

Teleconference Technical Guidelines

- Call in to the meeting by dialing the number provided in the invitation.
- Please mute your phone by pressing *6
- To unmute your phone press *7 and join the discussion, it is helpful for participants if you can identify yourself before speaking
- Once you are finished speaking, please re-mute your phone by pressing *6
- To answer an incoming call, please hang up the line and call back into the meeting. Putting the call on hold may cause the group to hear background music or beeping.



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Trade Chain Partner Working Group (TCP WG) Consultation Session

Draft for Discussion

May 22, 2019

Canada

CARM | CBSA Assessment and
Revenue Management

DRAFT

Agenda

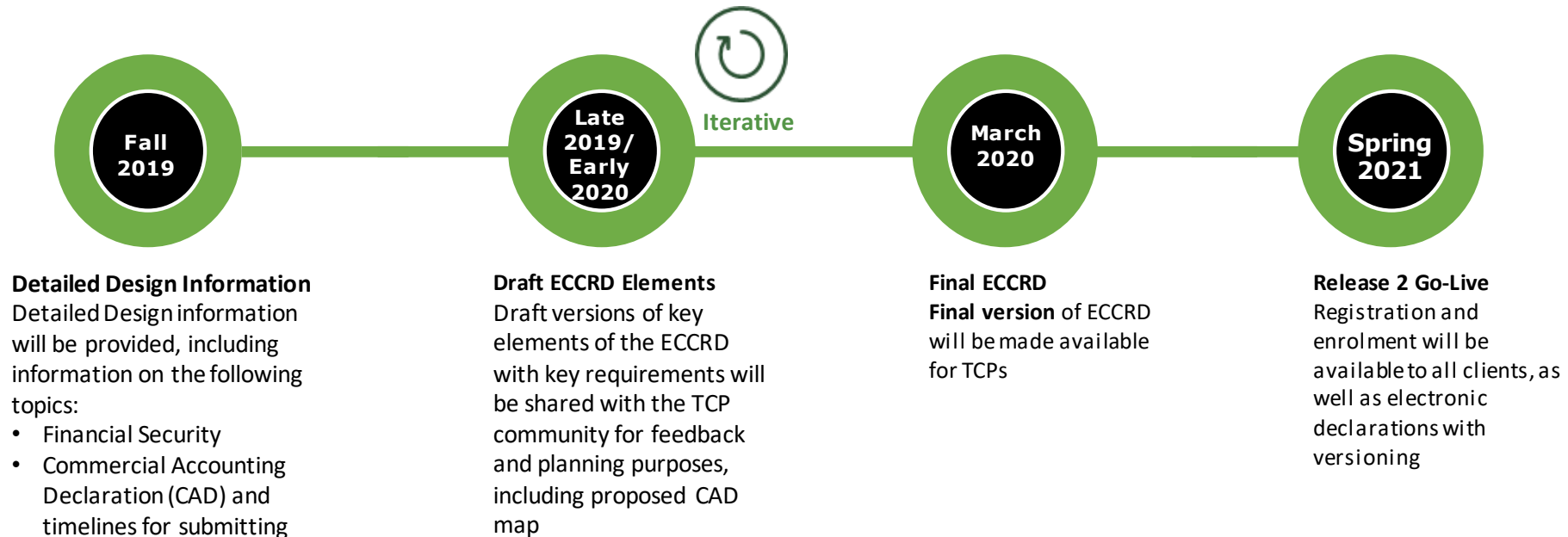
Topic	Speaker	Timing
Technical Rules of Engagement	Kevin Horseman	10:00AM – 12:30PM
Opening Remarks	Lisa Anawati	
Recap of the April Consultation Session	Lisa Anawati	
Business Scenario Walkthroughs	Jonathan Hopkins / Carola Daffner	
Lunch		12:30PM – 1:00PM
eCommerce / CLVS Scope Update	Mike Leahy / Richard Lavoie	1:00PM – 1:45PM
Billing Cycle Update – CTC	Jonathan Hopkins / Richard Lavoie	1:45PM – 2:00PM
Health Break		2:00PM – 2:15PM
Proposed Disbursement Approach	Marc Seguin / Carola Daffner	2:15PM – 3:30PM
Mobile B3 Demonstration	Morgan Nordstrom	3:30PM – 4:00PM
Roundtable and Conclusion	Lisa Anawati	4:00PM – 4:30PM

Recap of the April Consultation Session

- Accounting 2021
- Customs Self-Assessment (CSA) update
 - Since the April TCP WG, we have begun standing up a CARM CSA sub-working group.
 - Additionally, CARM participated in the Trusted Trader Working Group session on May 13th.
- ChatBot Demonstration
- TCPs asked for more information on when to expect key solution decisions and draft ECCRDs, in order to facilitate internal planning.
- Questions and Answers

Providing information to TCPs to help their planning

CARM will provide key information to the TCP community as soon as possible to help in planning activities:





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Business Scenario Walkthroughs

Canada

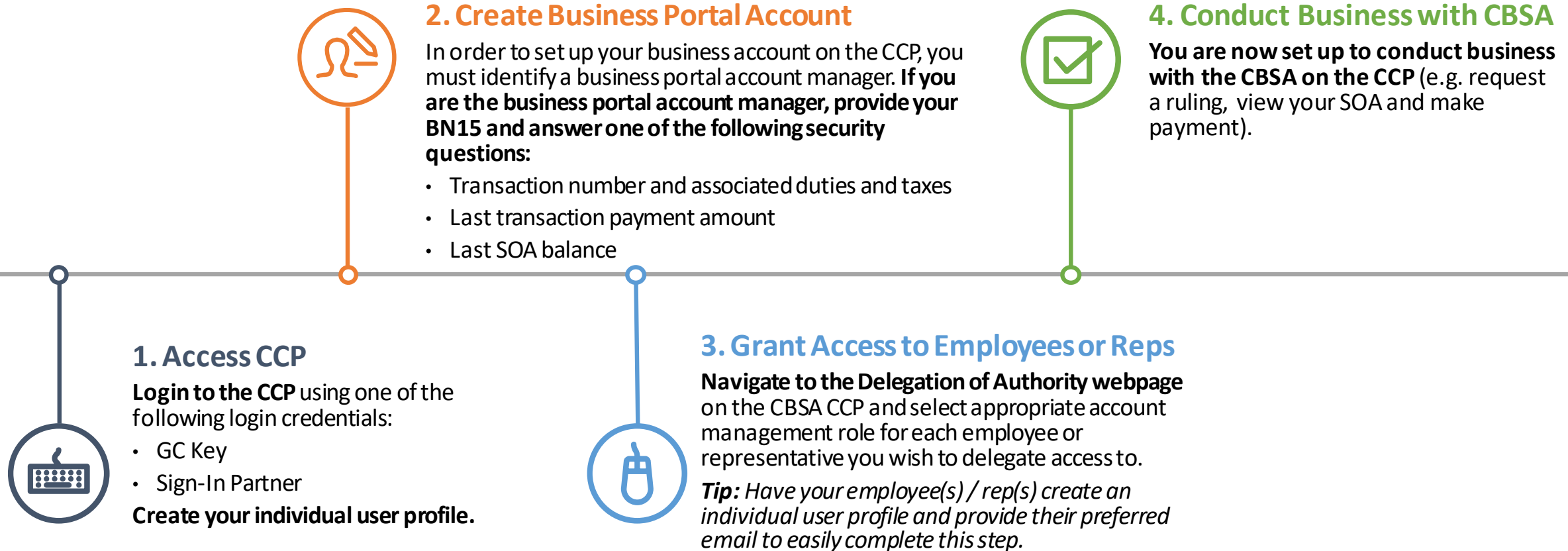
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Setting up your Commercial Client Portal (CCP) account

