="button" value="↑↓"/>	Status <input type="button" value="↑↓"/>	Decision
<input type="radio"/>	Not submitted	Not generated yet	Tariff Classification	Draft	No decision
<input checked="" type="radio"/>	2018-10-30	8000552440002	Tariff Classification	Sent for Regional Management Review	No decision
<input type="radio"/>	2018-08-11	8000728132303	Tariff Classification	Sent for Lab analysis	No decision
<input type="radio"/>	2018-05-10	8000775368312	Tariff Classification	Withdrawn by client	No decision
<input type="radio"/>	2018-03-06	8000347414007	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2018-01-22	8000725825004	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2017-11-14	8000775334312	Tariff Classification	Withdrawn by client	No decision
<input type="radio"/>	2017-10-05	8000775368003	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2017-07-07	8000775368008	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2017-04-25	8000775368010	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling XXXXXX (PDF, 273 KB)</a>

# Manage Rulings

## My rulings

View a list of your submitted rulings, review their details, and request new rulings.

Start date  End date

Refine the list

Request a new ruling

Filter items  Showing 1 to 10 of 57 entries | Show 10 entries

	Date submitted	Ruling Number	Type	Status	Decision
<input type="radio"/>	Not submitted	Not generated yet	Tariff Classification	Draft	No decision
<input checked="" type="radio"/>	2018-10-30	8000552440002	Tariff Classification	Sent for Regional Management Review	No decision
<input type="radio"/>	2018-08-11	8000728132303	Tariff Classification	Sent for Lab analysis	No decision
<input type="radio"/>	2018-05-10	8000775368312	Tariff Classification	Withdrawn by client	No decision
<input type="radio"/>	2018-03-06	8000347414007	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling_XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2018-01-22	8000725825004	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling_XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2017-11-14	8000775334312	Tariff Classification	Withdrawn by client	No decision
<input type="radio"/>	2017-10-05	8000775368003	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling_XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2017-07-07	8000775368008	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling_XXXXXX (PDF, 273 KB)</a>
<input type="radio"/>	2017-04-25	8000775368010	Tariff Classification	Decision Rendered	<a href="#">Decision on ruling_XXXXXX (PDF, 273 KB)</a>

1 2 3 4 5 6 Next

Review

Dispute

Withdraw

# Manage Ruling

## Withdraw Ruling

Withdraw ruling #8000123456010 submitted 2019-10-30

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\* Provide the reason(s) for withdrawing this ruling **(required)**

Withdraw

# Manage Rulings - *Withdraw*

## Confirmation of successful withdrawal

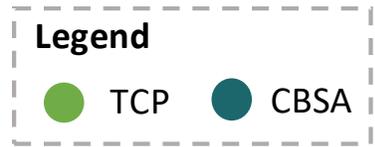
---

Your submission has been withdrawn.

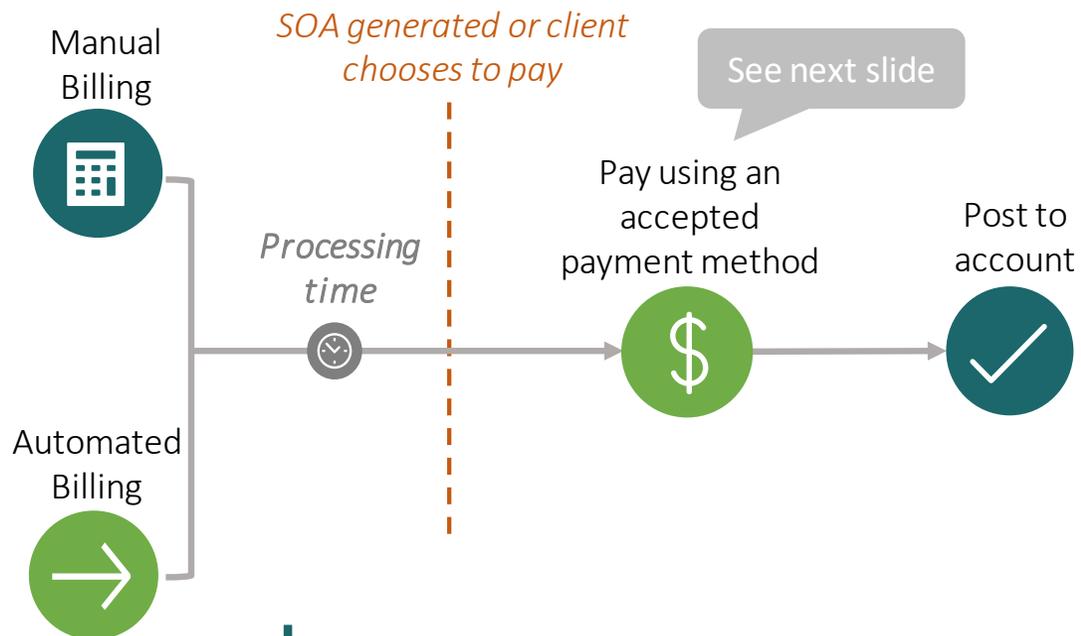
You can also follow up on your application status under the [View my rulings](#) page on the CBSA Commercial Client Portal.

# Manage Payment Processing

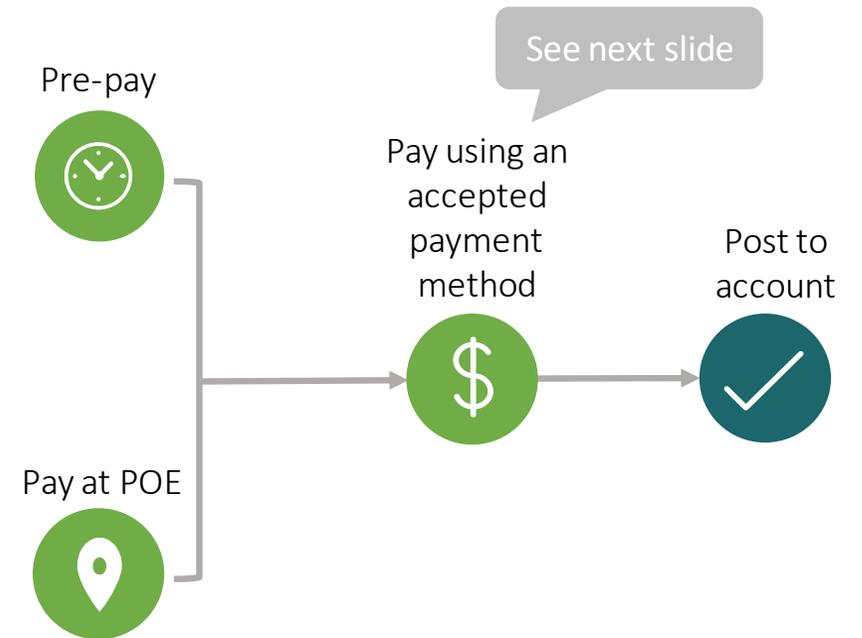
CARM will offer additional electronic payment options via the CARM Client Portal. Bank remittances and cheques will no longer be accepted by the CBSA after April 2021.