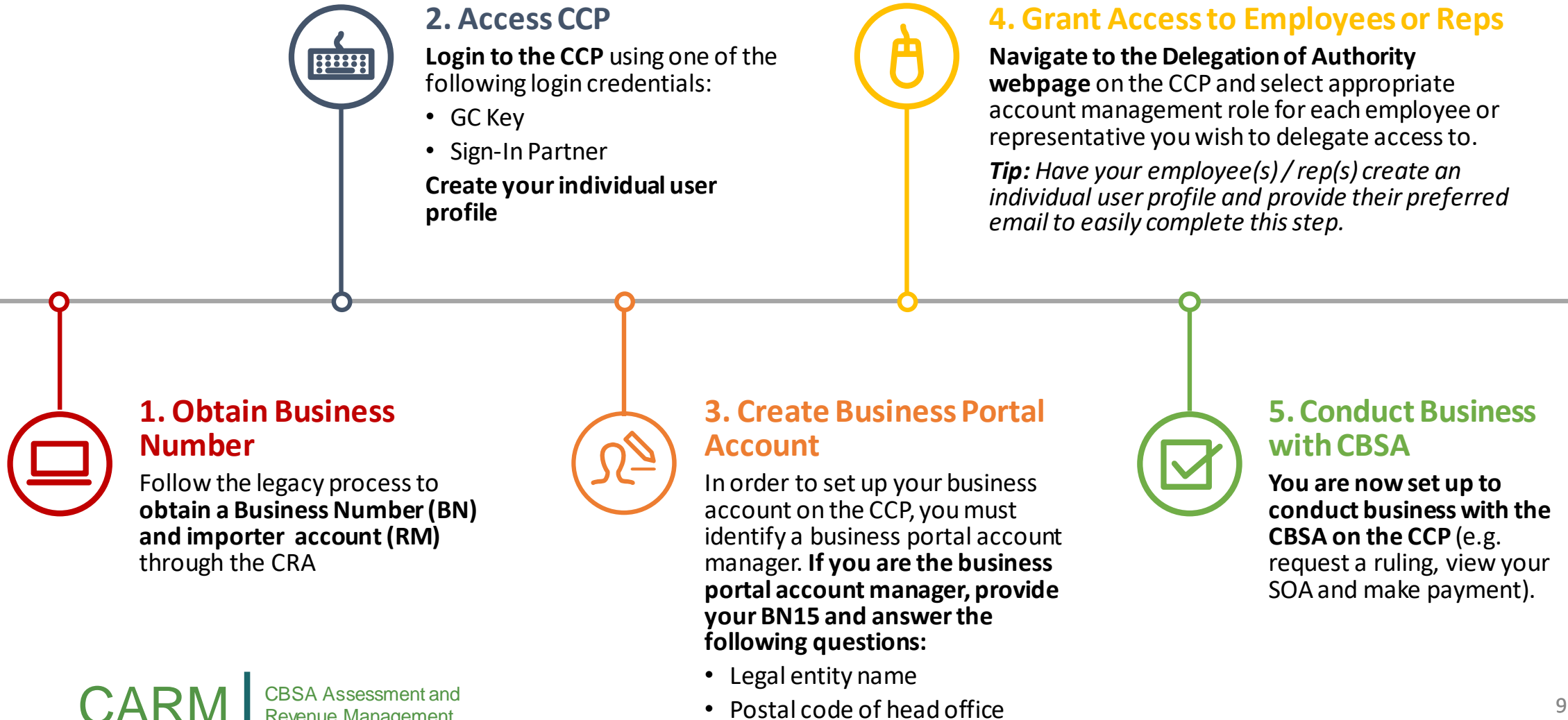
Release 1 Existing Importer (Self Serve)

The following scenario outlines the steps that an importer, who has already transacted business with the CBSA, would take to gain access to the CCP



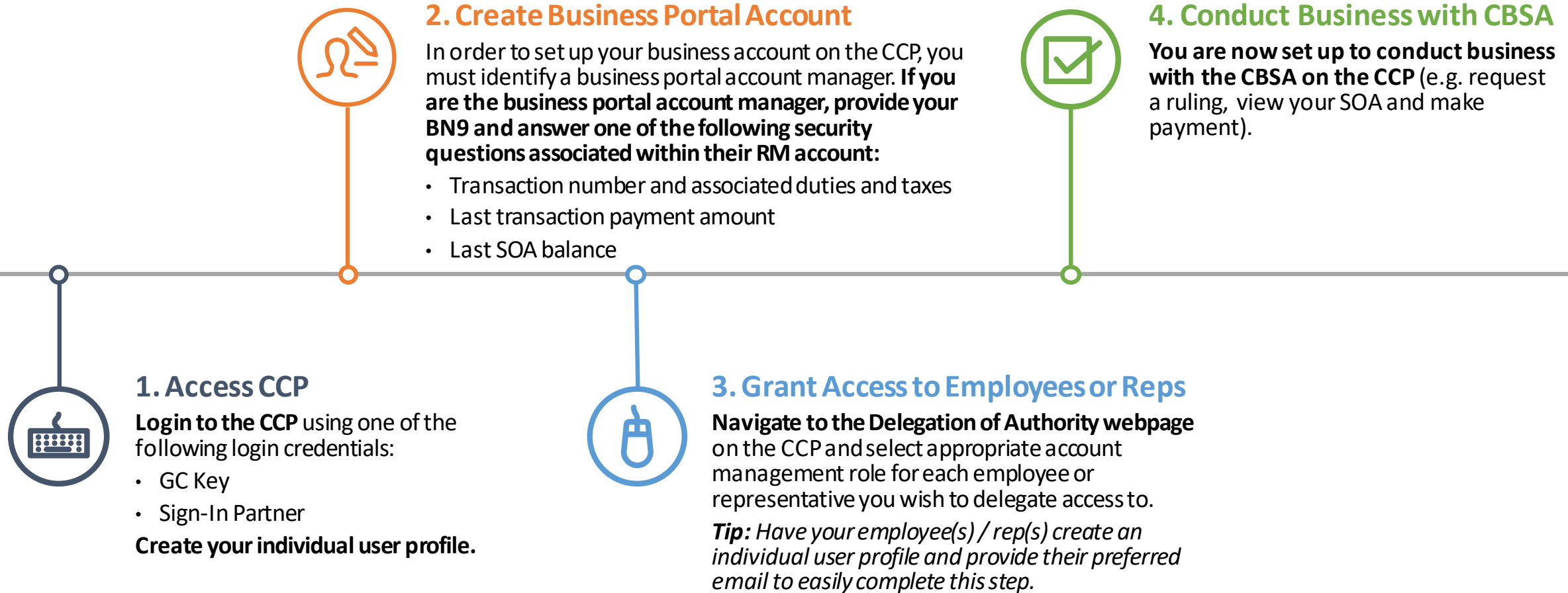
Release 1 New Importer (Self Serve)

The following scenario outlines the steps that an importer, who has **not** transacted business with the CBSA, would take to gain access to the CCP



Release 1 Existing Customs Broker

The following scenario outlines the steps a Customs Broker would take to get set up on the Commercial Client Portal (CCP)



Activate business with transaction history in Commercial Client Portal

Provide your business number

Enter the business number (the business number is the first 9 digits of your import/export account or your GST registration number) for the business that you wish to activate in the Commercial Client Portal.

If you do not already have a business number, and importer or exporter program accounting you will need to contact the Canada Revenue Agency.

For more information about business numbers visit: <https://www.canada.ca/en/services/taxes/business-number.html>

Business number **(Required)**

I, **Jane DOE**, certify that I am hereby authorized to act on behalf of the business to conduct trade activities with the Canada Border Services Agency (CBSA)

Previous

Confirm

This is a conceptual mock-up

Activate business with transaction history in Commercial Client Portal (successful validation)

Your business portal account was successfully created.

Business legal name: ABC Incorporated

Business number: 123456789

Programs

The following programs were found to be associated to your business. If the information below is incorrect, please contact the CRA at 1-800-555-5555.

Importer

RM0001

Previous

Next

Activate business without transaction history in Commercial Client Portal

Provide your business number

Enter the business number (the business number is the first 9 digits of your import/export account or your GST registration number) for the business that you wish to activate in the Commercial Client Portal.

If you do not already have a business number, and importer or exporter program accounting you will need to contact the Canada Revenue Agency.

For more information about business numbers visit: <https://www.canada.ca/en/services/taxes/business-number.html>

Business number (Required)

I, **Jane DOE**, certify that I am hereby authorized to act on behalf of the business to conduct trade activities with the Canada Border Services Agency (CBSA)

Previous

Confirm

This is a conceptual mock-up

Activate business without transaction history in Commercial Client Portal

Provide information about your business

Provide the fields below to help us in validating the business in the portal

Legal entity name **(Required)**

Legal entity address details

City **(Required)**

Province/State **(Required)**

Zip code/Postal code **(Required)**

Previous

Confirm

Activate business without transaction history in Commercial Client Portal (*successful validation*)

Your business portal account was successfully created.

Business legal name: ABC Incorporated

Business number: 123456789

Programs

The following programs were found to be associated to your business. If the information below is incorrect, please contact the CRA at 1-800-555-5555.

Importer

RM0001

Previous

Next

Delegation: Employee / Third Party Requests Access

Create new user access request

To request access to a program, find the business by providing its business number (BN9). Once your request is sent, the business manager will grant you access to the business program(s)

User Roles

Business Portal Account Manager: Has access to all business features including managing access to business programs

Program Account Owner: Has access to all program features including managing access to the programs

Account user: The Account User has create and edit access privileges to one or multiple programs

Account browser: The Account Browser has read-only access privileges to one or multiple programs

Customs broker: The Customs broker role authorizes a business to act on your behalf on one or multiple programs

Enter the BN9 of the business you are requesting access for (Required)

Provide the business number (BN9)

Specify what programs you are requesting access for. Indicate the requested user role for the program. (Required)

Request access to all programs

Request access to specific program(s)

RM number for program 1

User role for program 1

Comments (maximum 256 characters) :

Indicate any additional comments to send to the business manager for access request

Delegation: Business Account Manager Delegates

Manage pending access requests

To approve access to a program, select a user/business from the table below. You may then choose a program account and select the corresponding user role.

User Roles

Business Portal Account Manager: Has access to all business features including managing access to business programs

Program Account Owner: Has access to all program features including managing access to the programs

Account user: The Account User has create and edit access privileges to one or multiple programs

Account browser: The Account Browser has read-only access privileges to one or multiple programs

Customs broker: The Customs broker role authorizes a business to act on your behalf on one or multiple programs

Manage pending access requests

To view, approve or reject a pending access request, click on the requestor name in the table below (hyperlink).

Individual access request

Requestor name	Requested on
Ivan Ioe	2019-04-01

Business access request

Requestor name	Requested on
XYZ Brokerage Inc. (987654321)	2019-04-01

Delegation: Managing Access Requests by Business Account Manager

Access request details

Name: *Ivan Ioe*
E-mail: *ivan.ioe@imports.inc*
Telephone: *555-555-5555*

Requested access to: *ABC Imports Inc. (123456789)*
Requested on: *2019-04-01*
Comments: *Hi Isabelle, I am an employee of ABC Imports Inc.*

Select program account(s) and assign a user role

Program name (Required)	User role (Required)
<input type="checkbox"/> ABC Imports Inc. - All programs	Accounts User ▼
<input type="checkbox"/> ABC Imports Inc. - Importer program East (123456789RM0001)	Accounts User ▼
<input type="checkbox"/> ABC Imports Inc. - Importer program West (123456789RM0002)	Accounts User ▼
<input type="checkbox"/> ABC Imports Inc. - Importer program North (123456789RM0003)	Accounts User ▼
<input type="checkbox"/> ABC Imports Inc. - Importer program South (123456789RM0004)	Accounts User ▼

Expiry date:

Comments:

Reject
Approve

Delegation: Managing Access by Business Account Manager

Manage access

To manage access to a program, select a user/business from the table below. You may then choose a program account and select the corresponding user role.

User Roles

Business Portal Account Manager: Has access to all business features including managing access to business programs

Program Account Owner: Has access to all program features including managing access to the programs



Account user: The Account User has create and edit access privileges to one or multiple programs

Account browser: The Account Browser has read-only access privileges to one or multiple programs

Customs broker: The Customs broker role authorizes a business to act on your behalf on one or multiple programs

Individuals



To manage user access to a program, click on the requestor name in the table below.

Requestor name 	Access granted 
loe, Isaac	Importer program East (123456789RM0001) (Program Account Manager) Importer program West (123456789RM0002) (User)
loe, Ivan	Importer program East (123456789RM0001) (User)
loe, Isabelle	Importer program East (123456789RM0001) (Business Portal Account Manager)

Create new access for an individual

Businesses

To manage business access to a program, click on the requestor name in the table below.

Requestor name 	Access granted 
XYZ Brokerage Inc. (987654321)	Importer program East (123456789RM0001) (Customs Broker) Importer program West (123456789RM0002) (Customs Broker)

Create new access for a business

Delegation: Managing Individual Access by Business Account Manager

Create new access for an individual

Find a user:

Found match:

Select program account(s) and assign a user role

Program name (Required)	User role (Required)
<input type="checkbox"/> ABC Imports Inc. - All programs	Accounts User <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program East (123456789RM0001)	Accounts User <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program West (123456789RM0002)	Accounts User <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program North (123456789RM0003)	Accounts User <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program South (123456789RM0004)	Accounts User <input type="button" value="v"/>

Expiry date:

Comments:

Delegation: Managing Business Access by Business Portal Account Manager

Create new access for a business

Find a business:

Found match:

Select program account(s) and assign a user role

Program name (Required)	User role (Required)
<input type="checkbox"/> ABC Imports Inc. - All programs	Customs Broker <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program East (123456789RM0001)	Customs Broker <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program West (123456789RM0002)	Customs Broker <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program North (123456789RM0003)	Customs Broker <input type="button" value="v"/>
<input type="checkbox"/> ABC Imports Inc. - Importer program South (123456789RM0004)	Customs Broker <input type="button" value="v"/>

Expiry date:

Comments:

This is a conceptual mock-up

Transacting with the CBSA

Release 2 Importer who self-clears, accounts and pays

The following scenario outlines the process for an Importer, with release prior to payment privileges would follow to self clear, account and pay the duties and taxes on imported goods



1. Submit Release Document

Importer submits release document,

- As per current processes
- When a release decision is rendered the importer is notified (RNS)
- CARM is notified that a release has occurred



3. Receive CAD Acceptance

Importer receives a CAD acceptance message on the CBSA CCP and/or EDI message.

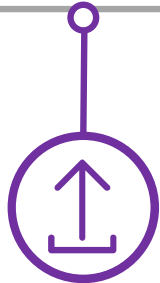
- The CAD acquits the release
- The CAD is posted to the importer's account and release date is used to identify the billing period and associated payment due date



5. Statement of Account

The Statement of Account (SOA) is generated

- Ability to receive via EDI
- Ability to view on CCP (even if submitted via EDI)
- Ability to view client account in the CCP
- Email notification to advise importer when SOA is available (if opted)



2. Submit Commercial Accounting Declaration (CAD)

Importer submits CAD on the CCP or via EDI upon receipt of the release notification or within prescribed timeframe

*Note: an automated late accounting penalty **may** be applied by CARM if the CAD is not received within prescribed timeframe.*



4. Receive Daily Notice

Importer receives Daily Notice (DN) via CCP and/or EDI (if opted)

- Ability to view client account
- Ability to view transactional information in the CCP



6. Remit Payment

Importer remits payment on payment due date via electronic payment method E.g. EDI, EFT, PAD

- If no corrections made, to transactions released during the billing period, pay SOA balance
- If corrections made to transactions released during the billing period, pay account balance owing for the payment due date

Importer who contracts a single Customs Broker (CB)*

The following scenario outlines the process when an Importer, with release prior to payment privileges contracts the services of a single CB to clear, account for imported goods and to pay duties and taxes

1. CB Submits Release Document



- As per current processes
- When a release decision is rendered the CB is notified (RNS)
- CARM is notified that a release has occurred

3. CB and Importer Receive CAD Acceptance notification



CB receives CAD acceptance via EDI (if applicable) and on CCP; Importer receives a CAD acceptance by way of the posting to their account

- The CAD acquits the release
- The CAD is posted to the importer's account and release date is used to identify the billing period and associated payment due date