## Deferred Payment TCPs



## Non-deferred TCPs and Ad Hoc Payments



# Manage Payment Processing

CARM will offer additional electronic payment options via the CARM Client Portal. Bank remittances and cheques will no longer be CBSA accepted after April 2021.

Interim State Payment Methods		Non-Deferred Payment Clients	Deferred Payment Clients	Limits (Amount in \$)	CBSA Approved Bank Required?
 <b>At POE</b>	 <b>Cash</b>	☑	☑	50K	N/A
	 <b>Credit / Debit</b>	☑	☑	5K	N/A
	 <b>Bank draft / Certified cheque</b>	☑	☑	25M	N/A
 <b>Electronic Payments</b> (E.g. CCP, EDI)	 <b>Bank remittance*</b>	☑	☑	Unlimited	N/A
	 <b>EDI</b>	☑	☑	Unlimited	Yes
	 <b>Online banking</b> (I.e. paying a bill online)	☑	☑	Unlimited**	Yes
	 <b>Online via Portal</b> (Credit Card & Interac)	☑	☑	5K*** (CC)**** 100K (Interac)****	N/A
	 <b>Pre-Authorized Debit (PAD)</b> (Onetime vs. Ongoing)		☑	100M***	Yes

**Legend**

- ☑ - Accepted
- ☑ - To be phased out in ~2 years

\* Electronic, however, received through CRA  
 \*\* Unlimited with exceptions for ~2 banks  
 \*\*\* Limit is the amount minus \$0.01  
 \*\*\*\* Maximum limit based on Payments Canada guidelines

# Manage Payment Processing

[SERVICE POINT](#)
[CONTACT US](#)
[WELCOME JANE](#)
[MY ACCOUNT](#)
[SIGN OUT](#)

[ENROLLMENT](#)
[APPLICATION](#)
[INVOICE](#)
[PAD](#)
[ABOUT CBSA](#)
[NEWS](#)
[EVENTS](#)

[View My Statements](#)

## Canada Border Services Agency

I want to

**Frequently Used Services**

[Enroll In a Program >](#)
[Apply for a EDI >](#)
[Apply for a License >](#)

**THE CANADA BORDER SERVICES AGENCY**

On an average day...

- We process over 58,600 commercial releases.
- More than 14,400 trucks enter Canada.
- We collect more than \$88,200,000 in duty & taxes.
- We process 240,000 mail items.
- We process 127,400 courier shipments.

**Canada Border Services Agency**
 Agence des services frontaliers du Canada

<program account name> Account View

Legal Entity Information	
Business Number	BN9
Legal Name	Legal Name (BN9)
RM Account	RM Account
Program Type	Type of Program
Operating Trade Name	Alias Name

Current Credit Information	
Credit Limit	<Amount>
Credit Available	<Amount>

Account Details	
Current Billing Period	<Date Range>
Account Balance	<Balance>
Payable Balance	<Balance>
Payable Balance Due By	<Date>
Most Recent Payment Posted	<Amount>
Most Recent Payment Date	<Date>

**Query Wizard**

View Billing Period:

Filter By Date:

Additional Filtering:

[Make a payment](#)

### CBSA Online Payment

**Review Your Order**

Total Amount: CAD

**Pay With Your Credit Card**

Fields marked with an asterisk (\*) are required.

\* \$4,250.00

\* Credit Card Number

\* Expiry Date(MMY)

Email

[Pay With Your Credit Card](#)

**Pay From Your Bank Account**

Fields marked with an asterisk (\*) are required.

**Online** Pay directly from your bank account using the INTERAC Online service.

Email

A confirmation email will be sent to this address.

[Pay From Your Bank Account](#)

A confirmation email will be sent to this address.

[Pay With Your Credit Card](#)

Your private information is secured using SSL (Secure Sockets Layer), the leading security protocol on the Internet. Information is encrypted and exchanged with an https server.

# Manage Payment Processing – Pre- Authorized Debit (PAD) Registration

## Pre-Authorized Debit (PAD)

A pre-authorized debit agreement allows you to set up pre-authorized deductions from your account balance on a monthly basis.

The account balance will be withdrawn at the payment due date of the current Statement of Account (SOA). This service allows you to:

- Create a new PAD
- Edit an existing PAD
- Cancel an existing PAD

To request a reimbursement of an existing PAD, contact your financial institution directly.  
**Manage PADs**

Create a new PAD

Effective Date	Financial institution name	Financial institution number	Branch transit number	Deposit account number
No PAD on file				

Update PAD information

Cancel PAD

PAD agreement history

# Manage Payment Processing – PAD Registration

## Create a new Pre-Authorized debit (PAD)

Legal Entity Name: ABC Incorporation

BN15: 123456789RM0001

### Banking Information

\* Financial institution number (required, 3 digits):

\* Branch transit number (required, 5 digits):

\* Deposit account number (required, maximum 12 digits):

\* Effective date (required)

The effective date for a month must be set before the 18th of the month, else the PAD might apply to the next month's payment.

yyyymmdd

▶ Terms and conditions of authorization to the Canada Border Services Agency

▶ Important information about pre-authorized debit (PAD)

\* Authorization (required)

I hereby authorize The CBSA to automatically withdraw the funds from my bank account as per the agreement details listed above. I acknowledge that I have read and understood the Terms and Conditions and the Important information about pre-authorized debit.

Create a new PAD

Back

# Manage Payment Processing – PAD Update

## Pre-Authorized Debit (PAD)

A pre-authorized debit agreement allows you to set up pre-authorized deductions from your account balance on a monthly basis.

The account balance will be withdrawn at the payment due date of the current Statement of Account (SOA).

This service allows you to:

- Create a new PAD
- Edit an existing PAD
- Cancel an existing PAD

To request a reimbursement of an existing PAD, contact your financial institution directly.

### Manage PADs

To update or cancel the current PAD, click on Review PAD.

	Effective Date	Financial institution name	Financial institution number	Branch transit number	Deposit account number
Ⓢ	2019-01-20	Royal Bank of Canada	003	42254	xxxxxxxxxx2435

Update PAD information

Cancel PAD

Create a new PAD

PAD agreement history

# Manage Payment Processing – PAD Update

## Update an existing Pre-Authorized debit (PAD)

Legal Entity Name: ABC Incorporation

BN15: 123456789RM0001

### Banking Information

\* Financial institution number (required, 3 digits):

\* Branch transit number (required, 5 digits):

\* Deposit account number (required, maximum 12 digits):

\* Effective date (required)

The effective date for a month must be set before the 18th of the month, else the PAD might apply to the next month's payment.

▶ Terms and conditions of authorization to the Canada Border Services Agency

▶ Important information about pre-authorized debit (PAD)

\* Authorization (required)

- I hereby authorize The CBSA to automatically withdraw the funds from my bank account as per the agreement details listed above. I acknowledge that I have read and understood the Terms and Conditions and the Important information about pre-authorized debit.

Update PAD

Back

# Manage Payment Processing

## Facts about Pre-Authorized Debit (PAD) Payment

### Release 1:

- PAD will only withdraw the Statement of Account (SOA) amount due (B3s) at the payment due date
- PAD deductions will be limited to a maximum of \$99,999,999.99 CAD

### Release 2:

- PAD will withdraw the Statement of Account (SOA) amount and any correction(s) up until the SOA payment due date.
- PAD deductions will be limited to a maximum of \$99,999,999.99 CAD

# Scenario Walkthrough

Temporary Importation (Excluding Carnet)

# Release 2 Manage Temporary Importation

The following scenario outlines the process an Importer will follow when temporarily importing goods into Canada using the Temporary Importation Form (excluding Carnet process)

## 1. Initiate and Submit Temporary Importation Request

Importer navigates to the Temporary Importation Form

- Importer submits the Temporary Importation Form
- The CARM system generates the Temporary Importation Permit number, calculates potential duties and taxes, and based on that value, calculates maximum deposit amounts

## 3. Manage Temporary Importation Request

Importer can request an extension to the expected exportation date via the portal

- The CARM system monitors expiry of the return date, and notifies you when close to expiry.

## 2. Confirm Expected Exportation Date and Deposit

- If required, the deposit amount will be applied to importer/broker account as a refundable deposit

## 4. Export Goods

CBSA updates the Form via the portal to reflect the exportation of goods

- CBSA validates exportation of goods
- The CARM system will automatically reverse and refund initial deposit
- Importer has the ability to create a declaration from the Temporary Importation Form to account for goods not exported

# Manage Temporary Importation – Entry and Submit

## My temporary importations

This is your list of temporary importations. You can create, update or withdraw a request.

### Submitting a new request

Click on the *Request a new temporary importation permit* button to fill the request form.

### Review a temporary importation

Select a temporary importation from the table below and click on *View details* to review its information or to extend the expected return date for the permit.

### Create a declaration

If a declaration is required to submit the duties and taxes owed at the time of entry, an option to create one with reference to a permit number is available from the *Create a declaration* webpage using this [link](#).

### Submission date filter

Start date

End date

Filter items  Showing 1 to 10 of 57 entries | Show  entries

Selection	Date submitted <input type="text"/>	Permit number <input type="text"/>	Arrival date <input type="text"/>	Expiry date <input type="text"/>	Country of origin	Use in Canada
<input type="radio"/>	2018-10-30	456789123456	2019-01-19	2019-01-21	US - United States	Filming - 2 day event
<input type="radio"/>	2018-08-11	456789123333	2018-09-11	2018-09-18	US - United States	Filming - 1 week event
<input type="radio"/>	2018-05-10	456789123267	2018-06-11	2018-07-11	US - United States	Filming - 1 month event
<input type="radio"/>	2018-03-06	456789123135	2018-04-11	2018-05-11	US - United States	Filming - 1 month event
<input type="radio"/>	2018-01-22	456789123006	2018-02-11	2018-05-11	US - United States	Filming - 3 months event
<input type="radio"/>	2017-11-14	456789122199	2017-12-11	2017-12-13	US - United States	Filming - 2 day event
<input type="radio"/>	2017-10-05	456789121654	2017-11-11	2017-12-11	US - United States	Filming - 1 month event
<input type="radio"/>	2017-07-07	456789122000	2017-08-11	2017-09-11	US - United States	Filming - 1 month event
<input type="radio"/>	2017-04-25	456789121023	2017-04-11	2017-05-11	US - United States	Filming - 1 month event

# Manage Temporary Importation – Entry and Submit

## Request a new temporary importation permit

Permit Number

456789123456

### ID Section

Importer Name	ABC Importer
Business Number (BN9)	123456789
Street Address	1-2345 Canada Street
Province	ON
Postal Code	K1K 1K1
Agent	
Authority Code	<input type="text" value="42-089Z1663"/>
Broker's reference	
Tariff Code	9993

### Use Section

Arrival Date	<input type="text" value="2019-01-19"/>
Export Date	<input type="text"/>
Expiry Date	<input type="text" value="2019-01-21"/>
Extension Date	<input type="text"/>
FX Rate	0.75
Destination in Canada	<input type="text" value="QC - Quebec"/>
Country of Origin	<input type="text" value="US - United States"/>
Currency	<input type="text" value="USD - US Dollar"/>
Tariff Treatment	<input type="text" value="2 - Most-Favoured-Nation Tariff (MFN)"/>
Port of Entry	<input type="text" value="456 - Landsdowne"/>
Attached documents	<input type="text" value="No document attached"/> <input type="button" value="Browse..."/>
Use in Canada	<input type="text" value="Filming - 2 day event"/>

# Manage Temporary Importation – Entry and Submit

Detail Item Section													
Line	Qty	Wgt./UM	Description	Classif. Number	Value for Duty	VCC	Rate of Duty (%)	Custom Duties	Excise tax	Value for tax	GST	Spec. Auth. GST Relief (%)	Vendor Name
1	5	100kg	Video Equipment	9033.00.00	16,730.47	22,368.14	0	0	0	22,368.14	1,118.41	100	Vendor C
2	6	110kg	Video Equipment	9033.00.00	24,683.52	33,001.13	0	0	0	33,001.13	1,650.06	100	Vendor B
3	1	35kg	Video Equipment	9033.00.00	642.80	859.40	0	0	0	859.40	42.97	100	Vendor A
4													
5													
6													
7													
8													
9													
10													
							<b>Total (\$)</b>	<b>0.00</b>	<b>0.00</b>	<b>56,228.67</b>	<b>2,811.43</b>		

### Signature

I declare the information to be true and complete.