5. Importer receives Statement of Account / CB receives summary



A Statement of Account (SOA), is generated for the importer at the end of the billing period

- Importer will receive their SOA on the CCP; email notification to importer that SOA is available
- CB will be able to view the SOA for an importer on the CCP if delegated to do so by their client; when viewing, they will see the entire SOA produced for that importer
- CB will receive summary report for all importers

2. CB Submits Commercial Accounting Declaration (CAD)



May be submitted via CCP or EDI upon receipt of the release notification or within prescribed timeframe

*Note: an automated late accounting penalty **may** be applied to the importer's account by CARM if the CAD is not received within prescribed timeframe.*

4. CB and Importer Receives Daily Notice



CB receives Daily Notice (DN) via EDI and/or CCP for each importer, for the transactions that they have accounted for, on behalf of that importer

- Ability to view an Importer's transaction information on the CCP if delegated to do so by their client

Importer receives Daily Notice (DN) on the CCP (if opted in) and/or can view client account and transactional information in the CCP for all transactions accounted for, for that day (includes self-cleared, and all transactions submitted for them by all CBs)

6. CB Remits Payment for Importer



CB remits electronic payment for the importer, for the transactions they accounted for and for which payment was remitted to them.

Note: CBs will need to use the DNs produced to identify the sum total of the payment amount for each of their clients.

**OR
CB instructs the importer to pay their own SOA directly to the CBSA**

Importer who contracts multiple CBs

The following scenario outlines the process when an importer, with release prior to payment privileges, contracts the services of multiple CBs



1. Each CB submits release document with their own transaction number

- As per current processes
- When a release decision is rendered the CB is notified (RNS)
- CARM is notified that a release has occurred



3. Each CB and Importer Receive CAD Acceptance notification

Each CBs receives CAD acceptance via EDI (if applicable) and on CCP; Importer receives a CAD acceptance by way of the posting to their account

- The CAD acquits the release
- The CAD is posted to the importer's account and release date is used to identify the billing period and associated payment due date



5. Importer receives Statement of Account / CB receives summary

A Statement of Account (SOA), is generated for the importer at the end of the billing period

- Importer will receive their SOA on the CCP; email notification to importer that SOA is available
- CBs will be able to view the SOA for each of their importers on the CCP if delegated to do so by their clients
- CB will receive summary report for all importers

2. Each CB submits Commercial Accounting Declaration (CAD)

May be submitted via CCP or EDI upon receipt of the release notification or within prescribed timeframe

*Note: an automated late accounting penalty **may** be applied to the importer's account by CARM if the CAD is not received within prescribed timeframe*



4. Each CB and Importer Receives Daily Notice

Each CB receives Daily Notice (DN) via EDI and/or CCP for each importer, for the transactions that they have accounted for, on behalf of that importer

- Ability to view an Importer's transaction information on the CCP if delegated to do so by their client

Importer receives Daily Notice (DN) on the CCP (if opted in) and/or can view client account and transactional information in the CCP for all transactions accounted for, for that day (includes self-cleared, and all transactions submitted for them by all CBs)



6. CBs Remits Payment for Importer

CBs remit electronic payment for the importer, for the transactions they accounted for and for which payment was remitted to them.

Note: CBs will need to use the DNs produced to identify the sum total of the payment amount for each of their clients.

OR

CBs instructs the importer to pay their own SOA directly to the CBSA



Importer contracts CB for customs clearance (only)

The following scenario outlines the process when an Importer contracts a CB to obtain release but accounts and pays for the duties and taxes themselves

1. CB Submits Release Document

- As per current processes; receives RNS when a release decision is rendered
- CARM is notified that a release has occurred
- Importer receives notification nudge that release has occurred with transaction number through CARM



3. CB and Importer Receive CAD Acceptance notification

- CB receives CAD acceptance via EDI and on CCP; Importer receives a CAD acceptance by way of the posting to their account**
- The CAD acquits the release
 - The CAD is posted to the importer's account and release date is used to identify the billing period and associated payment due date



5. Statement of Account

- The Statement of Account (SOA) is generated**
- Ability to receive via EDI
 - Ability to view on CCP (even if submitted via EDI)
 - Ability to view client account in the CCP
 - Email notification to advise importer when SOA is available (if opted)



2. Submit Commercial Accounting Declaration (CAD)

Importer submits CAD on the CCP or via EDI upon receipt of the release notification or within prescribed timeframe

*Note: an automated late accounting penalty **may** be applied by CARM if the CAD is not received within prescribed timeframe.*



4. Importer Receives Daily Notice

Importer receives Daily Notice (DN) (if opted) and can view transactional information in the CBSA CCP.



6. Remit Payment

Importer remits payment on payment due date via electronic payment method E.g. EDI, EFT, PAD

- If no corrections made, to transactions released during the billing period, pay SOA balance
- If corrections made to transactions released during the billing period, pay account balance owing for the payment due date



Daily Notice

- ✓ Only issued at Issued at Account (*i.e.* RM account or *BN15 number*) level
- ✓ This DN version is issued to the account holder

Daily Notice	Program Account Level	Date: XX MMM, YYYY
--------------	-----------------------	--------------------

I

Legal entity information	
Business Number	<BN9 Number>
Legal Name	Business Name
Operating Name	Alias Name, if any
Program Account Number	<RM Account Number>
Program Type	Type of Program

II

Summary of transactions in on <date> for <RM Account #>	
A. Previous day's balance	SA (from previous day's DN)
B. Balance from importation transactions	SB (Debt incurred from importations)
C. Payments received	SC (payments received on day of DN)
D. Disbursements/Refunds issued	SD (payouts made on day of DN)
E. Late payment interest applied	SE (late payment interest applied)
F. Balance from all other transactions	SF (debt incurred from all other transactions)
<hr/>	
G. Current end of day balance	SA + SB - SC + SD + SE + SF

I. Summary of Revenue distribution breakdown:

Customs Duty	Excise Tax	SIMA	GST/PST/HST	Interest	Penalty	Other

III

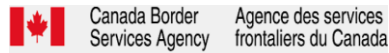
Activities posted on <date> for <RM Account #>								
Transaction Date	Posting Date	Transaction Type	Transaction Number	Version	Status	Debit (Credit)	Due Date	Cleared (Y/N)
Totals						\$		

Summary by Program Revenue Breakdown						
Customs Duty	Excise Tax	SIMA	GST/PST/HST	Interest	Penalty	Other
\$	\$	\$	\$	\$	\$	\$

This is a conceptual mock-up

Statement of Accounts – Account Holder (RM)

- ✓ Issued at Account (*i.e.* RM account or *BN15 number*) level, for Account Holders (TCP) opting for RM level offsetting
- ✓ Statements and Accounts are managed at the *BN15 number* level



Statement of Account

Statement of Account (SOA) Program Level For the billing period Oct 18, 2018 to Nov 17, 2018

I Legal entity information

Business Number 123456789
 Legal Name ABC import Inc.
 Alias Name ABC imports
 Program Account Number RM0001
 Program Name Importation

II Statement summary

SOA Date 18-nov-18
 Payment Due Date 30-nov-18
 Payable Balance \$

III Summary of transactions in billing period 18-Oct 2018 to 17-Nov 2018 for RM0001 account

A. Previous statement balance \$A (from previous SOA)
 B. Corrections to previous statement balance \$B (to transactions in previous period after SOA)
 C. Payments \$C (payments received after previous SOA)
 D. Disbursements \$D (payouts after previous SOA)
 E. Late payment Interest \$E (late payment interest applied)
 F. Current period debit transactions \$F (sum total of all debits in curr. Period)
 G. Current period credit transactions \$G (sum total of all credits in curr. Period)

H. Current statement balance $SH = SA + SB - SC + SD + SE + SF - SG$

I. Summary of Revenue distribution breakdown:

Customs Duty	Excise Tax	Excise Duty	SIMA	GST	PST/HST	Interest	Penalty	Other

IV Activities posted in Billing Period

Submitted by <AccountHolder>			
Transaction Type	Number of Transactions	Debit	Credit
Import Dec - New	20	\$55,000.00	\$0.00
Import Dec - Corrections	5	\$5,200.00	\$4,200.00
Import Dec - Adjustments	2	\$8,500.00	\$0.00
Penalty Appeal	0	\$0.00	\$0.00
Payment	1	\$0.00	\$60,000.00
Sub Total		\$68,700.00	\$64,200.00