Importer

Agent

### Deposit

Deposit	Estimated Deposit	Bond Remaining
2,000.00	2,811.43	45,844.11

# Manage Temporary Importation – Export

## Details of your temporary importation permit

**Permit Number**  
456789123456

ID Section	
Importer Name	ABC Importer
Business Number (BN9)	123456789
Street Address	1-2345 Canada Street
Province	ON
Postal Code	K1K 1K1
Agent	
Authority Code	42-089Z1663
Broker's reference	
Tariff Code	9993

Use Section	
Arrival Date	2019-01-19
Export Date	2019-01-21
Expiry Date	2019-01-21
Extension Date	<input type="text"/>
FX Rate	0.75
Destination in Canada	QC - Quebec ▼
Country of Origin	US - United States ▼
Currency	USD - US Dollar ▼
Tariff Treatment	2 - Most-Favoured-Nation Tariff (MFN) ▼
Port of Entry	🔍 Landsdowne ▼
Document Attached	<input type="checkbox"/> No document attached
Use in Canada	Filming - 2 day event

# Manage Temporary Importation – Export

Help tools

Detail Item Section															
Line	Qty	Wgt. / UM	Description	Classif. Number	Value for Duty	VCC	Rate of Duty (%)	Custom Duties	Excise tax	Value for tax	GST	Spec. Auth. GST Relief (%)	Items Received	Value Received	Vendor Name
1	5	100kg	Video Equipment	9033.00.00	16,730.47	22,368.14	0	0	0	22,368.14	1,118.41	100	<input checked="" type="checkbox"/>		VendorC
2	6	110kg	Video Equipment	9033.00.00	24,683.52	33,001.13	0	0	0	33,001.13	1,650.06	100	<input checked="" type="checkbox"/>		VendorB
3	1	35kg	Video Equipment	9033.00.00	642.80	859.40	0	0	0	859.40	42.97	100	<input checked="" type="checkbox"/>		VendorA
4															
5															
6															
7															
8															
9															
10															
							Total (\$)	0.00	0.00	56,228.67	2,811.43				

**Items Received:** Indicator is checked if goods exported were in full

**Value Received:** If not all the goods imported are exported, this field will record the partial amounts exported

**Signature**

I declare the information to be true and complete.

Importer

Agent

**Refunded deposit**

Deposit	Bond Remaining
2,000.00	47,844.11

[← Back to list](#)

[Update](#)

# Additional questions?





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# TCP CADEX Query Transition to API

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# Background

- Currently within the CADEX B3 (ECCRD Chapter 14) there are multiple non-transactional query functions related to Tariff and Entry/Release Data
- These query requests and responses are embedded in the CADEX Accounting Entry Processing transmission today
- With the introduction of the Commercial Accounting Declaration, non-transactional data transmissions will transition to modern synchronous API Services (real-time response)
- The following is an overview of the features today and their target state in the transition to CARM

# EDI Query Transition - Release 1 & Release 2 Transition

Current State



## Today: TCP Submit Query/Request via EDI (CADEX/EDIFACT):

### Tariff Data:

- Classification/Tariff Code (Record Types: QA, RA, GA, GB)
- GST Request (Record Types: FC, GC)
- Excise Tax Request (Record Types: FD, GD)

### Exchange Rate Data:

- Exchange Rate (Record Types: QE, RE)

### Entry/Release Data:

- Entry/Release Query (Record Types: QJ, RJ)
- Notification of Release Query (Record Type: QO)



## Today: CBSA Sends Automated Notification/Updates via EDI (CADEX/EDIFACT):

- Classification/Tariff Code (AA)
- GST (AC)
- Excise Tax (AD)
- Overdue Status Notification (AR)

Future State



## Future: TCP Initiates API Query

### Tariff Data: (Target: CARM Release 1)

- TCP can query Tariff data with Public API Service (Replaces Record Types: QA, RA, GA, GB, FC, GC, FD, GD, AA, AC, AD)

### Exchange Rate Data: (Target: CARM Release 2)

- TCP can query Exchange rate with Public API Service (Replaces Record Type: QE, RE)

### Entry/Release Data: (CARM Release 2)

- TCP can query Entry/Release related info with authenticated API Service (Replaces Record Types: QJ, RJ, QO, AR)



## Future: Automated Updates transition to TCP-initiated API Queries

- CBSA will no longer send Automated Notifications/Updates for these records.
- API Query Services will support periodic queries based on key date, to identify any new changes since last execution
- **Note:** TCP will continue to receive Automated Transmissions for the following legacy CADEX Services already decommissioned:
  - Notification of Release (via RNS - ECCRD Chapter 21)
  - SOA/DN (via ARL - ECCRD Chapter 24)

# EDI Query Transition – TCP Impacts Overview

- **Current Architecture (ECCRD Chapter 14):**
  - CADEX Query/Request transmissions are currently included in the CADEX Accounting Entry Processing transmission
  - Automatic Notification of Release and Notification of Overdue Release are sent to TCP systems via EDI
- **Future Architecture:**
  - Move request/query (that is currently embedded within the ‘Accounting’ Entry Processing transmission) out of EDI and into modern point to point API
  - Replace the Entry/Release Query and Notification of Release Query AND Automatic Notifications for Tariff Data and Overdue Release via CADEX/EDIFACT with an authenticated API Service, initiated by you based on key date
- **Benefits:**
  - Use of modern APIs instead of EDI to query Tariff and Accounting Entry/Release updates
  - Synchronous responses (real-time)
  - Can submit multiple queries within a single API transmission request
- **When:**
  - Tariff Query to be available as a Public API Service to support early transition – target: Release 1 Go-Live
  - All other API Services will be available at Release 2 Go-Live
  - All CADEX Transmissions will be decommissioned at Release 2 Go-Live

# EDI Query Transition – TCP Impacts Overview (cont.)

- **Impacts:**
  - Need to plan transformation of your systems to be able to query via API Services with the implementation of CAD
- **Some Services Status Quo:**
  - \*Notification of Release is currently sent via EDI (EDIFACT/ANSI) – ECCRD Chapter 21 via RNS
  - \*Account Statement (SOA/DN) is currently be sent via EDI (XML) – ECCRD Chapter 24 via ARL
  - Note: \* It is possible that existing EDI Service Offerings may be impacted by the implementation of CARM

# Additional questions?





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# Release to Commercial Accounting Declaration (CAD) Crosswalk

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# Considerations

- No change to the current release maps including format (EDIFACT). CAD will be developed in XML only.
- Final structure of the CAD data set is still under development.
- Data elements conditions presented are still being defined.
- Some required data elements identified may ultimately be removed from the CAD data set as we pursue discussions with OGD stakeholders and ensure alignment with CBSA Trade Compliance regime.
- The following tables identify data elements required for accounting declaration purposes – data elements pertaining to corrections/adjustments have been excluded.

# Considerations (cont.)

- The following data set can be used for the following entry types:
  - AB - Confirming Form B3 (final accounting) for goods released on minimum documentation.
  - D/AD - Confirming sight Form B3 (interim accounting) for goods released on minimum documentation but where imperfect documentation has been provided - *supported via portal only (under review)*
  - C - Form B3 (final accounting) for - supported via portal only
    - (a) release of goods prior to the payment of duties and taxes (account); or
    - (b) release of goods after the payment of duties and taxes (cash).
  - F - Form B3 consolidated entry for goods released under the Courier/LVS Program. (See Appendix J for B3 form completion instructions.)
  - H - Supplementary Form B3—to voluntarily declare goods reported, but not accounted for at the time of final accounting (for example, invoiced line inadvertently omitted on Form B3) or for overages – No longer required with corrections
  - M - Confirming Form B3 (final accounting) for release of postal goods
  - V - Voluntary Form B3—used by an importer/owner to voluntarily declare goods that were delivered without official customs release
  - Warehouse Types 10, 13, 20, 21, 22 and 30
  - P and S – Automotive (under review)
- Certain conditions for data elements for CLVS, CSA and CTC to be discussed in respective sub working groups

# Header

Data Element Name	Release (AQ)	IID	CAD	B3 (AB)	StatsCan	Notes
Transaction Number	M	M	M	M		*ASEC for transaction number only - not for financial security
Importer Business Number (BN 15)	M	M	M	M		
Importer Name and Address	M	M	D	N/A		
Type Code	N/A	N/A	M	M		CAD accommodates all existing entry types (except H - removed)
Submitter Business Number (New)	N/A	N/A	C	N/A		to identify party that submitted the declaration, e.g. broker (in lieu of ASECC)
Release Date	N/A	N/A	D	C		
Cargo Control Number(s)	M	M	C	C		
Carrier Code (at Importation)	M	M	C	C	✓	
Mode of Transport	N/A	N/A	C	C	✓	
Port of Unlading	N/A	N/A	C	C	✓	
Release Office	M	M	D	M		
Warehouse Location Code (Bonded)	N/A	N/A	C	C		Bonded warehouse entry types

Legend:

M - Mandatory C - Conditional O - Optional N/A - Not applicable D - Derived from other source  - Calculated

# Invoice (Sub-header)

Data Element Name	Release (AQ)	IID	CAD	B3 (AB)	StatsCan	Notes
<i>Invoice Reference Number:</i>						
• Invoice Number(s)	M	M	C	N/A		
• Purchase Order Number(s)	N/A	N/A	C	N/A		at TCP request
Country of Export (Place of Export)	M	M	M	M		
State of Export (Place of Export)	C	C	C	C		expansion of Uxx code (if US)
U.S. Foreign Trade Zone Code (Place of Export)	N/A	N/A	C	C		expansion of codes accepted in Place of Export D17-1-10 List 5
Country of Origin	C	C	C	M		
State of Origin	C	C	C	C		expansion of Uxx Codes
Currency Code	C	C	C	M		
U.S. Port of Exit Code				C	✓	D17-1-10 List 6
Date of Direct Shipment	M	M	M	M		
Time Limit - Duration/Unit	N/A	N/A	C	C		in-warehouse, sight, 1/60, 1/120
Freight Charges	C	C	C	C	✓	
<i>Named Parties:</i>						Register entity on portal then supply ID to have name and address details populated
Vendor	M	M	M	M		StatsCan - Vendor State and Zip
• Name					✓	
• Country subdivision identifier (Province, State)					✓	
• Postal identification code					✓	

Legend:

M - Mandatory C - Conditional O - Optional N/A - Not applicable D - Derived from other source  - Calculated

# Invoice Line (Classification Line)

Data Element Name	Release (AQ)	IID	CAD	B3 (AB)	StatsCan	Notes
Line Number	M	M	M	M		
Classification Number	M	M	M	M		
Commodity Identifier	N/A	C	O	N/A		SKU, GTIN, UNGD, UPC
Country of Origin	C	C	C	M		
State of Origin	C	C	C	C		expansion of Uxx Codes
Tariff Treatment Code	N/A	N/A	M	M		
Currency Code			M	M		
Value for Duty Code:				M		
• Used goods indicator (New)	N/A	N/A	C	N/A		
• Vendor/purchaser relationship (New)	N/A	N/A	M	N/A		
• Valuation method used (New)	N/A	N/A	M	N/A		
Value for Currency Conversion	M	M	M	M		Invoice total amount on Release
Value for Duty	N/A	N/A	☒	M		
Value for Tax	N/A	N/A	☒	M		
GST Status Code	N/A	N/A	C	C		D17-1-10 List 4
GST Rate	N/A	N/A	D	C		derived from tariff
GST Amount	N/A	N/A	☒	C		
Classification Line Quantity	M	M	C	C		if required by tariff
Unit of Measure Code	M	M	C	C		if required by tariff
Alcohol % (New)	N/A	N/A	C	N/A		for calculation puposes (LPA)
Customs Duty Amount	N/A	N/A	☒	C		
Customs Duty Rate	N/A	N/A	D	C		

Legend:

M - Mandatory C - Conditional O - Optional N/A - Not applicable D - Derived from other source ☒ - Calculated