Submitted by <Broker - A>			
Transaction Type	Number of Transactions	Debit	Credit
Import Dec - New	5	\$2,500.00	\$0.00
Import Dec - Corrections	0	\$0.00	\$0.00
Import Dec - Adjustments	1	\$200.00	\$0.00
Penalty Appeal	0	\$0.00	\$0.00
Payment	0	\$0.00	\$0.00
Sub Total		\$2,700.00	\$0.00

Initiated by <CBSA>			
Transaction Type	Number of Transactions	Debit	Credit
Import Dec - Adjustments	1	\$9,000.00	\$0.00
Other Transactions/Charges	5	\$125.00	\$0.00
Penalty	0	\$0.00	\$0.00
Late Payment Interest	0	\$0.00	\$0.00
Adjustment Interest	0	\$50.00	\$0.00
Penalty Appeal	0	\$0.00	\$0.00
Sub Total		\$9,175.00	\$0.00

Activities posted in Billing Period: Program Revenue Breakdown								
Customs Duty	Excise Tax	Excise Duty	SIMA	GST	PST/HST	Interest	Penalty	Other
\$80,000	\$0	\$0	\$0	\$5,000	\$0	\$0	\$3,000	\$0

This is a conceptual mock-up

Online Payment

Commercial Client Portal


Review Your Duty and Tax

Total Amount: **CAD 4000**

Pay With Your Credit Card

Fields marked with an asterisk (*) are required.

* **Cardholder Name**

* **Credit Card Number**


* **Expiry Date(MMY)**


Email

A confirmation email will be sent to this address.

[Pay With Your Credit Card](#)

Pay From Your Bank Account

Fields marked with an asterisk (*) are required.



Pay directly from your bank account using the INTERAC Online service.

Email

A confirmation email will be sent to this address.

[Pay From Your Bank Account](#)

The Interac Online service is a new payment option that allows you to pay for goods and services on the Internet directly from your bank account. [Learn More](#)

Your private information is secured using SSL (Secure Sockets Layer), the leading security protocol on the Internet. Information is encrypted and exchanged with an https server.

[Read Security statement](#) [Read Privacy notice](#)

This is a conceptual mock-up

Online Account View

Portal functionalities of a transaction



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Canada Border Services Agency



Canada

Online Account View

Show: **20** 50 100 items

Pending transactions

Business account: **ABC Incorporated** (BN9 12345678)
Program: **Importer X** (RM000001)

Query tool and filters

Credit information

Billing period: 18 Sept–17Oct
Payment Due Date: 31 Oct

Account balance : \$582
Payable balance : \$43

Calendar Date	Transaction Date	Posting Date	Transaction Type	Transaction Number	Version	Transaction Status	Debit/Credit			Running Balance	Due Date	Cleared (Yes/No)	Details				
18-sept	15-aug	18-sept	Declaration	12345123456781	2	Released	\$107			\$107	01-nov	No	+				
				Description		Sub. By	Duties	Exc. Duty	Exc. Tax	SIMA	GST	PST/HST	Adj. Int.	Other	Penalty	Late pmt. interest	Payment
				Declaration		Brok. X	\$100				\$7						

Portal Menu

Clicking the transaction number of a posting will dynamically show the header details, therefore visually lowering the transactions below it

Links to the declaration form

Export Options

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Additional questions?





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eCommerce / CLVS Scope Update

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Note: eCommerce material is available as a separate presentation.

eCommerce Contact:

Mike Leahy

Executive Director

Commercial and Trade Projects and Program Development Directorate

mike.leahy@cbsa-asfc.gc.ca

(613) 960-7146

CARM Context

- CARM will support a consolidated accounting declaration for CLVS (i.e., F-Type replacement).
- CARM will leverage the Carriers / Couriers / Freight Forwarders / Warehouses Sub-Working Group to review options for possible enhancements to the current F-Type format, including looking at proposals related to consolidation and the billing cycle.

Additional questions?





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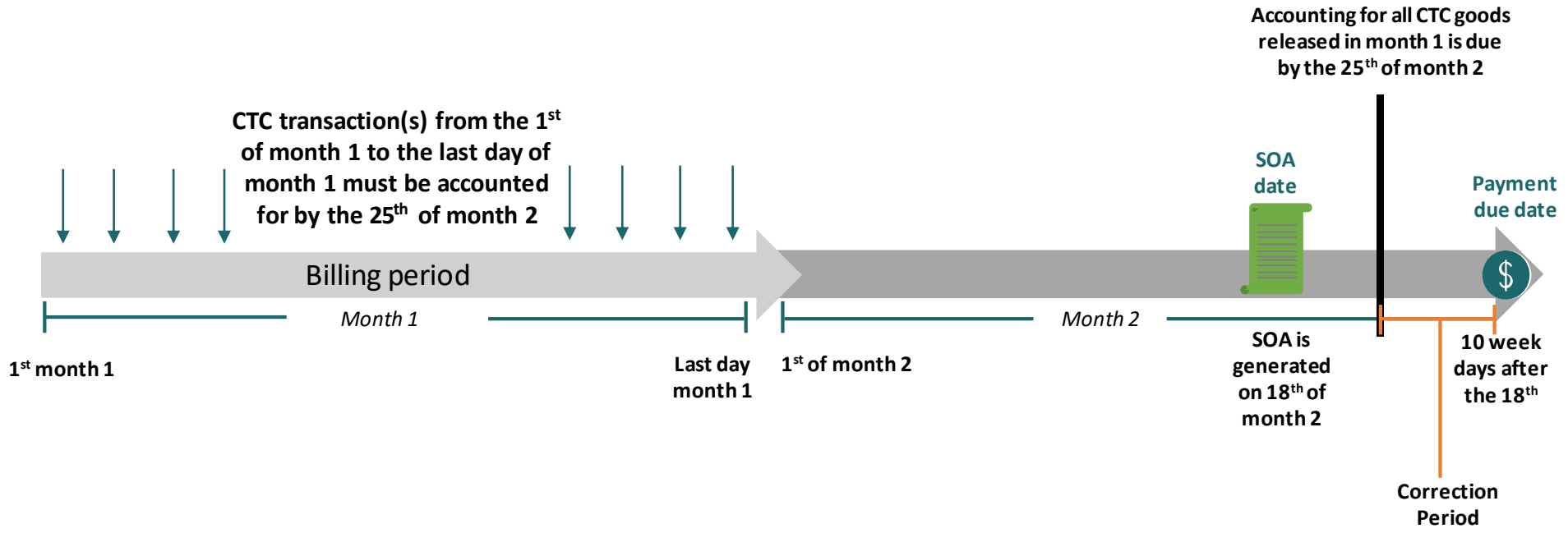
Billing Cycle Update – Continuous Transmission Commodities (CTC)

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Billing cycle walkthrough – CTC



Billing cycle – LVS

Additional questions?





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Proposed Disbursement Approach

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Proposal

- The proposed disbursement program will be done at the BN9 level.
- An automated disbursement program will first check to see if the business partner (BN9) is in an overall credit position and communicate this status to the administrative user (or any other user with appropriate access given by the administrative user) on the Commercial Client Portal.
 - The administrative user role will have visibility across the BN9, including a view of whether or not the BN9 is in a net credit position and initiating disbursement requests.

Proposal (cont'd)

- Once a credit position is confirmed, the administrator user will have to confirm that open debits (due and non-due) will be cleared and a disbursement will be initiated for the residual amount.
 - All due debits would have been cleared during the offsetting process.
 - Non-due debits that have been locked for clearing will remain open, but the required credit to clear them at a later date will be left on the account.
 - Clearing locks for secured items could be an exception as this would amount to double securing them.
- The remaining credit is disbursed to the TCP's central disbursement address and all accounts will have a net zero balance.