# Invoice Line (Classification Line) continued

Data Element Name	Release (AQ)	IID	CAD	B3 (AB)	StatsCan	Notes
<i>Excise:</i>						
Excise Duty Amount (New)	N/A	N/A		N/A		
Excise Duty Rate (New)	N/A	N/A	D	N/A		
Excise Tax Amount (New)	N/A	N/A	C	C		
Excise Tax Code (New)	N/A	N/A	C	C		
Excise Tax Rate (New)	N/A	N/A	C	C		
<i>SIMA:</i>						
				C		Fields TBD
Anti dumping Amount (New)	N/A	N/A	C	N/A		
Anti dumping Rate (New)	N/A	N/A	C	N/A		
Countervailing Amount (New)	N/A	N/A	C	N/A		
Countervailing Rate (New)	N/A	N/A	C	N/A		
Surtax Amount (New)	N/A	N/A	C	N/A		
Surtax Rate (New)	N/A	N/A	C	N/A		
These codes will replace the dummy HS Codes used in current B3						
<i>Non-Commercial Goods:</i>						
Destination Province (New)	N/A	N/A	C	N/A		
Provincial Alcohol Tax Amount (New)	N/A	N/A		N/A		
Provincial Alcohol Tax Rate (New)	N/A	N/A	D	N/A		
PST/HST Amount (New)	N/A	N/A		N/A		
PST/HST Rate (New)	N/A	N/A	D	N/A		
<i>Remission:</i>						
Tariff Code	N/A	N/A	C	C		
Special Authority OIC (New)	N/A	N/A	C	N/A		These breakout the existing Special Authority Number
Special Authority Duty Deferral (New)	N/A	N/A	C	N/A		
Special Authority GST Relief (New)	N/A	N/A	C	N/A		
Weight in Kilograms	M	M	C	C	✓	
Ruling Number	N/A	N/A	O	O		
Case Number (New)	N/A	N/A	O	O		formerly in Special Authority Number field
Permit Number (New)	O	O	O	O		formerly in Special Authority Number field

# Calculated Totals

Data Element Name	Release (AQ)	IID	CAD	B3 (AB)	StatsCan	Notes
<i>Calculated Totals:</i>						
Total Antidumping (New)	N/A	N/A				
Total Countervailing (New)	N/A	N/A				
Total Customs Duty	N/A	N/A		M		
Total Duties and Taxes	N/A	N/A		M		
Total Excise Duty (New)	N/A	N/A		N/A		
Total Excise Tax	N/A	N/A		M		
Total GST	N/A	N/A		M		
Total Surtax (New)	N/A	N/A		N/A		
Total PST/HST (New)	N/A	N/A		N/A		

Legend:

M - Mandatory C - Conditional O - Optional N/A - Not applicable D - Derived from other source  - Calculated

# Rationale for Requiring CAD Data Elements

- Multiple release service options
  - Different data elements
  - Significantly different message structures (PARS/RMD vs. IID)
- Requirement to build a different CAD to match the release option used (PARS/RMD, OGD PARS/RMD, IID) – would require multiple ECCRDs
- Complexity of aligning data elements to the appropriate reporting structure used on the release in order to calculate the right Duties & Taxes
- Investment in the back end coding more complex

# Recapping vs Line Level

- As per current Release ECCRDs, commodity re-capping can be supplied for commodities that have the same 10 digit HS code, vendor and country of origin.
  - If TCPs decide to recap on the release, the same level of re-capping must be provided on the CAD
  - If TCPs decide to submit the release at the line level, the same level of line details must be provided on the CAD

# Additional questions?





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# CARM Engagement and Communication Discussion

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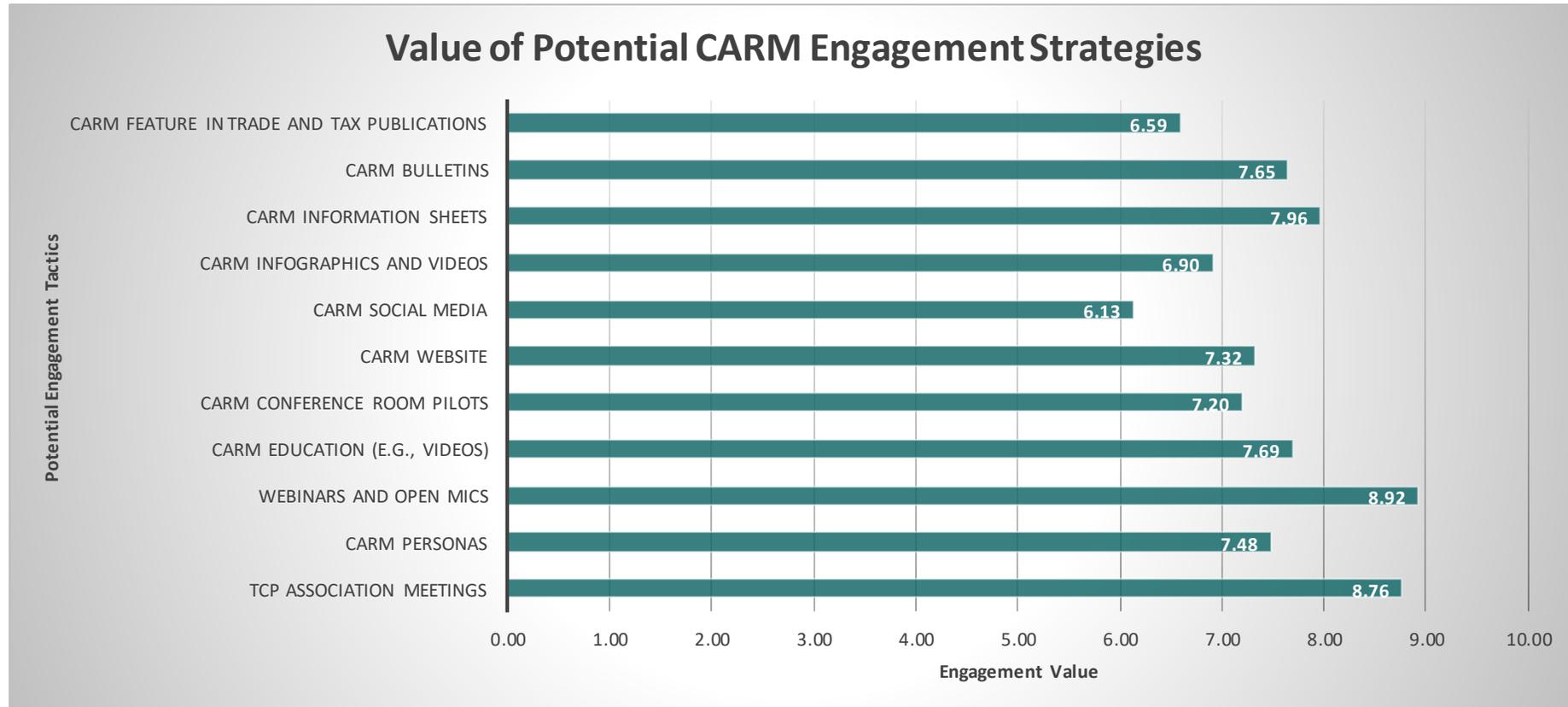
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# CARM Engagement and Communication Strategy - Purpose

- CARM aims to effectively engage with the broader TCP ecosystem, using a variety of different methods to reach the different audiences
- We want to better understand the most effective means to communicate key project messaging to the trade chain community and are seeking your guidance as to how to best reach the broader TCP ecosystem.
- The following slides detail the key communication products and engagement activities that CARM will implement, along with key actions that we will ask from the group.