Considerations

- Disbursements will be done at the BN9 level.
- Payments should not be taken into consideration during the net balance check.
- A disbursement single address (specific for disbursements) or banking information (for direct deposits) will need to be on file for the business partner's disbursements.
- Disbursements will run after clearing have occurred, and before the following SOA has been generated (same as current state).
 - The administrative user may request disbursement at anytime the BN9 is in a credit position but the disbursements will be issued at the time specified above.

Considerations (cont'd)

- The administrative user will have visibility across the BN9, including a view of whether or not a disbursement is possible per CBSA's calculations (i.e. if the BN9 is in a net credit position).
- The disbursement will be initiated/confirmed by the TCPs on the Commercial Client Portal, therefore their head-office (BN9) will keep their divisions (RM) informed on when disbursements of excess credits are being requested to the CBSA.


Current State vs. Future State



- The current disbursement program is done at the RM15 level
- Payments are currently not eligible for automatic disbursement
- Disbursements is currently run after clearing have occurred, and before the following SOA has been generated
- When a client is eligible for a disbursement (i.e. the account has a credit balance and there are no debts), the account is picked up in the disbursement proposal
- The proposed disbursements are reviewed and confirmed with Section 33 signing authority before issued

- The proposed disbursement program will be done at the BN9 level
- Payments will not be considered during the net balance check
- Disbursements will run after clearing have occurred, and before the following SOA has been generated
- Disbursement request will be initiated by the TCP administrative user on the Commercial Client Portal
- The proposed disbursements will be reviewed and confirmed with Section 33 signing authority before being issued

Scenario 1 – Eligible for disbursement




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JANE DOE

Viewing: (BN123456789 - ABC Incorporated) ▾

Your BN9 Disbursement Profile


● Eligible for Disbursement

RM Account	Total Debits	Total Credits	Net Balance
RM0001	10,000	-	10,000
RM0002	20,000	(50,000)	(30,000)
RM0003	40,000	(80,000)	(40,000)
	70,000	(130,000)	(60,000)

I would like to request Disbursement

Note: The above listing is just a snapshot of your account.


Scenario 1 – Eligible for disbursement (cont'd)




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 **JANE DOE**

Viewing: (BN123456789 - ABC Incorporated) ▼

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Your BN9 Disbursement Profile

● Eligible for Disbursement

RM Account	Total Debits	Total Credits	Net Balance
RM0001	10,000	-	10,000
RM0002	20,000	(50,000)	(30,000)
RM0003	40,000	(80,000)	(40,000)
	70,000	(130,000)	(60,000)

I would like to request Disbursement

Note: The above listing is just a snapshot of your account.

Scenario 1 – Eligible for disbursement (cont'd)

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JANE DOE

Viewing: (BN123456789 - ABC Incorporated)

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Your BN9 Disbursement Profile Eligible for Disbursement

By submitting this request for disbursement, I agree to the following:

- My BN9 account will be added to the disbursement proposal that will be processed on *<date>*.
- All due and non-due items will be cleared across my BN9 account during the offsetting process.
- Non-due debits that have been locked for clearing will remain open, but the required credit to clear them at a later date will be left on the account.
- Secured items with clearing lock(s) will not be considered as they are already secured.

[Confirm](#)

Scenario 1 – Eligible for disbursement (cont'd)

The screenshot shows a web interface for the Government of Canada. At the top left is the Canadian flag and the text "Government of Canada" and "Gouvernement du Canada". To the right is a search bar with "Search Canada.ca" and a magnifying glass icon. Below the search bar is a "MENU" dropdown. On the left side, there is a user profile for "JANE DOE" with a dropdown arrow, and below it, "Viewing: (BN123456789 - ABC Incorporated)" with a dropdown arrow. On the right side, there are links for "Personal Profile" and "Notifications". A green circle with the text "Eligible for Disbursement" is visible. The main content area features a "Confirmation!" heading, followed by a paragraph: "A disbursement request for account **BN123456789 – ABC Incorporated**, of amount **CAD \$60,000** has been successfully submitted to CBSA for processing on *<date>*." Below this is another paragraph: "Please note that until *<date>*, any additional items posted on your account may affect the disbursed amount." A third paragraph states: "The actual disbursed amount will also be displayed on the Statement of Account (SOA)." A green "Confirm" button is located at the bottom right of the confirmation message.

Scenario 1 – Eligible for disbursement – SOA

SOA for RM0001

Summary of transactions for RM000001	
Current period debit transactions	\$10,000.00
Current period credit transactions	\$0.00
Refund offset transfer from RM000002	-\$10,000.00
Disbursements	\$0.00
Current statement balance	\$0.00


SOA for RM0002

Summary of transactions for RM000002	
Current period debit transactions	\$20,000.00
Current period credit transactions	-\$50,000.00
Refund offset transfer to RM000001	\$10,000.00
Disbursements	\$20,000.00
Current statement balance	\$0.00

SOA for RM0003

Summary of transactions for RM000003	
Current period debit transactions	\$40,000.00
Current period credit transactions	-\$80,000.00
Disbursements	\$40,000.00
Current statement balance	\$0.00

Scenario 2 – Not Eligible for disbursement



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 **JANE DOE**

Viewing: (BN123456789 - ABC Incorporated) 

Your BN9 Disbursement Profile ● Not eligible for Disbursement

RM Account	Total Debits	Total Credits	Net Balance
RM0001	60,000	(10,000)	50,000
RM0002	80,000	(30,000)	50,000
RM0003	40,000	(70,000)	(30,000)
	180,000	(110,000)	70,000

Note: The above listing is just a snapshot of your account.

Additional questions?





Canada Border
Services Agency

Agence des services
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Mobile B3 Demonstration

Canada

CARM | CBSA Assessment and
Revenue Management

DRAFT

Additional questions?





Canada Border
Services Agency

Agence des services
frontaliers du Canada

Roundtable and Conclusion

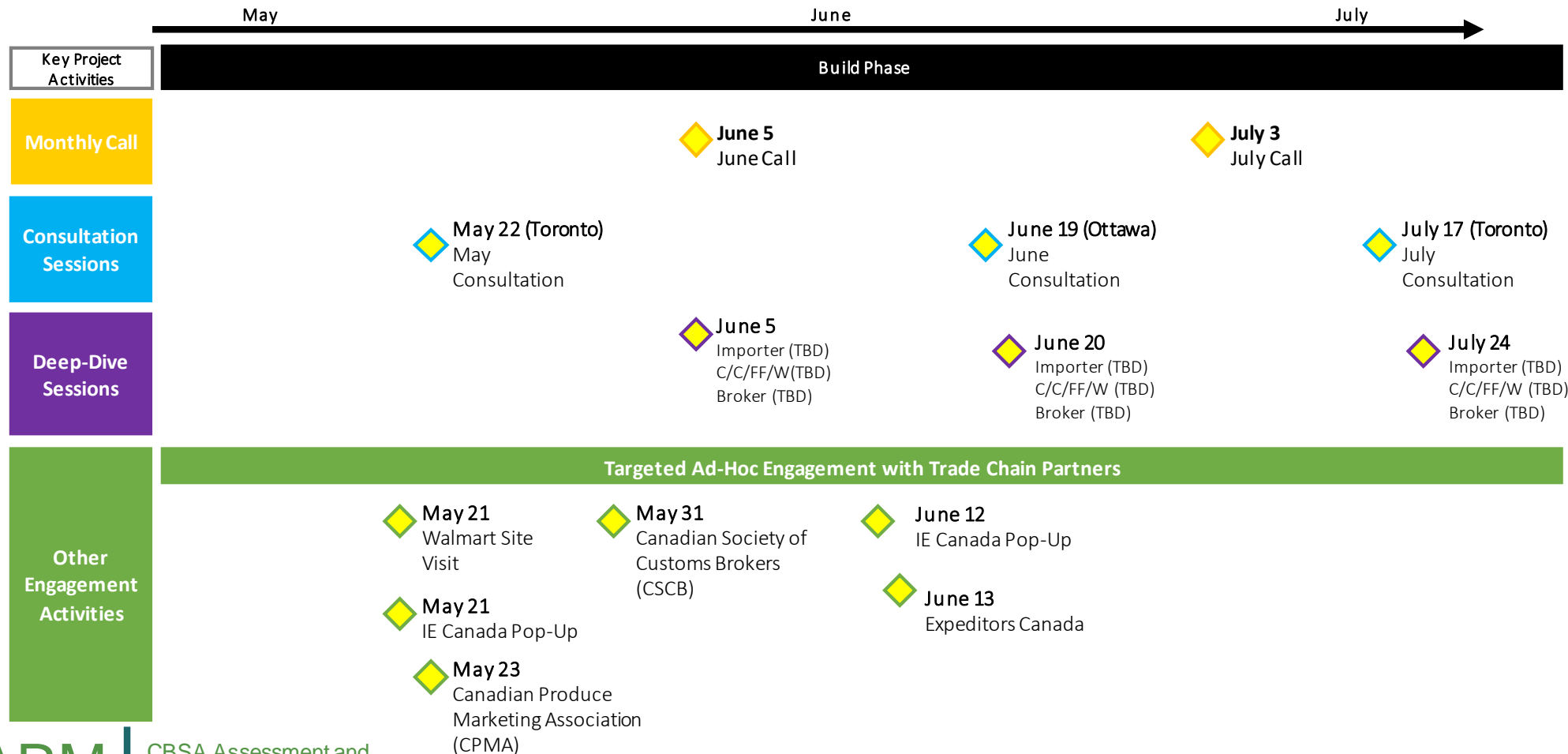
Canada

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3-Month Outlook of TCP WG Activities

The following outlines the high-level schedule for the upcoming 3 months of TCP WG activities, including other relevant CARM TCP engagement activities.



Thank You



Canada Border
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Appendix

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Revenue Management

Delegation: Program account selection header

Isaac Ioe Viewing: **RM000001 (Importer)** BN123456789 - ABC Incorporated

List of Declarations

Show 10

Transac	Date	Type			
	9-25	AB			
	0-03	AB			
345678901	2018-11-11	AB	2223	0510- Winnipeg James Armstr...	Make an adjustment
456789012	2018-12-14	AB	4231	0396- Montreal Pierre Elliott Tr...	Make an adjustment
567890123	2019-01-04	AB	2223	0396- Montreal Pierre Elliott Tr...	Make an adjustment
678901234	2019-01-31	AB	2223	0396- Montreal Pierre Elliott Tr...	Make an adjustment
7890123456	2019-01-31	AB	4231	0120- Saint John Airport	Make an adjustment
7890123460	2019-02-14	AB	4232	0120- Saint John Airport	Make an adjustment
7890123474	2019-02-28	AB	4231	0120- Saint John Airport	Make an adjustment
7890123499	2019-02-28	AB	5231	0120- Saint John Airport	Make an adjustment

1 2 3 4 5 8 Next →

Name of the portal user

Active RM account under which the user is currently working

Drop-down list of other delegated program accounts

Delegation: Program account selection as an importer's employee (Isaac Ioe)

Isaac Ioe

Viewing: RM000001 (Importer) ▼
BN123456789 - ABC Incorporated

BN123456789 - ABC Incorporated

RM000001 (Importer)

List of Declarations

Transaction Number ↑↓	Release Date ↑↓	Type ↑↓	Carrier Code ↑↓	Office of Release ↑↓	
123456789	2018-09-25	AB	4231	0395- Montréal Main Long R	
234567890	2018-10-03	AB	4231	0302- Herdman	Make an adjustment
345678901	2018-11-11	AB	2223	0510- Winnipeg James Armstr...	Make an adjustment
456789012	2018-12-14	AB	4231	0396- Montreal Pierre Elliott Tr...	Make an adjustment
567890123	2019-01-04	AB	2223	0396- Montreal Pierre Elliott Tr...	Make an adjustment
678901234	2019-01-31	AB	2223	0396- Montreal Pierre Elliott Tr...	Make an adjustment
7890123456	2019-01-31	AB	4231	0120- Saint John Airport	Make an adjustment
7890123460	2019-02-14	AB	4232	0120- Saint John Airport	Make an adjustment
7890123474	2019-02-28	AB	4231	0120- Saint John Airport	Make an adjustment
7890123499	2019-02-28	AB	5231	0120- Saint John Airport	Make an adjustment

1 2 3 4 5 8 Next →

Delegation: Program account selection a customs broker acting on behalf of an importer (Brad Boe)

Brad Boe

Viewing: RM000001 (Importer) ▼
BN123456789 - ABC Incorporated

List of Declarations

Transaction Number ↑↓	Release Date ↑↓	Type ↑↓	Carrier Code ↑↓	Office of Release ↑↓	
123456789	2018-09-25	AB	4231	0395- Montréal Main Long R	
234567890	2018-10-03	AB	4231	0302- Herdman	
345678901					
456789012					
567890123	2019-01-04	AB	2223	0396- Montreal Pierre Elliott Tr...	Make an adjustment
678901234	2019-01-31	AB	2223	0396- Montreal Pierre Elliott Tr...	Make an adjustment
7890123456	2019-01-31	AB	4231	0120- Saint John Airport	Make an adjustment
7890123460	2019-02-14	AB	4232	0120- Saint John Airport	Make an adjustment
7890123474	2019-02-28	AB	4231	0120- Saint John Airport	Make an adjustment
7890123499	2019-02-28	AB	5231	0120- Saint John Airport	Make an adjustment

Access to another of the customs broker's client account that was delegated

- BN123456789 - ABC Incorporated
- RM000001 (Importer)
- BN987654321 - XYZ Incorporated
- RM000002 (Importer)


Selection of a statement of account

Statements of account

Start date

End date

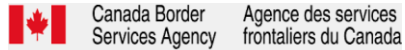
Showing 1 to 10 of 57 entries

Date posted 	Billing Period	Format
2018-12-18	18-Nov-2018 to 17-Dec-2018	PDF, 1.54 MB
2018-11-18	18-Oct-2018 to 17-Nov-2018	PDF, 1.54 MB
2018-10-18	18-Sep-2018 to 17-Oct-2018	PDF, 1.38 MB
2018-09-18	18-Aug-2018 to 17Sep-2018	PDF, 1.41 MB
2018-08-18	18-Jul-2018 to 17-Aug-2018	PDF, 1.40 MB
2018-07-18	18-Jun-2018 to 17-Jul-2018	PDF, 1.51 MB
2018-06-18	18-May-2018 to 17-Jun-2018	PDF, 1.41 MB
2018-05-18	18-Apr-2018 to 17-May-2018	PDF, 1.63 MB
2018-04-18	18-Mar-2018 to 17-Apr-2018	PDF, 1.54 MB
2018-03-18	18-Feb-2018 to 17-Mar-2018	PDF, 1.53 MB

1 2 3 4 5 6 Next →

Statement of Accounts Ver. 2 – Program Type Level

- ✓ SOA is issued to Account Holders (TCP) opting for “Intra Program Offsetting”
- ✓ Statements and Accounts are managed at Program Type level (e.g. *Program Type Importation*), may contain 1:many RM accounts of same program type



Statement of Account

Statement of Account (SOA) Program Type Level For the billing period Oct 18, 2018 to Nov 17, 2018

I Legal entity information

Business Number 852741963
 Legal Name WWW Inc.
 Alias Name WWW Services
 Program Type Importation
 Program Name WWW Imports

II Statement summary

SOA Date 18-nov-18
 Payment Due Date 30-nov-18
 Payable Balance \$

III Summary of transactions in billing period <start> to <end> for <Program Name>

A. Previous statement balance \$A (from previous SOA)
 B. Corrections to previous statement balance \$B (to transactions in previous period after SOA)
 C. Payments \$C (payments \$C)
 D. Disbursements \$D (payouts after previous SOA)
 E. Late payment Interest \$E (late payment interest applied)
 F. Current period debit transactions \$F (sum total of all debits in curr. Period)
 G. Current period credit transactions \$G (sum total of all credits in curr. Period)
 H. Current statement balance $\underline{\underline{\$H = \$A + \$B - \$C + \$D + \$E + \$F - \$G}}$