# Results from TCP Persona Workshop Surveys

The following chart illustrates the value TCP Persona workshop participants associated to potential CARM engagement strategies.



# Web and Video Products

- **CARM Website:** Updates to CBSA CARM website content that features CARM messaging and updates, as well as key CARM materials and resources.
- **CARM Social Media:** CARM will leverage existing CBSA social media platforms to provide key CARM messaging and updates. Links: [CBSA Twitter](#), [CBSA Facebook](#).
- **CARM Videos:** Videos will be created to generate awareness and excitement for the project, as well provide tactical guidance in advance of CARM releases.



- ✓ Post the CARM 'web button' on your organization's website.
- ✓ Follow CBSA's social media accounts and request members of your organization/ association to also follow these accounts.
- ✓ Link to and share CARM web and video products, where possible.

# CARM Communication Products

- **CARM Infographics:** CARM will develop infographics that will build excitement and awareness of the project, as well as illustrate key solution details, when available.
- **CARM Newsletter:** CARM to disseminate key messages and updates through a short newsletter.



- **CARM Contact Card:** A small paper card that will be distributed through the TCP WG, various engagement events, as well as at the ports of entry, featuring high-level CARM messaging and a QR code to bring readers to the CBSA website.



✓ Distribute CARM communication products amongst your organizations/associations.



- **CARM Feature in Trade Publications:** CARM is researching the possibility of being featured in trade publications.
- How should CARM engage with trade publications to feature content?
  - Which publications should CARM target?

# Other Planned Engagement Activities

- **Webinars and Open Mics:** CARM will conduct webinars to provide tactical information surrounding an upcoming CARM release. Open Mics will follow, allowing for TCPs to ask questions to key CARM subject matter experts.
- **Association Meetings:** CARM will present key project messaging and updates to trade chain association meetings.



- Are there other specific engagements you are aware of that we should consider attending?
- What would be your top recommendation to engage with small and medium-sized enterprise (SME) importers?

# Personas

- Purpose: Personas are one-pagers that represent stakeholder groupings, illustrating the key changes and benefits that specific stakeholder groupings may experience from CARM. These personas highlight the key aspects of CARM in a consolidated and personalized fashion.
- Timing of Distribution to TCP WG members: July/August 2019

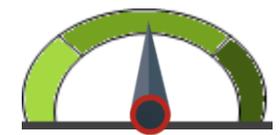
➤ For persona workshop participants, we are asking for your feedback on these personas by **June 20<sup>th</sup>**.



- ✓ Circulate the personas amongst your organizations and associations.
- ✓ Post the personas on your association websites (if applicable).
- ✓ Send the personas through your association mailing lists (if applicable).
- ✓ Present these personas to members of your community, and gather their feedback.

# Trade Service Advisors

This document illustrates how CARM will impact the commercial importation process for **Trade Service Advisors**.



## Transformation Level

### Marty Bull



### Description

Trade service advisors transact business with the CBSA on behalf of their clients. They provide a variety of consulting services to their clients that allow them to manage their imports and exports with optimal efficiency. They educate their clients, helping them interpret regulatory requirements in business terms. Their key areas of focus include managing post-entry adjustments for the valuation, classification, and origin of goods. Furthermore, they help clients manage their compliance activities with the CBSA, including rulings, appeals, and trade verifications. Trade service advisors include trade consultants, trade lawyers, and accountants. Finally, they serve as subject matter experts on trade committees.

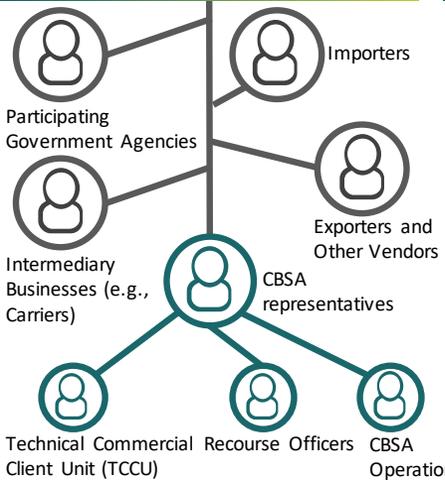
### Quote

“CARM will enable trade service advisors to provide an improved client experience by simplifying the post-entry adjustments, increasing visibility and facilitating key facets of the commercial importation process.”

### Key Changes

- > New capability to manage appeals, rulings, AMPS, and trade verifications through the CCP
- > New Financial security model set at the Importer-level
- > Availability to submit post-entry declaration adjustments and mass-adjustments, using the CCP or EDI
- > New harmonized billing and payment due date
- > Introduction of electronic delegation of authority for the CCP
- > Requirement to electronically enroll in CBSA program (i.e. must acquire a BN15)

### Relationships



### Workspace

#### Current Tools / Systems Used

- CBSA and PGA Websites
- US CROSS rulings
- B2/B3 Forms
- D Memos
- Customs Notices
- SOAs and Daily Notices
- FIRM reports
- Canada Gazette
- Trade publications

#### CARM Client Portal (CCP) Functionality

**Portal Functionality**

- Digital declarations w/ post-entry and mass-adjustments
- Manage Rulings/Appeals/AMPS/Verifications
- Registration and Program Enrolment
- Statement of Account and electronic payment
- Mass-adjustments

**Manage Client Account View\***

- Client #1
- Client #2
- Client #3
- Client #4

**New EDI Capabilities**

- Consolidated view of client accounts that a trade service advisor has delegated authority to manage

Hi, my name is Donna and I am a ChatBot.