I. Summary of Revenue distribution breakdown:

Customs Duty	Excise Tax	Excise Duty	SIMA	GST	PST/HST	Interest	Penalty	Other

IV Activities posted in Billing Period for Program Name <RM#1>

IV: Submitted by <AccountHolder>			
	Sub Total	\$68,700.00	\$64,200.00
Transaction Type	Number of Transactions	Debit	Credit
Import Dec - New	20	\$55,000.00	\$0.00
Import Dec - Corrections	5	\$5,200.00	\$4,200.00
Import Dec - Adjustments	2	\$8,500.00	\$0.00
Penalty Appeal	0	\$0.00	\$0.00
Payment	1	\$0.00	\$60,000.00

IV: Submitted by <Broker - A>

IV: Submitted by <Broker - A>			
	Sub Total	\$2,700.00	\$0.00
Transaction Type	Number of Transactions	Debit	Credit
Import Dec - New	5	\$2,500.00	\$0.00
Import Dec - Corrections	0	\$0.00	\$0.00
Import Dec - Adjustments	1	\$200.00	\$0.00
Penalty Appeal	0	\$0.00	\$0.00
Payment	0	\$0.00	\$0.00

IV: Initiated by <CBSA>

IV: Initiated by <CBSA>			
	Sub Total	\$9,175.00	\$0.00
Transaction Type	Number of Transactions	Debit	Credit
Import Dec - Adjustments	1	\$9,000.00	\$0.00
Other Transactions/Charges	5	\$125.00	\$0.00
Penalty	0	\$0.00	\$0.00
Late Payment Interest	0	\$0.00	\$0.00
Adjustment Interest	0	\$50.00	\$0.00
Penalty Appeal	0	\$0.00	\$0.00

Activities posted in Billing Period: Program Revenue Breakdown

Customs Duty	Excise Tax	Excise Duty	SIMA	GST	PST/HST	Interest	Penalty	Other
\$80,000	\$0	\$0	\$0	\$5,000	\$0	\$0	\$3,000	\$0

There will be multiple sections for each RM#

This is a conceptual mock-up

Statement of Accounts Ver. 3 – Legal Entity (BN9) level

- ✓ Issued to Account Holders Account Holders (TCP) opting for or assigned to “Inter Program Offsetting”
- ✓ Statements and Accounts are managed at Legal Entity (BN9) level (*i.e. each RM Accounts across all Program Types*)



Statement of Account

Statement of Account (SOA) Legal Entity Level For the billing period XXX, 18, YYYY to XXX, 17, YYYY

I Legal entity information

Business Number *BN9 Number*
 Legal Name *Legal Name*
 Alias Name *Alias Name, if any*
 Number of Programs *e.g. BN15 count*

II Statement summary

SOA Date *18-MMM-YY*
 Payment Due Date *+10 weekdays*
 Payable Balance *=H*

IV Summary of transactions in billing period <start> to <end> for <BN9 client>

A. Previous statement balance *\$A (from previous SOA)*
 B. Corrections to previous statement balance *\$B (to transactions in previous period after SOA)*
 C. Payments *\$C (payments re \$C)*
 D. Disbursements *\$D (payouts after previous SOA)*
 E. Late payment interest *\$E (late payment interest applied)*
 F. Current period debit transactions *\$F (sum total of all debits in curr. Period)*
 G. Current period credit transactions *\$G (sum total of all credits in curr. Period)*
 H. Current statement balance *\$H = \$A+\$B-\$C+\$D+\$E+\$F-\$G*

I. Summary of Revenue distribution breakdown:

Customs Duty	Excise Tax	Excise Duty	SIMA	GST	PST/HST	Interest	Penalty	Other

Activities posted in Billing Period: Program RM0001

Submitted by <AccountHolder>		Sub Total	\$68,700.00	\$64,200.00
Transaction Type	Number of Transactions	Debit	Credit	
Import Dec - New	20	\$55,000.00	\$0.00	
Import Dec - Corrections	5	\$5,200.00	\$4,200.00	
Import Dec - Adjustments	2	\$8,500.00	\$0.00	
Appeals/Disputes	0	\$0.00	\$0.00	
Payment	1	\$0.00	\$60,000.00	
Sub Total				

Submitted by <Broker - A>		Sub Total	\$2,700.00	\$0.00
Transaction Type	Number of Transactions	Debit	Credit	
Import Dec - New	5	\$2,500.00	\$0.00	
Import Dec - Corrections	0	\$0.00	\$0.00	
Import Dec - Adjustments	1	\$200.00	\$0.00	
Appeals/Disputes	0	\$0.00	\$0.00	
Payment	0	\$0.00	\$0.00	

Initiated by <CBSA>		Sub Total	\$9,175.00	\$0.00
Transaction Type	Number of Transactions	Debit	Credit	
Import Dec - Adjustments	1	\$9,000.00	\$0.00	
Other Transactions/Charges	5	\$125.00	\$0.00	
Penalty	0	\$0.00	\$0.00	
Late Payment Interest	0	\$0.00	\$0.00	
Adjustment Interest	0	\$50.00	\$0.00	
Appeals/Disputes	0	\$0.00	\$0.00	

Activities posted in Billing Period: Program RM0001 by Revenue Breakdown

Customs Duty	Excise Tax	Excise Duty	SIMA	GST	PST/HST	Interest	Penalty	Other
\$80,000	\$0	\$0	\$0	\$5,000	\$0	\$0	\$3,000	\$0

There will be multiple sections for each RM# ***This is a conceptual mock-up***

Customs Broker Summary – Proposed template

Customs Broker Summary Report For the billing period XXX, 18, YYYY to XXX, 17, YYYY

I Legal entity information		II Summary	
Broker number	987654321	Summary report date	18th MMM, YY
Legal Name	XYZ Customs Brokerage Inc.	Total number of clients	# of Broker's clients

III Importer RM Account ▼ <Filter>	Declarations		Corrections		Adjustments		Payments		TOTALS	
	Num. of trans.	Debit (Credit)	Num. of trans.	Debit (Credit)	Num. of trans.	Debit (Credit)	Num. of trans.	Debit (Credit)	Num. of trans.	Debit (Credit)
11111RM00001	5	\$2,500.00	0	\$0.00	1	\$200.00	0	\$0.00	6	\$2,700.00
22222RM00001	5	\$5,000.00	4	(\$575.00)	1	\$200.00	1	(\$600.00)	11	\$4,025.00
33333RM00001	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00
44444RM00001	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00
55555RM00001	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00
66666RM00001	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00
77777RM00001	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00	0	\$0.00
...										

IV Importer RM Account ▼ <Filter>	Disputes/Appeals	
	Num. of trans.	Debit (Credit)
11111RM00001	0	\$0.00
22222RM00001	0	\$0.00
33333RM00001	0	\$0.00
44444RM00001	0	\$0.00
55555RM00001	0	\$0.00
66666RM00001	0	\$0.00
77777RM00001	0	\$0.00
...		

Note that the Broker Summary Statement provides the total of transactions categorized by transaction type and per client account on whose behalf the Customs Brokers has submitted transaction to the CBSA

Daily Notice

- ✓ This DN version is issued to the customs broker



Canada Border Services Agency
Agence des services frontaliers du Canada

Daily Notice (Customs Brokers)

Daily Notice **Customs Brokers Daily Notice** **Date: XX MMM, YYYY**

I Legal entity information

Broker Number *Broker Number*
 Legal Name *Business Name*
 Operating Name *Alias Name, if any*
 Number of Importers *Count of importers*

II Summary of transactions as of <date> as submitted by broker

A. Total of importation transactions as submitted by <broker> \$A
 B. Total of other transaction as submitted by <broker> \$B

III Activities posted on <date> by Broker for Importer <RM#>

Trasnaction Date	Posting Date	Trasnaction Type	Transaction Number	Version	Status	Debit (Credit)
Totals						\$

III Activities posted on <date> by Broker for Importer <RM#>

Trasnaction Date	Posting Date	Trasnaction Type	Transaction Number	Version	Status	Debit (Credit)
Totals						\$

This is a conceptual mock-up