*\*Illustrative purposes only*

#### Key CARM Activities

- CARM Webinars
- Trade Association meetings
- Conference Room Pilots
- TCP 'Open Mic' sessions
- CARM Communication (Website, Infographic, Social Media)

### Key Benefits

- ★ Ability to gather and manage client account data in real-time, including all transactional data that the client has delegated access to, through the CCP.
- ★ Versioning and document management capabilities enabling more efficient management of post-entry declaration adjustments, through the CCP or EDI.
- ★ Availability of a ChatBot to answer importing questions, through the CCP.
- ★ Increased data integrity through an online tariff calculation tool and searchable rulings database, using the CCP.
- ★ Ability to submit and track requests made to the CBSA with increased visibility, including appeals, adjustments, trade verifications, and AMPS, through the CCP.
- ★ Added flexibility and speed when interacting with clients, due to the availability of the CCP accessible to both parties.
- ★ Ability to register and enroll into CBSA programs, through the CCP.

### Day in a Life

**8:30AM** – To start his day, Marty is working with a new importer to gain authority to act on their behalf, spending a great deal of time sending the required documentation to the CBSA.

**11:30AM** – The legislative deadline for adjusting a large series of client transactions is approaching. Marty populates a paper 'blanket B2' form and submits it to the CBSA for approval. This may take a significant amount of time to be approved by the CBSA, so Marty is worried his client will lose the opportunity to collect refunds for some of their older transactions.

**2:00PM** – Marty's client wants to appeal an AMPS penalty from the CBSA. He contacts the client to acquire the hardcopy record of their AMPS notice. Upon receiving and reviewing the notice, Marty submits the request via a paper process. The CBSA provides a limited amount of visibility into the status of his appeal, so it's challenging for Marty to provide useful status updates to his client.

**5:30PM** – Marty consults the CBSA website to acquire ruling information to submit a ruling request for his client. He waits to receive paper correspondence from the CBSA, who may ask for further documentation before the process continues. Similar to appeals, the CBSA provides a limited amount of visibility into the status of his rulings, so he is challenged to provide status updates to his client.

After Marty registers his trade service advisor business with the CBSA, he works with his client to acquire delegated access to operate the client's account on their behalf, leveraging the CCP.

Marty makes a mass-adjustment using an intuitive electronic declaration process using the CCP, or EDI. Marty is not constrained by time because he receives instant acknowledgement that his request was received, thereby protecting Marty's time limits related to these transactions.

Through the CCP, Marty has access to his client's AMPS notices and other key import documentation. Marty reviews the notice and easily submits his AMPS appeal request electronically, with clear visibility of the status. Marty receives correspondence from the CBSA in the portal, and attaches supporting documentation to his appeal request.

Using the CCP, Marty accesses a searchable rulings database to acquire the info he needs, and easily submits ruling requests electronically, with visibility into the status. Furthermore, Marty uses the portal to receive correspondence from the CBSA, and electronically attaches supporting documentation to his requests, as required.

# Questions?





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# Roundtable and Conclusion

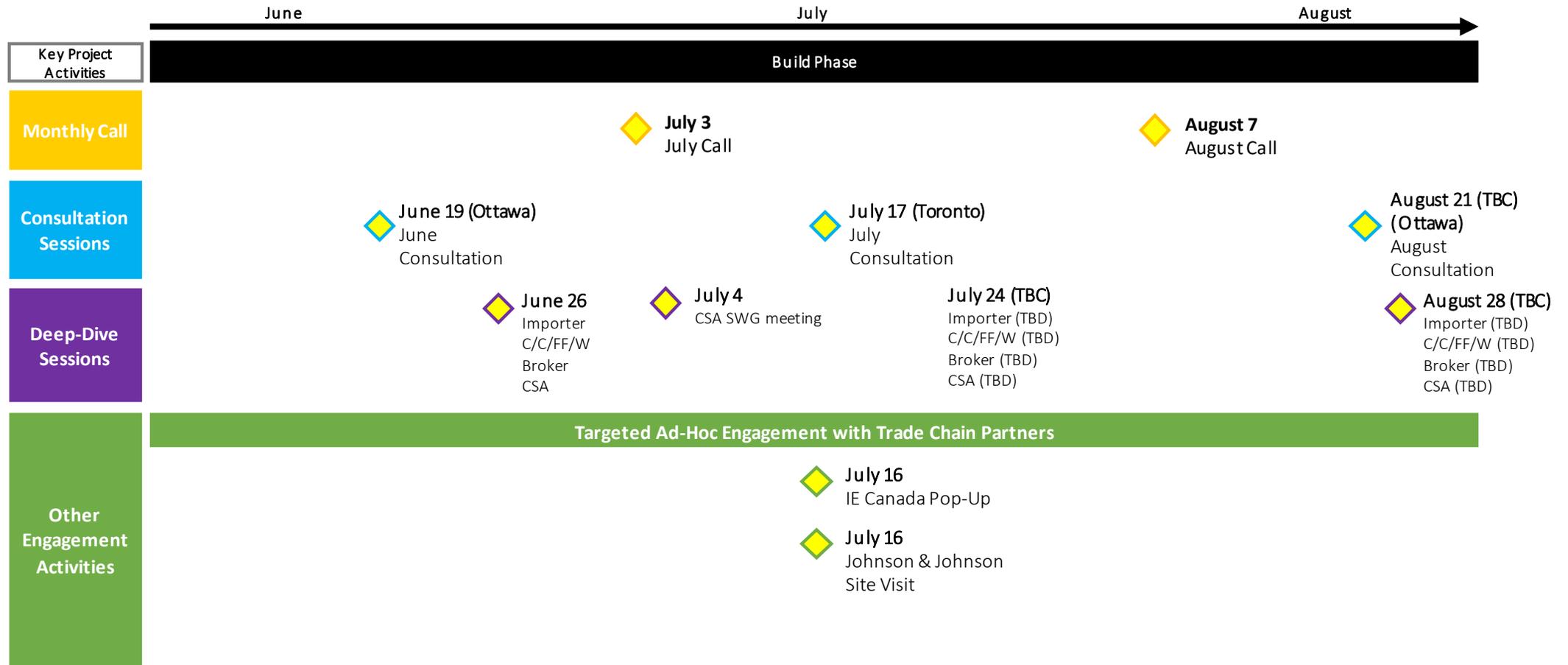
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# 3-Month Outlook of TCP WG Activities

The following outlines the high-level schedule for the upcoming 3 months of TCP WG activities, including other relevant CARM TCP engagement activities.



# Thank You!